

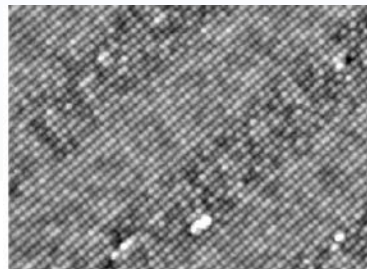


Mid-Infrared Quantum Cascade Lasers

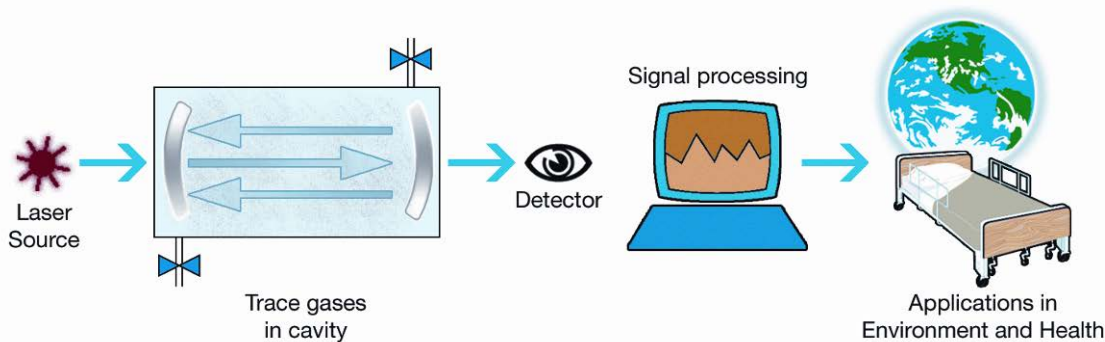
Claire Gmachl

Director of MIRTHE (NSF-ERC)

Eugene Higgins Professor of Electrical Engineering Princeton University



InAlAs

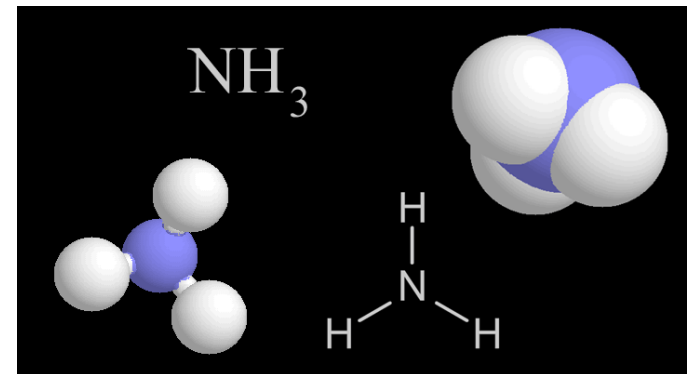
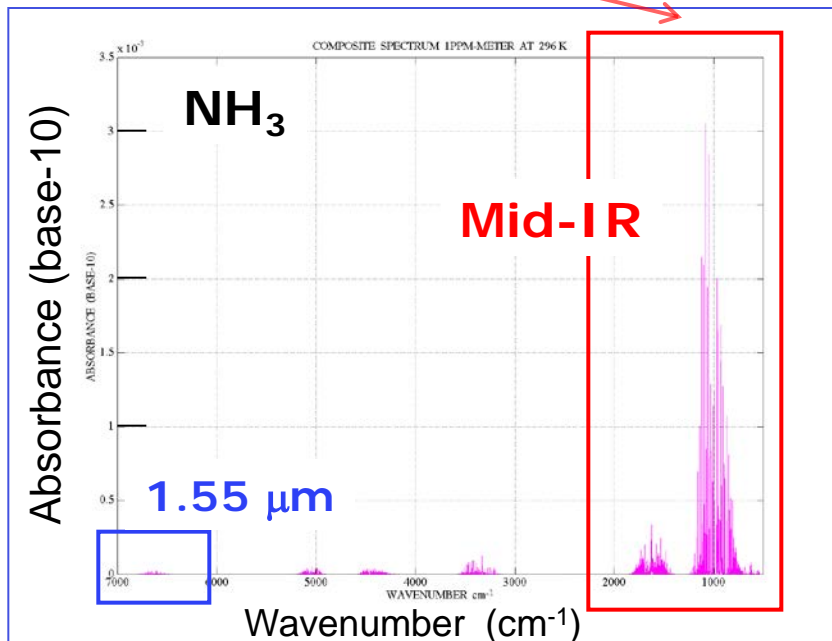
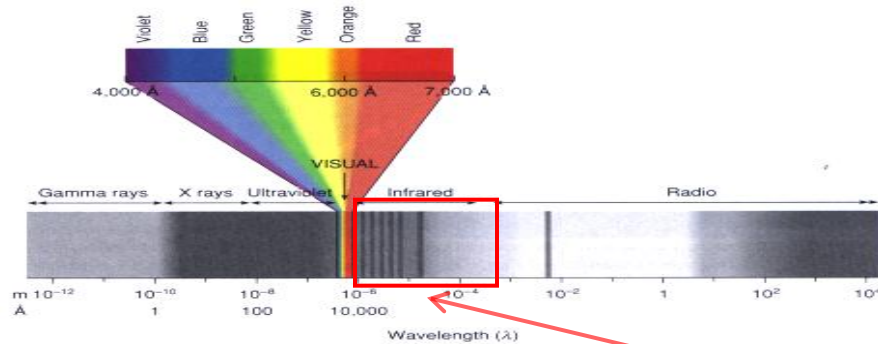




Summer 2012

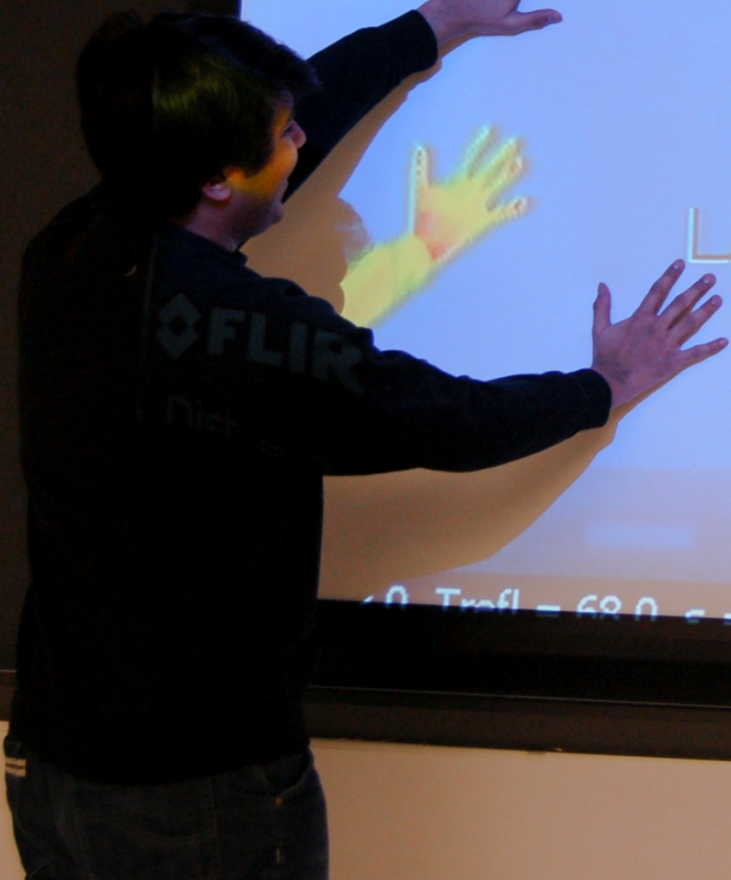
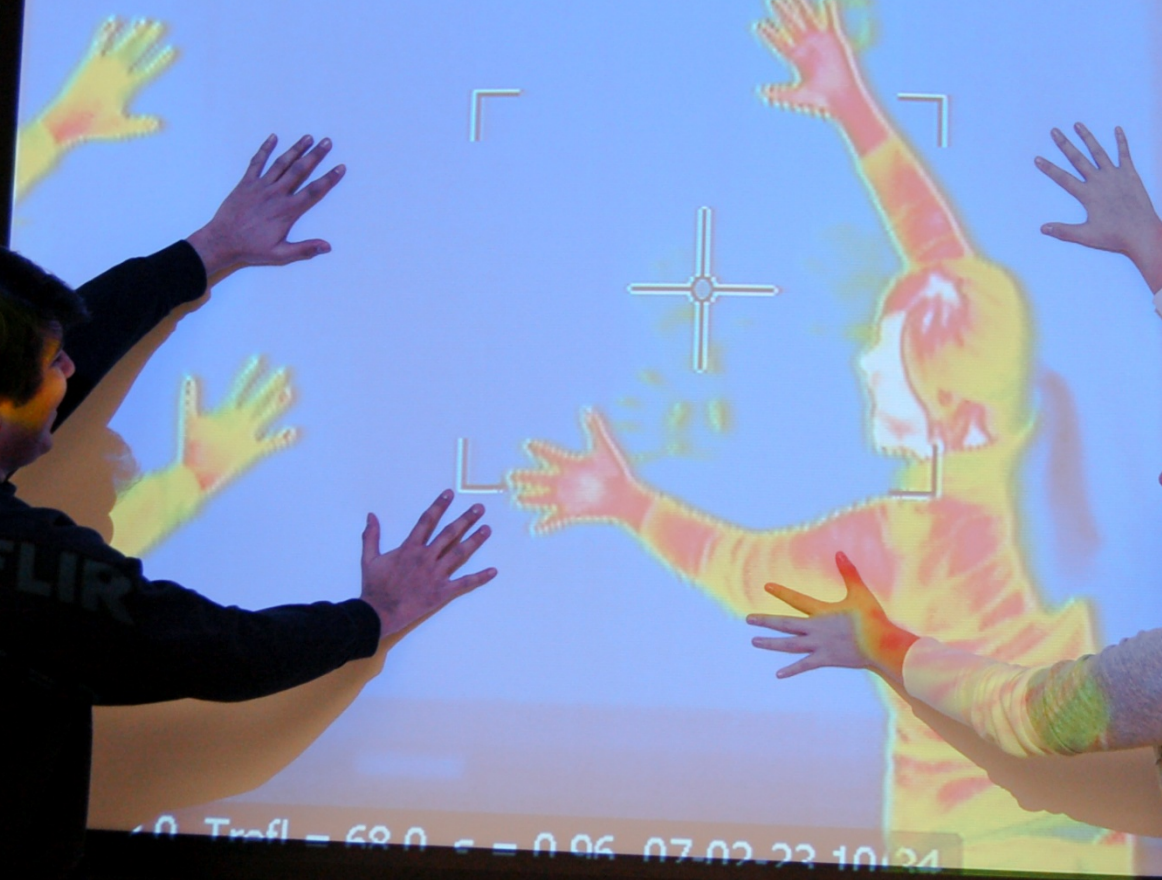


Mid-Infrared Spectrum And a Case for QC lasers



Spot 75.0
Box
Max. 93.7
Min. 73.4

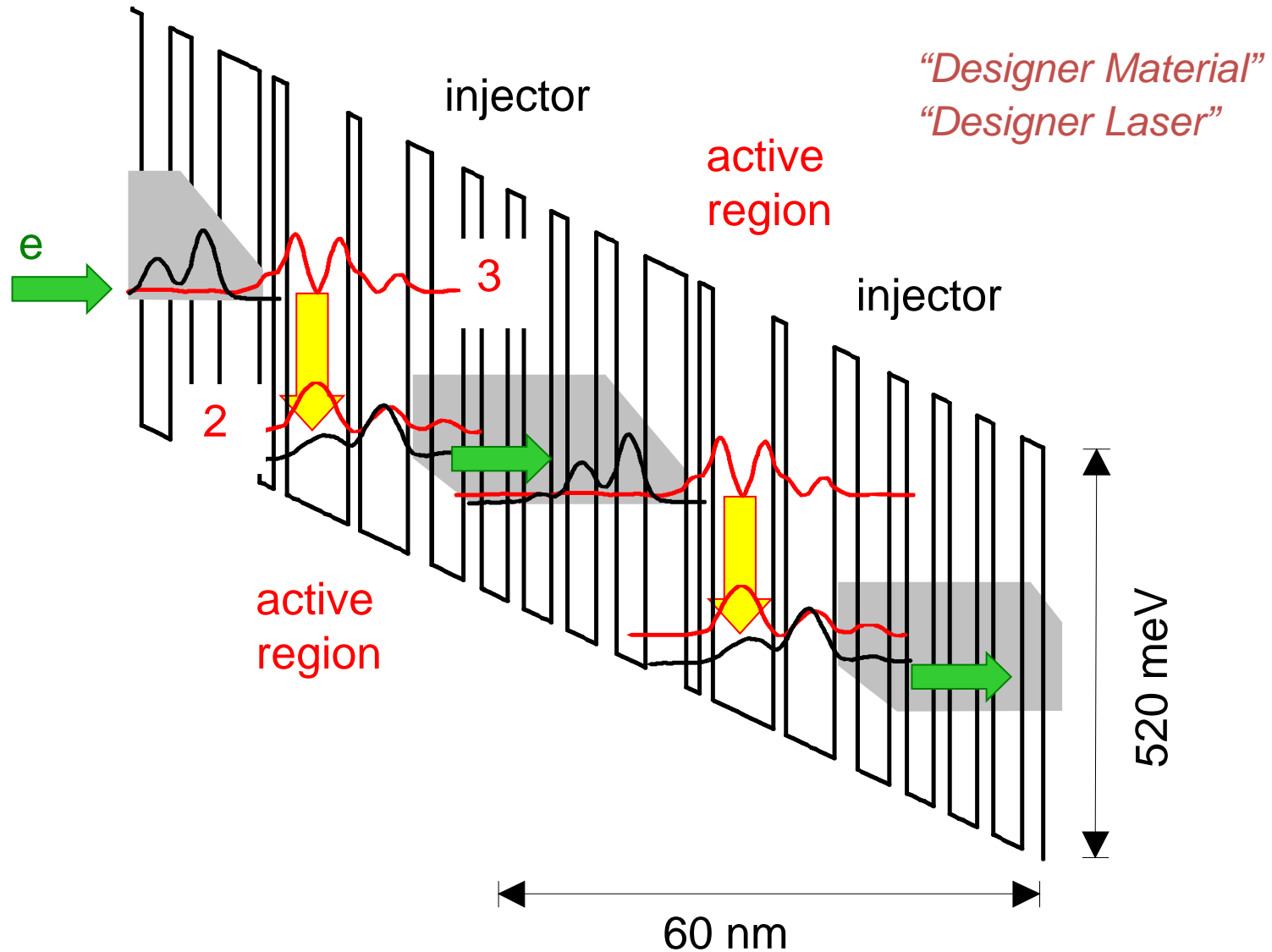
90.5

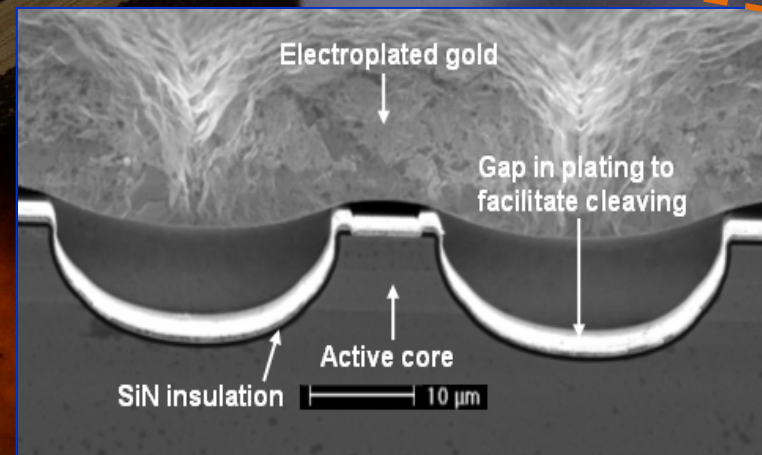
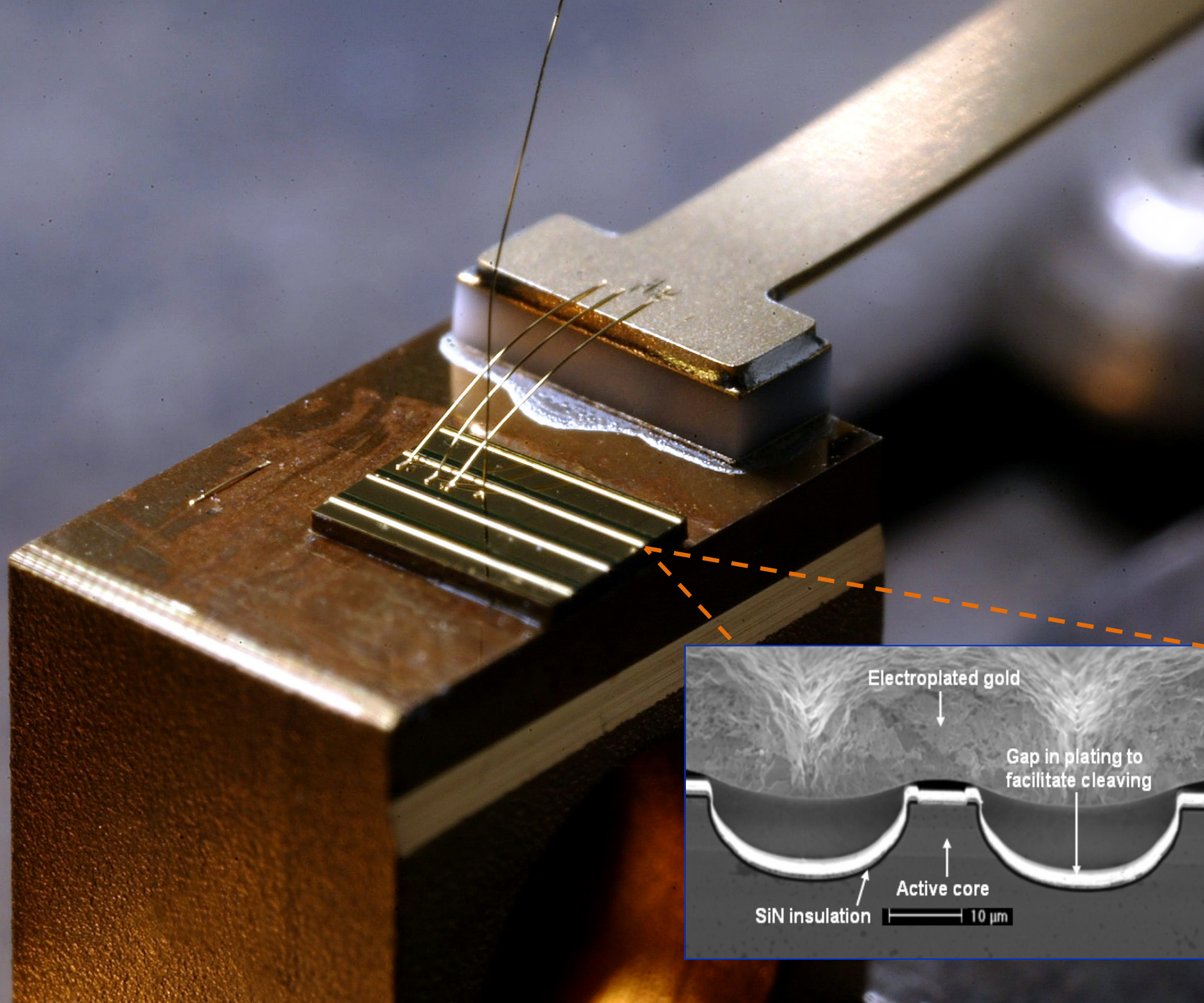


0. Trefl = 68.0 $\epsilon = 0.96$ 07.02.23 10:24



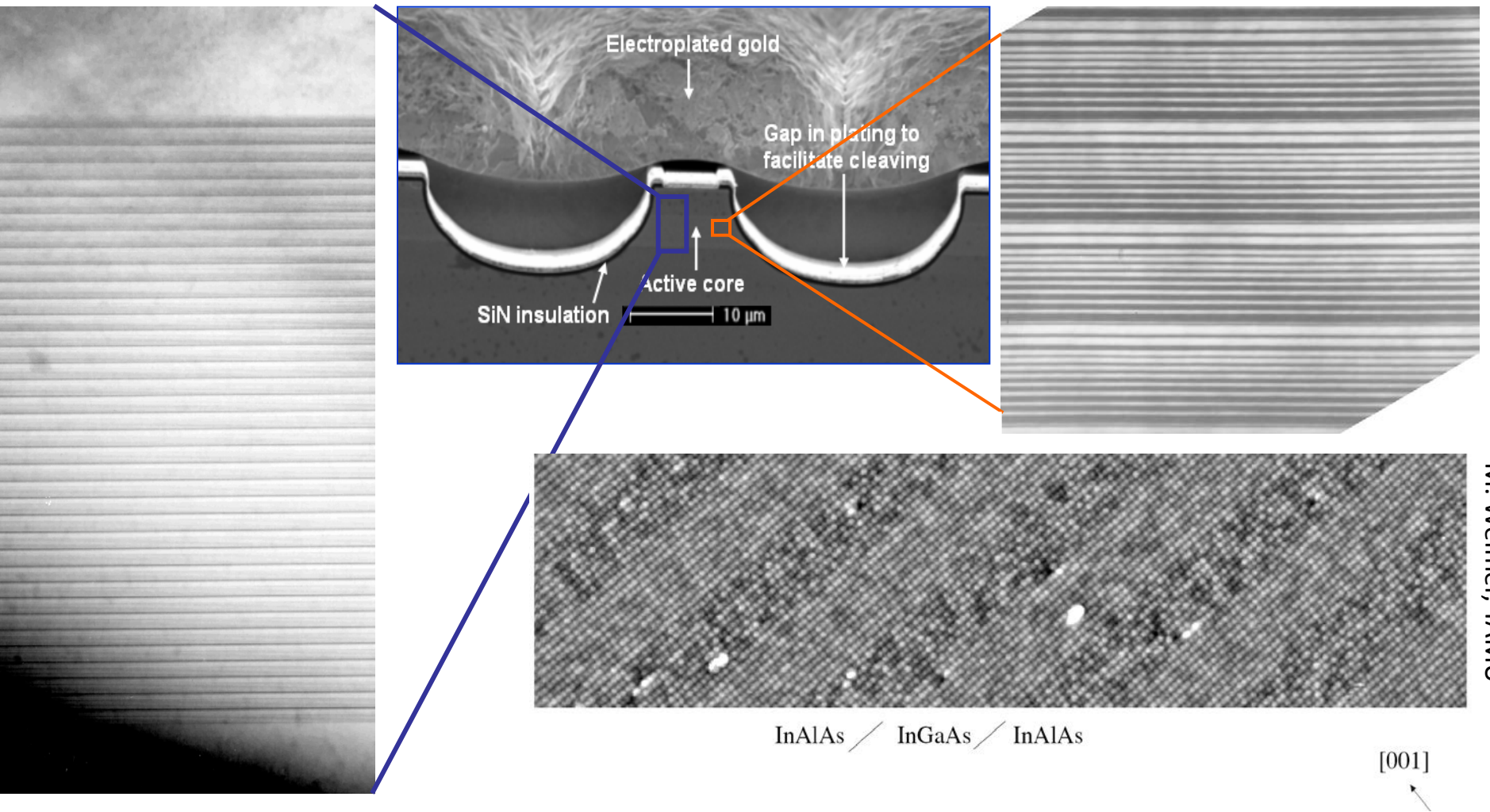
Quantum Cascade (QC) Laser







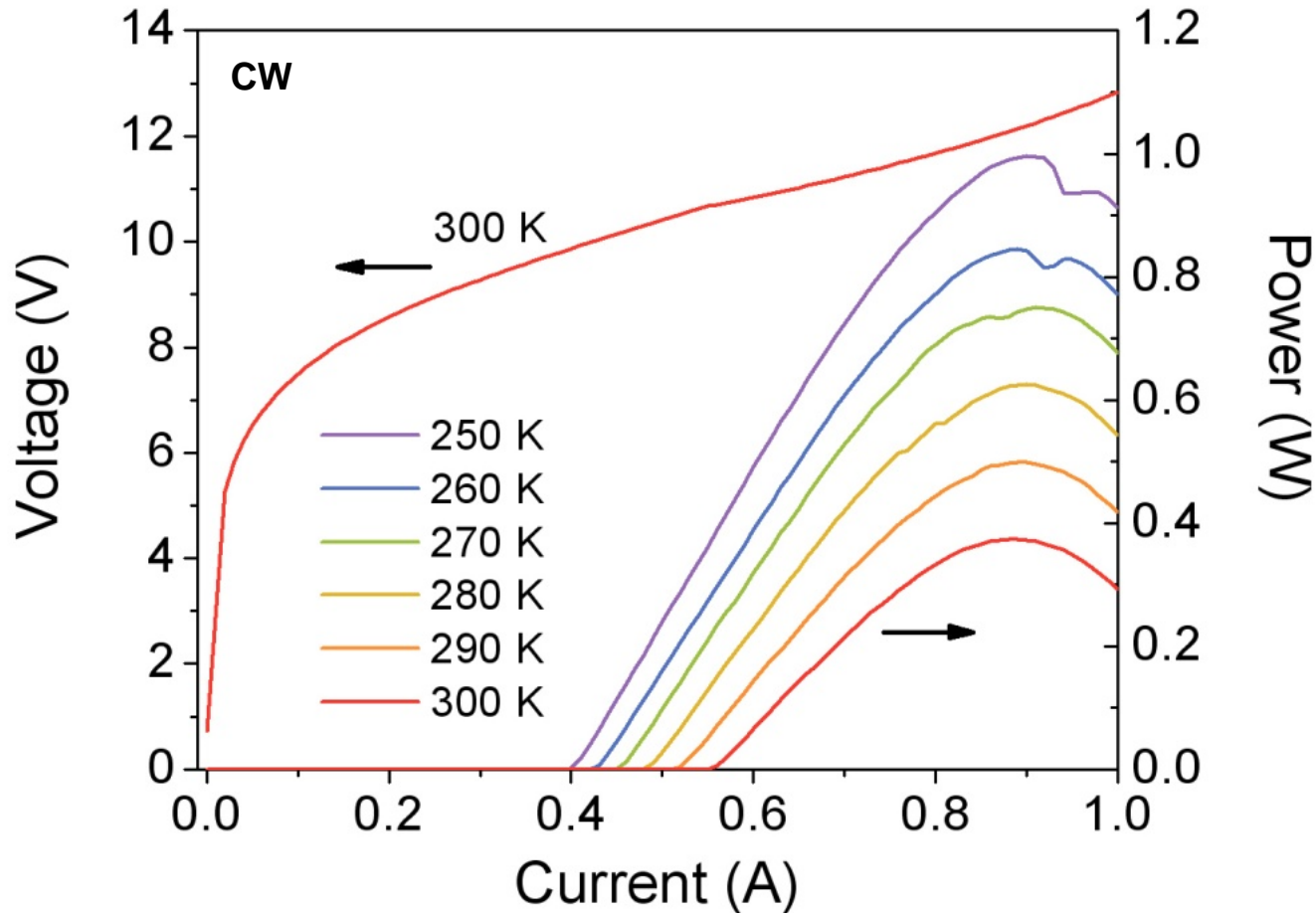
QC-Laser: Built Atom by Atom



M. Weimer, TAMU



QC Laser @ $\lambda \sim 4.7 \mu\text{m}$





What Makes the QC-laser Special:



- Wavelength agility
 - layer thicknesses determine emission wavelength
 - InGaAs/AlInAs: 3 – 24 μm wavelength; -Sb < 3 μm
 - GaAs/AlGaAs: THz, 65 – \sim 300 μm wavelength
- Demonstrated applications in mid-IR gas sensing
- High optical power
 - cascading re-uses electrons
- Ultra-fast carrier dynamics
- Pure TM-polarization – efficient in-plane light coupling
- Small linewidth enhancement factor
- Intrinsic design potential; large *fun* factor

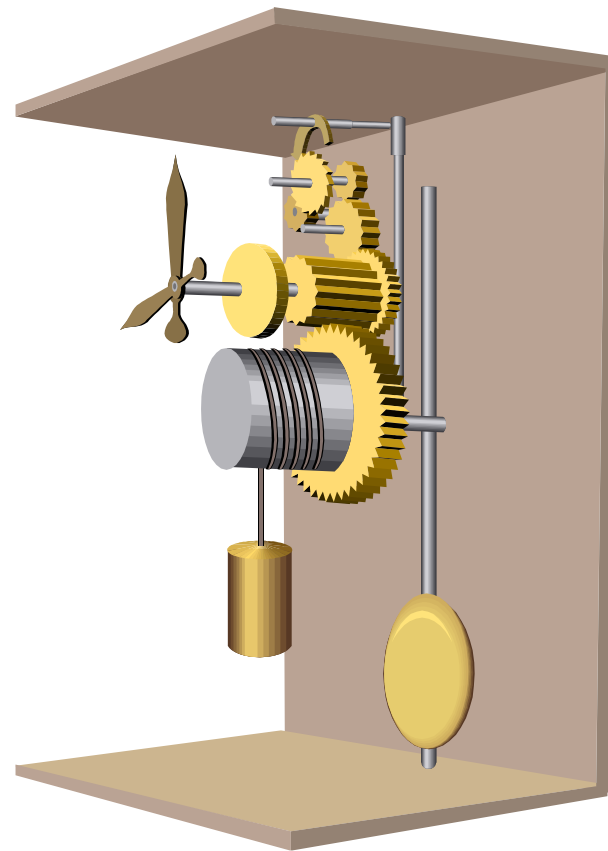


New directions in quantum design

Quest for high performance QC lasers

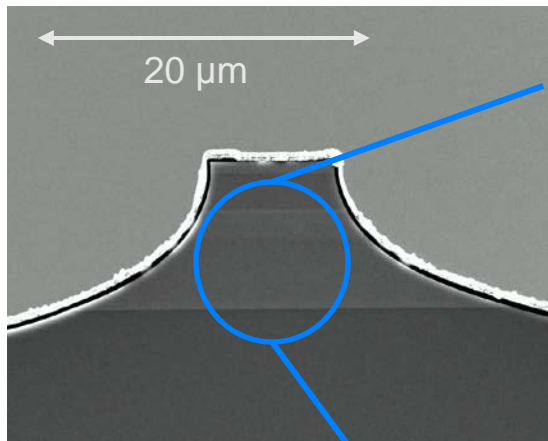
Peter Q. Liu, Yu Yao et al.
YenTing Chiu et al.

Theory:
Jacob Khurgin, Yamac Dikmelik, JHU

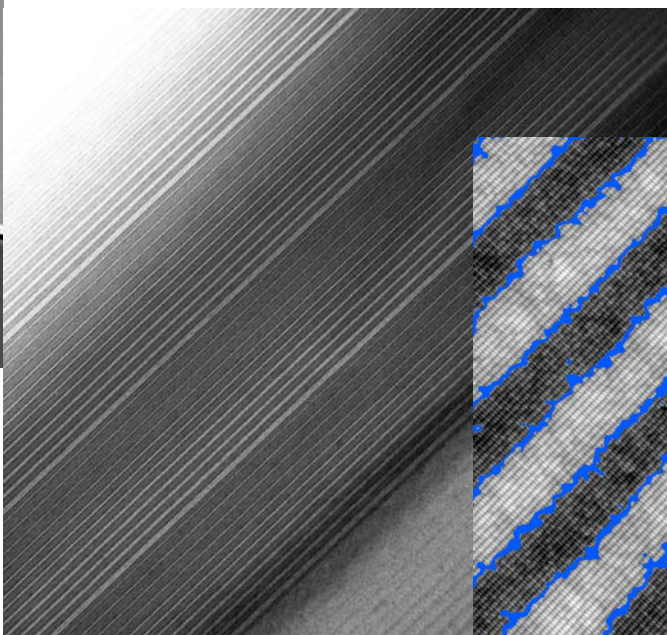




Interface Roughness in QC lasers

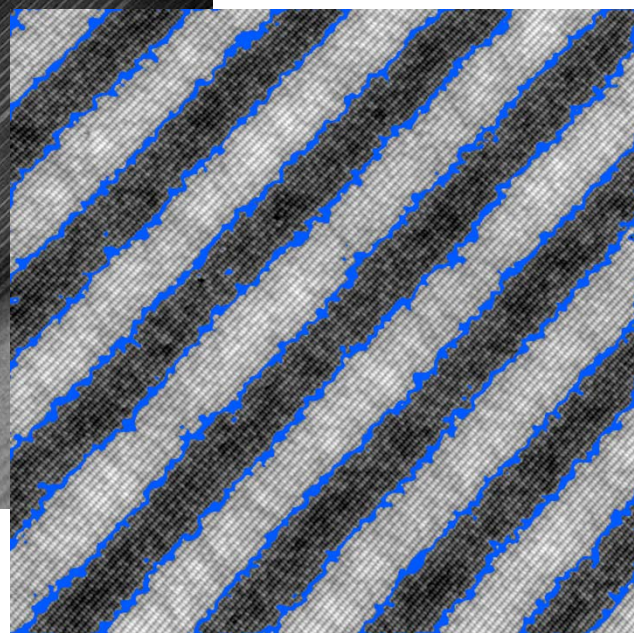


SEM



TEM

Courtesy of Dr. Nan Yao,
Princeton University.



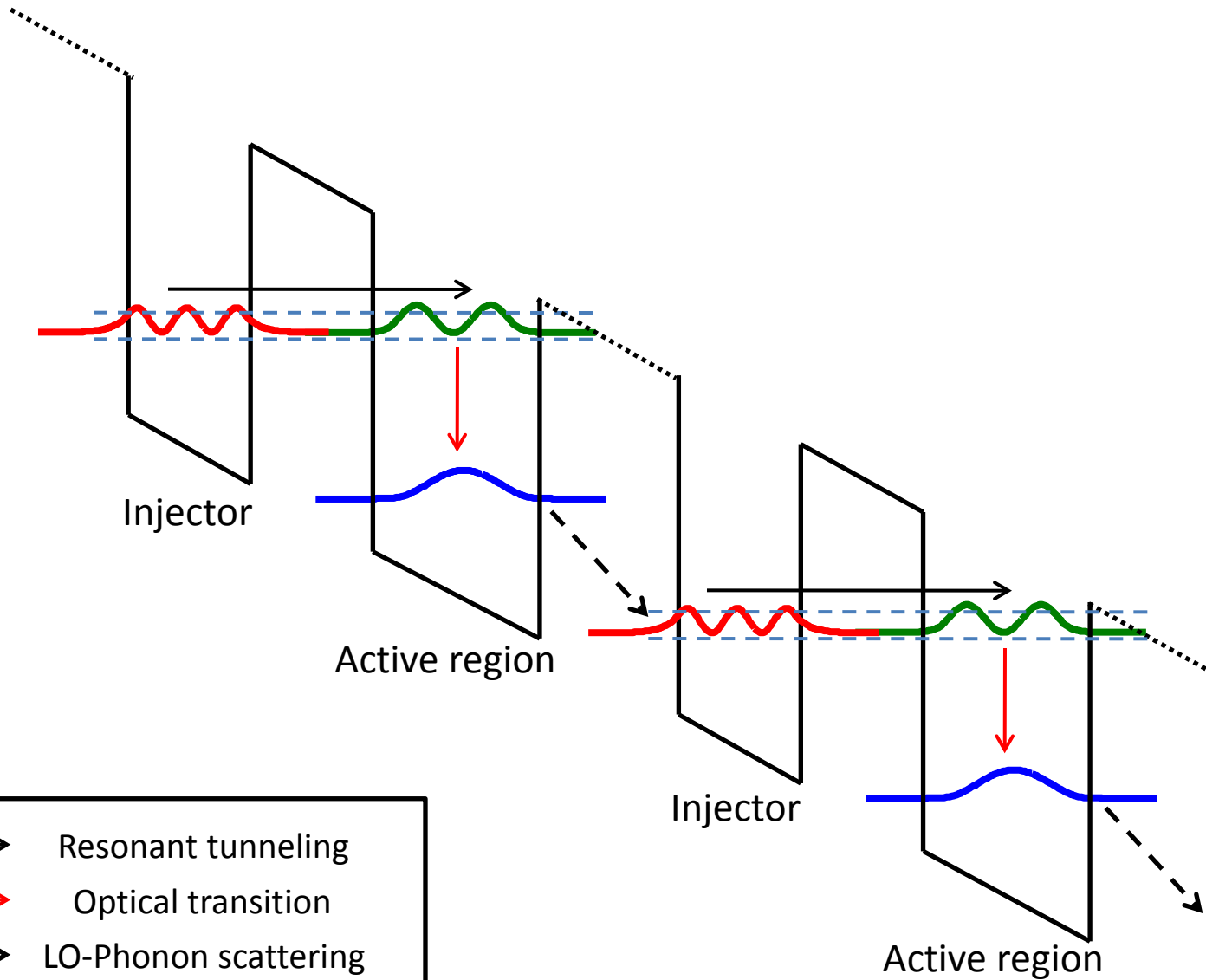
60 nm

STM image of a superlattice structure.
Courtesy of Mathew Woods, Federico Lopez, Kara Kanedy
and Michael Weimer, Texas A&M University.



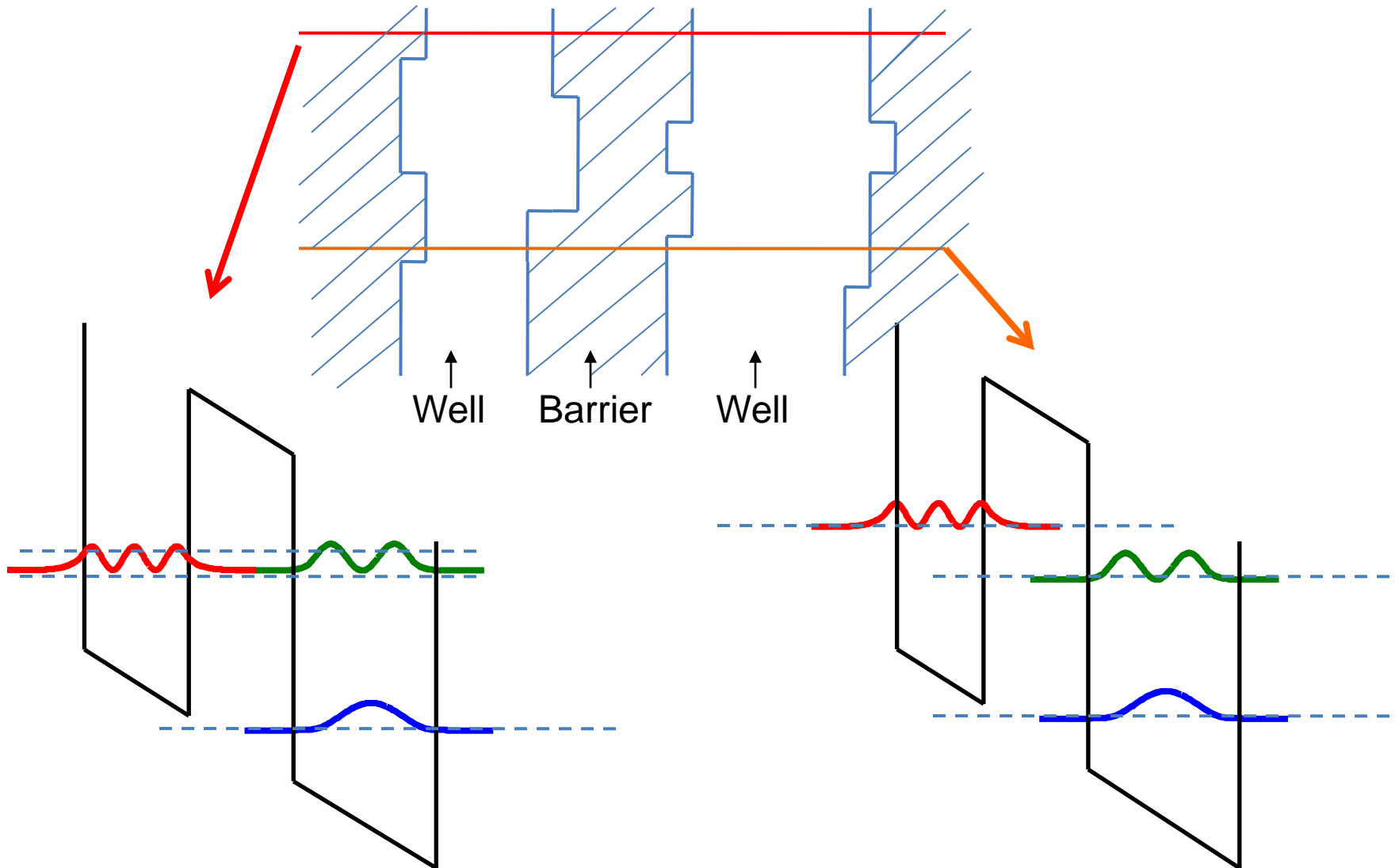


Electron Transport: Resonant Tunneling



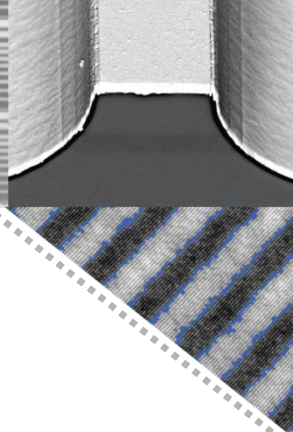


Interface Roughness & Tunneling





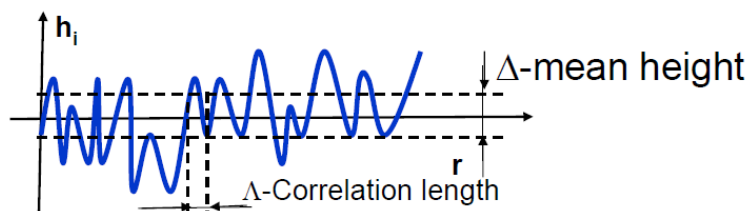
Modeling Interface Roughness Scattering



➤ Interface roughness also causes intersubband scattering!

Random perturbation is characterized by correlation function:

$$A^{-1} \int h(r - r_1) h(r) dr = \Delta^2 e^{-\frac{r_1^2}{\Lambda^2}}$$

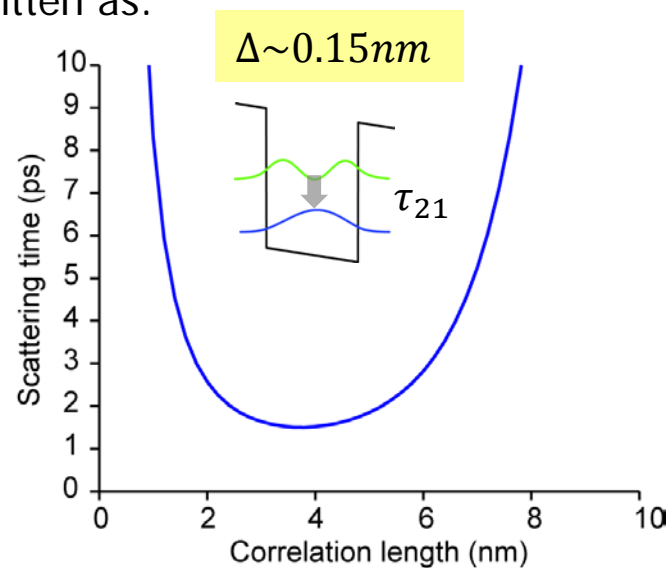


In Fourier Domain, the perturbation potential can be written as:

$$\langle |V_{q,n}|^2 \rangle = \Delta^2 \left(\sum_i \delta U^2(z_i) f_n(z_i) \right)^2 \Lambda^2 e^{-\frac{\Lambda^2 q^2}{4}}$$

Interface roughness scattering $\rightarrow \tau_{IFR}$

$$\hbar \tau_{21}^{-1} = \frac{\pi m^*}{\hbar^2} \Delta^2 \Lambda^2 \delta U^2 \sum_i \{f_2(z_i) f_1(z_i)\}^2 e^{-\frac{\Lambda^2 q_{21}^2}{4}}$$

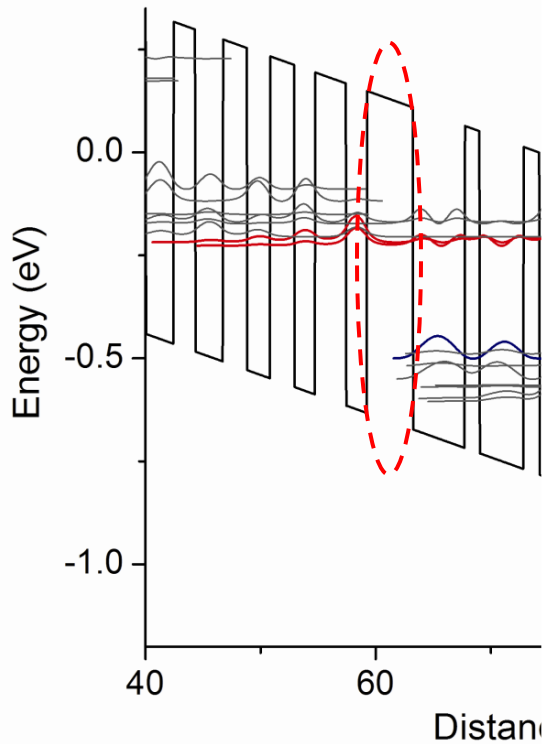




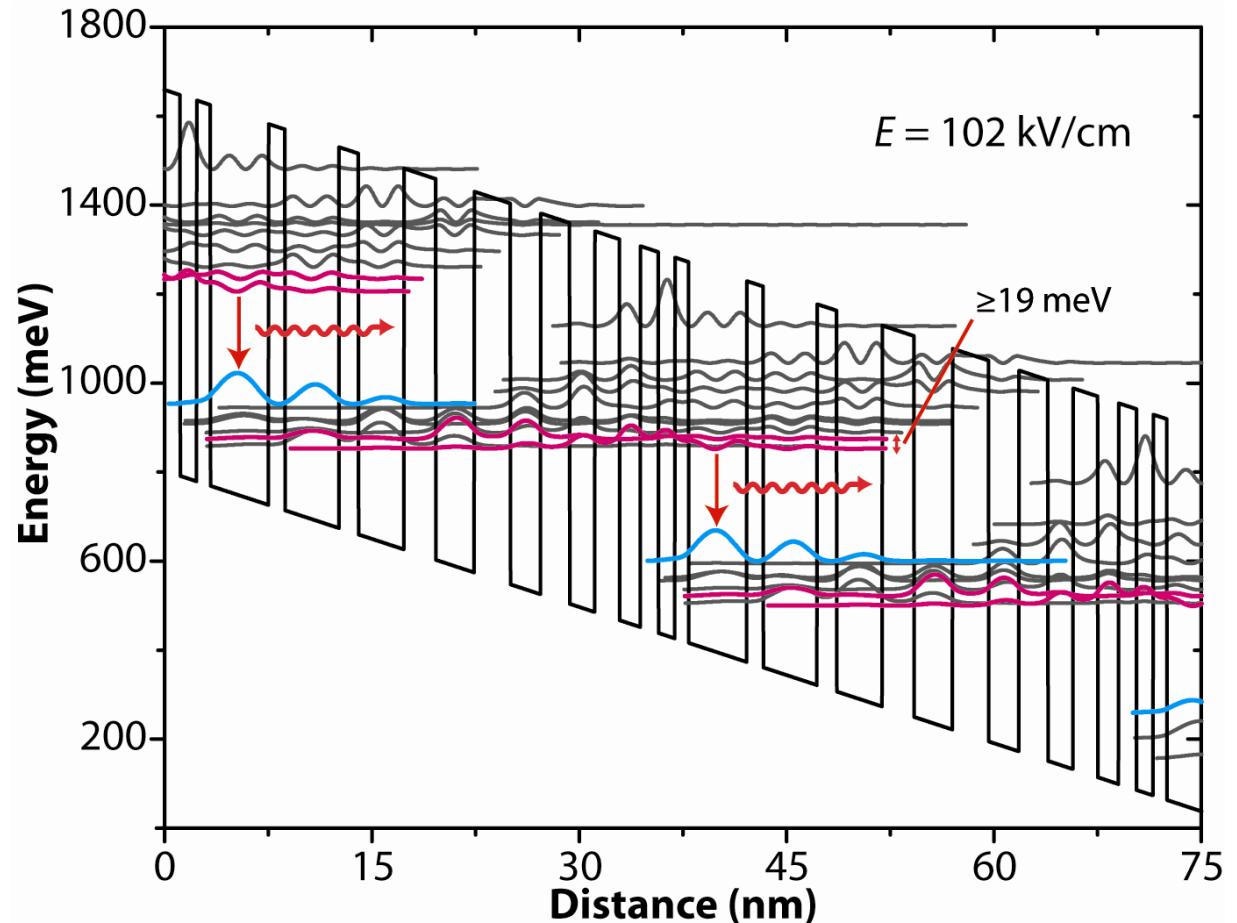
New Quantum Design: Ultra-strong Coupling



Before:

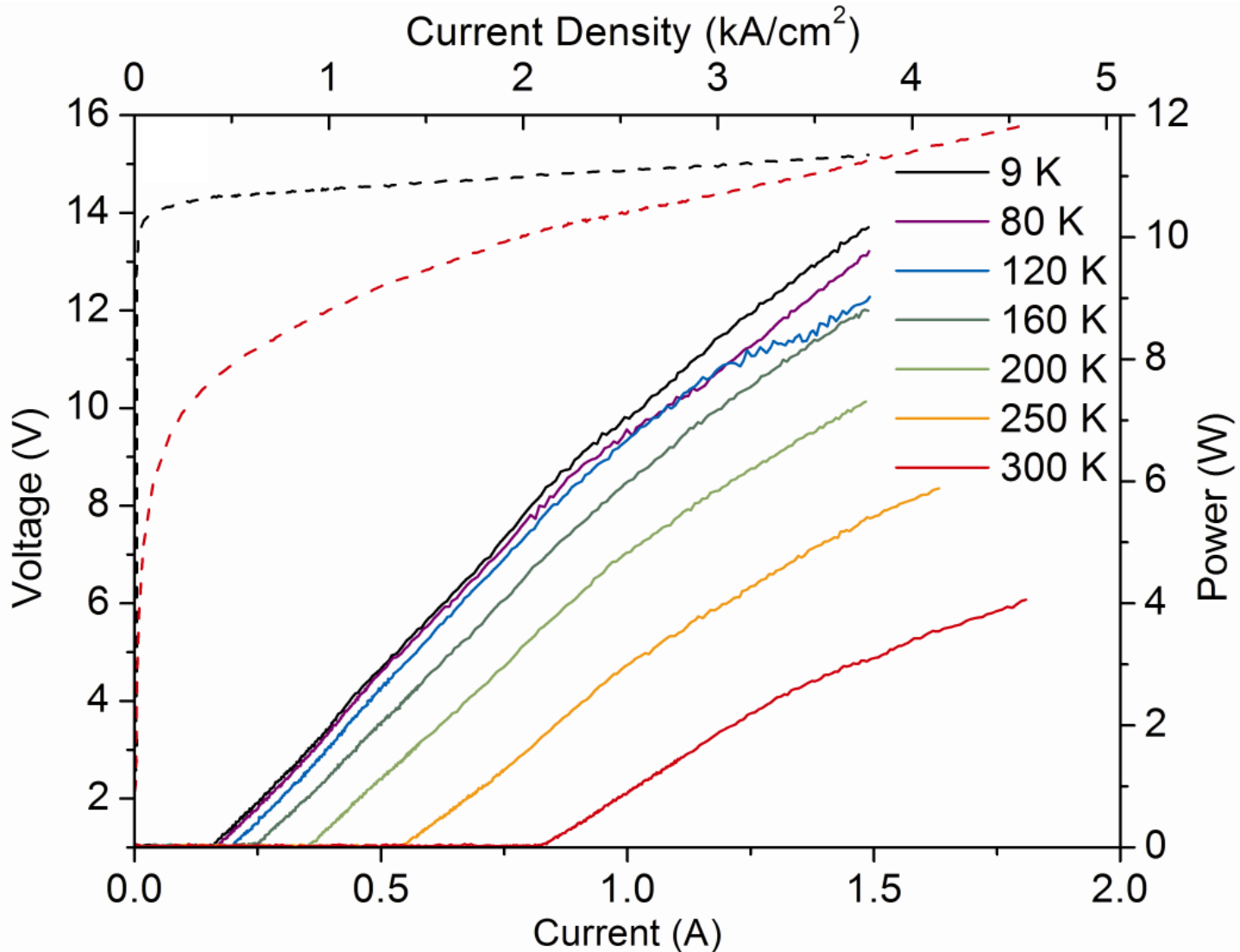


After:



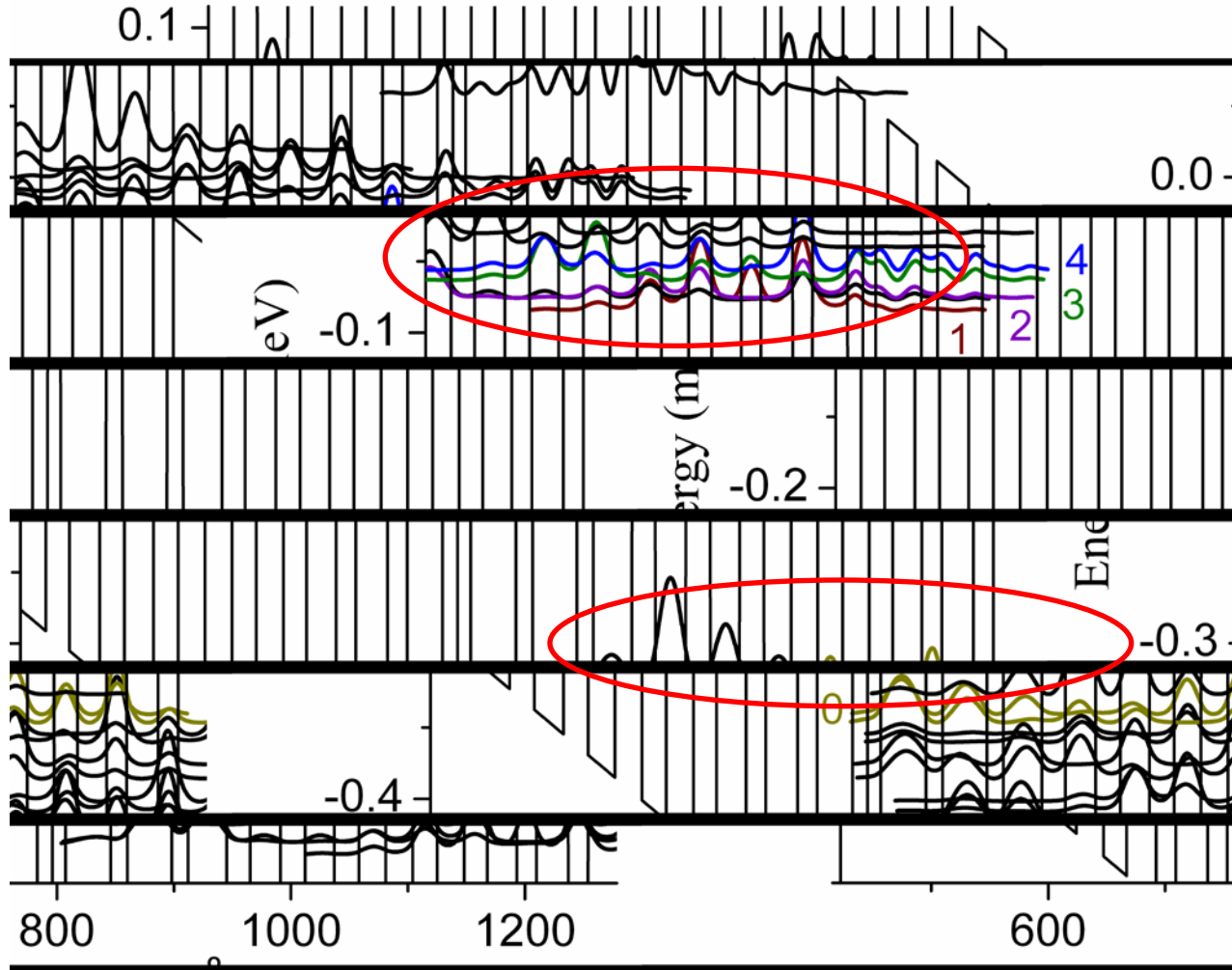


New QC Laser @ $\lambda \sim 4.7 \mu\text{m}$





“Continuum-to-Continuum” Design

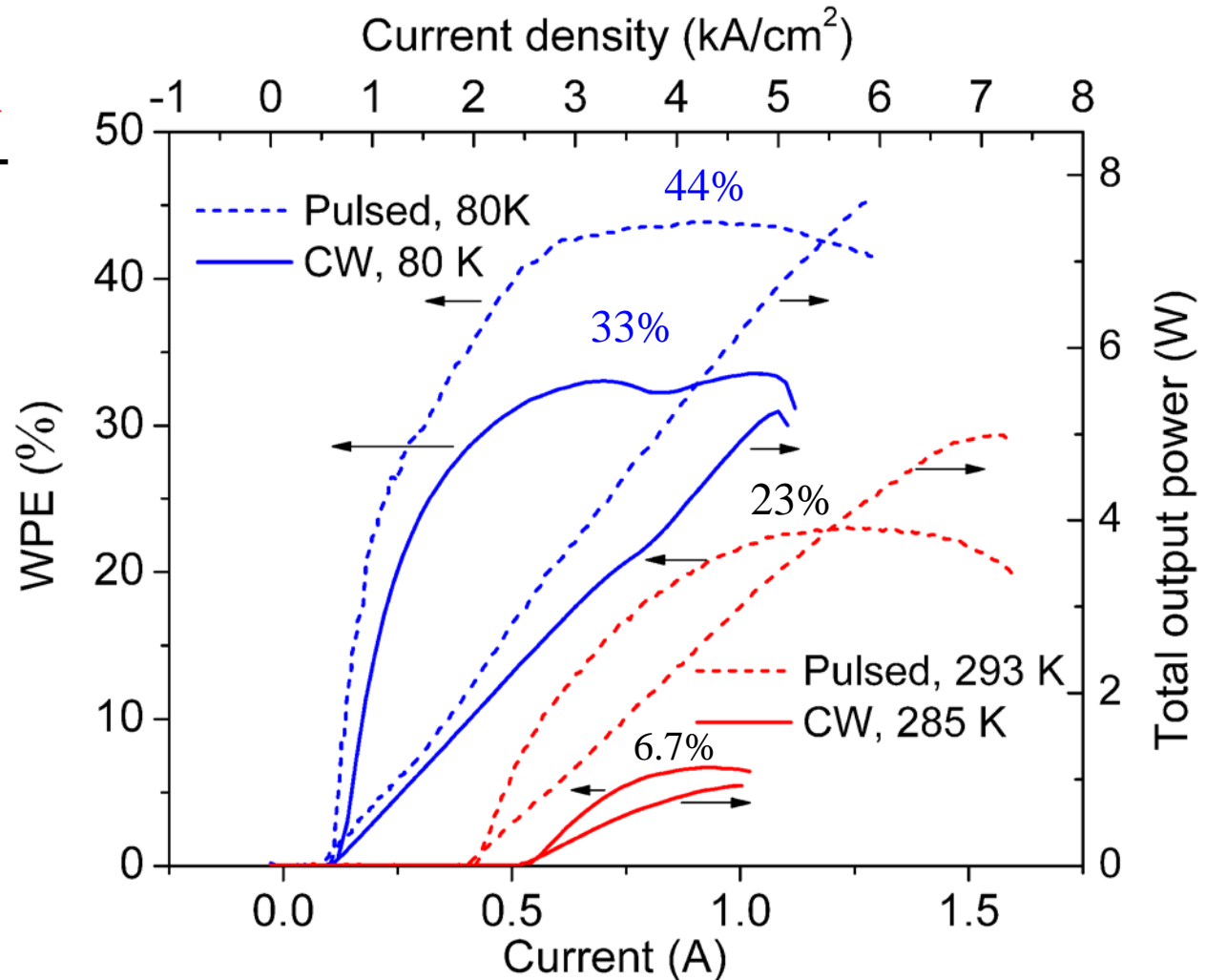
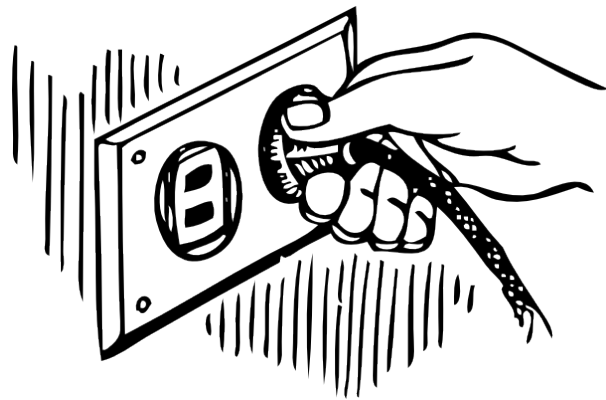




High Power Efficiency

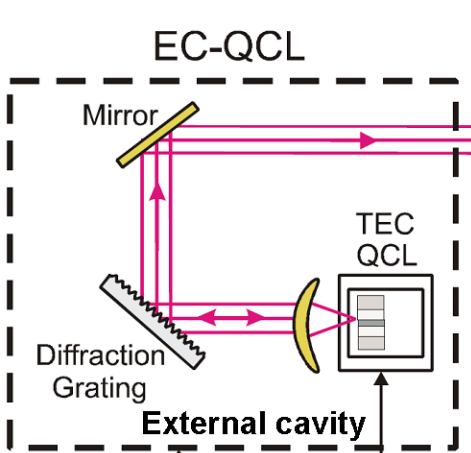
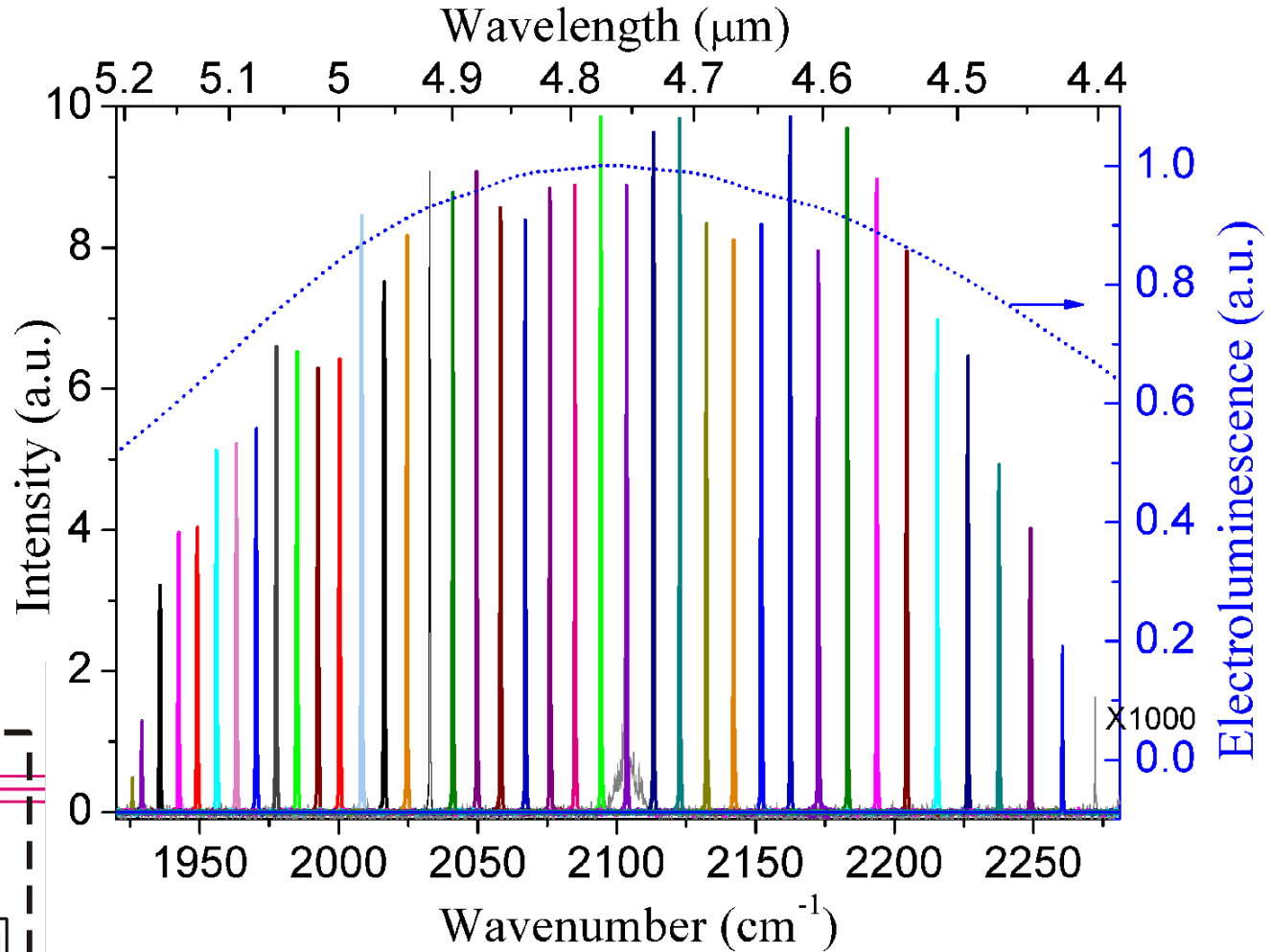


$$\text{WPE} = \frac{\text{Light intensity}}{\text{Input power}}$$



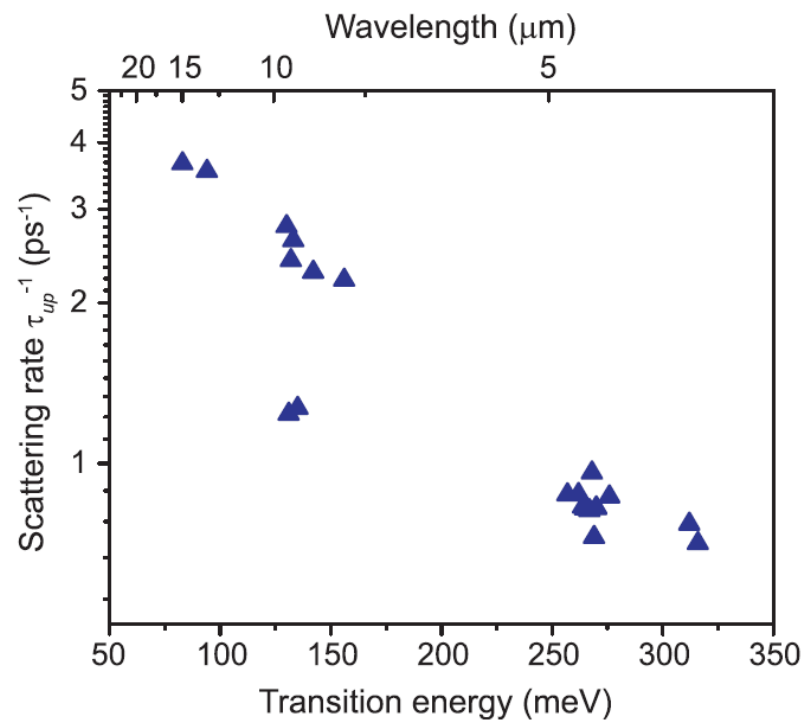
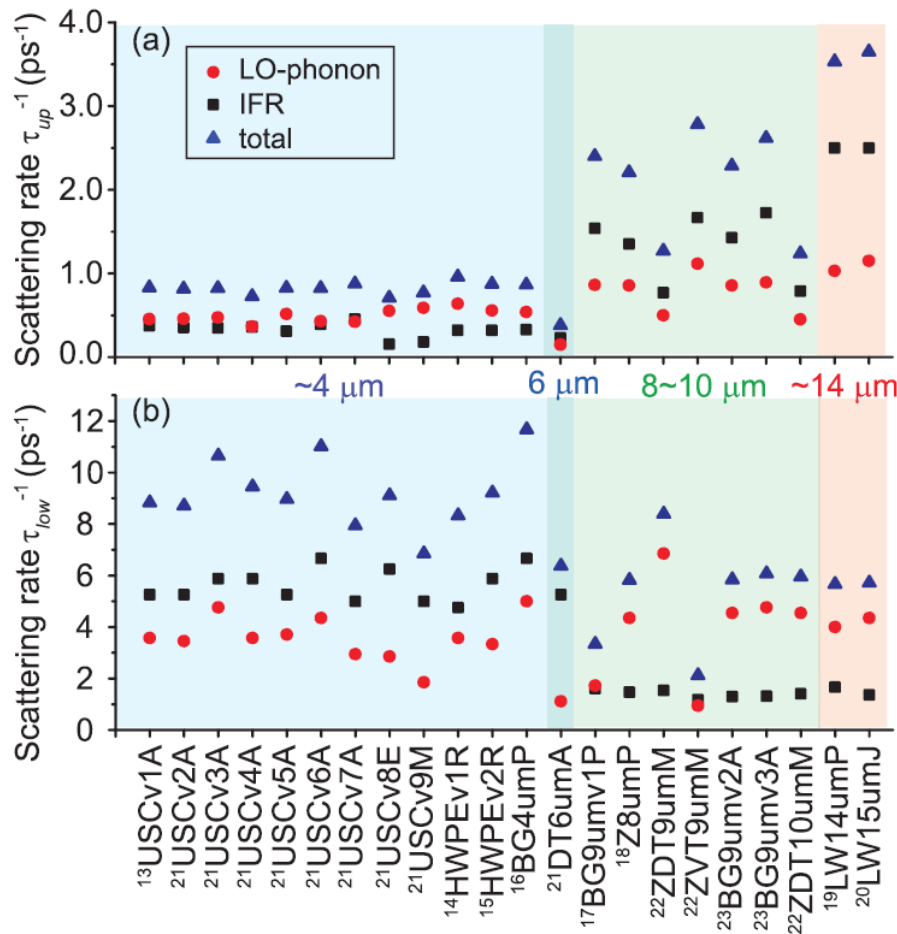


External Tuning: 4.4 - 5.2 μm





Intersubband Lifetimes in QC lasers



References in: YenTing Chiu et al. Appl. Phys. Lett. 101, 171117 (2012)

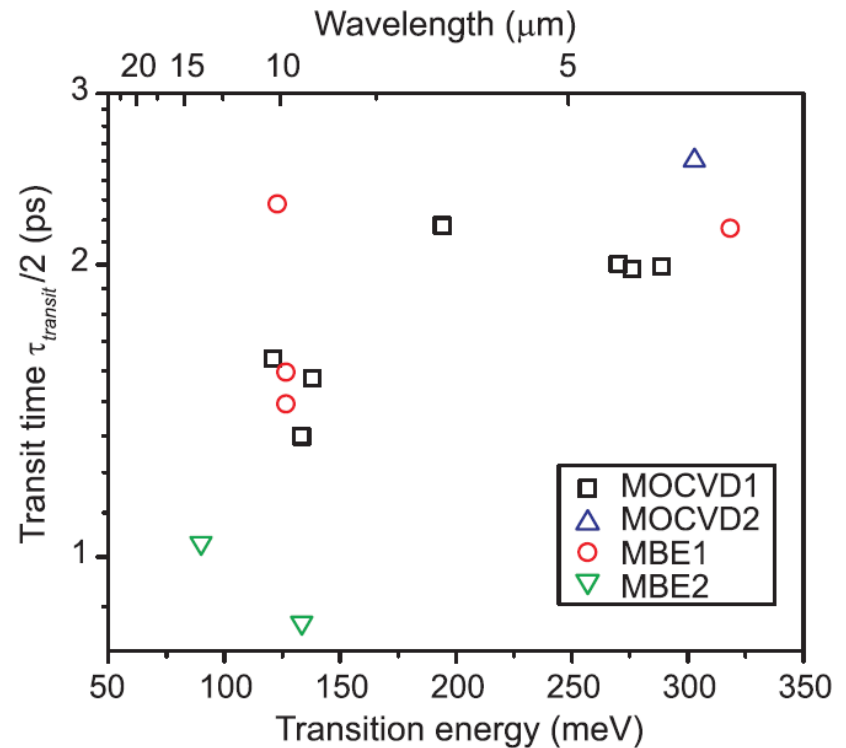
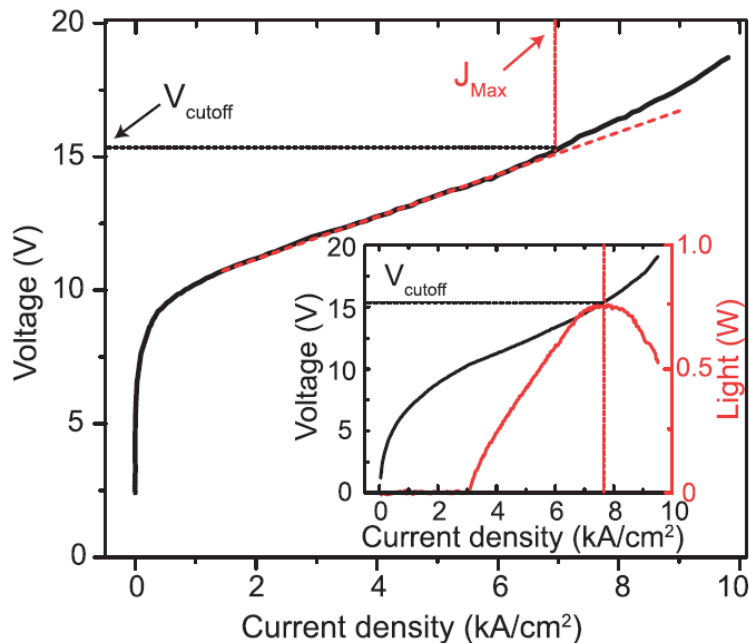




Upper Laser State Lifetime

- With a 2 level approximation and strong coupling between injector and upper laser state: $J_{max} = \frac{qN_s}{\tau_{transit}} \sim \frac{qN_s}{2\tau_3}$, while $2\tau_3 < \tau_{transit}$

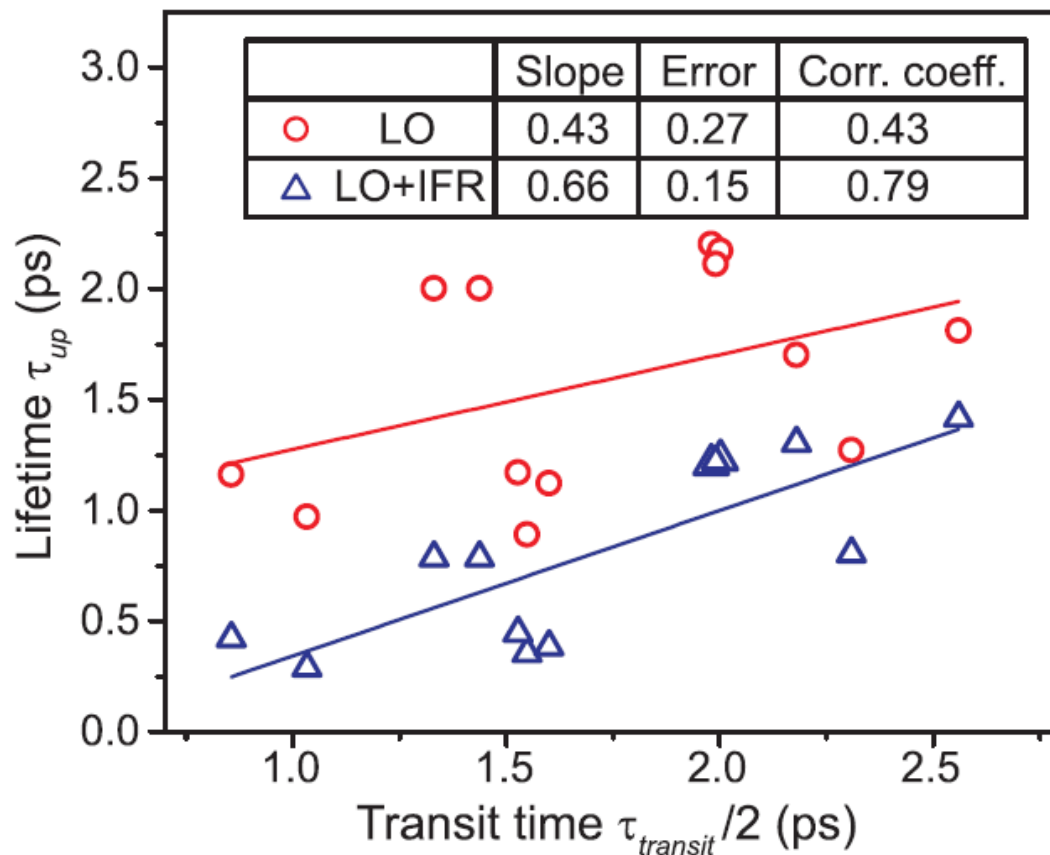
- J_{max} can be determined from IV





Comparison Model & Experiment

- Inclusion of interface roughness scattering provides better match:





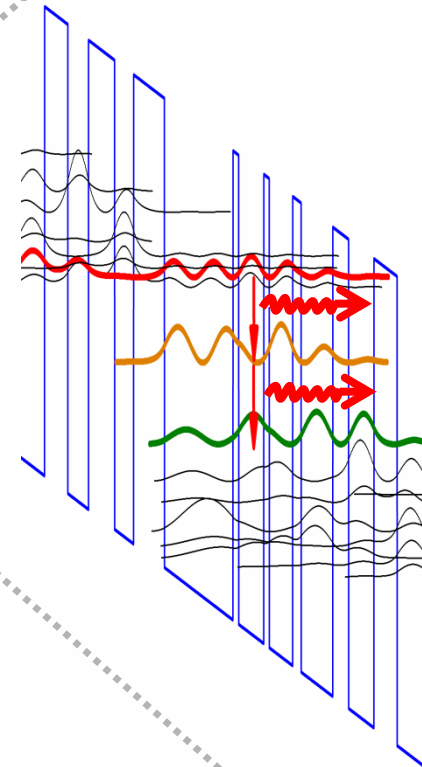
Same-wavelength cascaded-transition Quantum Cascade Laser

*Xue Huang¹, Jingyuan L. Zhang¹, William O. Charles^{1,2},
Vadim Tokranov³, Serge Oktyabrsky³, and Claire F. Gmachl¹*

¹ Princeton University, Princeton, NJ 08544, US

² Current address: Phononic Devices, Inc., Raleigh, NC 27606, US

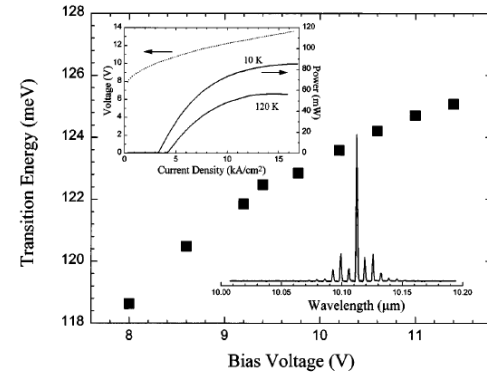
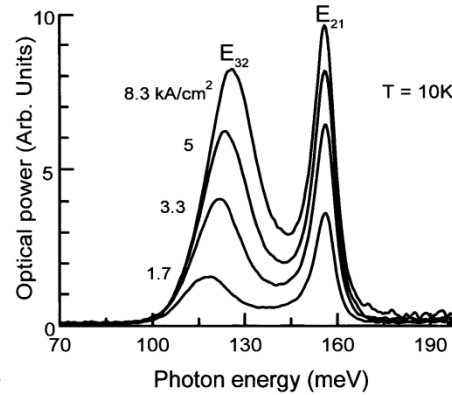
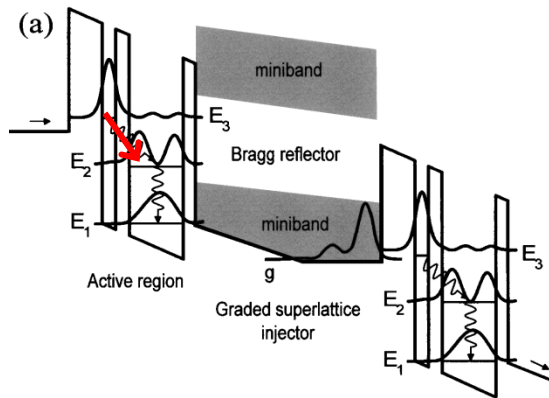
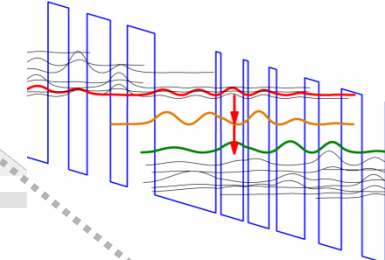
³ University at Albany-SUNY, Albany, NY 12222, US



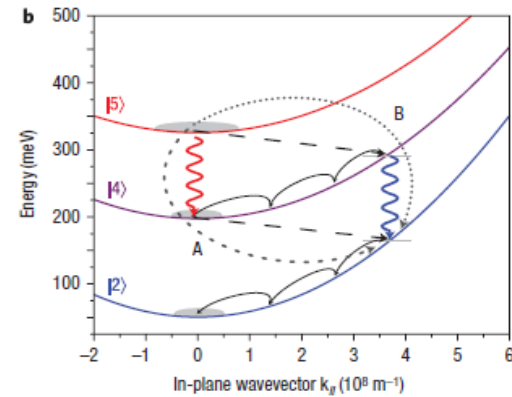
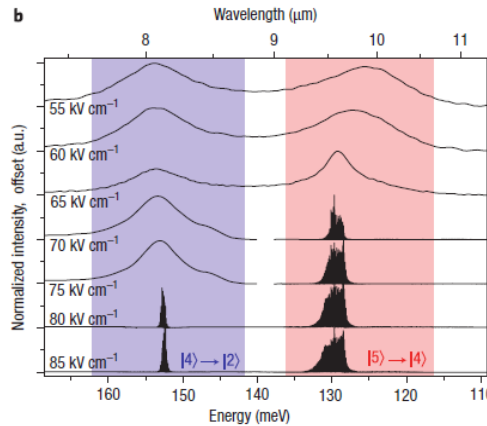
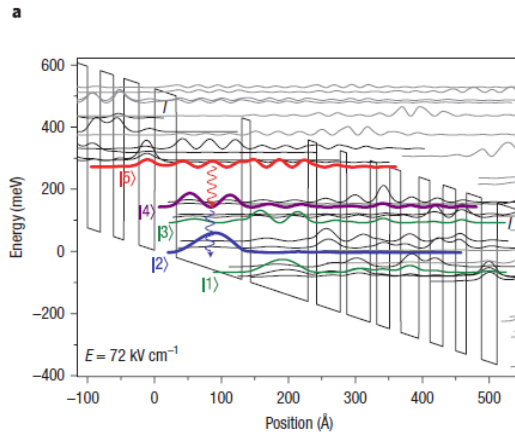
This work is supported in part by MIRTHE (NSF-ERC).



Cascaded-transition QC laser: review



C. Sirtori et al., *Opt. Lett.* **23**, 463 (1998)



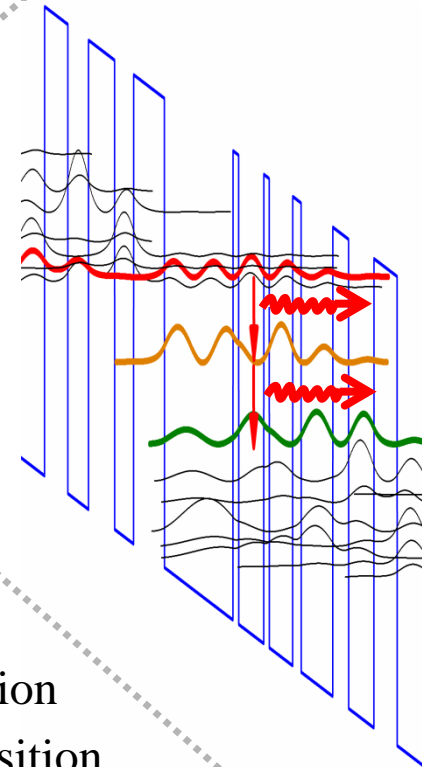
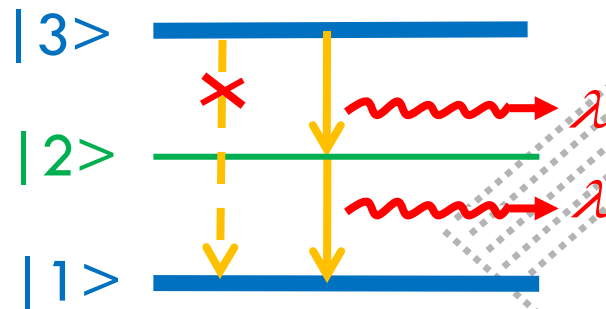
K. J. Franz et al., *Nature Photonics* **3**, 50-54 (2009)





Same-wavelength Cascaded-transition QC laser

➤ Same-wavelength cascaded transition



➤ Advantages:

- Increased **quantum efficiency**
- **Pumping and depopulation by stimulated emissions**

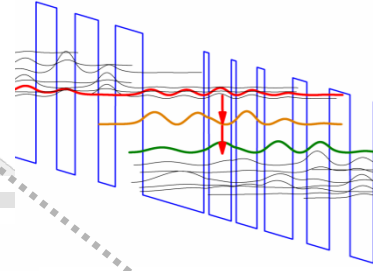
First transition \rightarrow **populate** the upper state of the second transition

Second transition \rightarrow **depopulate** the lower state of the first transition





Rate equations



$$\frac{dN_3}{dt} = \frac{J}{e} - \frac{N_3}{\tau_3} - \Gamma S_{32} \phi (N_3 - N_2)$$

$$\frac{dN_2}{dt} = \frac{N_3}{\tau_{32}} + \Gamma S_{32} \phi (N_3 - N_2) - \frac{N_2}{\tau_2} - \Gamma S_{21} \phi (N_2 - N_1)$$

$$\frac{dN_1}{dt} = \frac{N_2}{\tau_{21}} + \Gamma S_{21} \phi (N_2 - N_1) - \frac{N_1}{\tau_1}$$

$$\frac{d\phi}{dt} = \frac{c}{n} \phi \left[\frac{\Gamma S_{32} (N_3 - N_2) + \Gamma S_{21} (N_2 - N_1)}{L_p} - \alpha \right]$$

N_1, N_2, N_3 :

electron sheet density per period

τ_1, τ_2, τ_3 : lifetime

τ_{32}, τ_{21} : scattering lifetime

Γ : confinement factor

L_p : thickness of a period

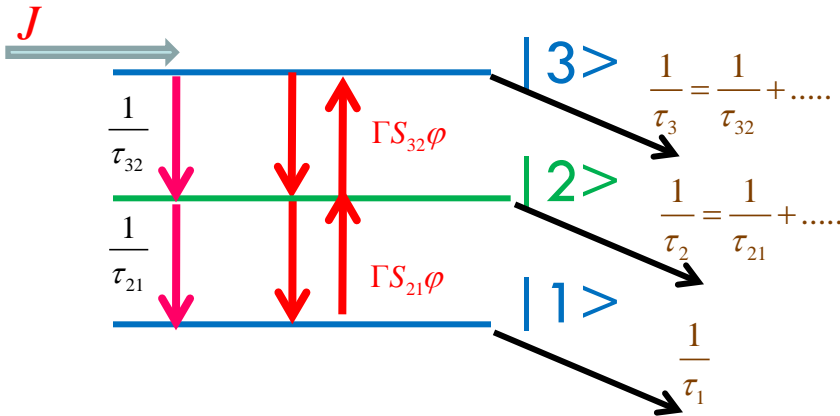
α : total loss

cross section:

$$S_{32} = \frac{4\pi e z_{32}^2}{\lambda_0 \epsilon_0 n L_p} \frac{1}{2\hbar \gamma_{32}}, \quad S_{21} = \frac{4\pi e z_{21}^2}{\lambda_0 \epsilon_0 n L_p} \frac{1}{2\hbar \gamma_{21}}$$

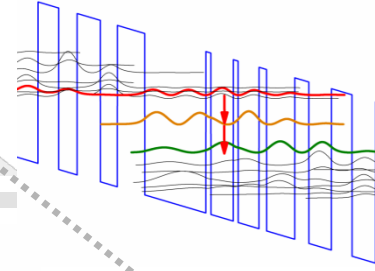
z_{32}, z_{21} : dipole matrix elements; $z_{31} \approx 0$

$2\hbar \gamma_{21}, 2\hbar \gamma_{32}$: linewidth

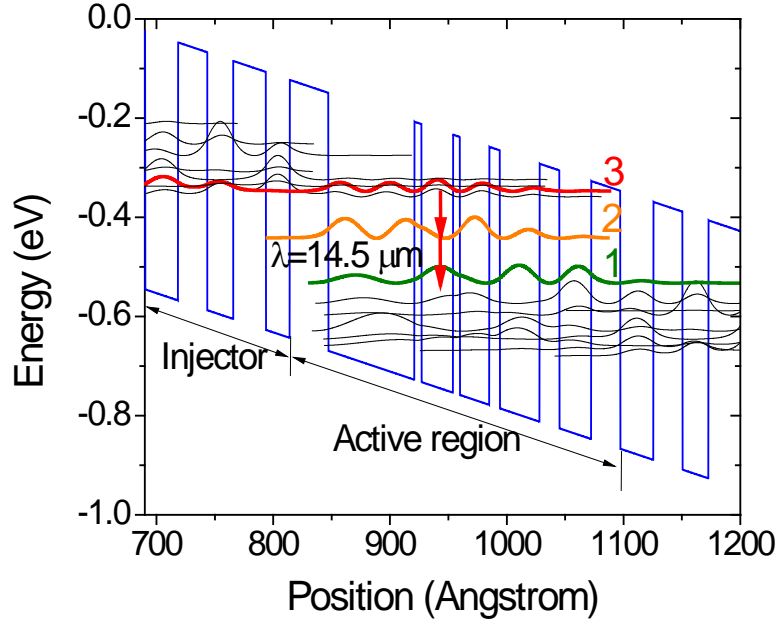




Active region design

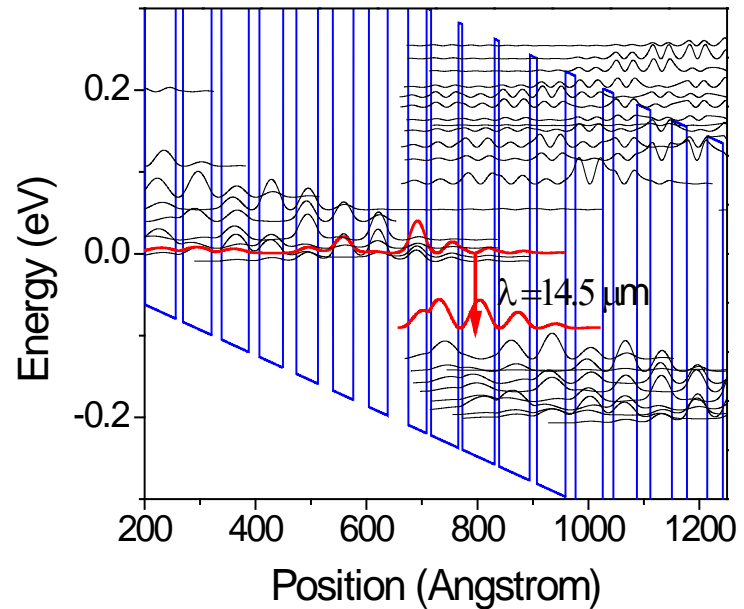


Cascaded-transition active core



$z_{32} = 3.3 \text{ nm};$ $z_{21} = 4.0 \text{ nm};$
 $\tau_{32} = 2.5 \text{ ps};$ $\tau_{21} = 1.8 \text{ ps};$
 $\tau_3 = 1.4 \text{ ps};$ $\tau_2 = 0.6 \text{ ps};$ $\tau_1 = 0.2 \text{ ps}.$

Single-transition active core



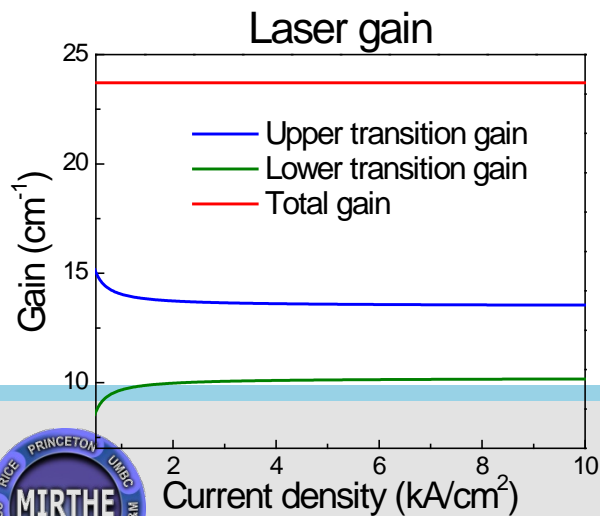
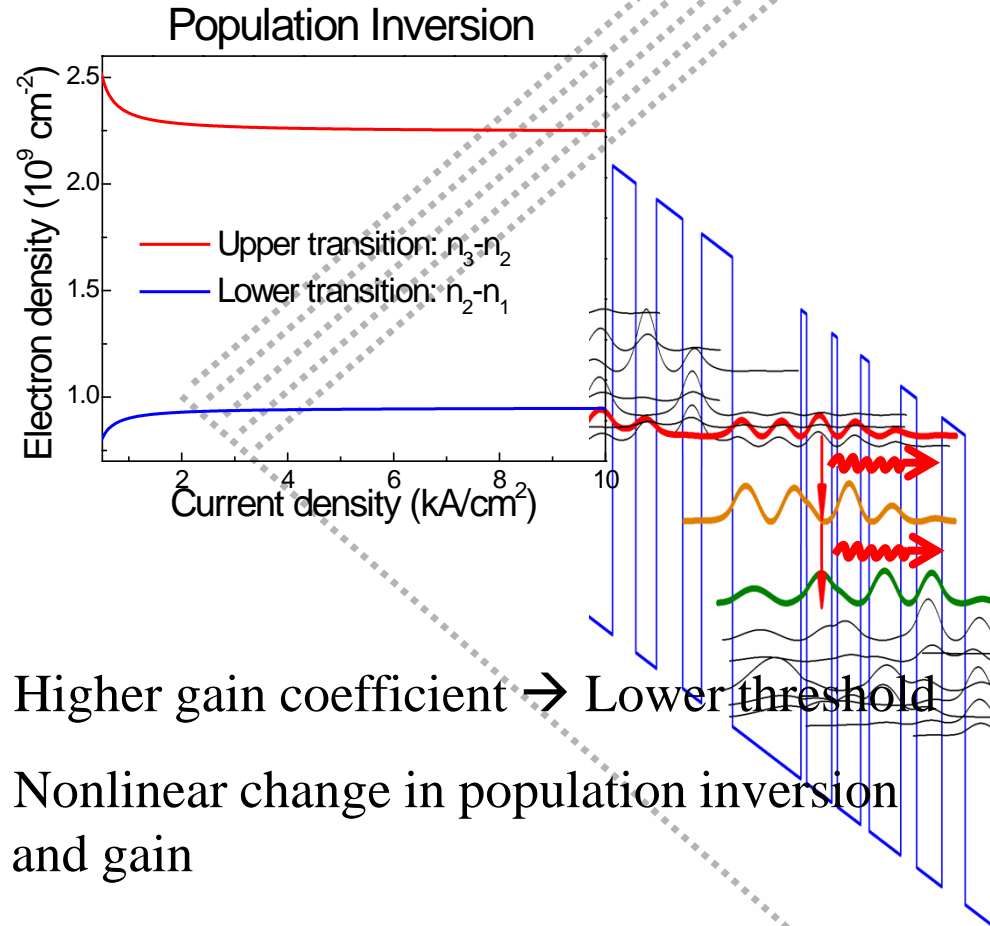
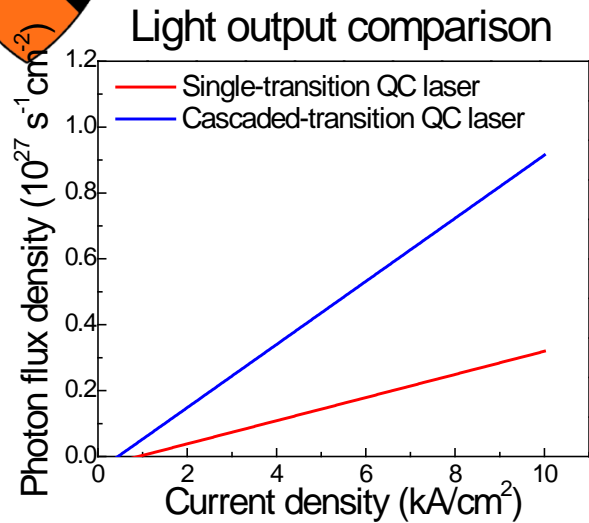
$z_{32} = 2.6 \text{ nm};$ $\tau_{32} = 3.1 \text{ ps};$
 $\tau_3 = 1.6 \text{ ps};$ $\tau_2 = 0.2 \text{ ps}.$

Calculated gain coefficient: $\frac{g_{cascaded}}{g_{single}} = 2.4$

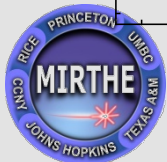




Simulated lasing characteristics



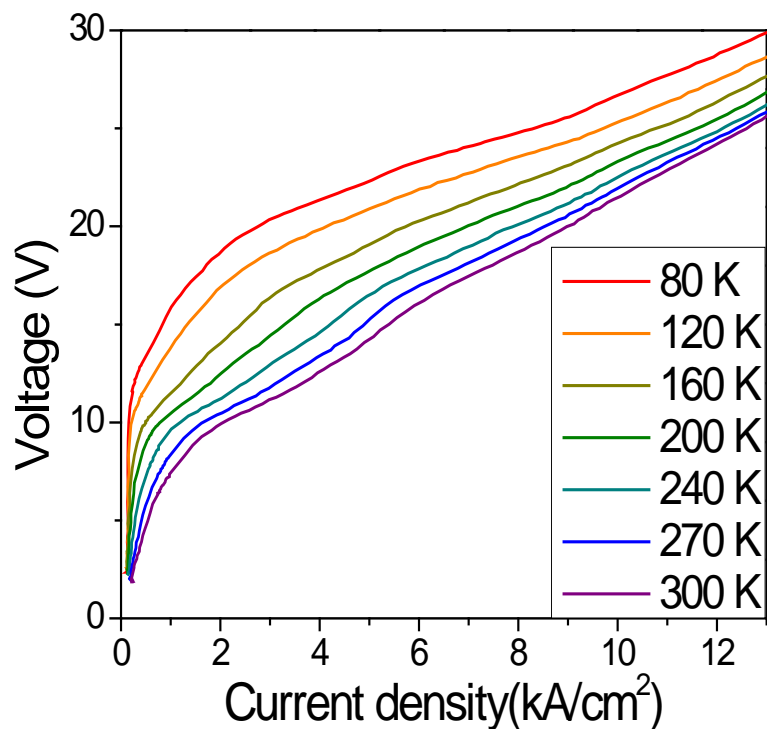
- Higher gain coefficient \rightarrow Lower threshold
- Nonlinear change in population inversion and gain



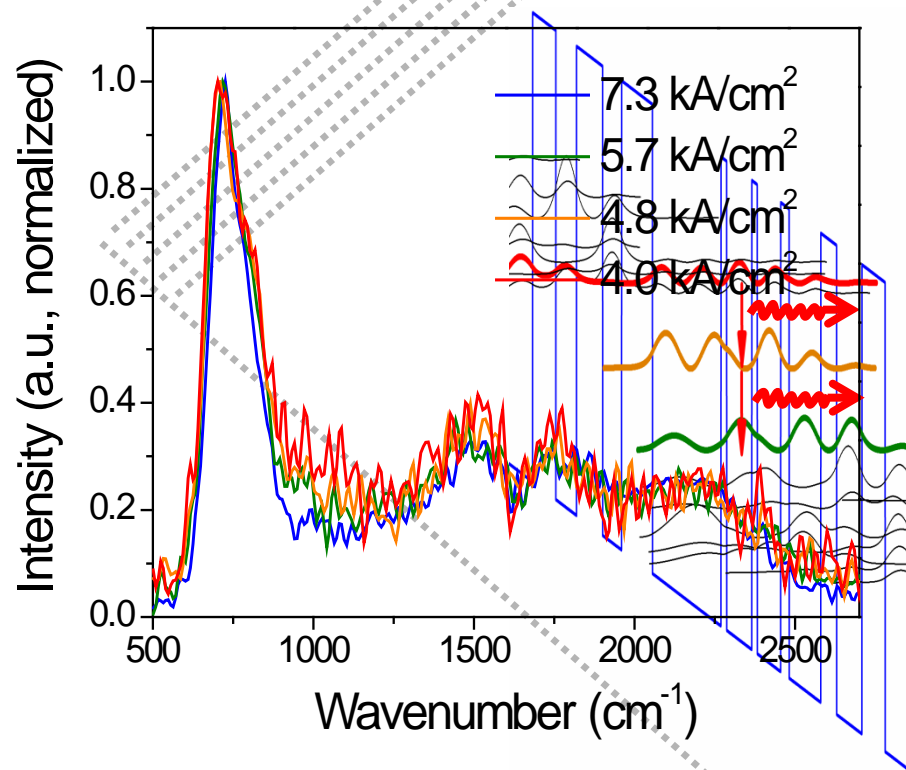


Characterization

Light- Current- Voltage

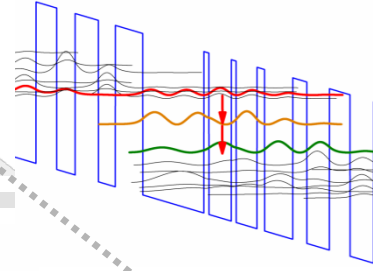


Electroluminescence at 80 K

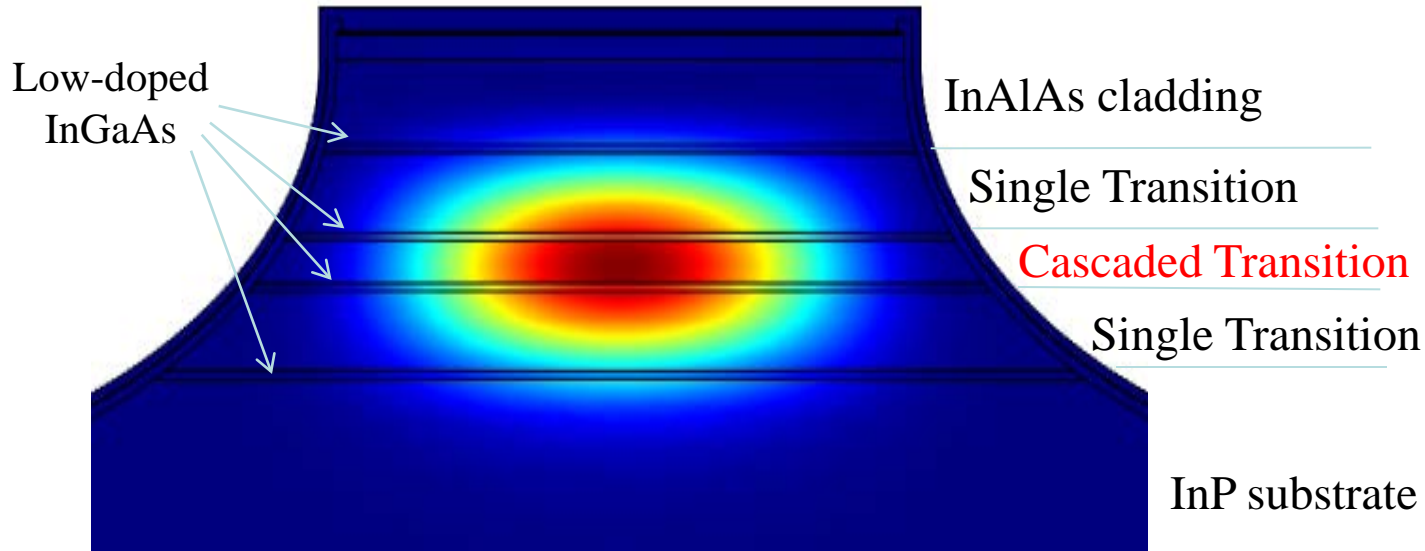




Stacked structure: waveguide design



➤ Cascaded-transition amplifier



➤ Confinement factor:

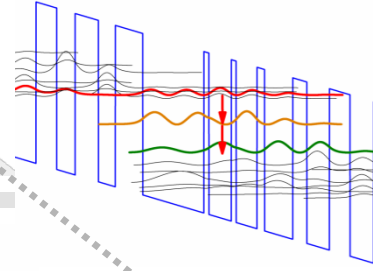
Cascaded transition: 23.3%

Single transition: 55.9%

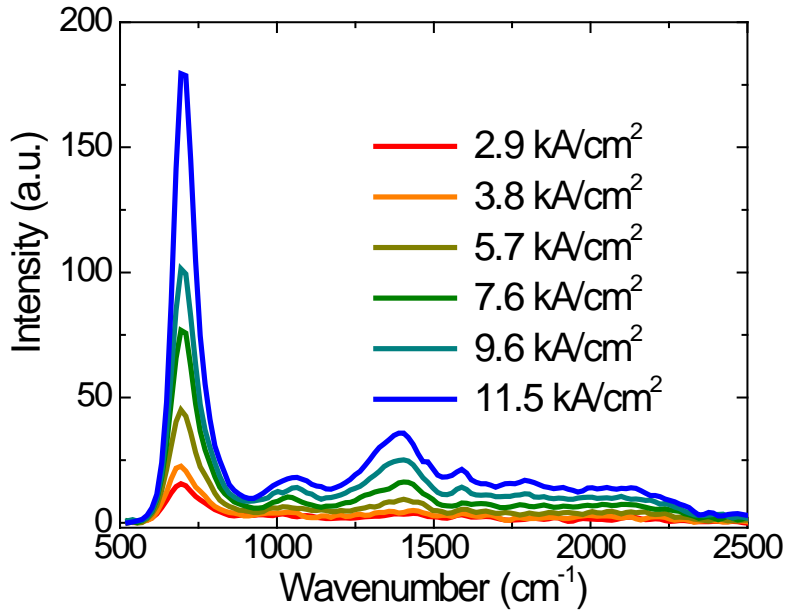




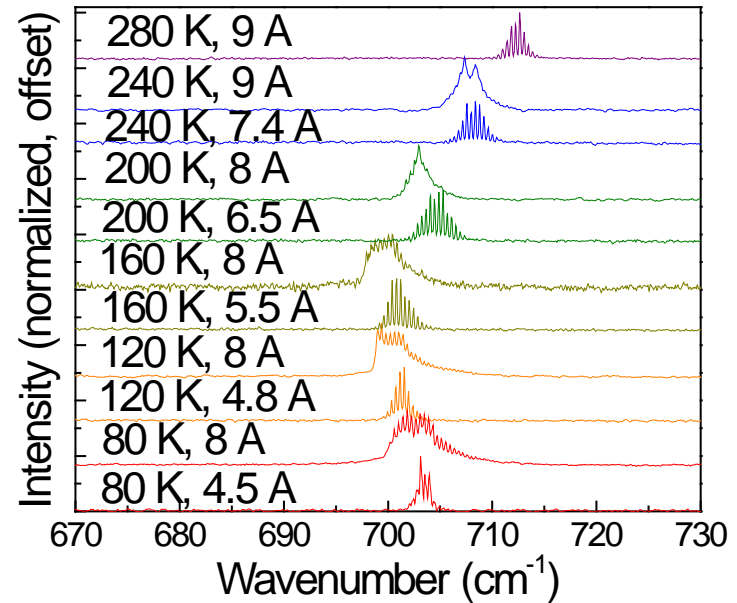
Electroluminescence and laser spectra



Electroluminescence at 80 K



Ridge laser spectra



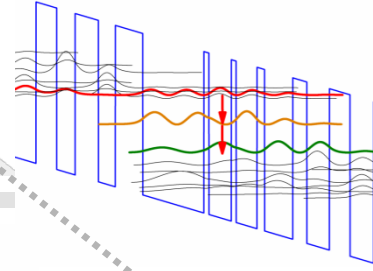
Main peak: 690 cm^{-1} ($14.5 \text{ }\mu\text{m}$)
Main spurious peak: 1388 cm^{-1} ($7.2 \text{ }\mu\text{m}$)

3.4 mm long, $23 \text{ }\mu\text{m}$ wide laser

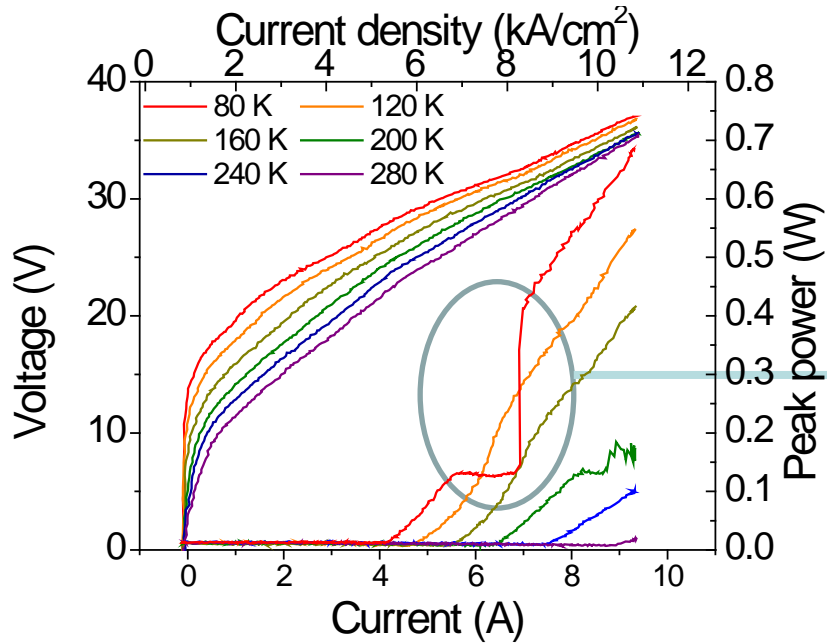




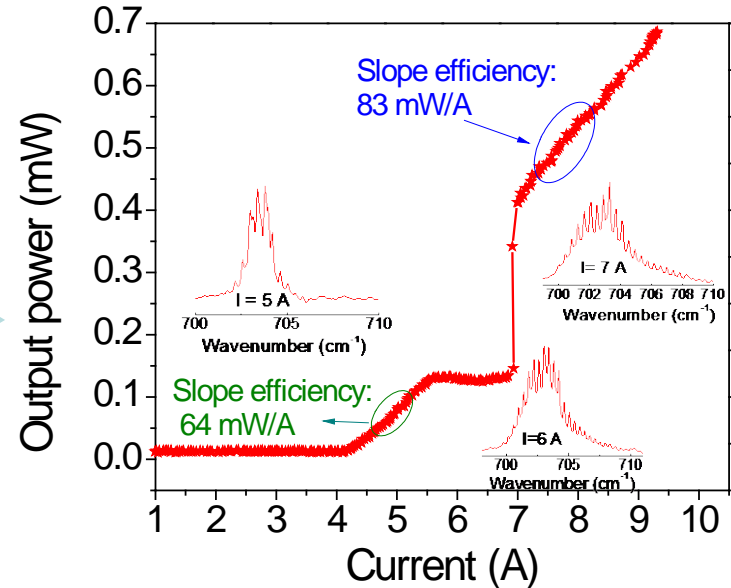
Light – Current – Voltage characteristics



Light-Current-Voltage (LIV)



Step change in power output

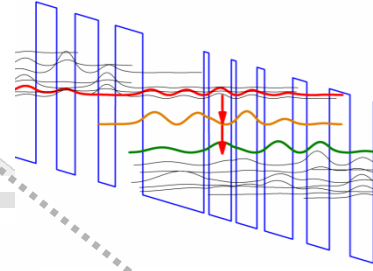


- Slope efficiency increased by 30%
- No change in center wavelength





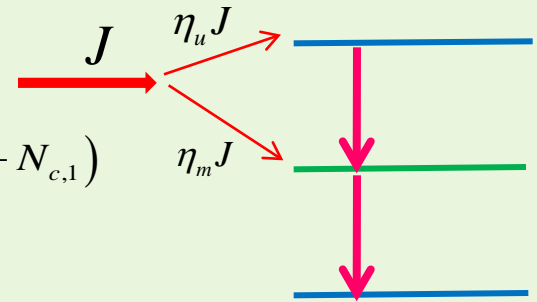
Rate equations



$$\frac{dN_{c,3}}{dt} = \eta_u \frac{J}{e} - \frac{N_{c,3}}{\tau_{c,3}} - \Gamma_c S_{c,32} \varphi(N_{c,3} - N_{c,2})$$

$$\frac{dN_{c,2}}{dt} = \eta_m \frac{J}{e} + \frac{N_{c,3}}{\tau_{c,32}} + \Gamma_c S_{c,32} \varphi(N_{c,3} - N_{c,2}) - \frac{N_{c,2}}{\tau_{c,2}} - \Gamma_c S_{c,21} \varphi(N_{c,2} - N_{c,1})$$

$$\frac{dN_{c,1}}{dt} = \frac{N_{c,2}}{\tau_{c,21}} + \Gamma_c S_{c,21} \varphi(N_{c,2} - N_{c,1}) - \frac{N_{c,1}}{\tau_{c,1}}$$



Cascaded
Transition

$$\frac{dN_{s,3}}{dt} = \frac{J}{e} - \frac{N_{s,3}}{\tau_{s,3}} - \Gamma_s S_{s,32} \varphi(N_{s,3} - N_{s,2})$$

$$\frac{dN_{s,1}}{dt} = \frac{N_{s,2}}{\tau_{s,21}} + \Gamma_s S_{s,21} \varphi(N_{s,2} - N_{s,1}) - \frac{N_{s,1}}{\tau_{s,1}}$$

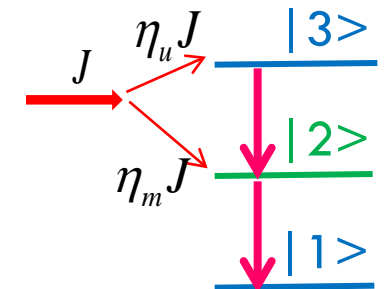
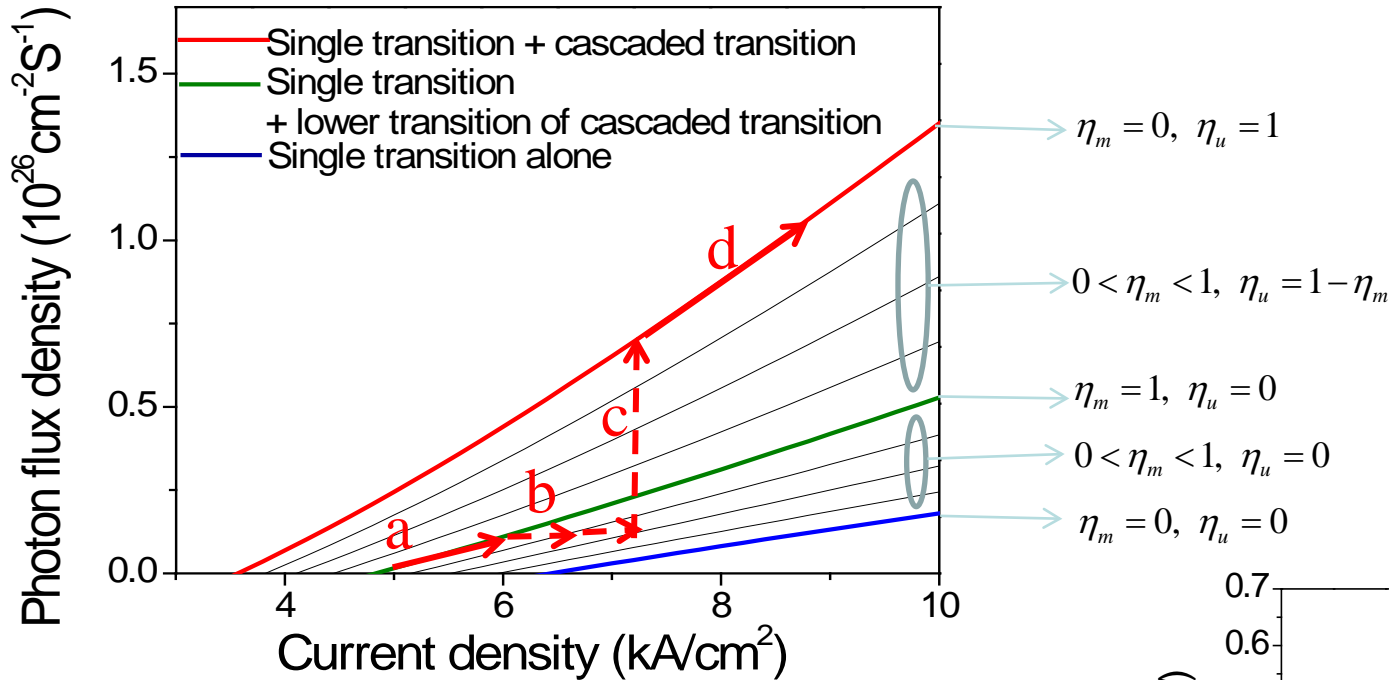
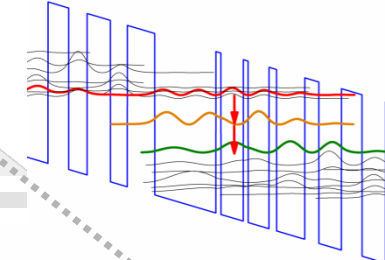
Single
Transition

$$\frac{d\varphi}{dt} = \frac{c}{n} \varphi \left[\frac{\Gamma_c S_{c,32} (N_{c,3} - N_{c,2}) + \Gamma_c S_{c,21} (N_{c,2} - N_{c,1})}{L_c} + \frac{\Gamma_s S_{s,32} (N_{s,3} - N_{s,2})}{L_s} - \alpha \right]$$



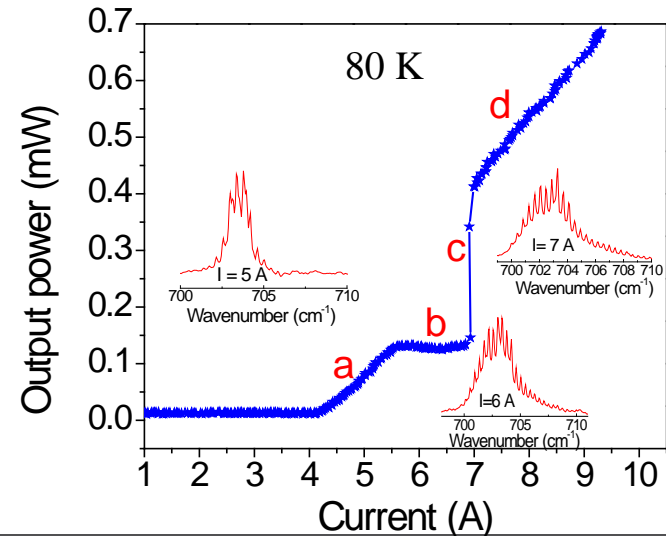


"Phase transition"



Current injection for cascaded-transition stack

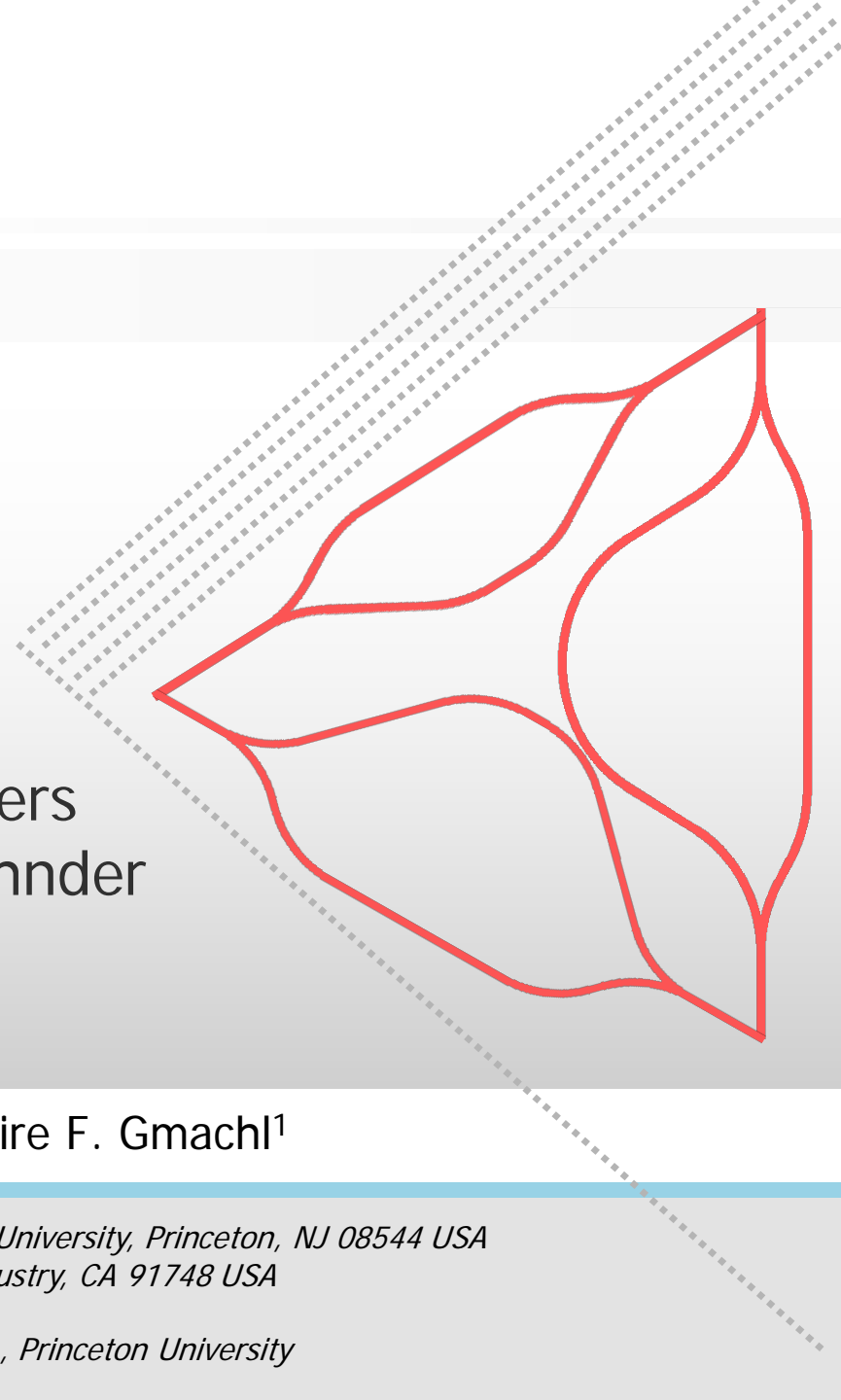
- Phase a: in resonance with the middle state $|2\rangle$
- Phase b: off resonance, partial injection into $|2\rangle$
- Phase c: transition to resonance with the upper state $|3\rangle$
- Phase d: in resonance with $|3\rangle$





New directions in cavity design

Single-mode Quantum Cascade Lasers
Employing an Asymmetric Mach-Zehnder
Interferometer Type Cavity



Peter Q. Liu¹, Xiaojun Wang², Jen-Yu Fan², Claire F. Gmachl¹



¹Department of Electrical Engineering, Princeton University, Princeton, NJ 08544 USA
²AdTech Optics, 18007 Cortney Court, City of Industry, CA 91748 USA

Funding support: MIRTHE NSF-ERC, DARPA EMIL, Princeton University



FP Cavity QCL vs. Single-mode QCL

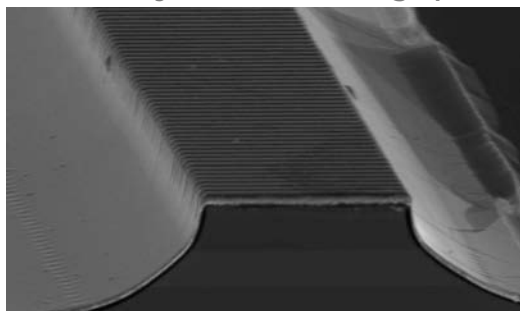
Conventional Fabry-Perot cavity QCLs

- Relative simple fabrication → relatively low cost
- High throughput, high yield
- Generally not single-mode

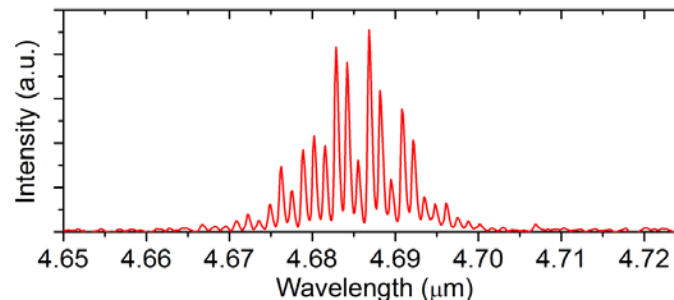
Single-mode QCLs

DFB QCLs

- Complicated and time-consuming fabrication
- Relatively lower throughput

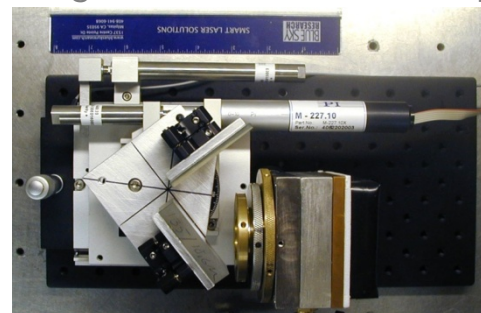


Courtesy of Loan Le



External cavity QCLs

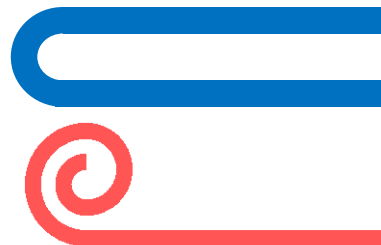
- Require high-precision optical alignment
- Higher cost and less compact



Courtesy of Tracy Tsai

Monolithic coupled-cavity QCLs

- Fabrication identical to simple ridge lasers
- High throughput, low cost, robust
- Significant coupling loss → high lasing threshold



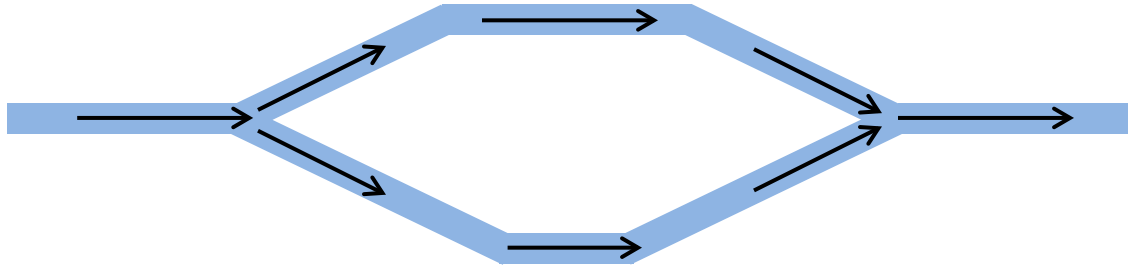
P.Q. Liu et al., *APL* **98**, 061110 (2011)

P.Q. Liu et al., *APL* **99**, 241112 (2011)

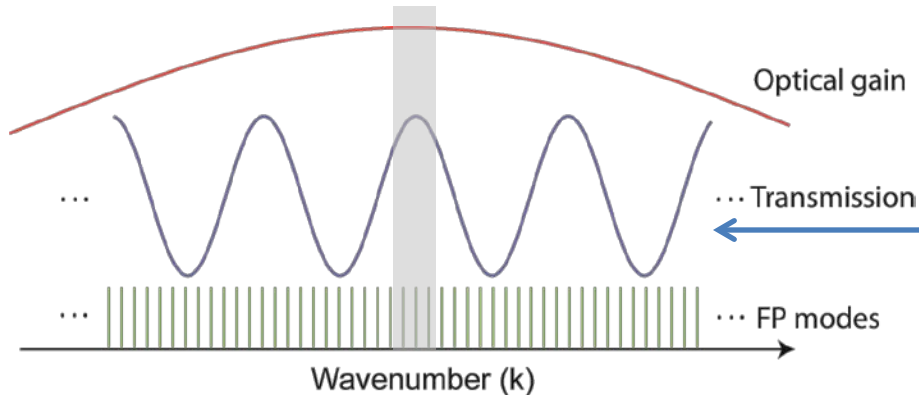




Wavelength Selectivity of Asymmetric Mach-Zehnder Interferometer



- ❖ The two arms of the AMZ interferometer have a length difference ΔL .
- ❖ Light entering the AMZ interferometer is split equally into the two arms, and recombined at the other end with a phase difference $\Delta\Phi = 2\pi n_{eff} k_m \Delta L$.
- ❖ If $\Delta\Phi = 2N\pi$, light from the two arms interfere constructively \rightarrow peak transmission.



AMZ interferometer transmission:

$$T(k) = \cos^2(n_{eff} k \Delta L \pi)$$

Period of transmission peaks:

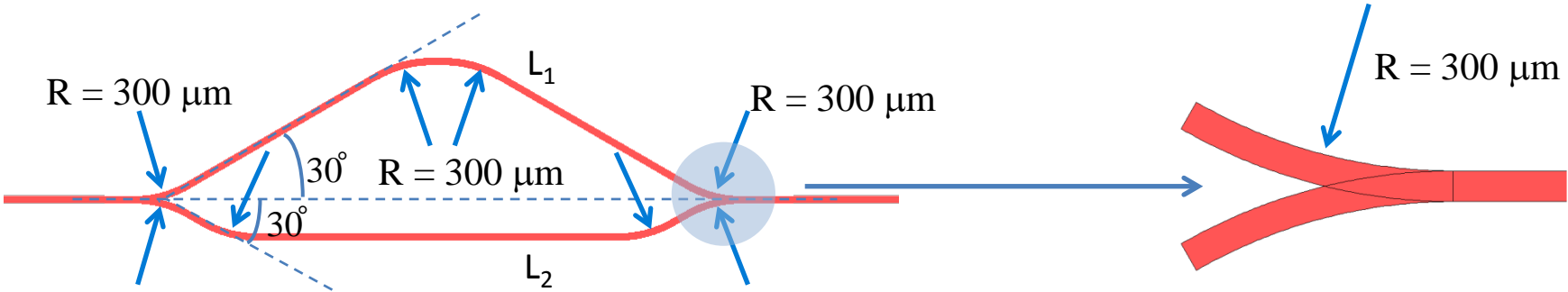
$$dk = \frac{1}{n_{eff} \Delta L}$$

- ❖ The lasing modes are selected by three factors:
the optical gain spectrum, the FP cavity and the AMZ interferometer.





Initial Cavity Design and Device Fabrication



Four different initial designs:

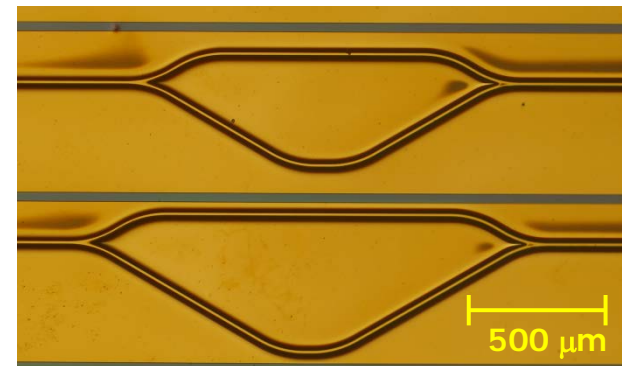
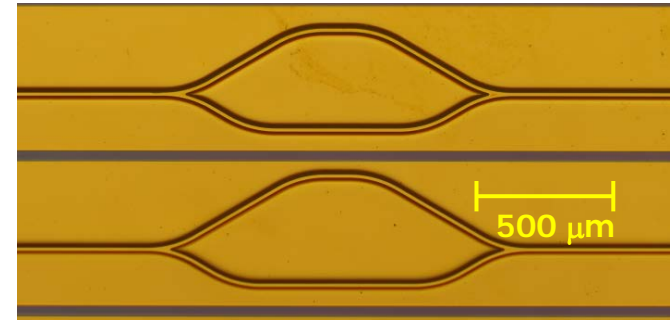
$\Delta L = 50 \mu\text{m}, 65 \mu\text{m}, 100 \mu\text{m}, 150 \mu\text{m}$

$L_{\text{avg}} = (L_1 + L_2)/2 \approx 1.2 \text{ mm}, 1.3 \text{ mm}, 1.5 \text{ mm}, 1.8 \text{ mm}$

Ridge width: $\sim 10 \mu\text{m}$

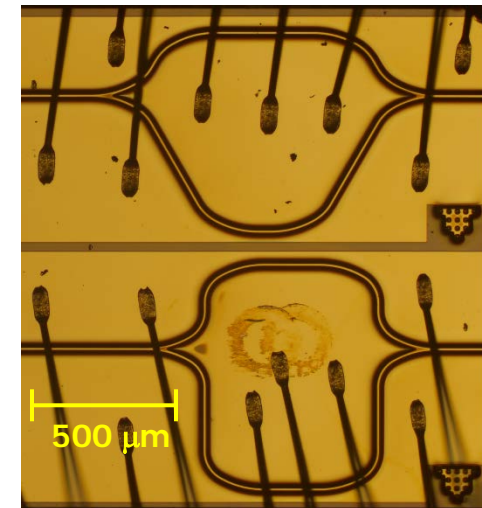
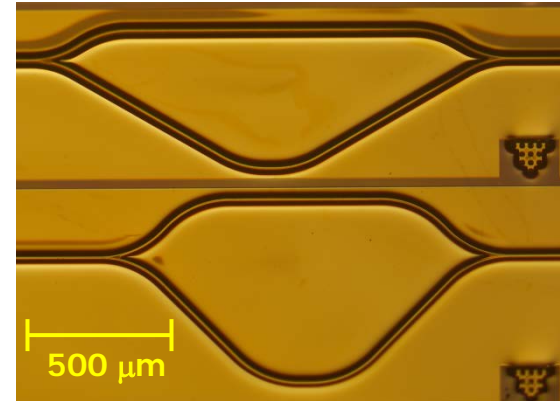
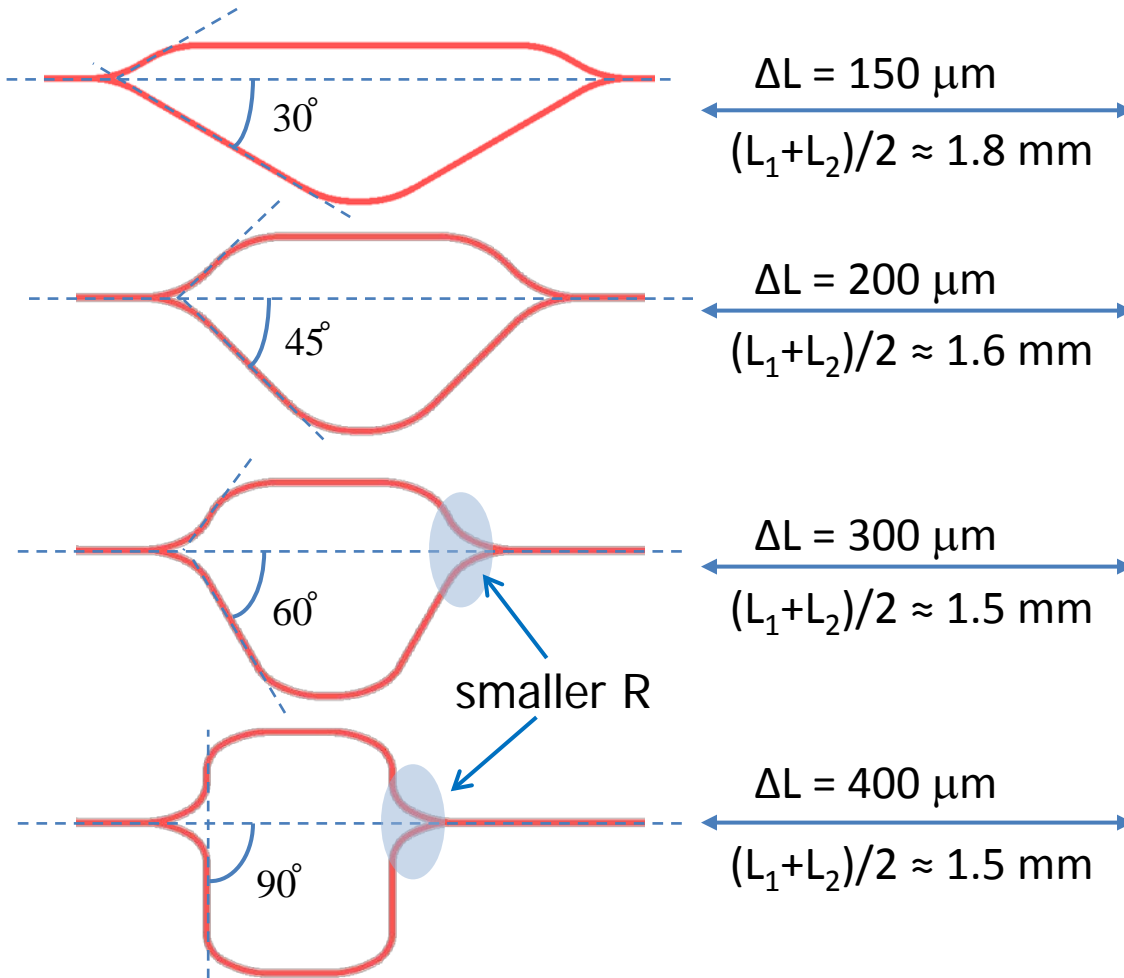
FSR of FP modes: $\frac{1}{2n_{\text{eff}}(L_R + L_{\text{avg}})}$

- ✓ Adiabatic transitions minimize coupling loss
- ✓ Monolithic structures
- ✓ Fully compatible with ridge laser fabrication





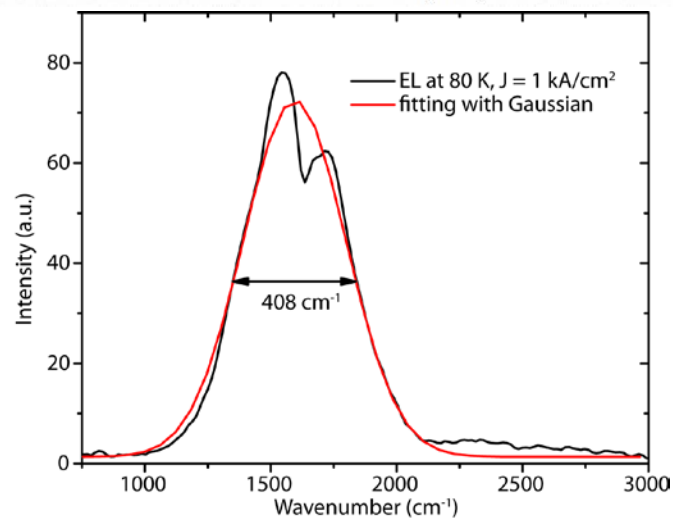
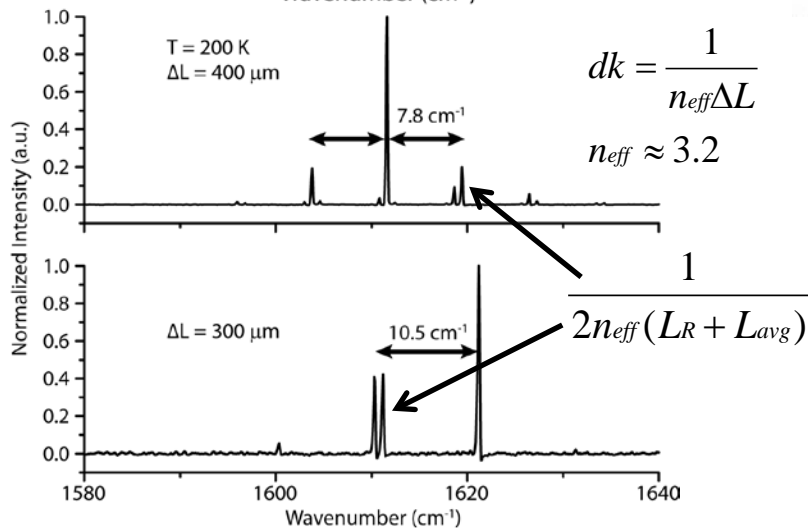
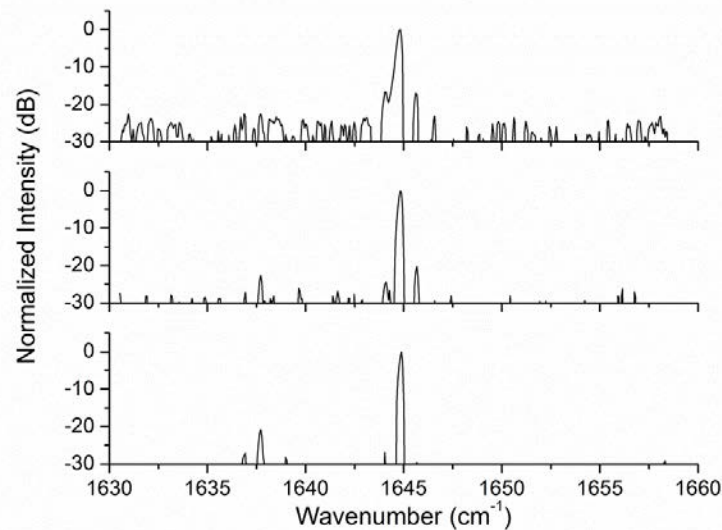
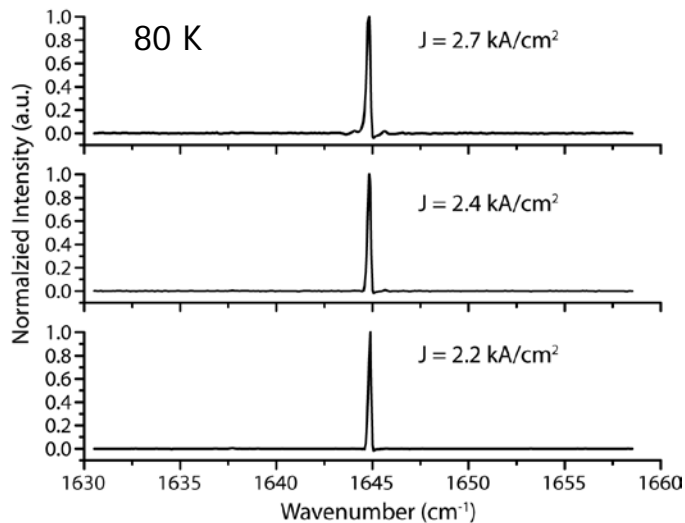
Optimization of Cavity Designs





Device Characterization: Single-mode Operation

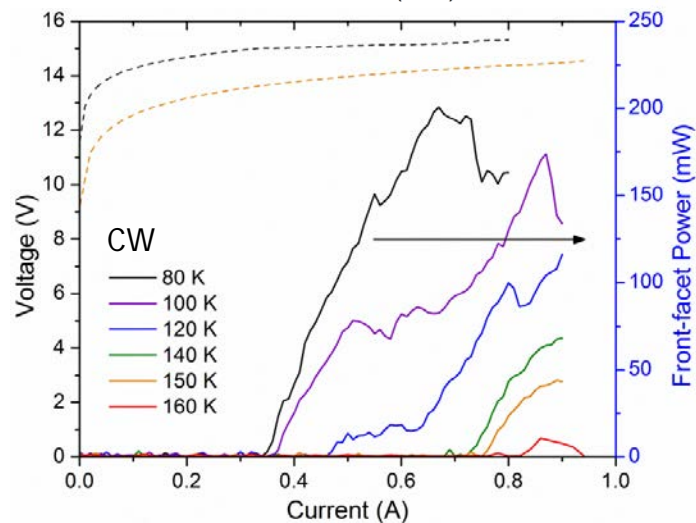
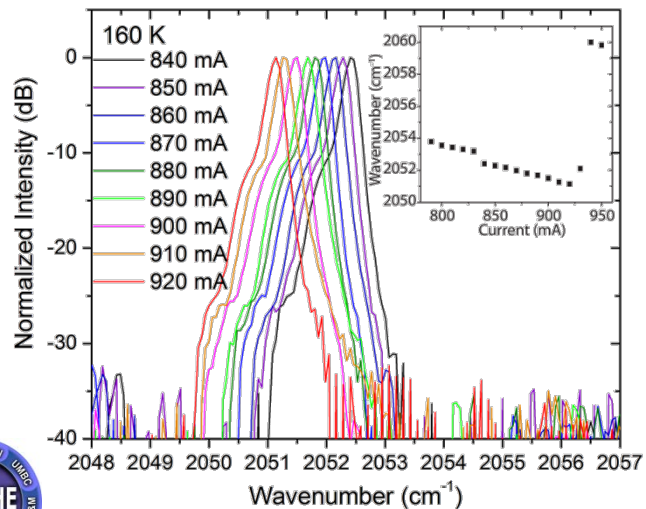
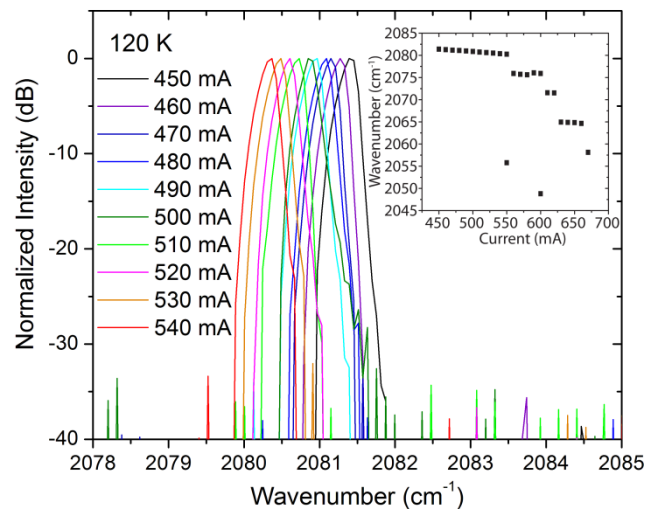
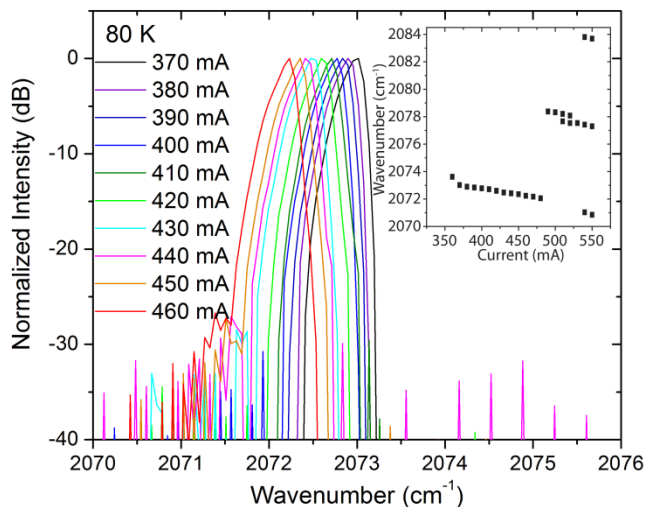
$\Delta L = 400 \mu\text{m}$, total length $\sim 1.4 \text{ mm}$





Single-mode Wavelength Tuning: CW Current Tuning

$\Delta L = 450 \mu\text{m}$, device total length $\sim 2.4 \text{ mm}$, back-facet HR coating





New directions in systems implementations



Yu Song^{1*}, Sergey B. Mirov², Claire F. Gmachl¹, and Jacob B. Khurgin³



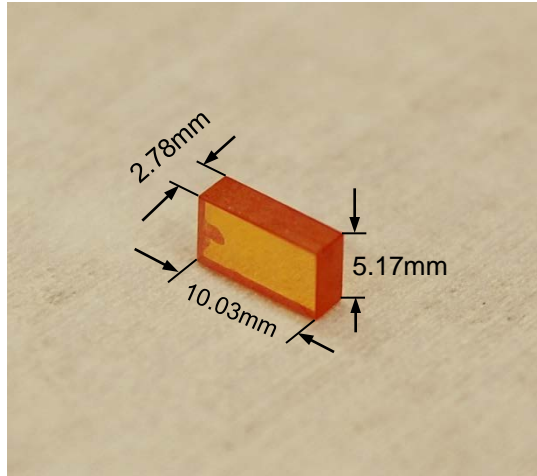
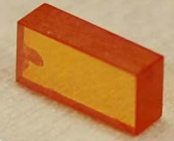
¹Department of Electrical Engineering, Princeton University, Princeton, NJ 08544

²Department of Physics, University of Alabama at Birmingham, 421 Campbell Hall, 1300 University Blvd. Birmingham, AL 35294

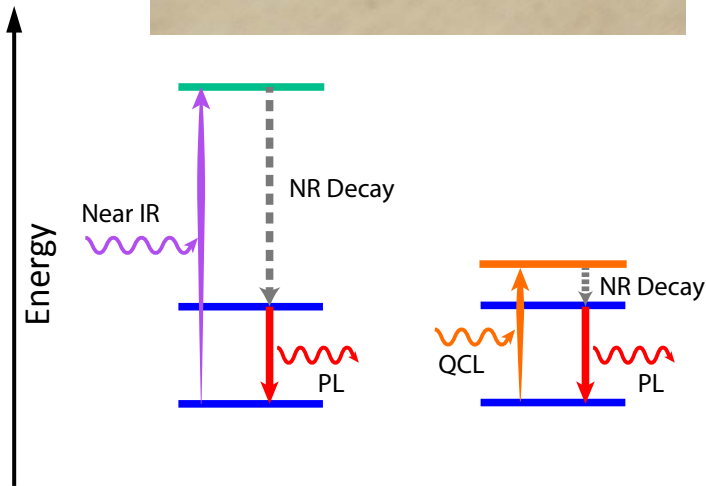
³Department of Electrical and Computer Engineering, Johns Hopkins University, Baltimore, MD 21218



Introduction & Sample



- Fe²⁺ doped ZnSe polycrystal
 - Chemical vapor transport growth technique
 - Post-growth thermal diffusion doping
 - Fe²⁺ ($1.5 \times 10^{19} \text{ cm}^{-3}$).
 - The sample was cut and polished



■ Growth: Prof Sergey B. Mirov







Field Campaign in Ghana 2009 /2010



Fishing village of Elmina



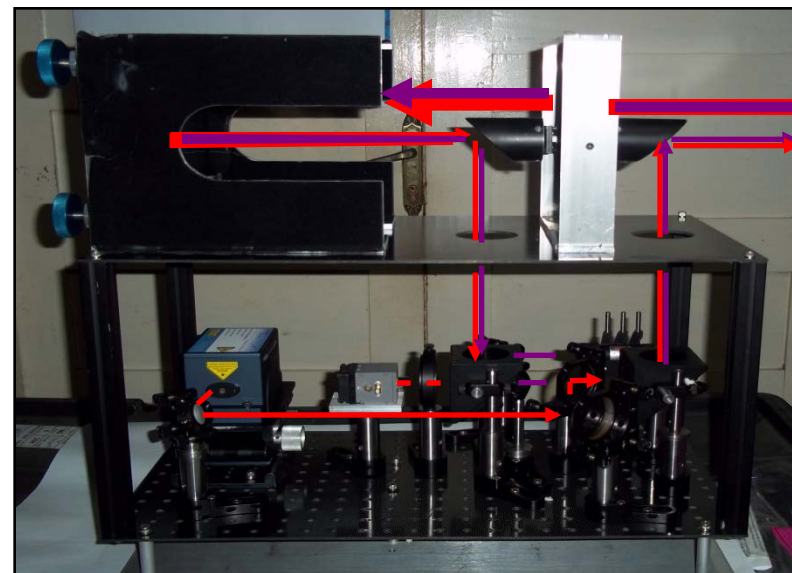
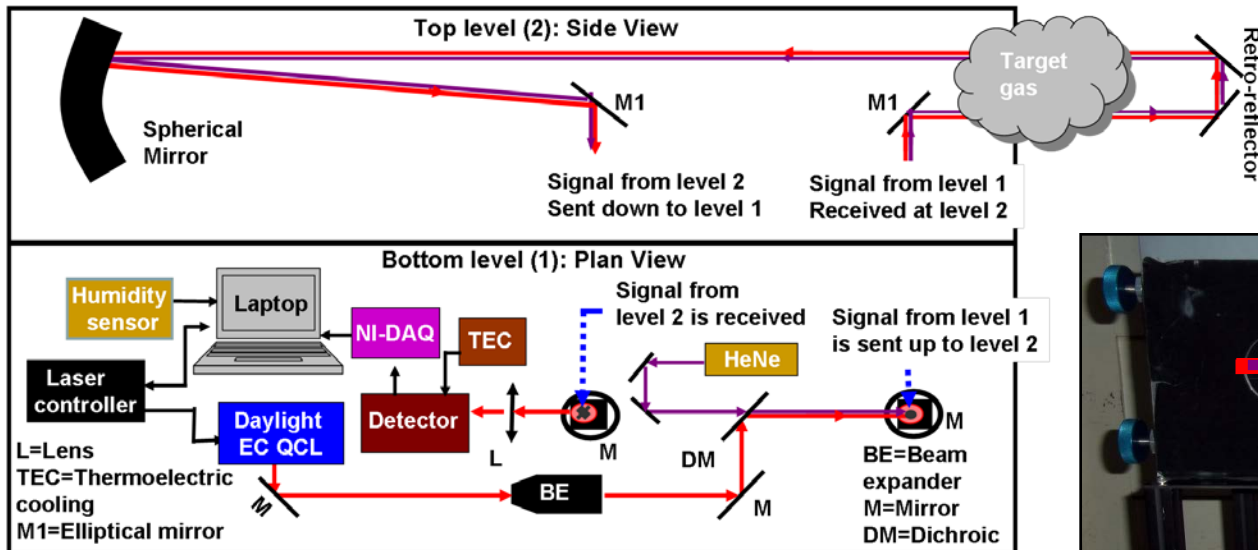
Wood pile used in fish smoking



Chorkor Smoker- oven used in fish smoking



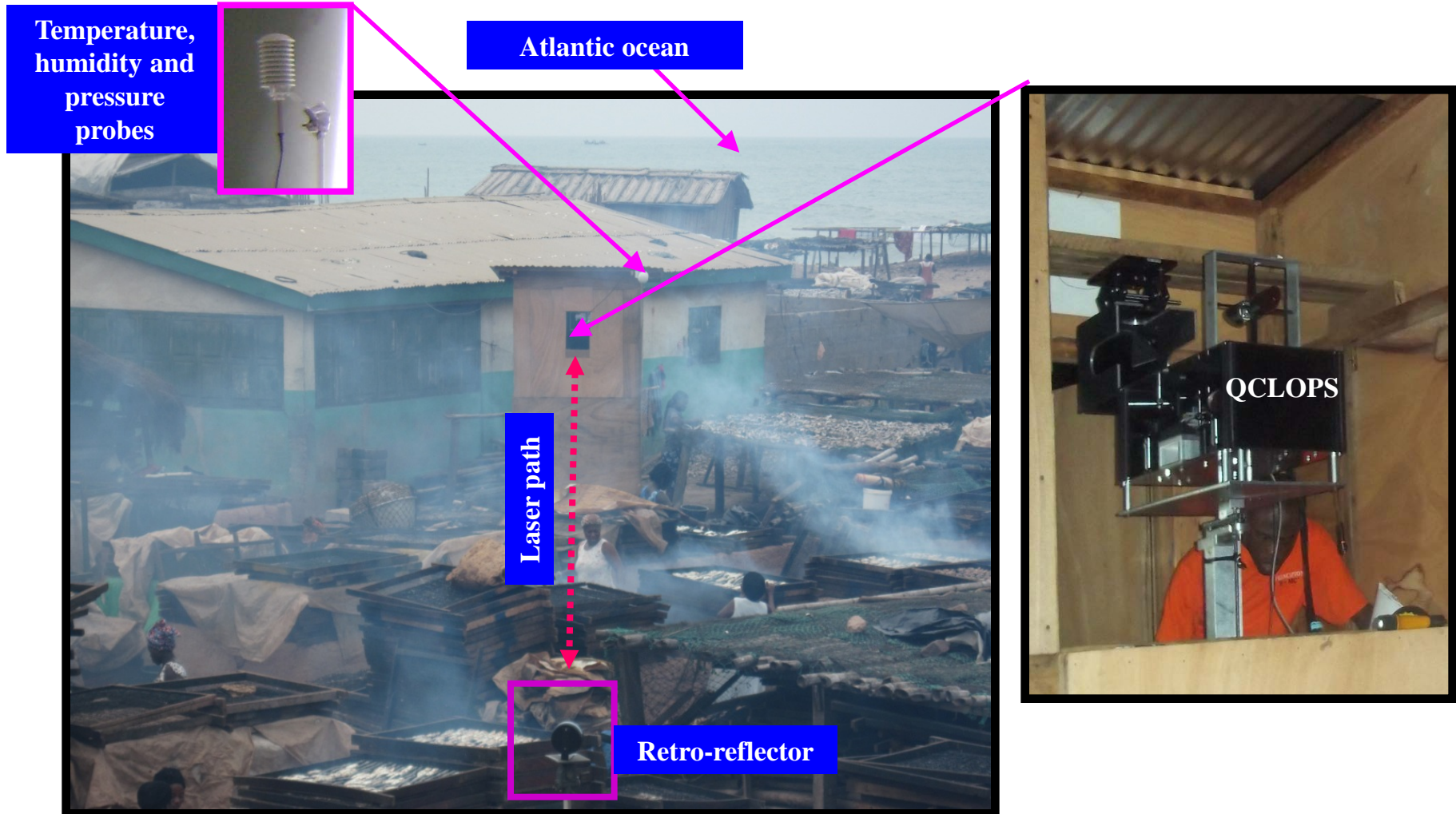
2010 QCLOPS Sensor Setup



2 x 1 ft

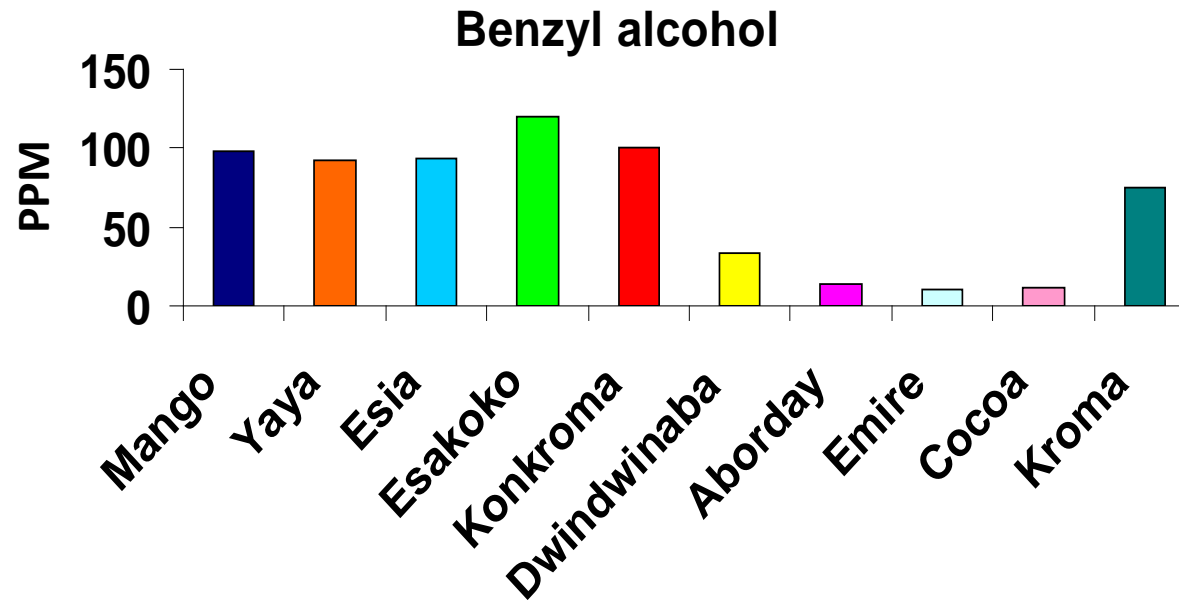
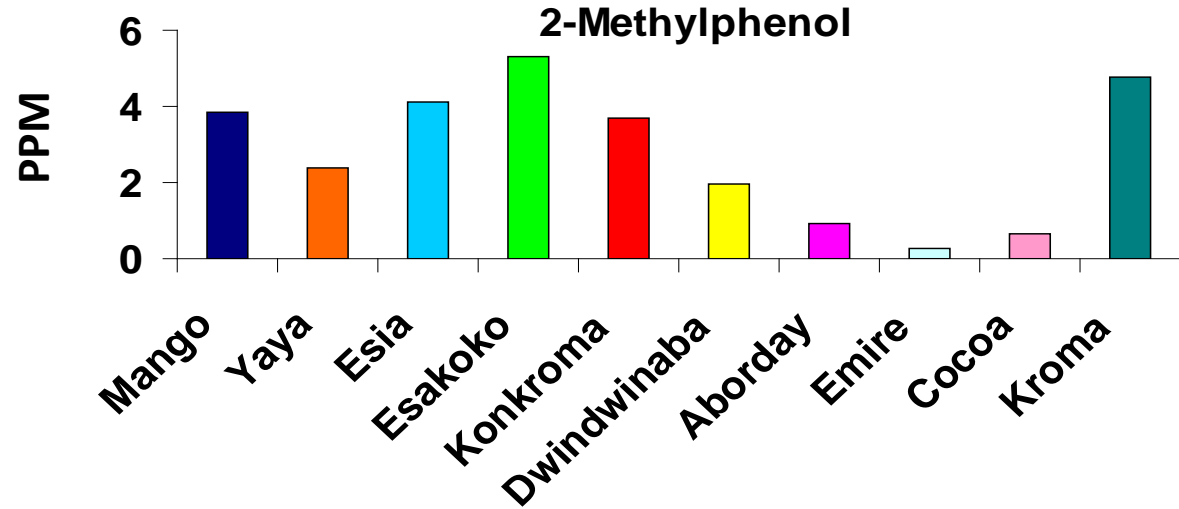
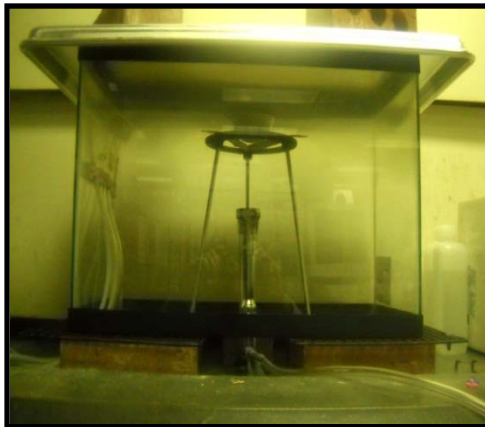


2010 Field Campaign - Elmina



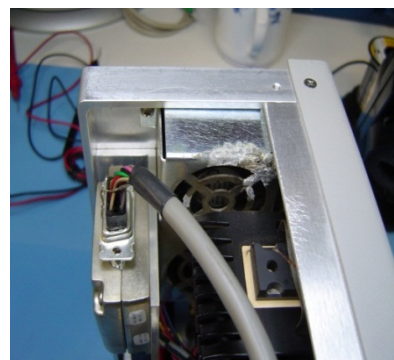
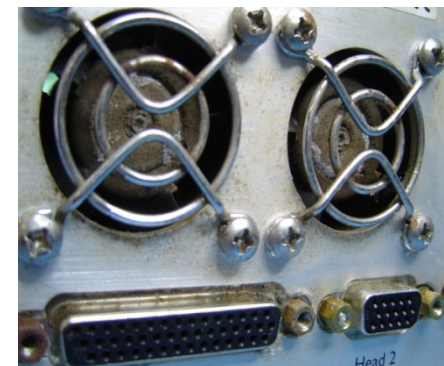
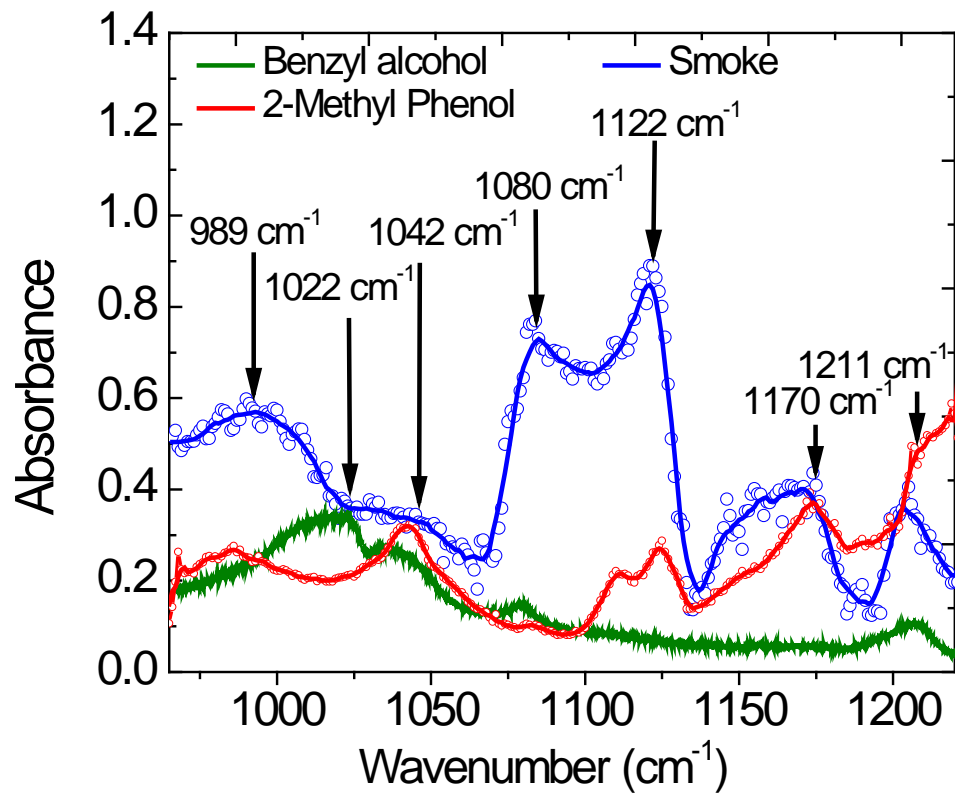


2010 Wood / Smoke Analysis





2010 Field Work – Results, ...



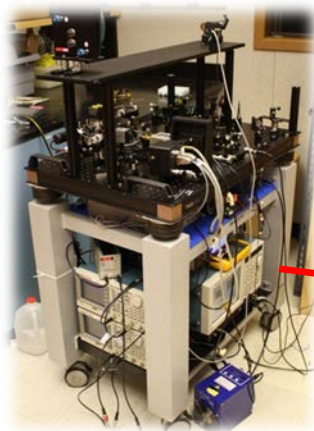
A Multi-Sensor Field Deployment for Assessing Anthropogenic Influences on Carbon, Nitrogen and Water Cycling

Two field deployments:

Part I: October 24-November 2, 2011; part II: April 13-25, 2012

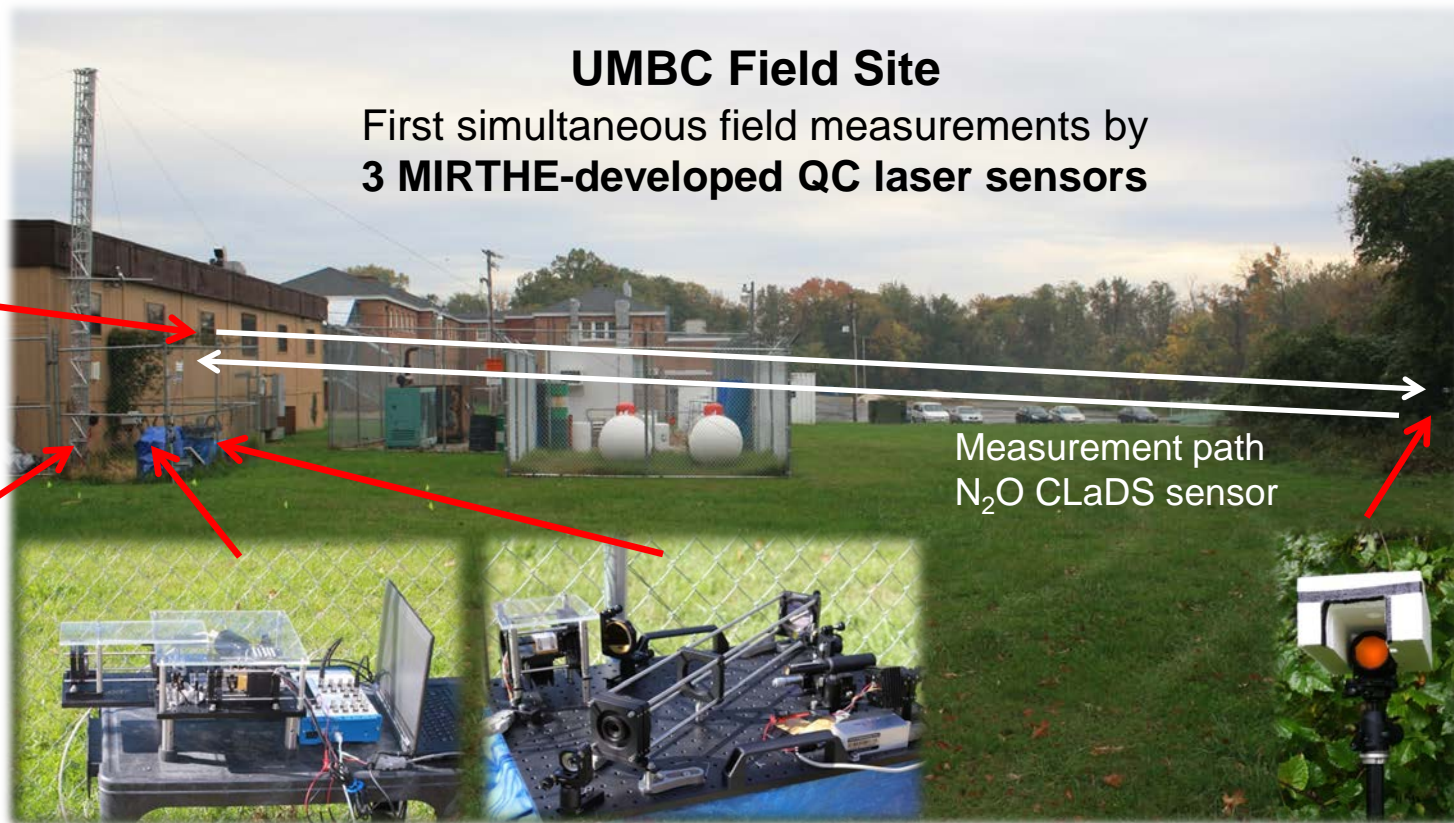
David Miller et al.

N_2O



UMBC Field Site

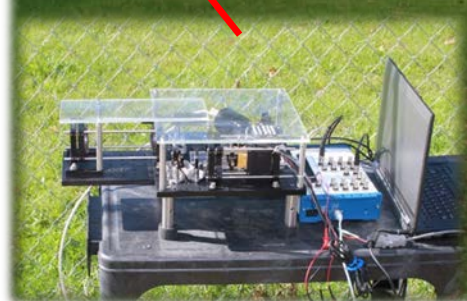
First simultaneous field measurements by
3 MIRTHE-developed QC laser sensors



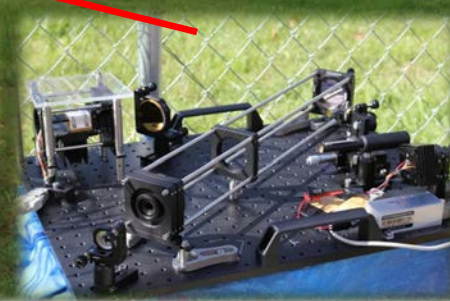
Measurement path
 N_2O CLaDS sensor



CO_2/CH_4



N_2O/CO



NH_3



Retro-reflector

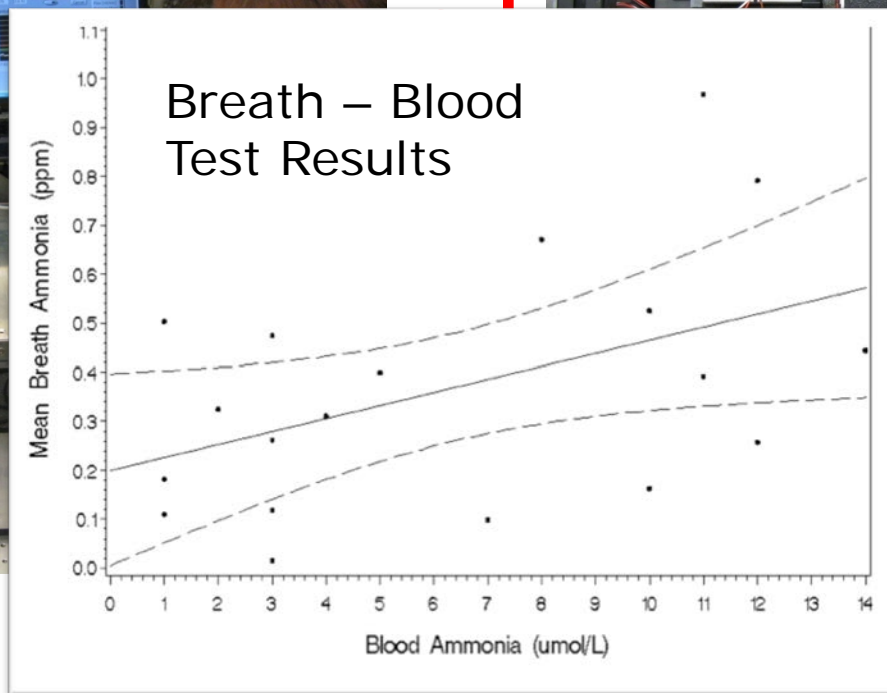
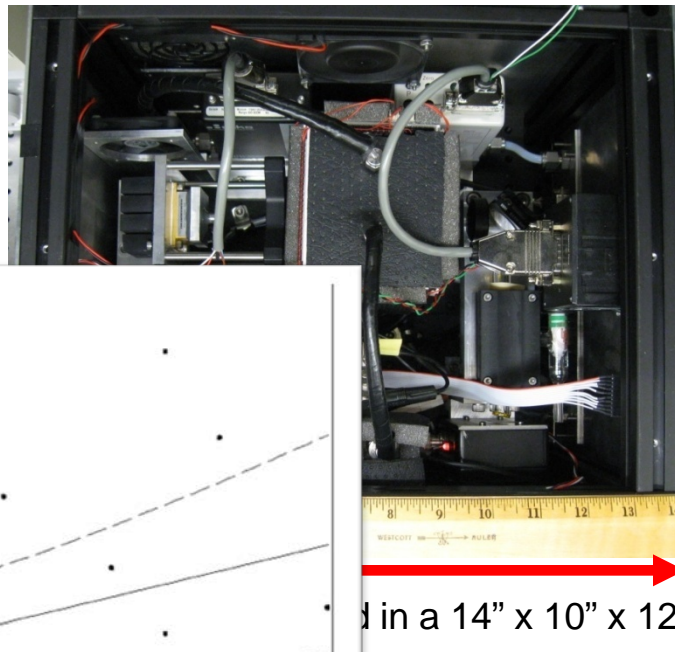
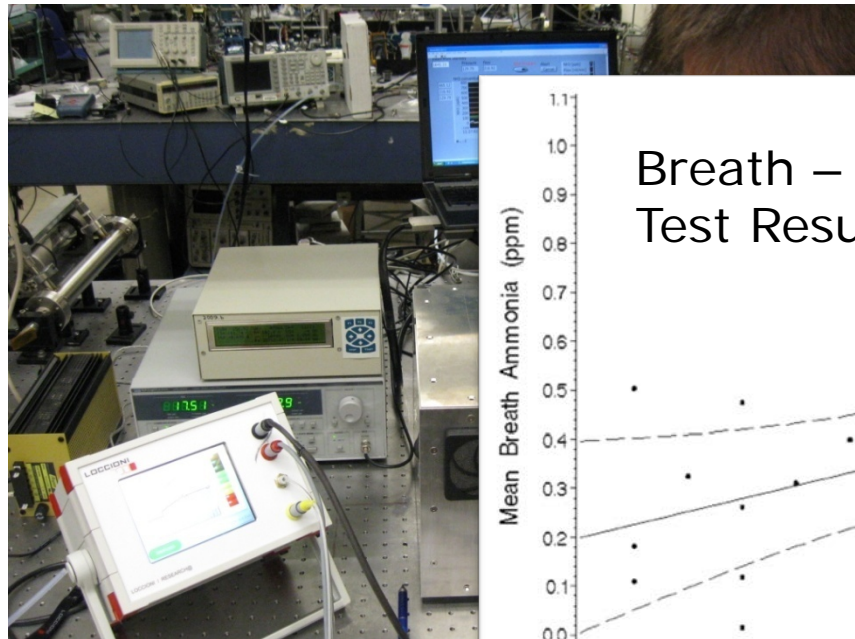
Collaborations:

Prof. Claire Welty, Chemical, Biochemical, and Environmental Engineering, UMBC and Baltimore Ecosystem Study

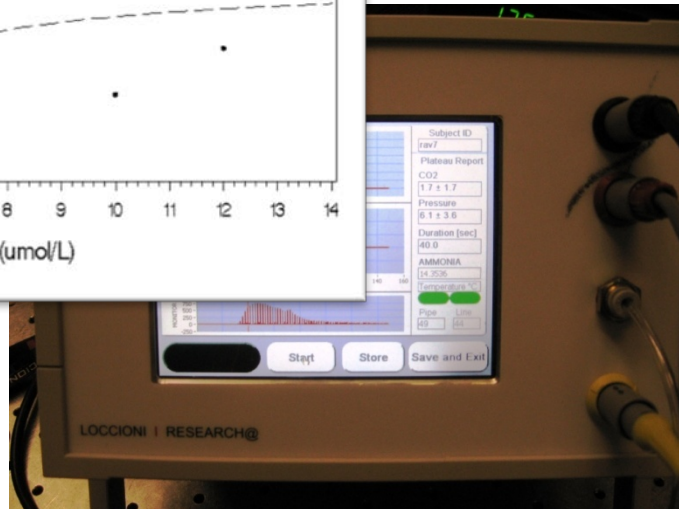


Ammonia in Breath

Prof. Frank Tittel, Rice U.
Dr. Steve Solga, M.D., St. Luke's



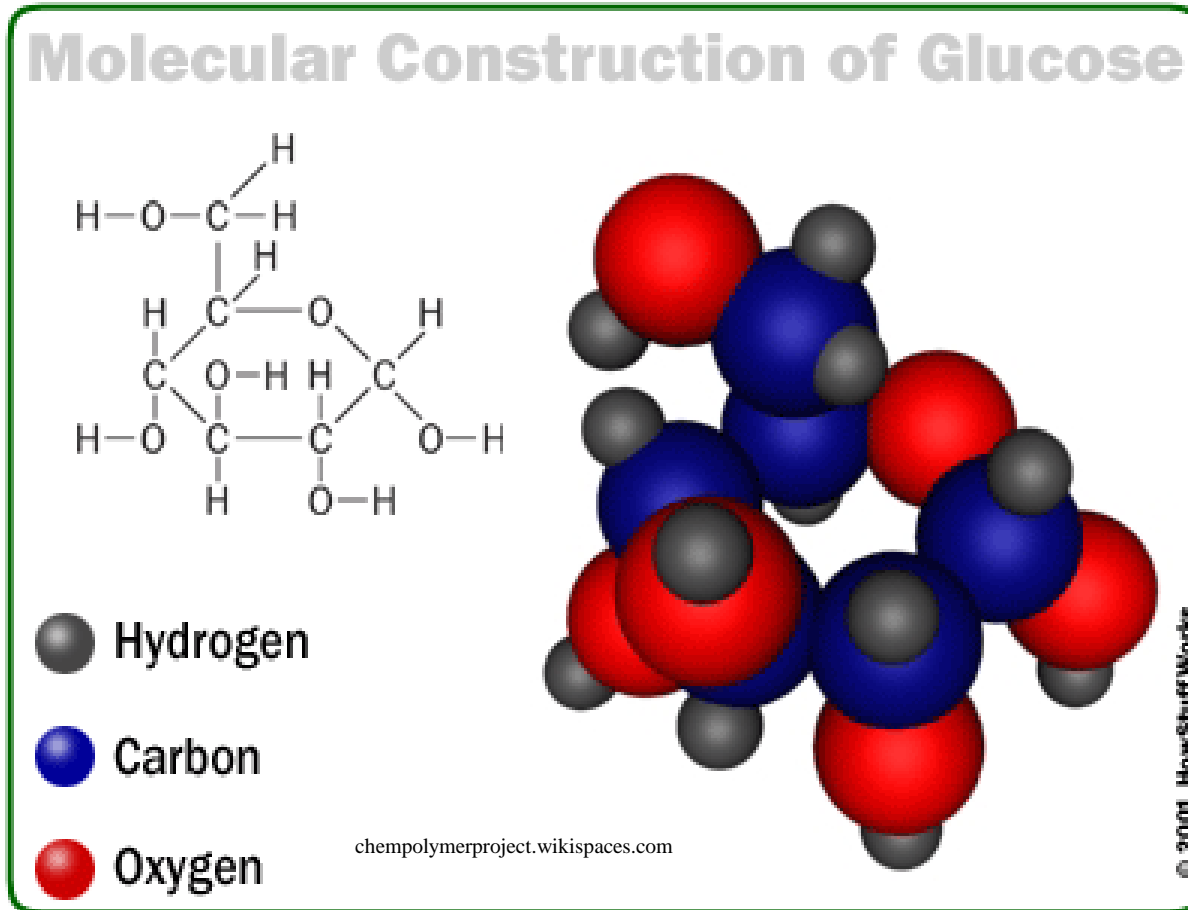
in a 14" x 10" x 12" box.



Breath analyzer from Loccioni

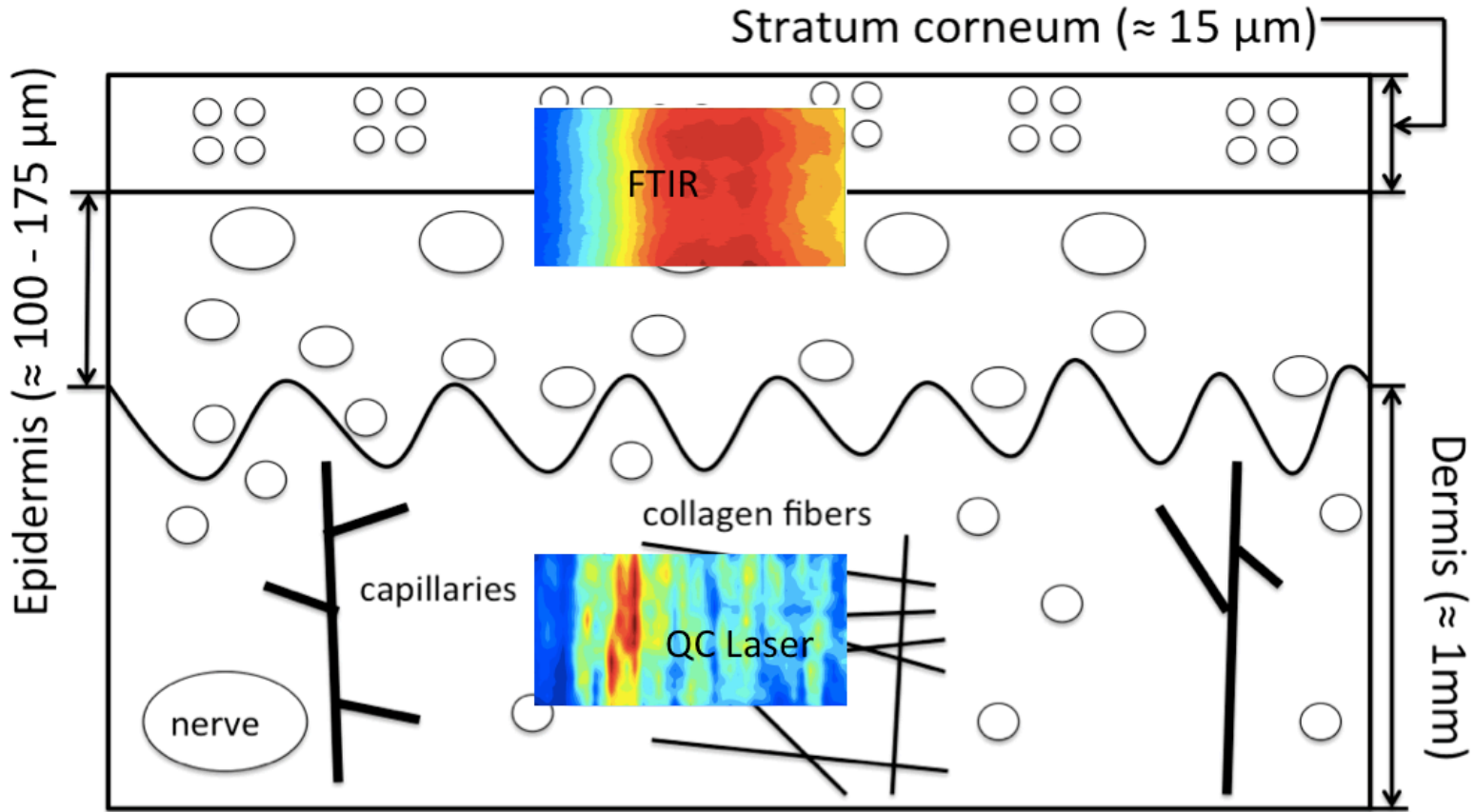


Non-Invasive Glucose Detection





Scatterers in Skin



S. Liakat et al.





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