

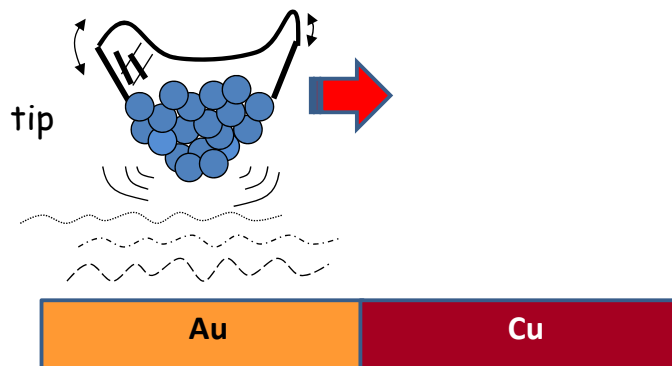
## Homework No. 2

### Fundamentals of AFM: Part I

Lectures: P1\_Wk2\_L1 - P1\_Wk2\_L6

#### Problem 1: Magnitude of vdW force

A tip of radius 5 nm is sequentially positioned 1 nm above a flat substrate that has two different regions. One region of the substrate is made from Au, the other from Cu.



**Q1.1.** Assuming the vdW interaction dominates the tip-substrate interaction, and assuming the vdW interaction between a tip atom and a Au atom is the same as the interaction between a tip atom and a Cu atom, which of the following statements is likely to be true?

- a) The force acting on the tip when it is over the Au region will be the same as when the tip is over the Cu region
- b) The force acting on the tip when it is over the Au region will be about 2 times greater than when the tip is over the Cu region
- c) **The force acting on the tip when it is over the Au region will be about 70% of the force when the tip is over the Cu region**
- d) The force acting on the tip when it is over the Au region will be about 25% of the force when the tip is over the Cu region

Correct answer is c).

Solution:

$$F = -\frac{\partial U_{\text{vdW}}}{\partial d} = -\frac{HR_{\text{tip}}}{6d^2} \quad H = \pi^2 \rho_{\text{tip}} \rho_{\text{metal}} C_{\text{vdW}}$$

$$\frac{F_{\text{tip-Au}}}{F_{\text{tip-Cu}}} = \frac{\rho_{\text{Au}}}{\rho_{\text{Cu}}} \approx \frac{5.9 \times 10^{28} \text{ m}^{-3}}{8.45 \times 10^{28} \text{ m}^{-3}} = 0.7$$

Values for the number density of Au and Cu atoms are obtained from the chart in the P1\_Wk2\_L1 lecture.

**Problem 2: Surface energies**

Highly oriented pyrolytic graphite (HOPG) is a layered form of C that is commonly used as a substrate material used in many AFM studies. It is well documented that most materials deposited on HOPG do not strongly adhere to this substrate. This must imply that

- a) HOPG has a very high surface energy
- b) HOPG has the remarkable ability to adjust its surface energy depending on the deposited material
- c) HOPG must be an amorphous solid
- d) HOPG has a very low surface energy**

Correct answer is d).

Solution: If nothing sticks to HOPG, then the surface energy of HOPG must be generally lower than the surface energy of the material deposited.

### Problem 3: The Derjaguin approximation

The Derjaguin approximation states that

$$F_{plane-sphere}(d) = 2\pi R_{tip} \left( \frac{U_{plane-plane}(d)}{A} \right)$$

If the sphere comes into **contact** with the plane, then  $d=a_0$  and we have

$$F_{plane-sphere}(a_0) = 2\pi R_{tip} (2\gamma)$$

where  $\gamma$  is the surface energy of the tip and substrate (assumed in this case to be of identical materials).

**Q3.1** Suppose a Si tip contacts a Si wafer. It is well known that both the tip and wafer are coated with an amorphous, native oxide layer ( $\text{SiO}_2$ , silica). What would be a good estimate for the surface energy of the tip and wafer?

- a) 3 mJ/m<sup>2</sup>
- b) 30 mJ/m<sup>2</sup>
- c) **300 mJ/m<sup>2</sup>**
- d) 3000 mJ/m<sup>2</sup>

Solution

You can eliminate choice a) and d) immediately, as they are way too low and way too high. A quick search in google using keywords like "typical surface energy silica" gives a value of 340 mJ/m<sup>2</sup>, which is displayed on the first google page.

**Q3.2** If the tip has a radius of 50 nm, using the value of  $\gamma$  from Q3.1, estimate the adhesive force between the tip and the substrate when they come into contact?

- a) 1.9 nN
- b) 19 nN
- c) 190 nN**
- d) 1900 nN

Correct answer is c)

Solution:

$$\begin{aligned}F_{plane-sphere}(a_o) &= 2\pi R_{tip}(2\gamma) \\ &= 4 \cdot 3.14 \cdot 50 \times 10^{-9} m \cdot 300 \times 10^{-3} J/m^2 \\ &= 1.88 \times 10^{-7} N = 190 \text{ nN}\end{aligned}$$

**Problem 4: Hertz contact mechanics**

Consider the same Si tip (50 nm radius) pressed against the same flat Si wafer discussed in **Problem 3** above.

**Q4.1** What is the approximate Young's modulus for silica to describe the contact mechanics in this situation?

- a) 0.066 GPa
- b) 0.66 GPa
- c) 6.6 GPa
- d) **66 GPa**

Correct answer is d)

Solution: Refer to the table in lecture P1\_Wk2\_L4.

**Q4.2** From the Hertz model, what is the contact radius when a force of 10 nN is applied to the tip? Assume the Poisson ratio of silica is 0.17.

- a) 0.22 nm
- b) **2.2 nm**
- c) 22 nm
- d) 220 nm

Correct answer is b)

Solution:

$$a_{\text{Hertz}} = \left( \frac{R_{\text{tip}} F}{E_{\text{tot}}} \right)^{1/3}$$

$$\frac{1}{E_{\text{tot}}} = \frac{3}{4} \left( \frac{1 - \nu_{\text{tip}}^2}{E_{\text{tip}}} + \frac{1 - \nu_{\text{wafer}}^2}{E_{\text{wafer}}} \right)$$

$$= \frac{3}{4} \left( \frac{1 - (0.17)^2}{66} + \frac{1 - (0.17)^2}{66} \right) = 1.5 \left( \frac{0.97}{66} \right) = 0.022 \text{ GPa}^{-1}$$

$$E_{\text{tot}} = 45.3 \text{ GPa}$$

$$a_{\text{Hertz}} = \left( \frac{50 \times 10^{-9} \text{ m} \cdot 10 \times 10^{-9} \text{ N}}{45.3 \text{ GPa}} \right)^{1/3} = \left( 1.10 \times 10^{-26} \text{ m}^3 \right)^{1/3}$$

$$= 2.2 \text{ nm}$$

**Q4.3** From the Hertz model, estimate the deformation (indentation) that will result when 10 nN external force is applied.

- a) 9.7 nm
- b) 0.97 nm
- c) **0.097 nm**
- d) 0.0097 nm

Correct answer is c).

Solution: 
$$D_{\text{Hertz}} = \frac{a_{\text{Hertz}}^2}{R_{\text{tip}}} = \frac{(2.2 \times 10^{-9} \text{ m})^2}{50 \times 10^{-9} \text{ m}} = 9.68 \times 10^{-11} \text{ m} = 97 \text{ pm} = 0.097 \text{ nm}$$

**Q4.4** Typically, the thickness of native SiO<sub>2</sub> layer on Si is about 1 nm. At what applied force will the tip/substrate indentation equal this amount? Use the Hertz model.

- a) **320 nN**
- b) 32 nN
- c) 3.2 nN
- d) 0.32 nN

Correct answer is a).

Solution: 
$$D_{\text{Hertz}} = \frac{a_{\text{Hertz}}^2}{R_{\text{tip}}} = \frac{1}{R_{\text{tip}}} \left( \frac{R_{\text{tip}} F}{E_{\text{tot}}} \right)^{2/3}$$

$$(D_{\text{Hertz}} R_{\text{tip}})^{3/2} = \left( \frac{R_{\text{tip}} F}{E_{\text{tot}}} \right)$$

$$F = \frac{E_{\text{tot}}}{R_{\text{tip}}} (D_{\text{Hertz}} R_{\text{tip}})^{3/2} = \frac{45.3 \times 10^9 \text{ N/m}^2}{50 \times 10^{-9} \text{ m}} \cdot (1 \times 10^{-9} \text{ m} \cdot 50 \times 10^{-9} \text{ m})^{3/2}$$

$$= 9.06 \times 10^{17} \text{ N/m}^3 \cdot (3.53 \times 10^{-25} \text{ m}^3)$$

$$= 3.2 \times 10^{-7} \text{ N} = 320 \text{ nN}$$