UC Berkeley, Univ.of Illinois, Norfolk State, Northwestern, Purdue, UTEP

Tutorial 5B
Strain
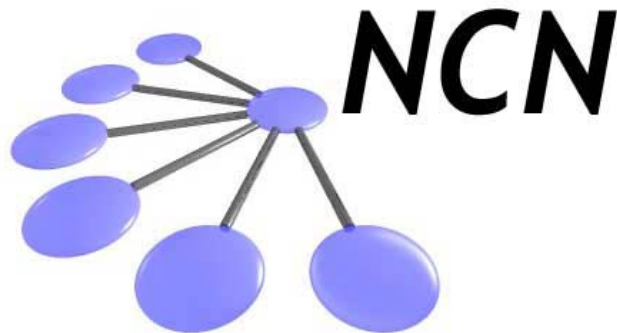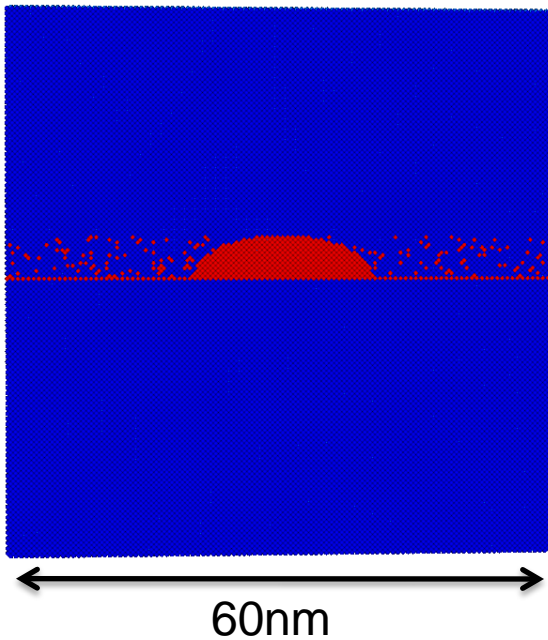
Hesameddin Ilatikhameneh

Yuling Hsueh, Jean Michel Sellier

Jim Fonseca, Tillmann Kubis

Michael Povolotskyi

Prof. Gerhard Klimeck

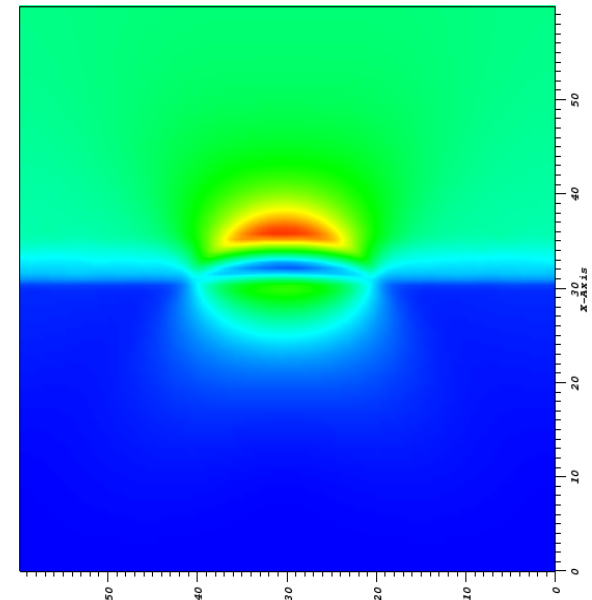**Objective**: Find relaxed positions of atoms
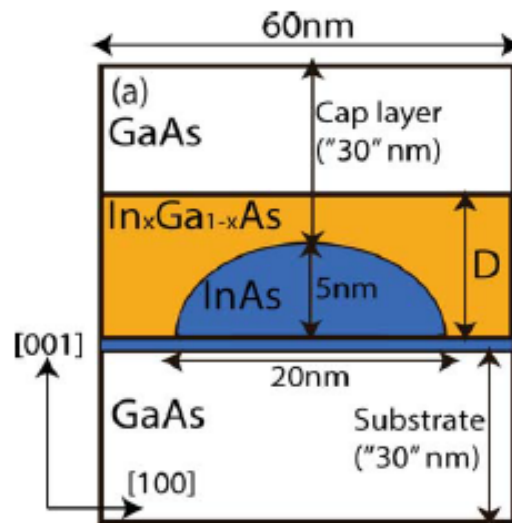
Example: Quantum dot (10M Atoms, 100s CPUs, hours)

Initial positions

Displacement magnitude

Strain Solver

60nm

60nm

(a)
GaAs — Cap layer ("30" nm)

$In_xGa_{1-x}As$

InAs — 5nm

D

GaAs — Substrate ("30" nm)

[001]

[100]

20nm

Let's run the input-deck (it will take several minutes)

```
cd ~
```

```
cd public_examples/NCN_summer_school_2012/Quantum_Dot_Hesam
```
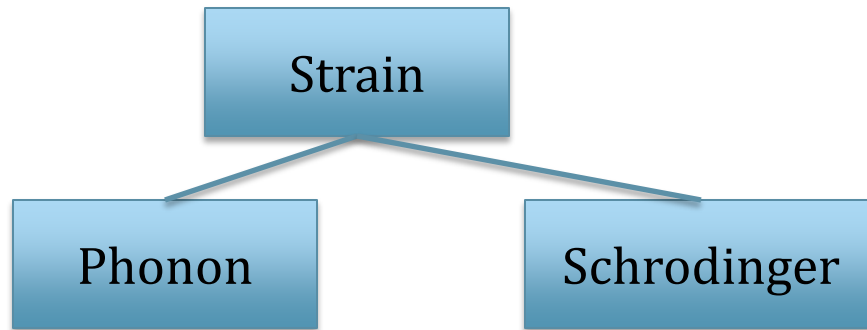
```
!ln
```

**Don't Forget
Exclamation Sign !**

```
ln -s /apps/share64/nemo/examples/current/materials/all.mat
```

```
submit -v ncn-hub@coates -i ./all.mat -n 8 nemo-r8028 a_QD_10nm_Usman.in
```

Why do we need relaxed positions?

```
          ┌─────────────┐
          │   Strain    │
          └──────┬──────┘
            ┌────┴─────┐
    ┌───────┴──┐    ┌──┴──────────┐
    │  Phonon  │    │ Schrodinger │
    └──────────┘    └─────────────┘
```

Strain Examples:

1. Quantum Dot with Open Boundaries
   » Input deck ⟺ Flow chart
2. Quantum Dot with all types of boundary conditions
   » How to apply different boundary conditions
3. Quantum well

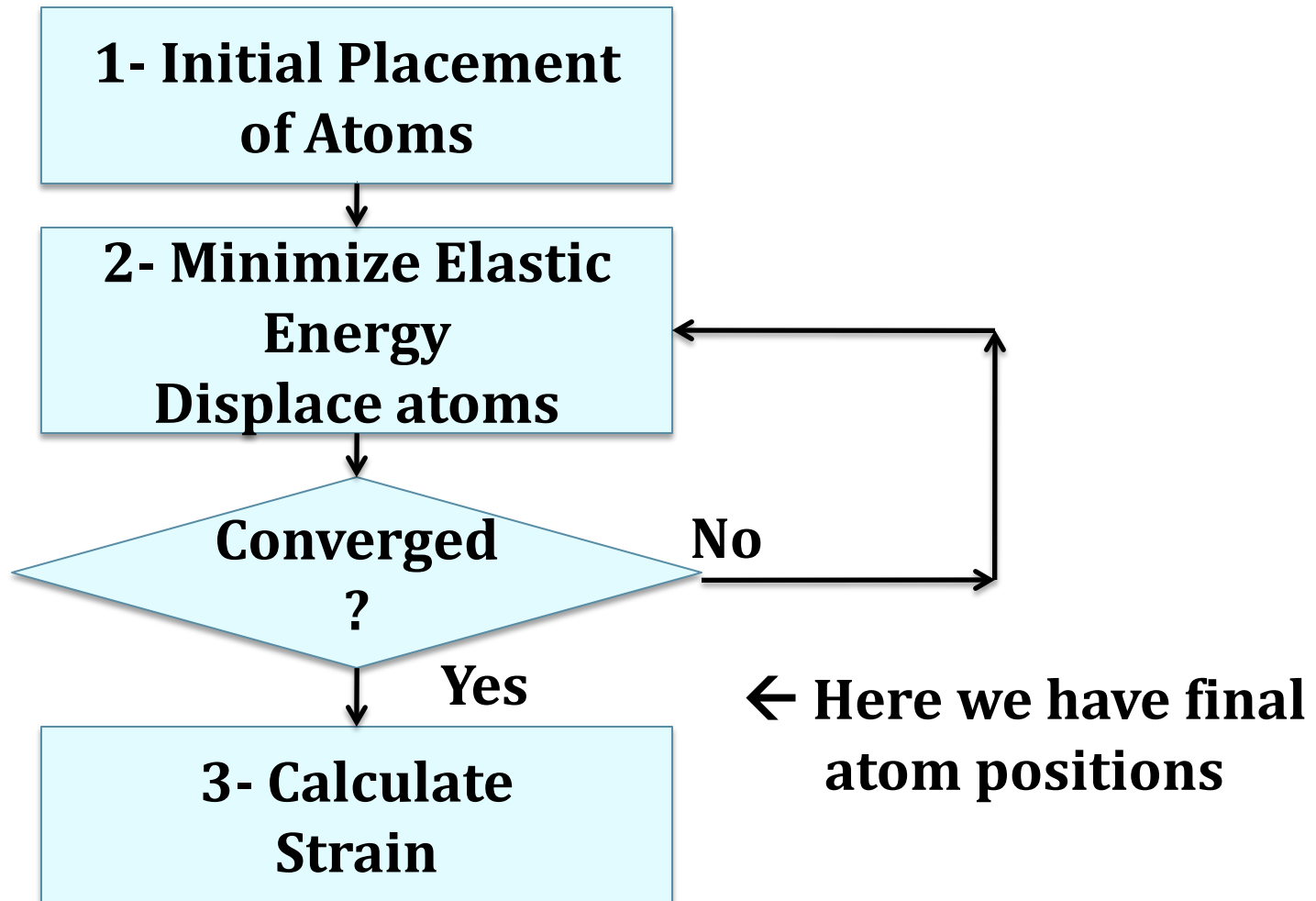# "Stand up to a Computer"

S. Fergusson, Eng. In Mind's Eye, MIT Press

Understand how simulation software works

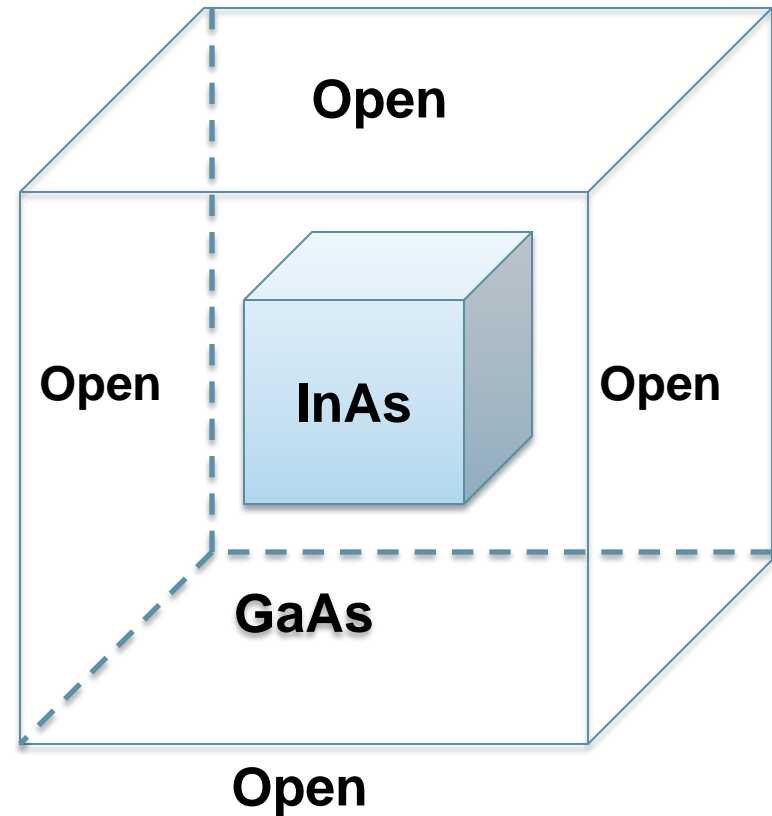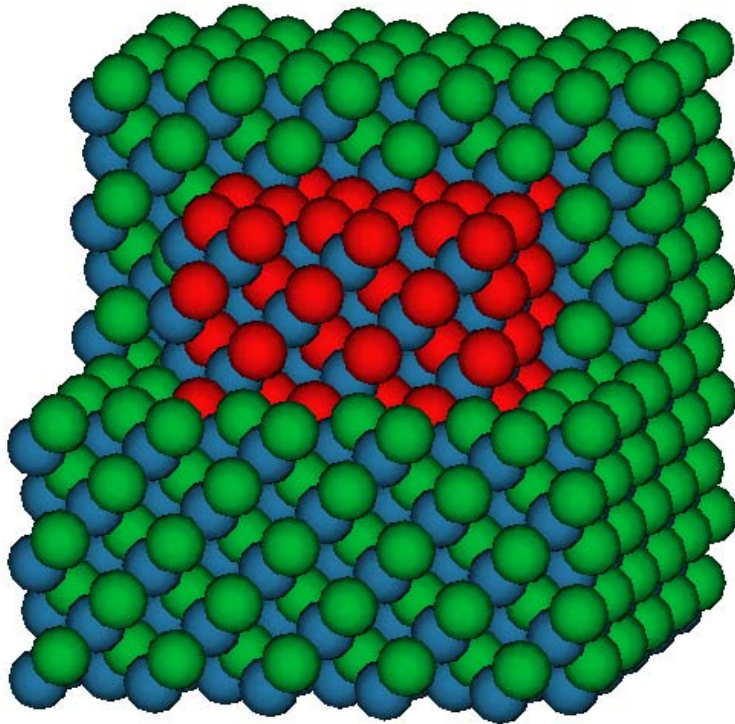# How to obtain the Atoms positions and Strain?

1- Initial Placement of Atoms

2- Minimize Elastic Energy
Displace atoms

Converged ?

No

Yes

3- Calculate Strain

← Here we have final atom positions

Example1: Quantum Dot with Open Boundary

Input deck ⟺ Flow chart

1st **Step:** How to setup initial positions in input-deck?

1- Initial Placement of Atoms

Structure

1- Material
2- Domain
3- Geometry

2- Minimize Elastic Energy
Displace atoms

Converged?

No

Yes

Solver

3- Calculate
Strain

```
Structure
{
        Material
        {
                name                = GaAs
                tag                 = substrate
                crystal_structure = zincblende
                regions             = (1)
        }

        Material
        {
                name                = InAs
                tag                 = dot
                crystal_structure = zincblende
                regions             = (2)
        }
}
```
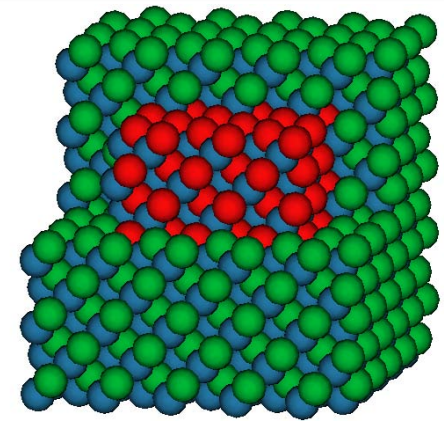
1-a) Materials

➢GaAs and InAs

➢We can change material properties here

➢The regions will be determined in Geometry

```
Geometry
{
                    //---   GaAs Substrate  ---\\
    Region
    {
            shape           = cuboid
            region_number   = 1
            priority        = 1
            min             = (-0.1,-0.1,-0.1)
            max             = (3.5,3.5,3.5)
    }
                    //---   InAs Dot        ---\\
    Region
    {
            shape           = cuboid
            region_number   = 2
            priority        = 2
            min             = (1.13,1.13,1.13)
            max             = (2.26,2.26,2.26)
    }
```
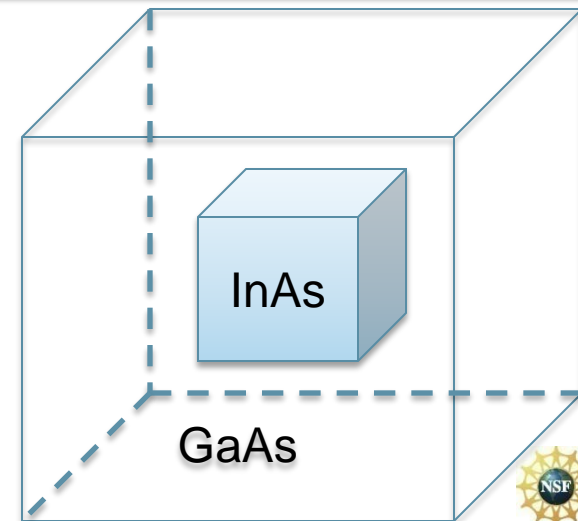
1-b) Geometry

➢ dimensions are in nm

➢The region numbers are what we specified in material section

InAs

GaAs

```
Domain
{
        name            = atomic_structure
        type            = pseudomorphic
        base_material  = substrate
        dimension       = (6,6,6)
        periodic        = (false,false,false)

        crystal_direction1 = (1,0,0)
        crystal_direction2 = (0,1,0)
        crystal_direction3 = (0,0,1)

        space_orientation_dir1 = (1, 0, 0)
        space_orientation_dir2 = (0, 1, 0)
        passivate = false
        origin  = (0,0,0)
        output  = (xyz,coupling)
        regions = (1,2)

}
```

1-c) Domain

➤ **Base material** is very important in Strain simulation

➤ It determines the building block of the structure and initial positions of atoms

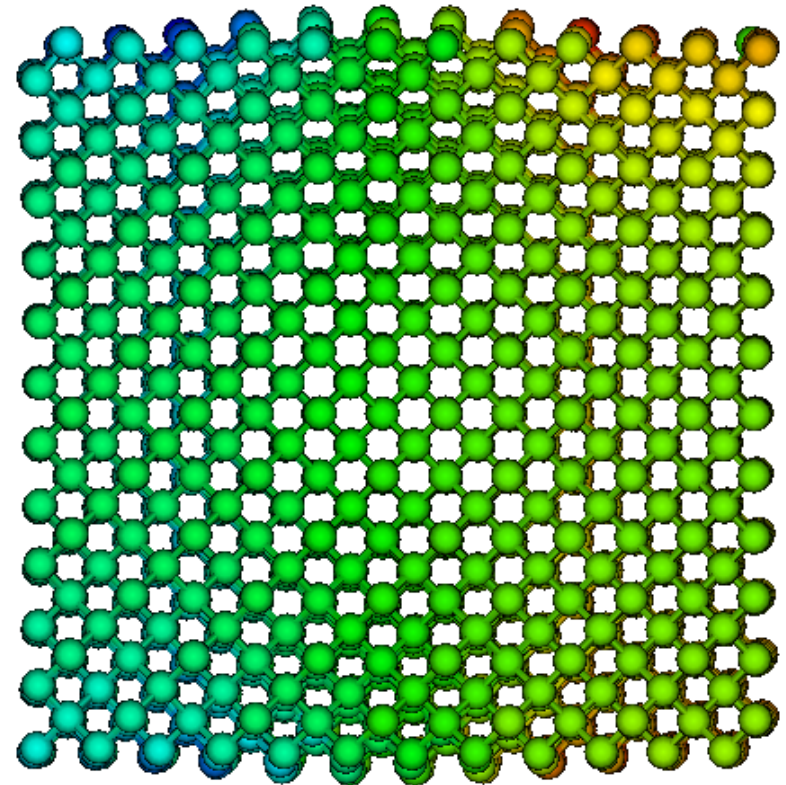➤ The boundary condition will be applied to these initial positions

Base Material:

Initially GaAs and InAs have same lattice constant

Initial position
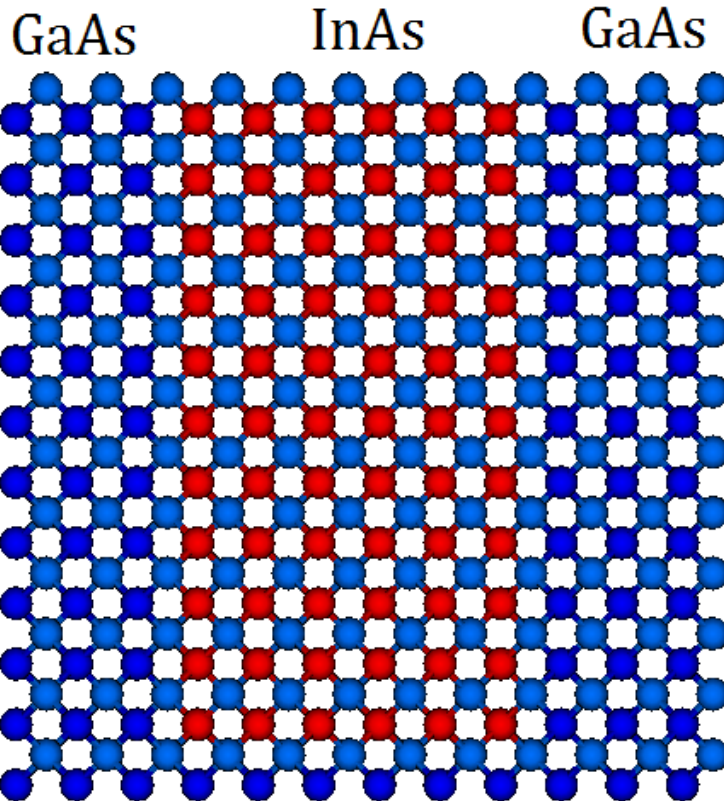
After strain solver
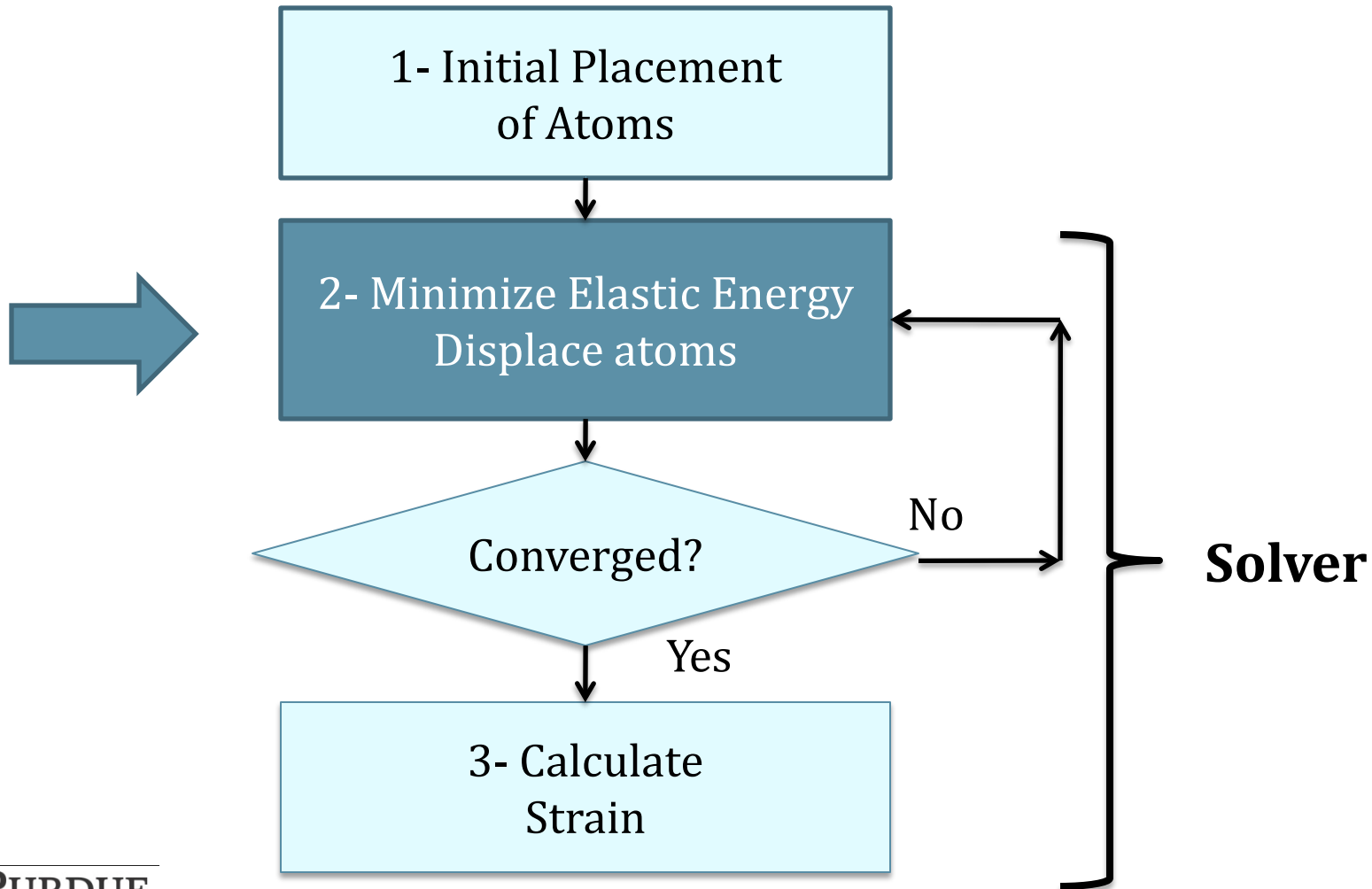
2nd Step: How to setup Strain solver in input-deck?

```
solver
{
        name            = keating
        type            = KeatingStrain
        domain          = atomic_structure
        active_regions = (1,2)
// Models
        models                          = harmonic
```

2) Strain Solver

➢ **type** determines the solver. For strain solver type should be "KeatingStrain" or "VFFStrain".

➢ **models** determine the interatomic potential function

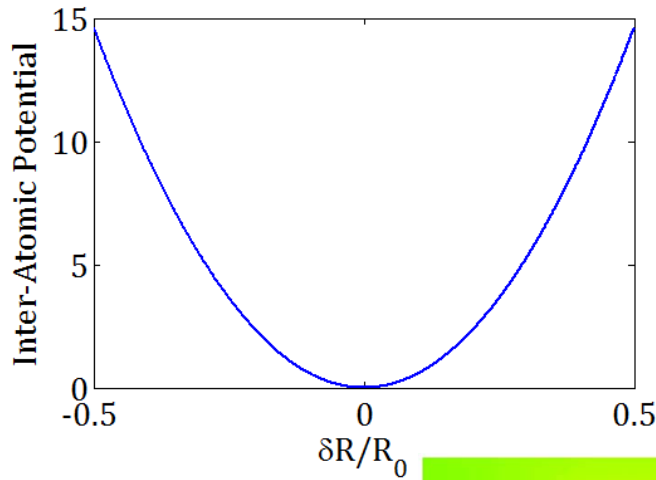**Standard Keating**: harmonic
Lazarenkova :anharmonic_Areshkin
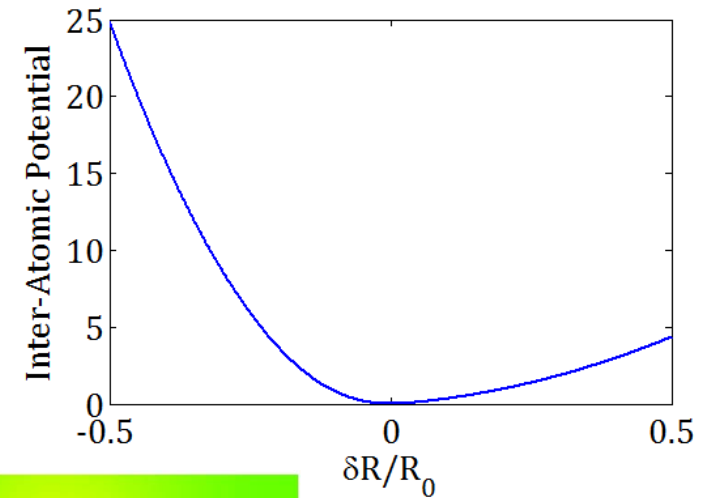**Modified VFF:** cross-stretch, stretch-bend, …

Keating
Models = harmonic
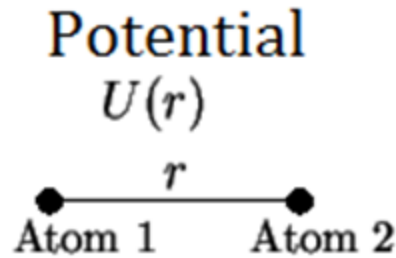
Lazarenkova
Models = anharmonic_Areshkin

Potential
$U(r)$

r

Atom 1   Atom 2

Displacement
- 0.2068
- 0.1551
- 0.1034
- 0.05169
- 0.0000
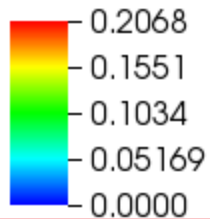
Max: 0.2068
Min: 0.0000
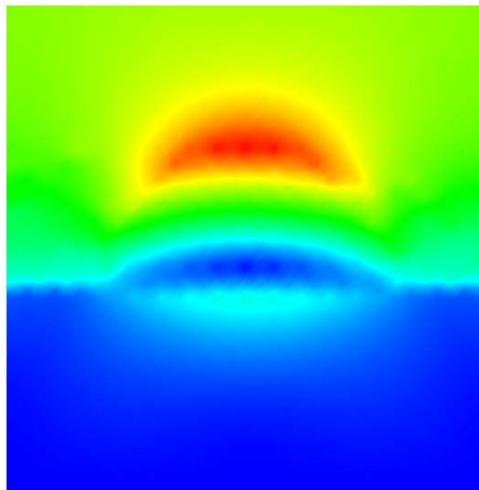
Displacement
- 0.2519
- 0.1889
- 0.1260
- 0.06298
- 0.0000
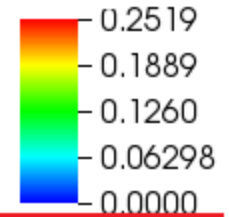
Max: 0.2519
Min: 0.0000
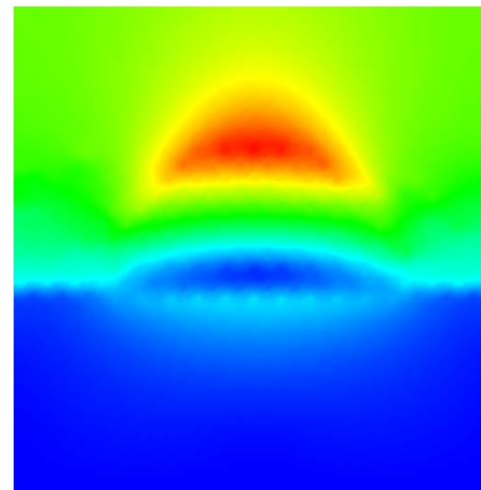
3ʳᵈ Step: Convergence criteria and outputs in input-deck
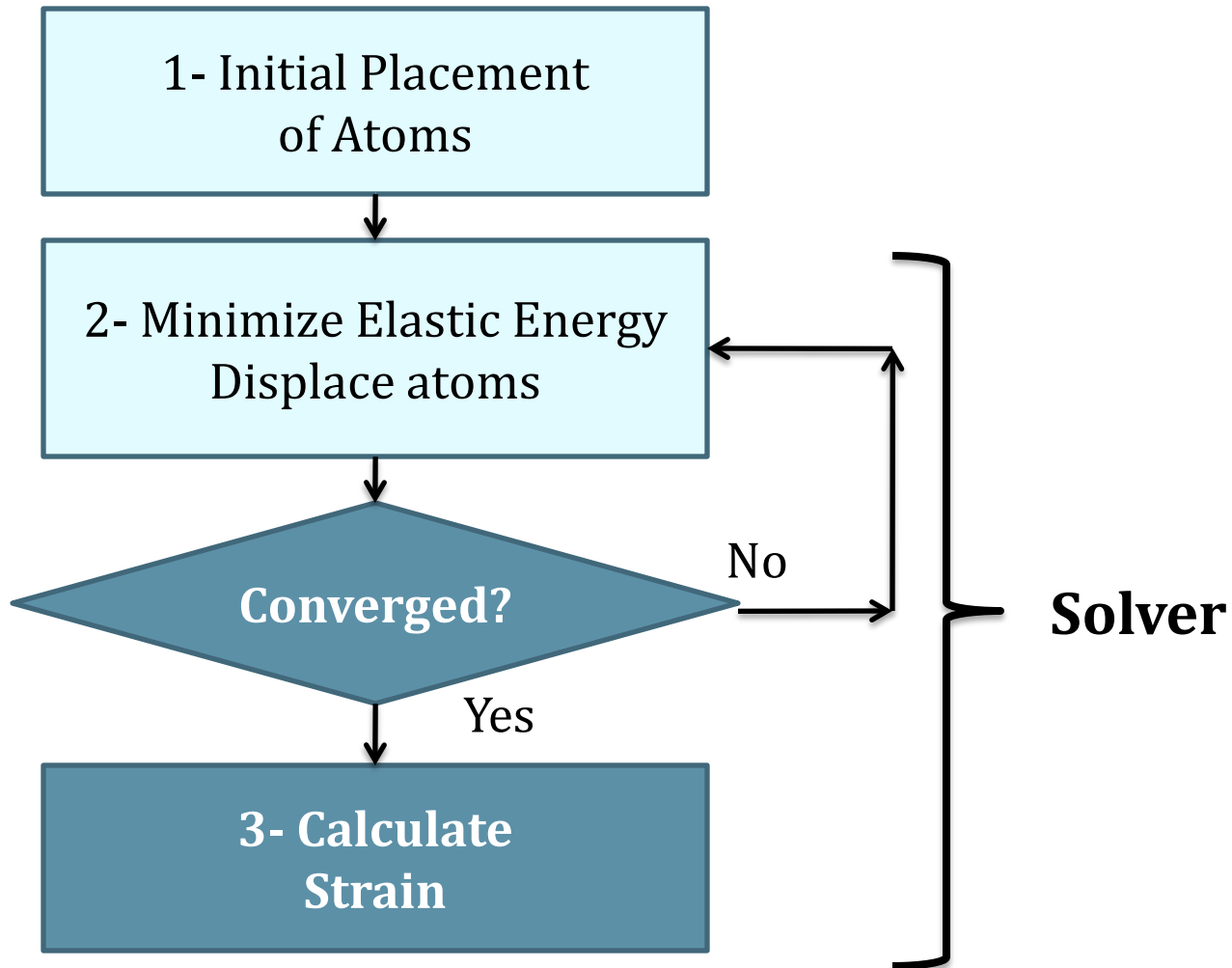
```
solver
{

        ...

// Outputs
  calculate_piezo           = true
  calculate_epsilon         = true
  silo_file                 = Graphic_Output.silo
  xyz_file                  = Text_Output.xyz
  xy_file                   = Text_Output_Strain.xy
  calculate_bond_stats      = Bond_Lengths.bonds

// Petsc options
  linsolver_max_iters       = 30000
  linear_solver             = bcgsl
  preconditioner            = jacobi
  max_num_iters             = 20000
  absolute_tol              = 1e-8
  relative_tol              = 1e-8
  linsolver_monitor         = true
}
```
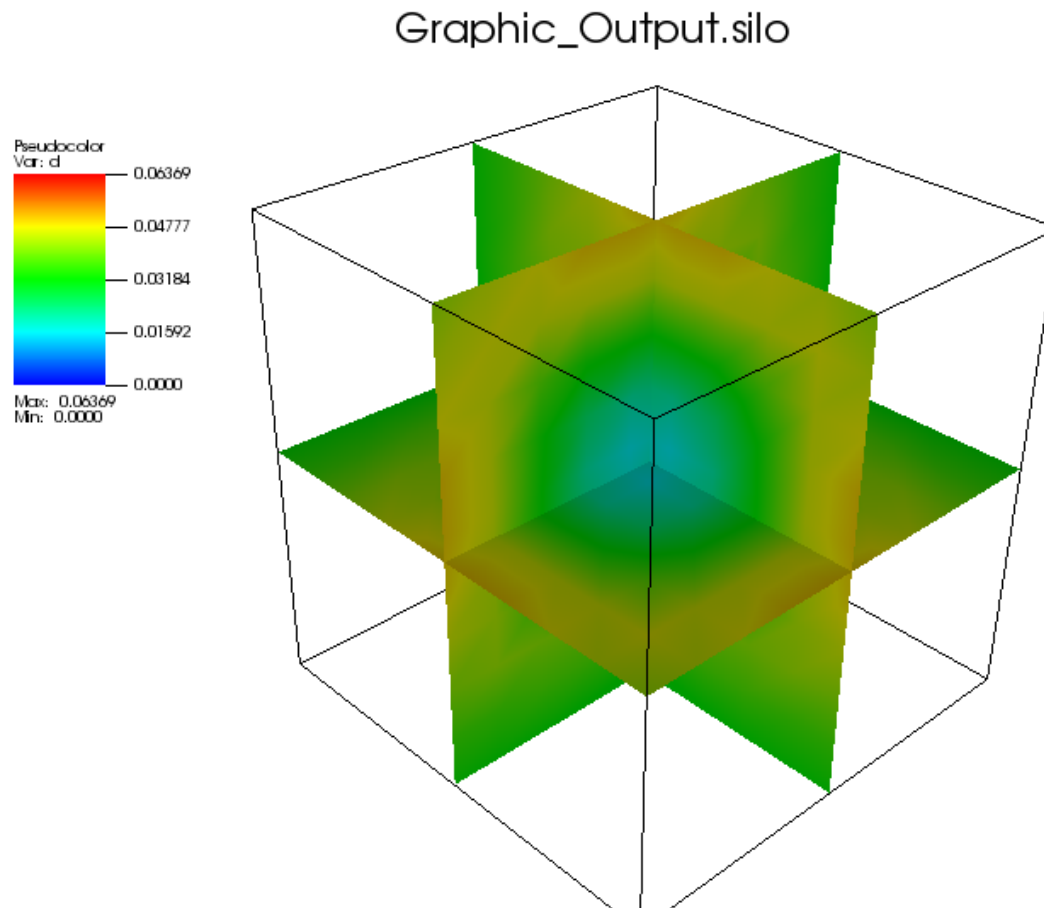
2) Strain Solver

➢ **calculate_epsilon**
Enables the strain calculation

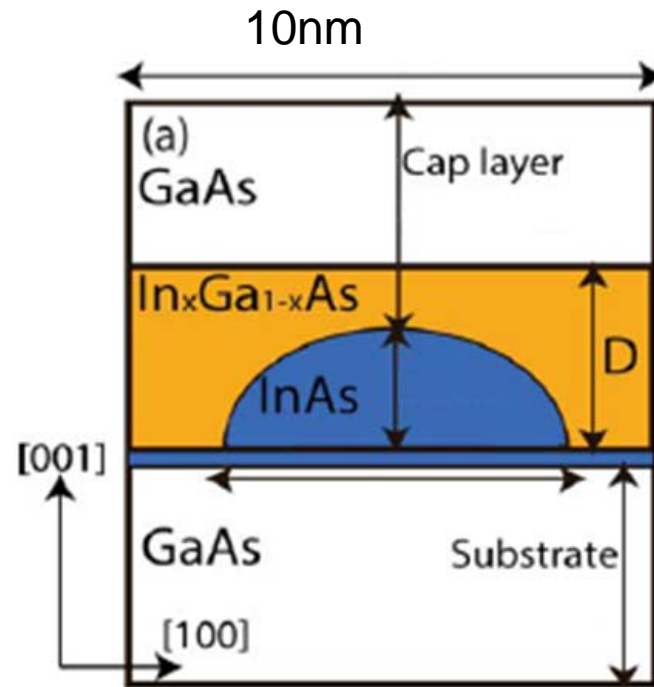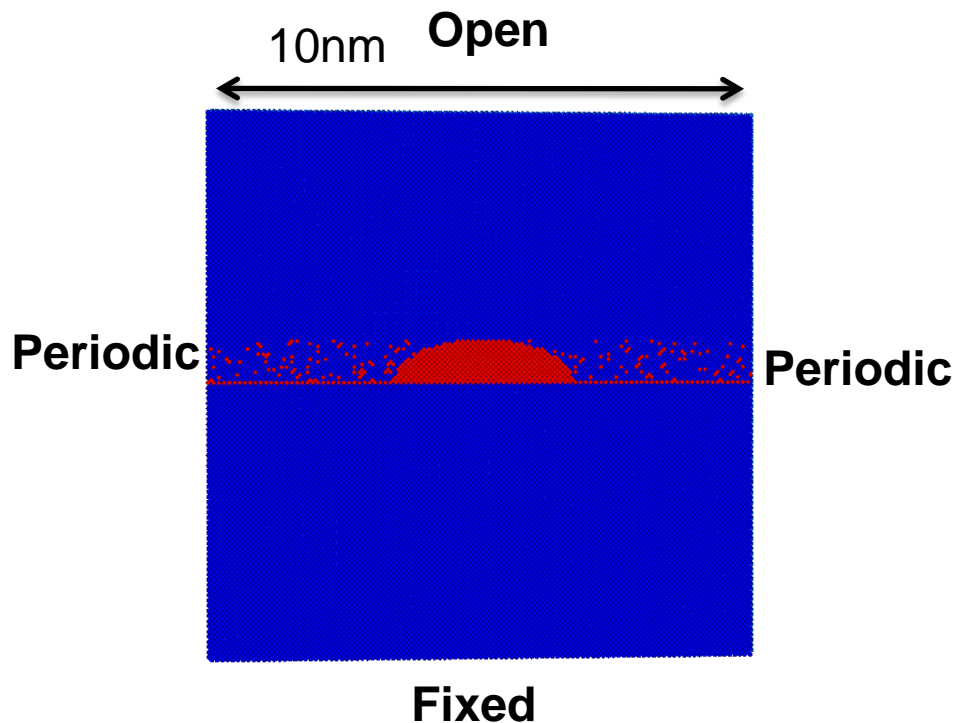➢ **absolute_tol** and **relative_tol**
determine the convergence condition

➢ There are different matrix solvers and preconditioners which affect convergence speed
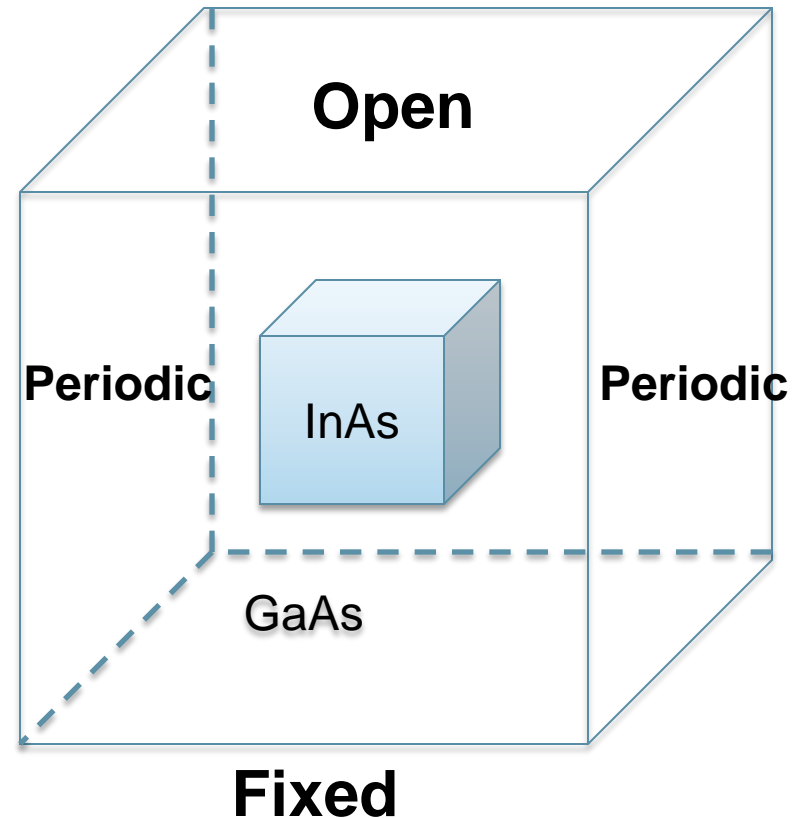
- Graphic Output (Displacement, Strain)

Graphic_Output.silo
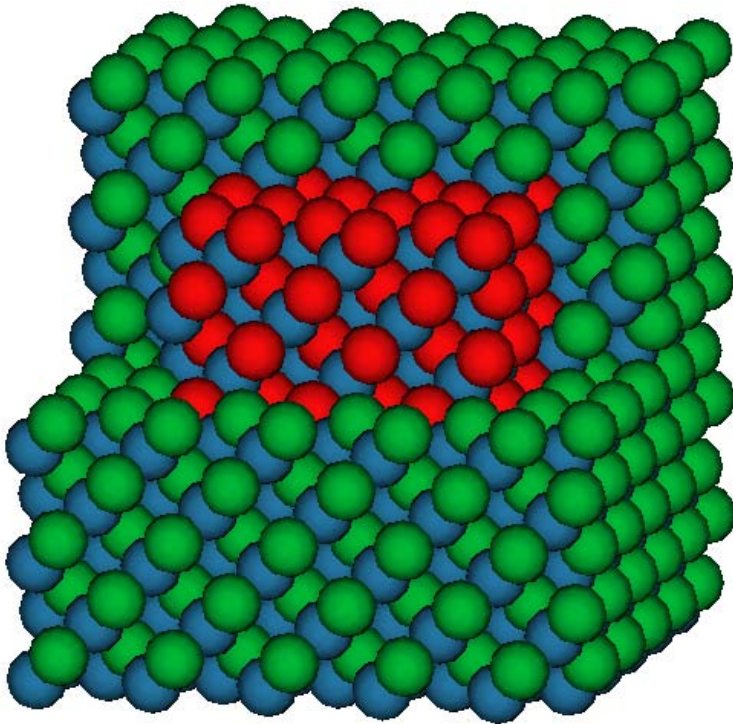
Exercise: Strain and displacement in Quantum dot

Goal: How to run solver and plot the displacement/strain

2. Quantum Dot with all types of boundary conditions

» How to apply different boundary conditions

Open

Periodic

InAs

Periodic

GaAs

Fixed

- How to make periodic boundary condition?

```
Domain
{
        name            = atomic_structure
        type            = pseudomorphic
        base_material = substrate
        dimension       = (6,6,6)

        periodic        = (true,true,false)  // <---- Periodic in x and y directions

        crystal_direction1 = (1,0,0)
        crystal_direction2 = (0,1,0)
        crystal_direction3 = (0,0,1)

        space_orientation_dir1 = (1, 0, 0)
        space_orientation_dir2 = (0, 1, 0)
        passivate = false
        origin  = (0,0,0)
        output  = (xyz,coupling)
        regions = (1,2)
}
```

- How to make fixed boundary condition?

```
Geometry
{
    ...
    Boundary_region
    {
            shape          = cuboid
            region_number = 1
            priority       = 1
            min            = (-0.1,-0.1,-0.1)
            max            = (3.5,3.5,0.1)
    }
}
```

To fix a boundary

➢ **Change in Geometery**
Introduce the boundary region

➢ **Change in strain solver**
Choose the boundary in
fixed_boundary = (1,5,6)

- All atoms in this volume will be fixed
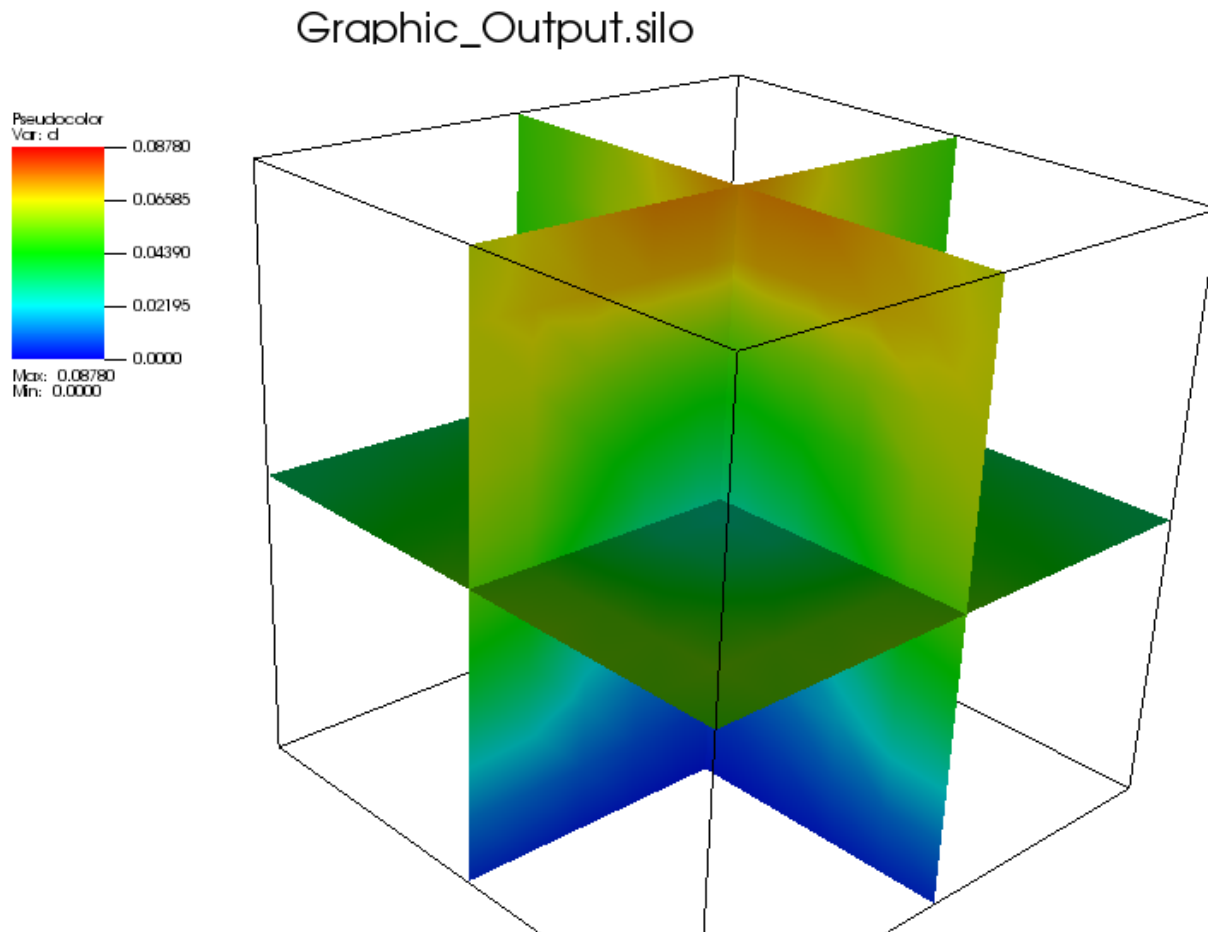
- How to make fixed boundary condition?

```
solver
{
    name  = keating
    type  = KeatingStrain

    ■ ■ ■

// Boundary
    fixed_boundary = (1)

}
```
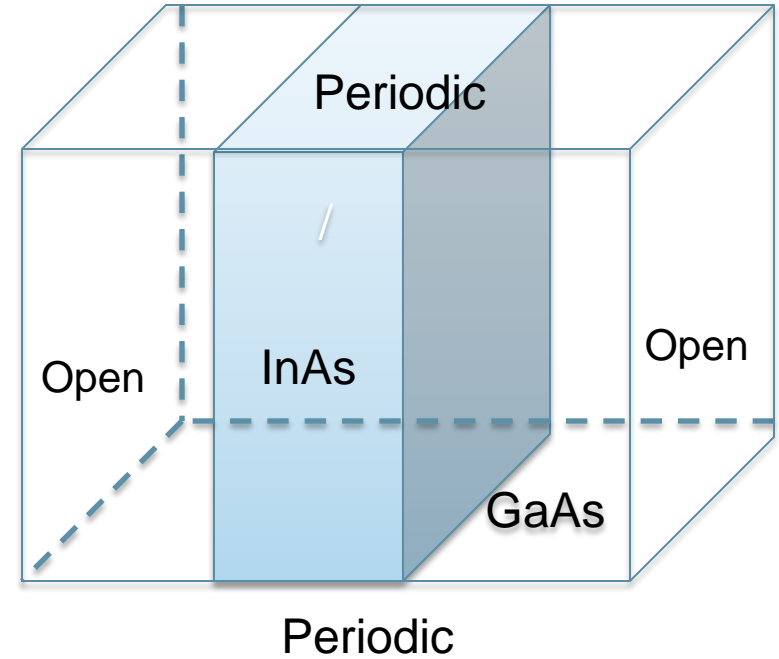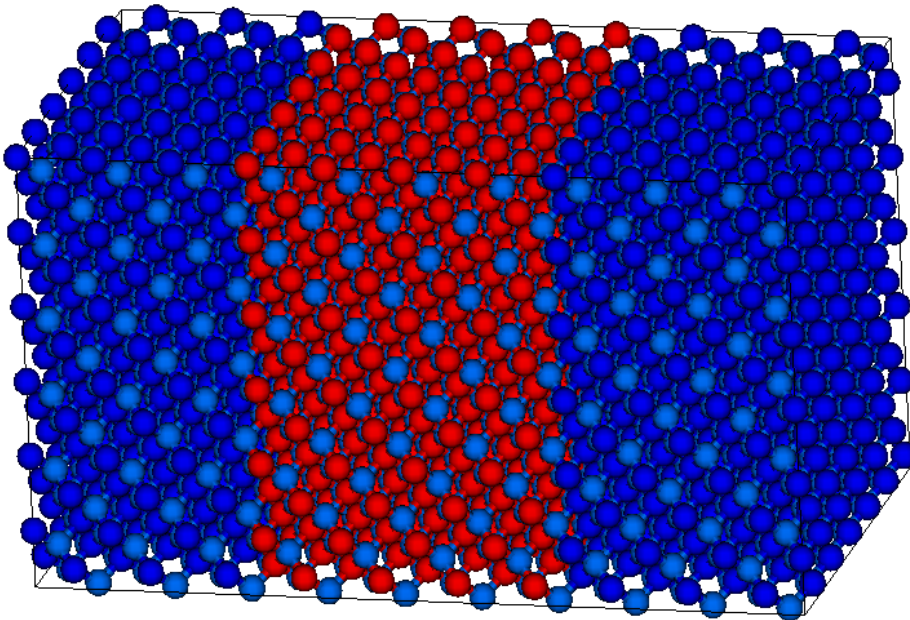
To fix a boundary

➢ **Change in Geometery**
Introduce the boundary region

➢ **Change in strain solver**
Choose the boundary in
fixed_boundary = (1,5,6)

- Displacement output

Graphic_Output.silo

Example3

- Example3: Quantum well

```
Geometry
{
                //---    GaAs Substrate   ---\\
        Region
        {
                shape           = cuboid
                region_number = 1
                priority        = 1
                min             = (-0.1, -0.1 , -0.1)     // Units: nm
                max             = (4.0 , 5.7  ,  4.0)     // Units: nm
        }
                //---    InAs Well         ---\\
        Region
        {
                shape           = cuboid
                region_number = 2
                priority        = 2
                min             = (-0.1, 1.6 , -0.1)
                max             = (4.0 , 3.8 ,  4.0)
        }
                //---   Left Fixed Boundary        ---\\
        Boundary_region
        {
                shape           = cuboid
                region_number = 1
                priority        = 1
                min             = (0,0,0)
                max             = (4.0,0.2,4.0)
        }
```
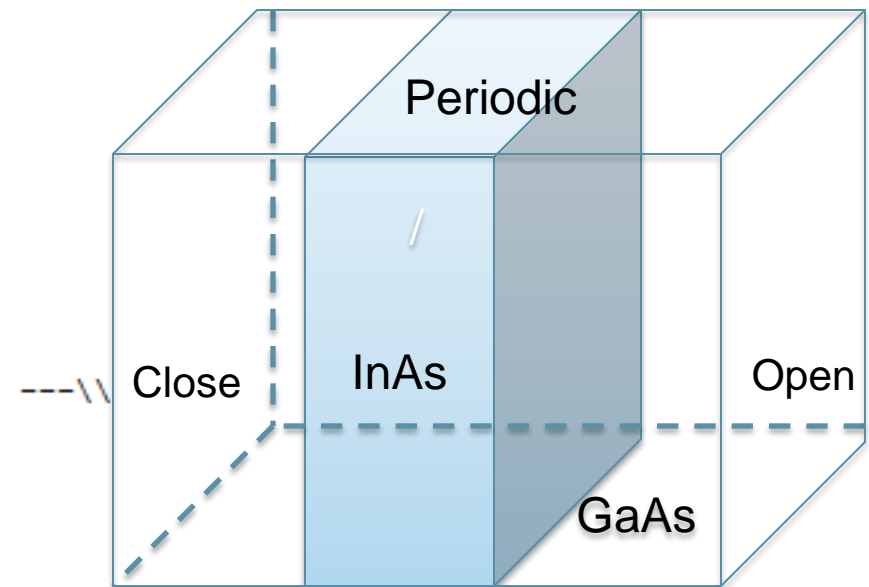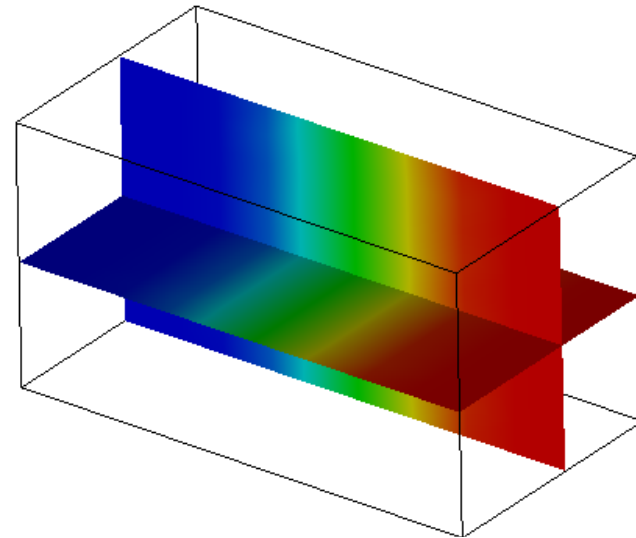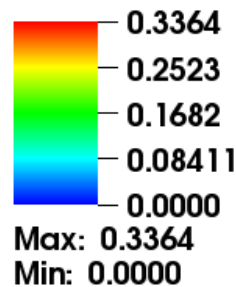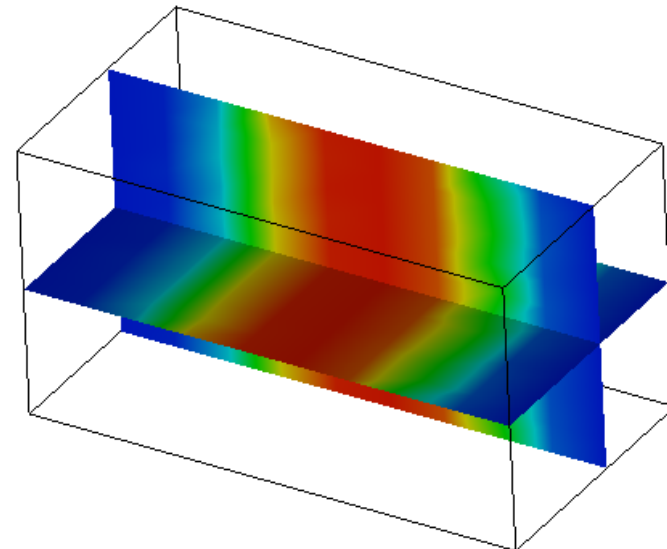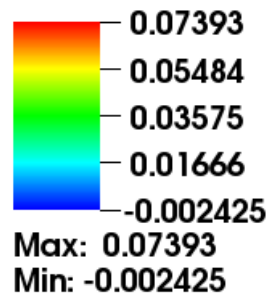
Outputs
- Displacement

- Strain eyy

Thanks