



# A typical medium approach to Anderson localization in correlated systems.



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# Outline

- Models for strongly correlated electron systems
- Review of DMFT and cluster approaches
- Anderson localization
- Extending DMFT: Typical medium theory
- Disordered Hubbard model – Do interactions and disorder co-operate or compete?
- Extending DCA: Typical medium DCA
- Benchmarks
- Metal-Insulator-Transition in a Weakly interacting Disordered Electron System



# Minimal Models

## Hubbard model

$$\hat{H} = - \sum_{\langle ij \rangle, \sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.}) + \epsilon_d \sum_{i\sigma} c_{i\sigma}^\dagger c_{i\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

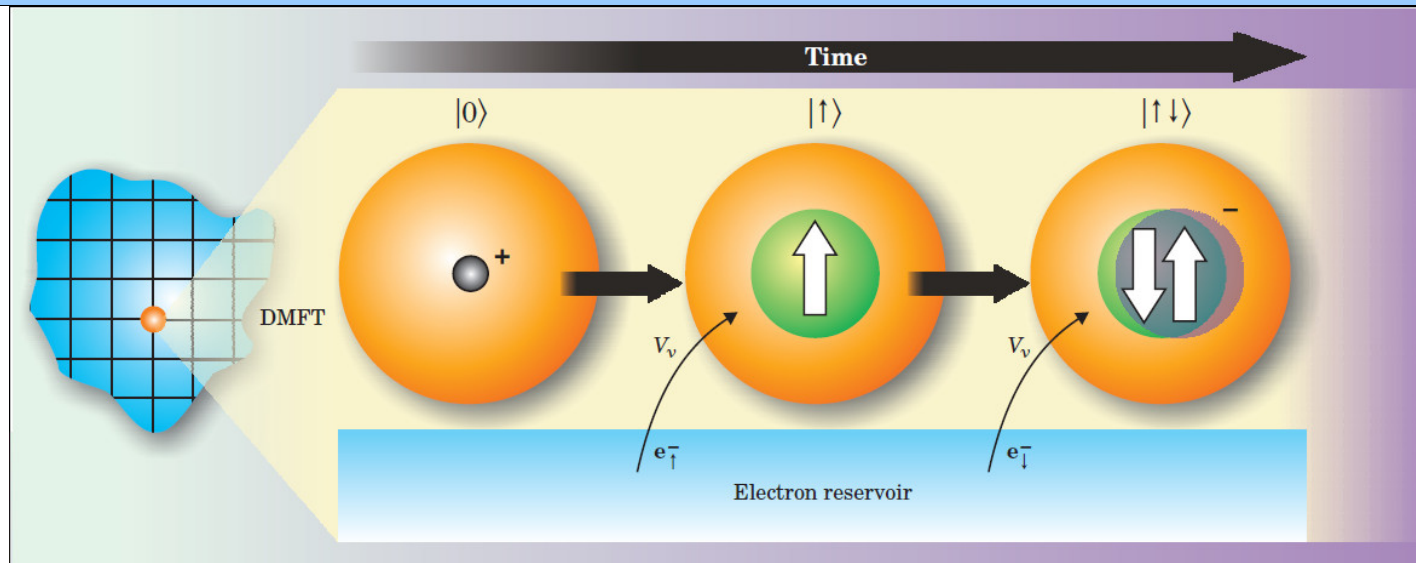
## Periodic Anderson Model

$$\begin{aligned} \hat{H} = & \epsilon_c \sum_{i,\sigma} c_{i\sigma}^\dagger c_{i\sigma} - t \sum_{(i,j),\sigma} c_{i\sigma}^\dagger c_{j\sigma} + V \sum_{i,\sigma} (f_{i\sigma}^\dagger c_{i\sigma} + \text{H.c.}) \\ & + \sum_{i,\sigma} \left( \epsilon_f + \frac{U}{2} f_{i-\sigma}^\dagger f_{i-\sigma} \right) f_{i\sigma}^\dagger f_{i\sigma}. \end{aligned}$$



# Dynamical mean field theory

Mean field theory for quantum many body systems on a lattice. Maps lattice models to self-consistent impurity models. Self energy and Vertex function become purely local and momentum independent. Ignores spatial fluctuations but accounts for quantum local temporal fluctuations. Exact in the limit of infinite dimensions.



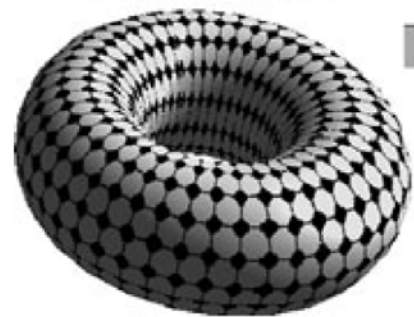


# Beyond DMFT: Cluster approaches

## Cluster-DMFT

## Dynamical Cluster Approximation

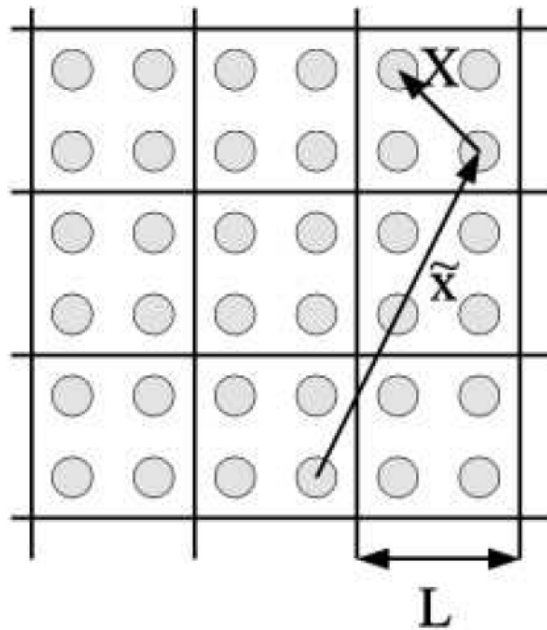
Periodic Lattice



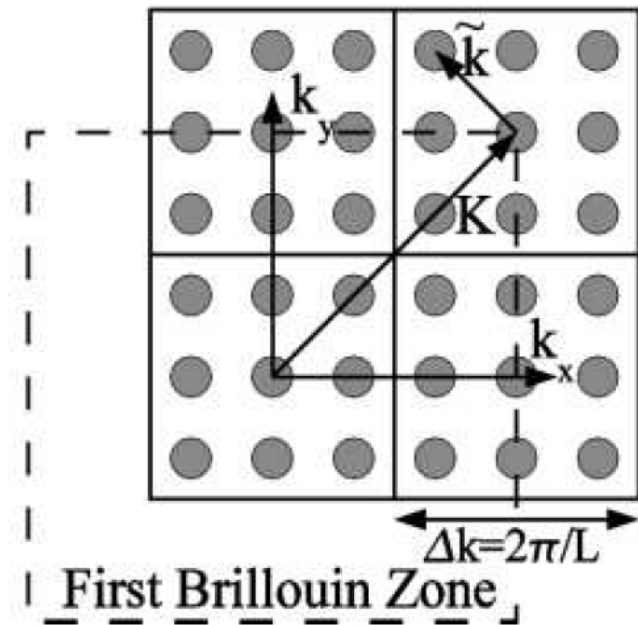
Effective Medium



QMC



$N_c=4$  Real space



$N_c=4$  Momentum space

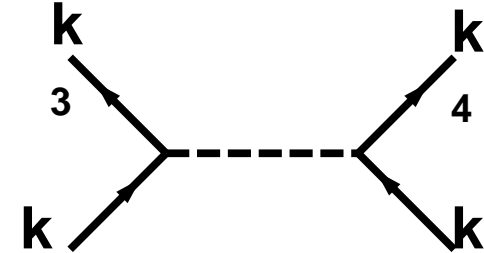


# Momentum space clusters

## - Dynamical Cluster approximation

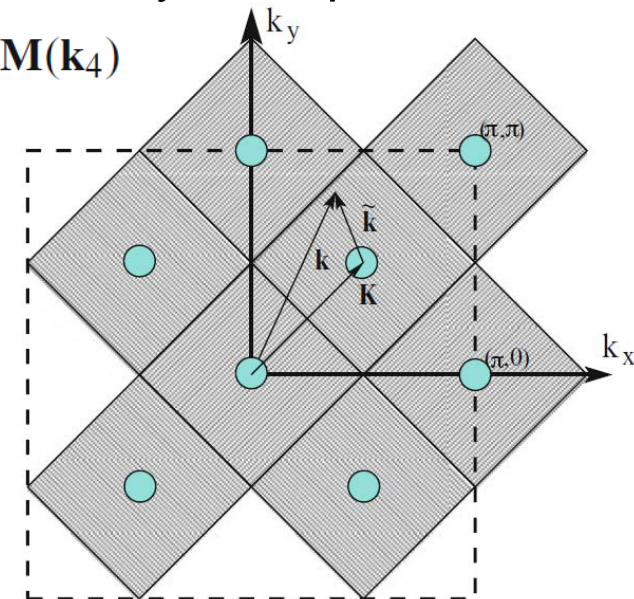
- In an exact theory, the Laue function expressing momentum conservation would be  $\Delta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) = \sum_{\mathbf{r}} \exp[i\mathbf{r} \cdot (\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}_3 - \mathbf{k}_4)]$

$$= N \delta_{\mathbf{k}_1 + \mathbf{k}_2, \mathbf{k}_3 + \mathbf{k}_4},$$



- In the limit of infinite dimensions,  $\Delta_{D \rightarrow \infty}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) = 1 + \mathcal{O}(1/D)$ . hence momentum conservation can be ignored.
- In the dynamical cluster approximation, the Laue function may be expressed as  $\Delta_{\text{DCA}}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) = N_c \delta_{\mathbf{M}(\mathbf{k}_1) + \mathbf{M}(\mathbf{k}_2), \mathbf{M}(\mathbf{k}_3) + \mathbf{M}(\mathbf{k}_4)}$
- M(k)**: mapping of **k** to the cluster momentum **K**
- The Green's function is given by

$$G(\mathbf{k}, z) = \frac{1}{z - (\epsilon_{\mathbf{k}} - \mu) - \bar{\Sigma}(\mathbf{M}(\mathbf{k}), z)}$$





# Comparison of DMFT and Cluster approaches

Do we incorporate?	DMFT	DCA
Strong interaction physics	✓	✓
Multiple orbitals effects	✓	✓
Real material aspects	✓	✓
Non-local dynamical fluctuations	✗	✓
Low dimensional physics	✗	✓
Symmetry broken phases with complex order parameters	✗	✓
True phase transitions (i.e avoid spurious ones)	✗	✓
Anderson Localization	✗	✗

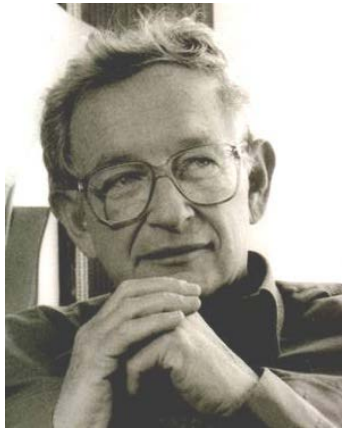


What is Anderson localization (AL) and how do we investigate AL theoretically?

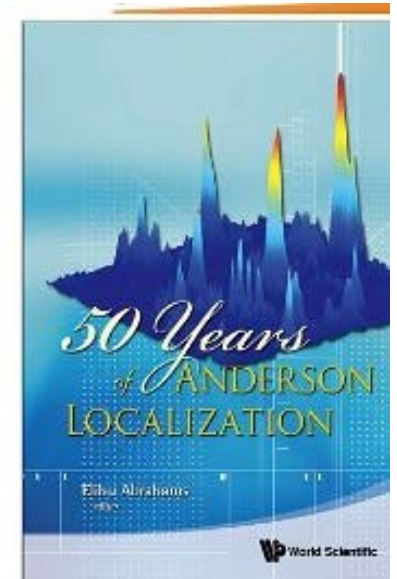




# Anderson Localization



1958 – Absence of diffusion in certain random lattices –  
Physical Review **109** 1492-1505.



Ed. E. Abrahams, (2010).

Hamiltonian

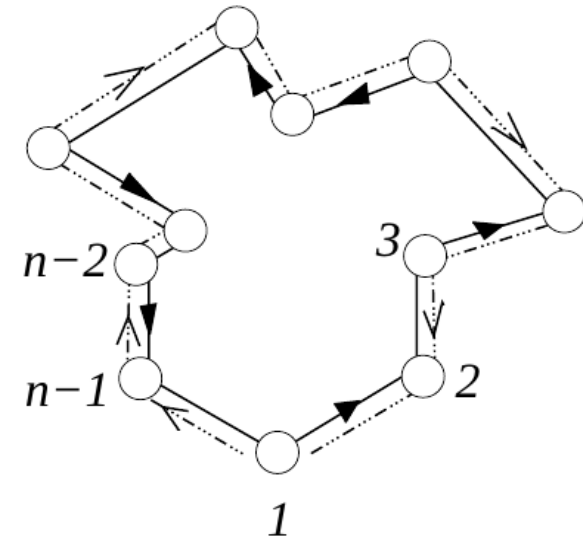
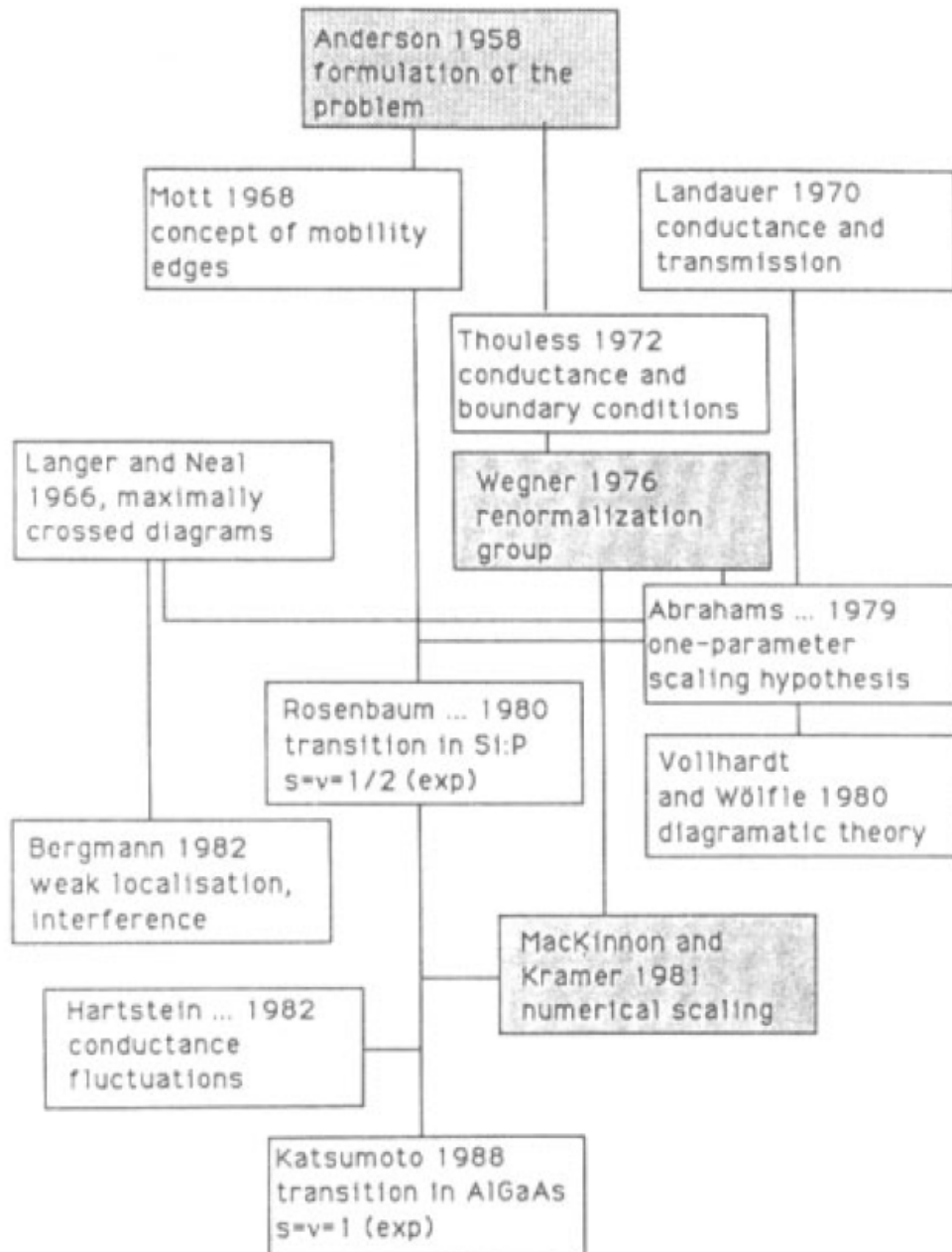
n

$$\hat{H}_A \equiv \hat{H}_{dis} + \hat{H}_{kin} = \sum_{i\sigma} \varepsilon_i c_{i\sigma}^\dagger c_{i\sigma} - t \sum_{\langle i,j \rangle, \sigma} c_{i\sigma}^\dagger c_{j\sigma}.$$

**Conclusion:** Disorder induced metal-insulator transition



# Anderson Localization



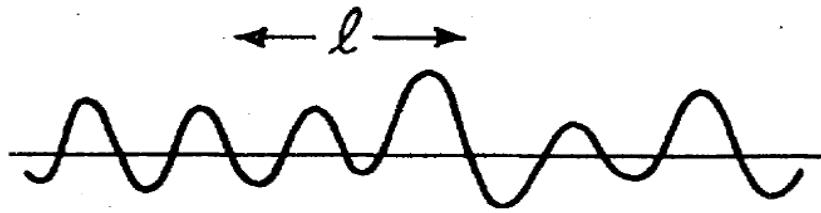
**Coherent backscattering:** Time reversed paths interfere constructively leading to finite return probability => Localization.

Kramer, B. and MacKinnon, A. Localization: theory and experiment. Rep. Prog. Phys. 56, 1469–1564 (1993)

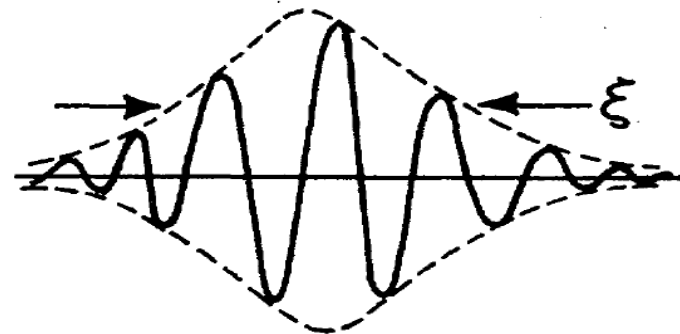


# Anderson Localization

- Gapless spectrum with localized states at the chemical potential
- Anderson insulator is distinct from band or Mott insulators which are either due to band-filling or interaction-induced and have a gapped spectrum.
- Systems – Heavily doped semiconductors Si:P, a-Si, quantum Hall systems



Extended state with mean free path  $\ell$



Localized state with localization length  $\xi$



# Anderson Localization

Localized state wavefunctions have a complex spatial structure and exhibit multifractality

$$P_q = \int d^d r |\psi(\mathbf{r})|^{2q}$$

Inverse participation ratio

$$\langle P_q \rangle \sim \begin{cases} L^0 \\ L^{-\tau_q} \\ L^{-d(q-1)} \end{cases}$$

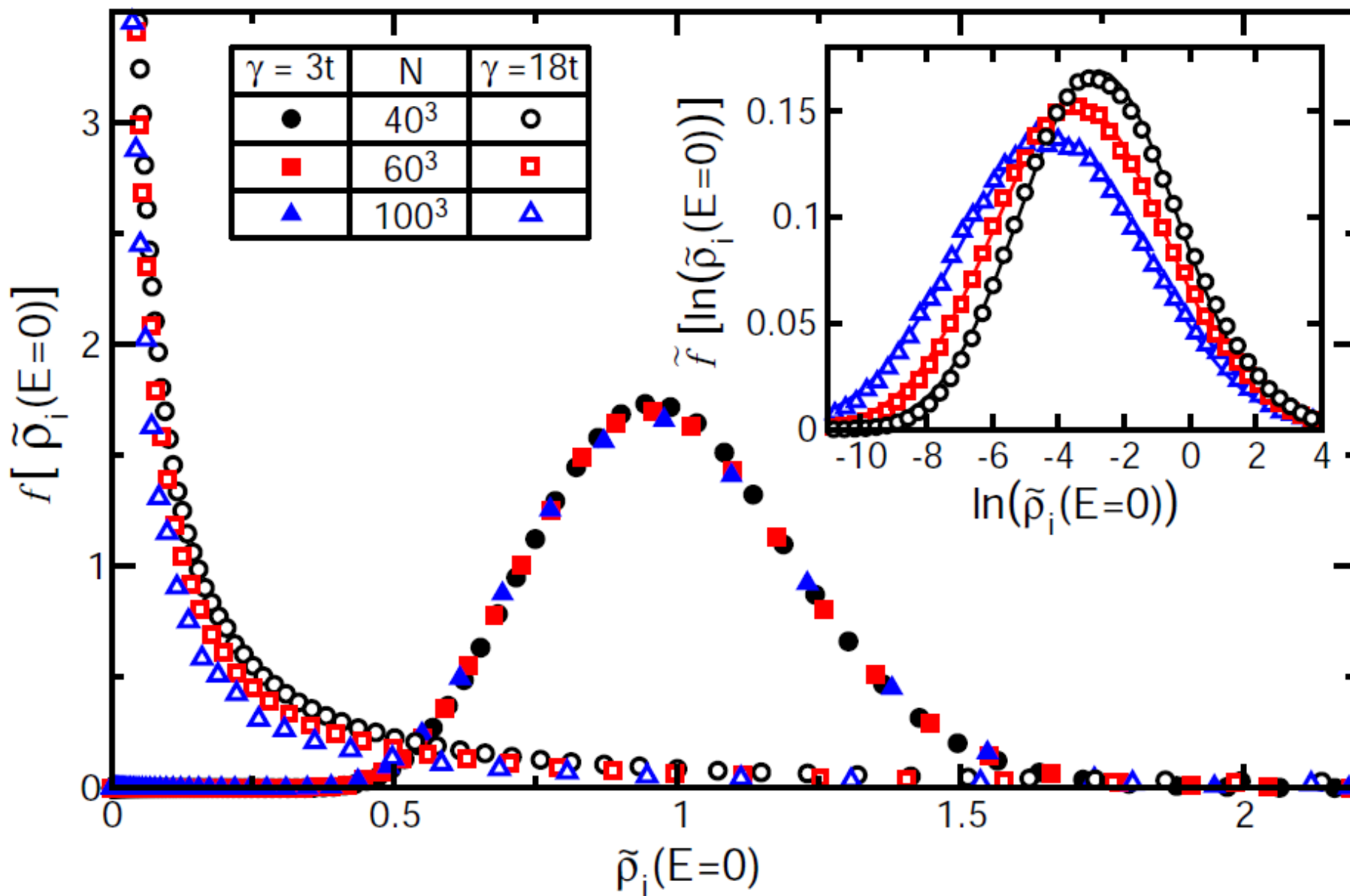
Insulator

**Critical**  $\tau_q = \underbrace{d(q-1)}_{\text{normal}} + \underbrace{\Delta_q}_{\text{anomalous}} \equiv D_q(q-1)$

Metal

Local density of states

# Local DoS

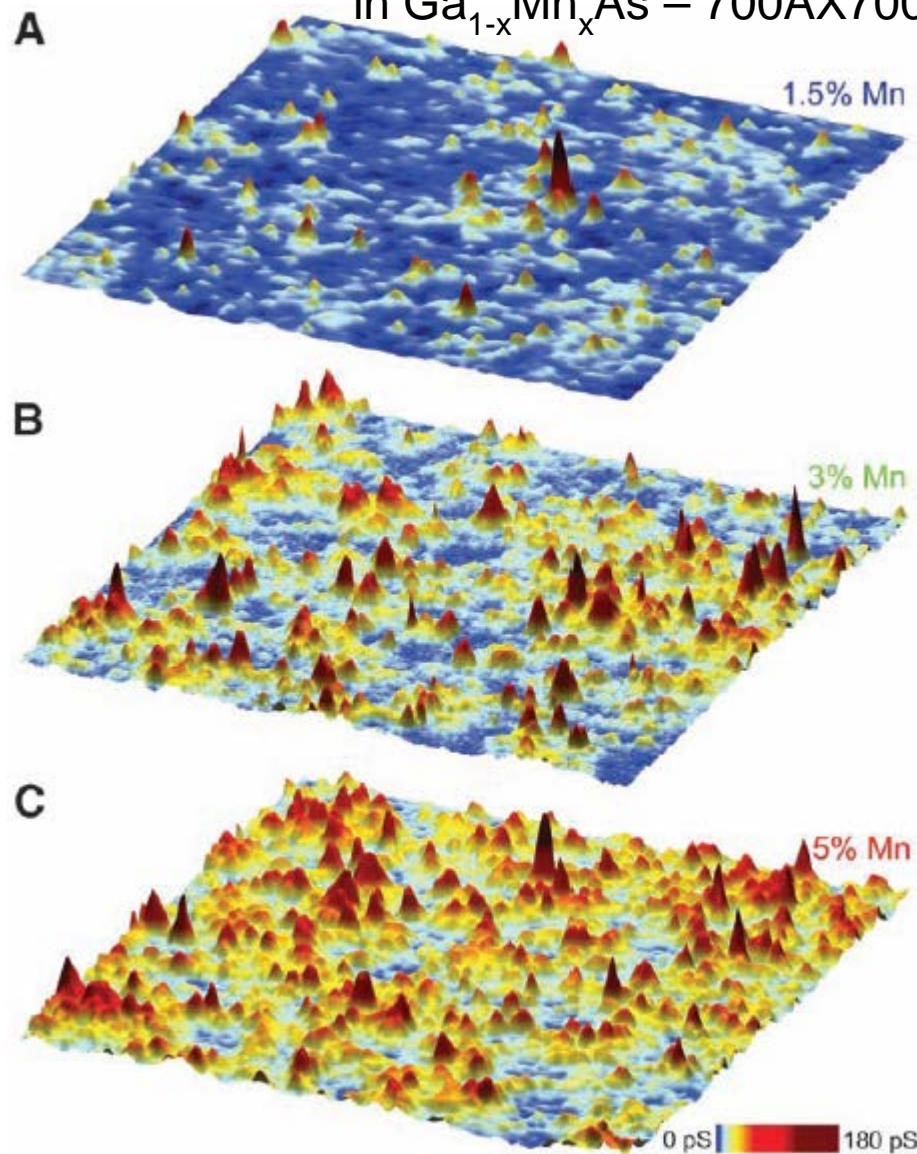
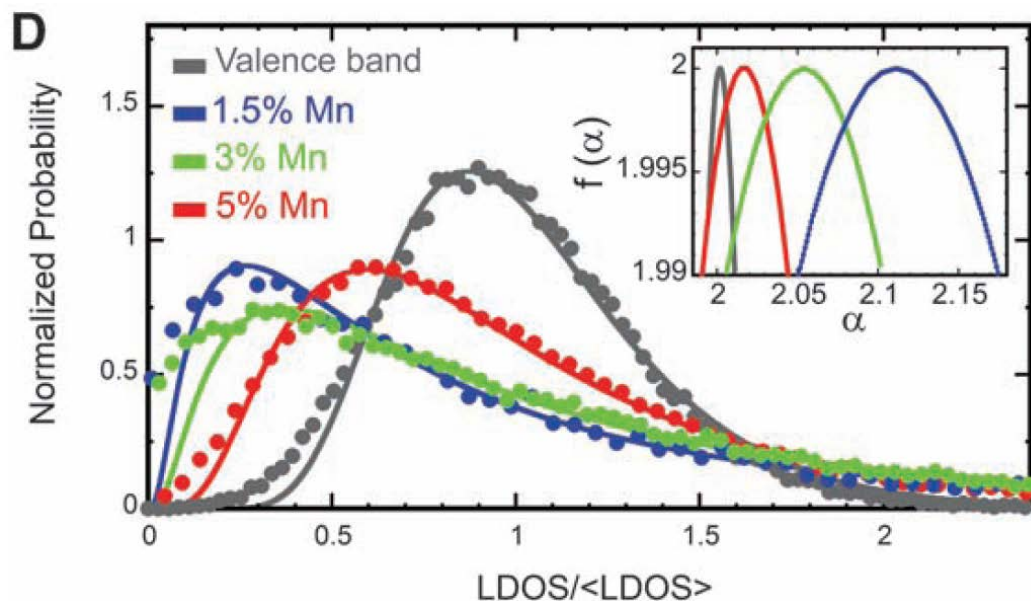






# Anderson localization - multifractality

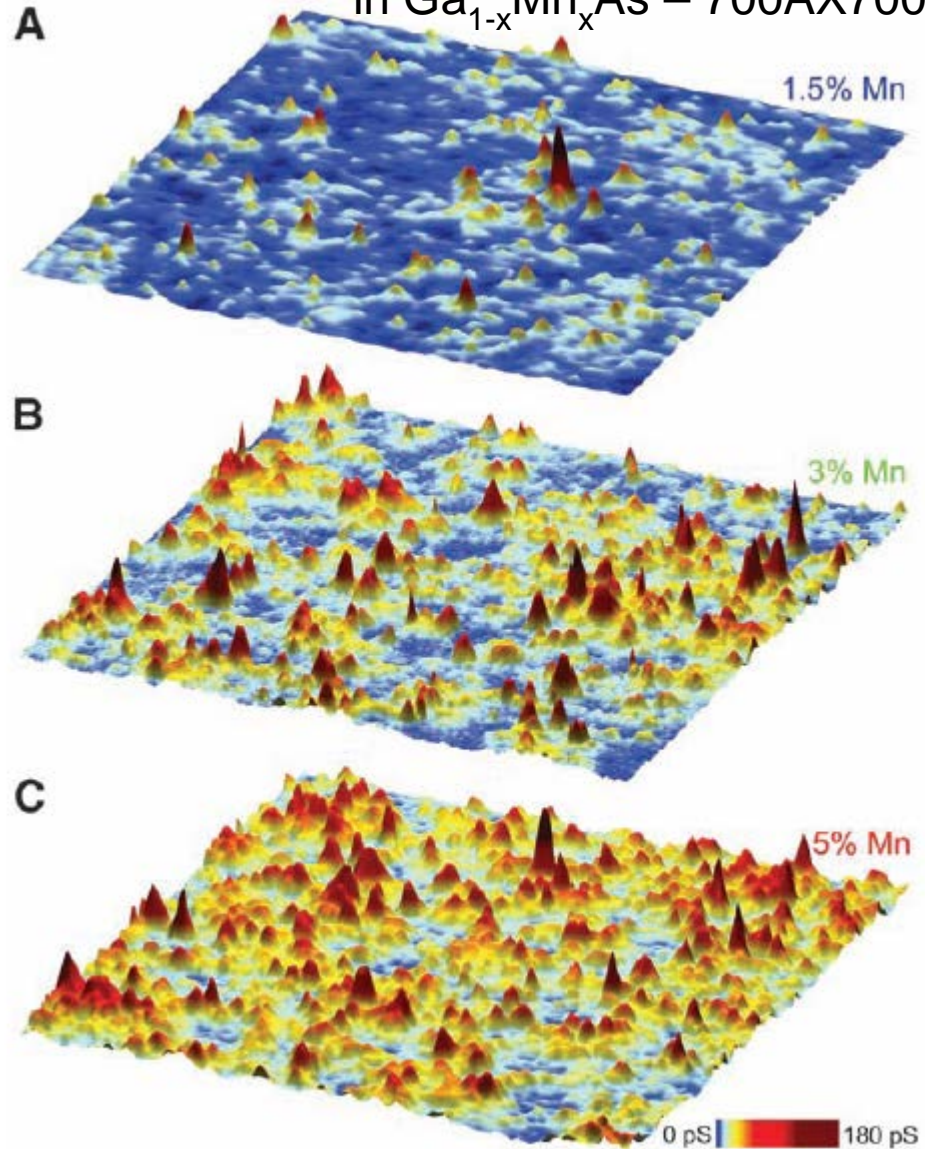
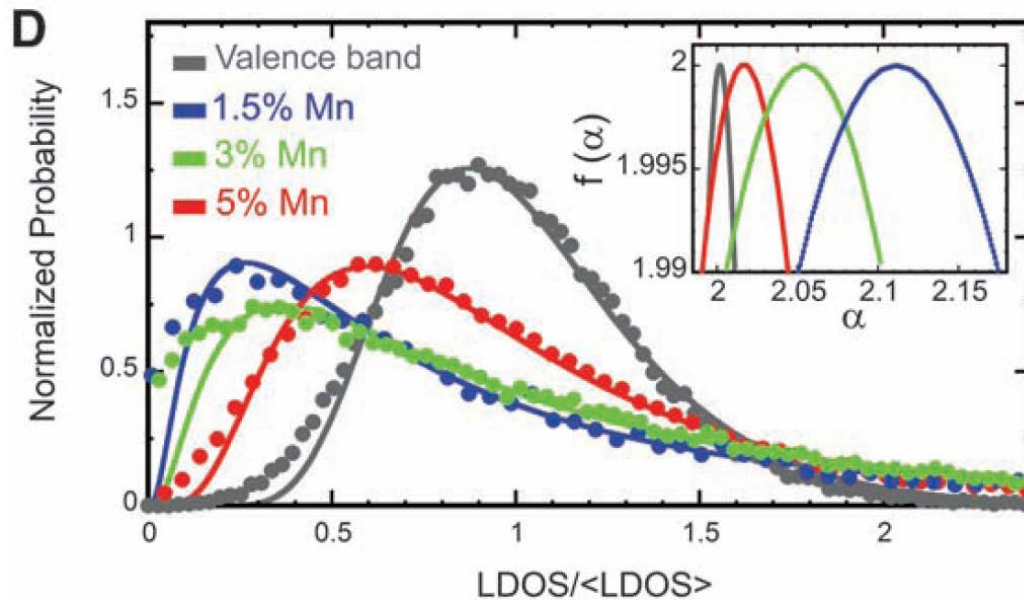
Spatial variation of the local dos  
in  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$  –  $700\text{\AA} \times 700\text{\AA}$





# Anderson localization - multifractality

Spatial variation of the local dos  
in  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$  –  $700\text{\AA} \times 700\text{\AA}$



**Rethink disorder averaging**



# Theoretical approaches

- Exact Diagonalization
- Kernel Polynomial Expansion
- Transfer matrix method

Need large lattices for any reasonable accuracy

Almost impossible to incorporate interaction

- Scaling and RG based methods: Perturbative and weak disorder





# Extending DMFT: Typical medium theory

Initial Hybridization

Given a hybridization function;  
Solve N impurity problems -  $e_i$

$\{G_{ii}(\omega)\}$

Get a new typical medium hybridization

$$G_{typ}(\omega) = \frac{1}{\omega + \mu - \Delta_{typ}(\omega) - \Sigma_{TMT}(\omega)}$$

Construct a Typical Green's function

$$\rho_{typ}(\omega) = \exp \left[ \int d\varepsilon_j P(\varepsilon_j) \ln \rho_j(\omega) \right]$$
$$G_{typ}(\omega) = \int_{-\infty}^{\infty} d\omega' \frac{\rho_{typ}(\omega')}{\omega - \omega'}$$

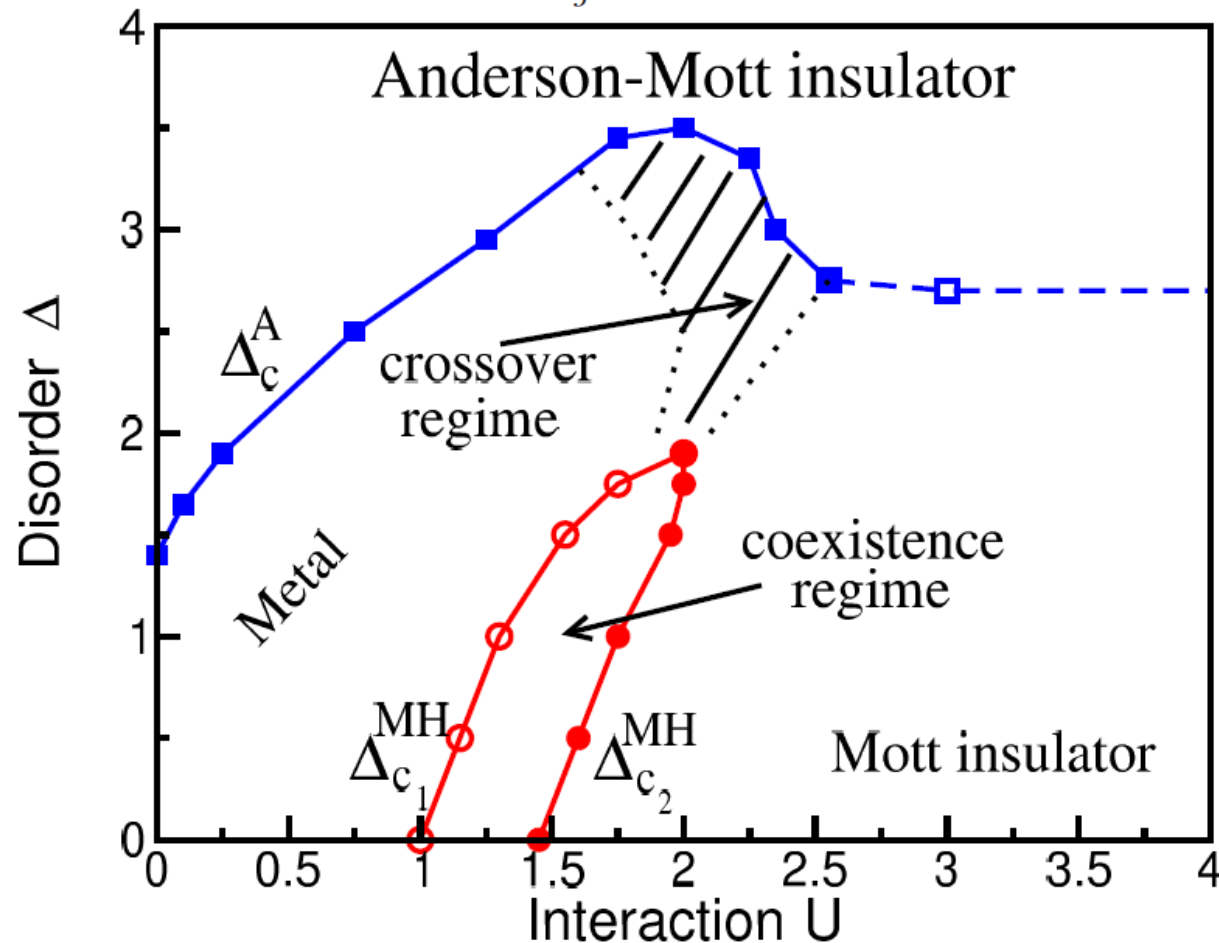
Obtain a typical medium self-energy

$$G_{typ}(\omega) = \frac{1}{N_s} \sum_{\mathbf{k}} \frac{1}{\omega - \varepsilon_{\mathbf{k}} + \mu - \Sigma_{TMT}(\omega)}$$



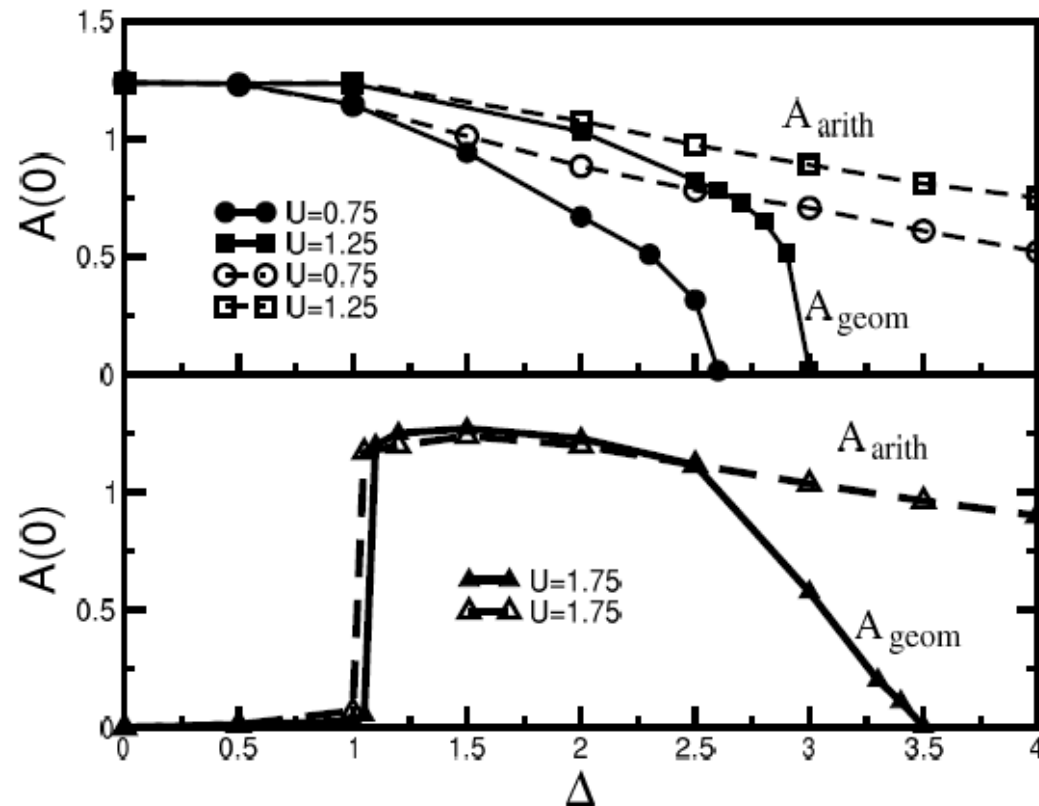
# Anderson-Hubbard model

Hamiltonian: 
$$H = \sum_{ij\sigma} t_{ij\sigma} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{i\sigma} \epsilon_i n_{i\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}.$$

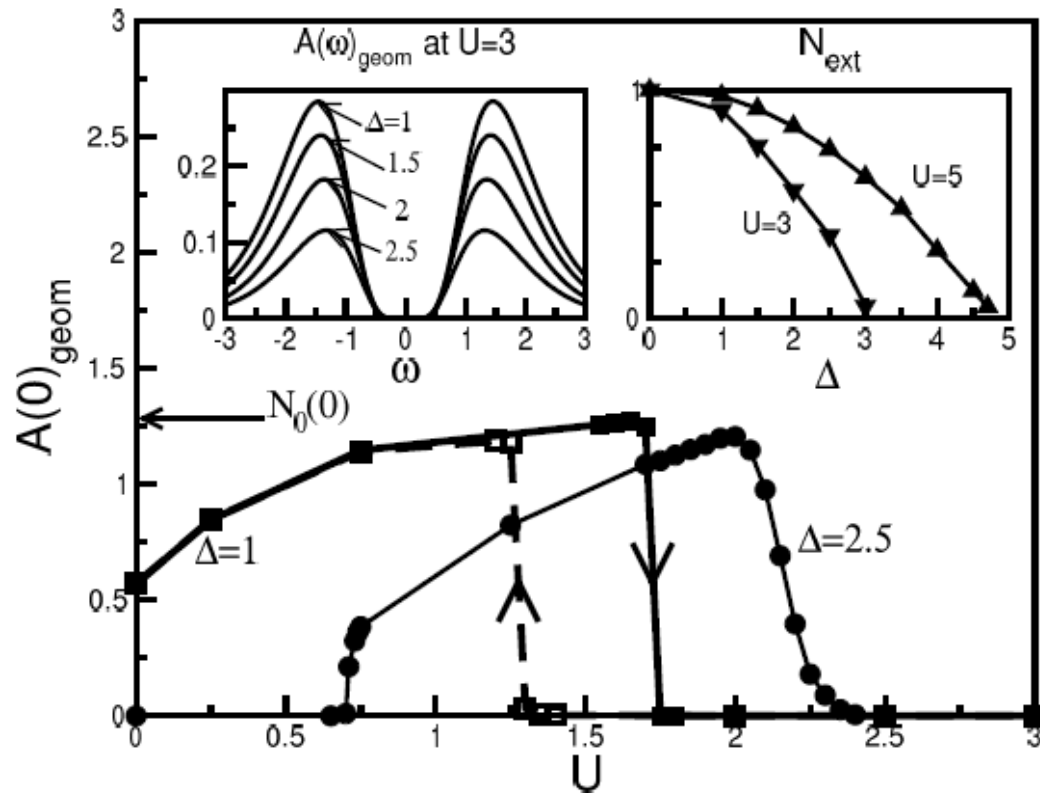




# Interactions+Disorder: Co-operation or Competition



Fixed interactions: Disorder decreases  
Metallicity.



Fixed disorder: Interactions screen disorder  
Initially; Larger  $U$  leads to MIT.



# Extending TMT to clusters

- Typical medium dynamical cluster approximation

## K-dependent typical density of states

$$\rho_{typ}^c(K, \omega) = \exp \left( \overbrace{\frac{1}{N_c} \sum_{i=1}^{N_c} \langle \ln \rho_i^c(\omega, V) \rangle}^{\text{local TDOS}} \right) \underbrace{\left\langle \frac{\rho^c(K, \omega, V)}{\frac{1}{N_c} \sum_i \rho_i^c(\omega, V)} \right\rangle}_{\text{nonlocal}}.$$

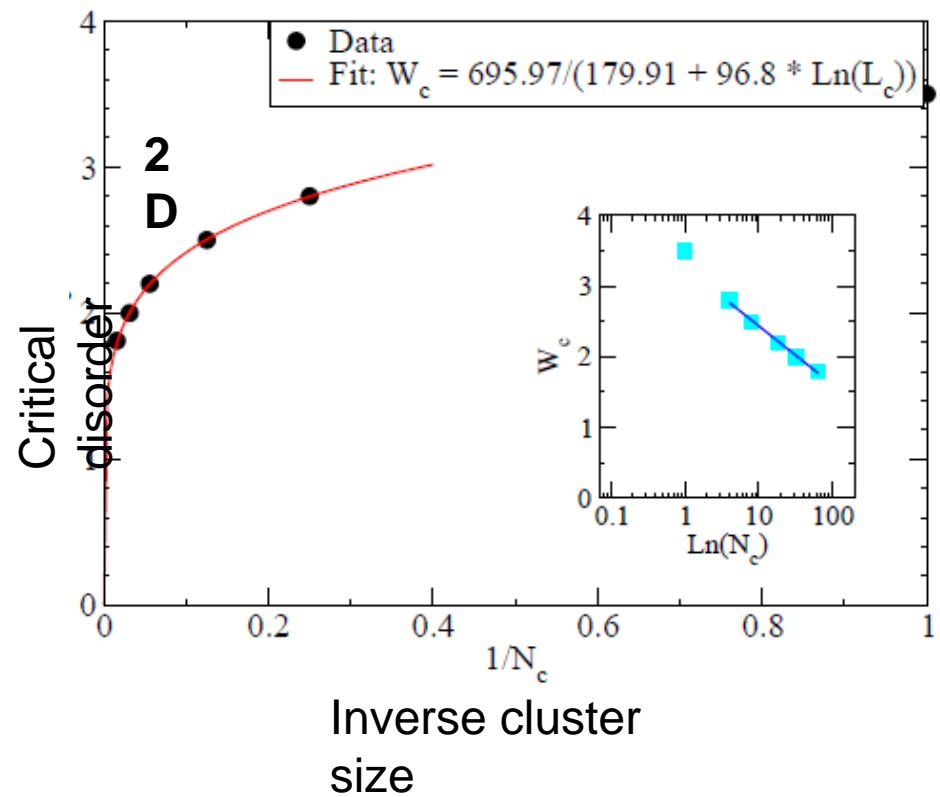
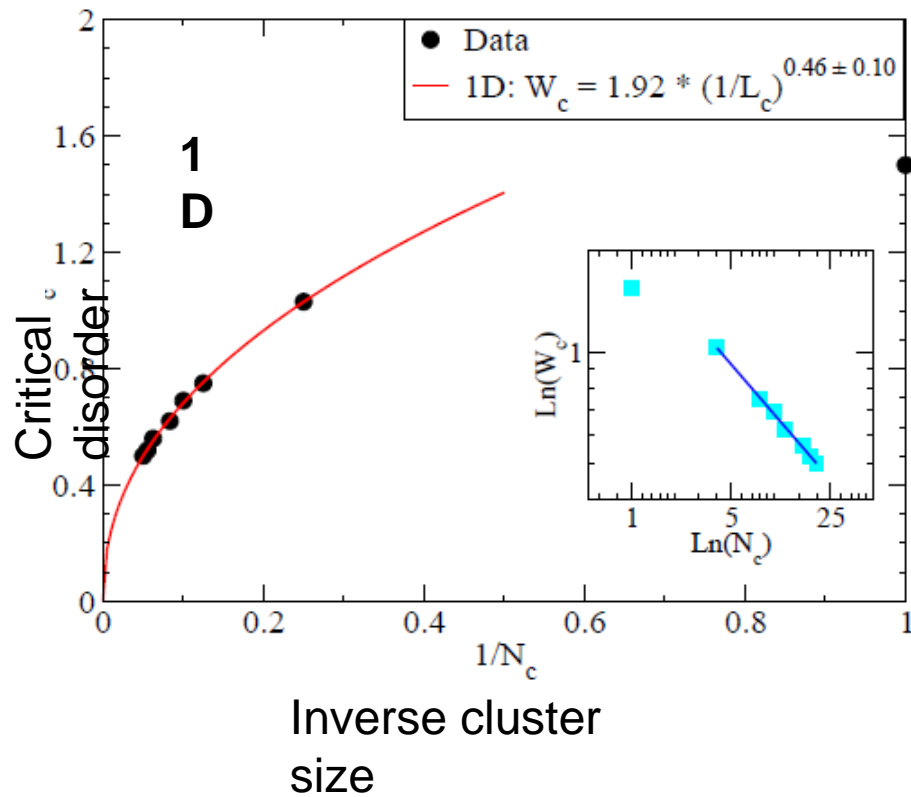
## K-dependent coarse-grained Green's function

$$\overline{G}(K, \omega) = \int \frac{N_0^c(K, \epsilon) d\epsilon}{[G_{typ}^c(K, \omega)]^{-1} + \Gamma(K, \omega) - \epsilon + \overline{\epsilon}(K) + \mu}$$

**Implies a K-dependent hybridization function  $\Gamma(K, \omega)$ .**



# Benchmarks for 1D and 2D



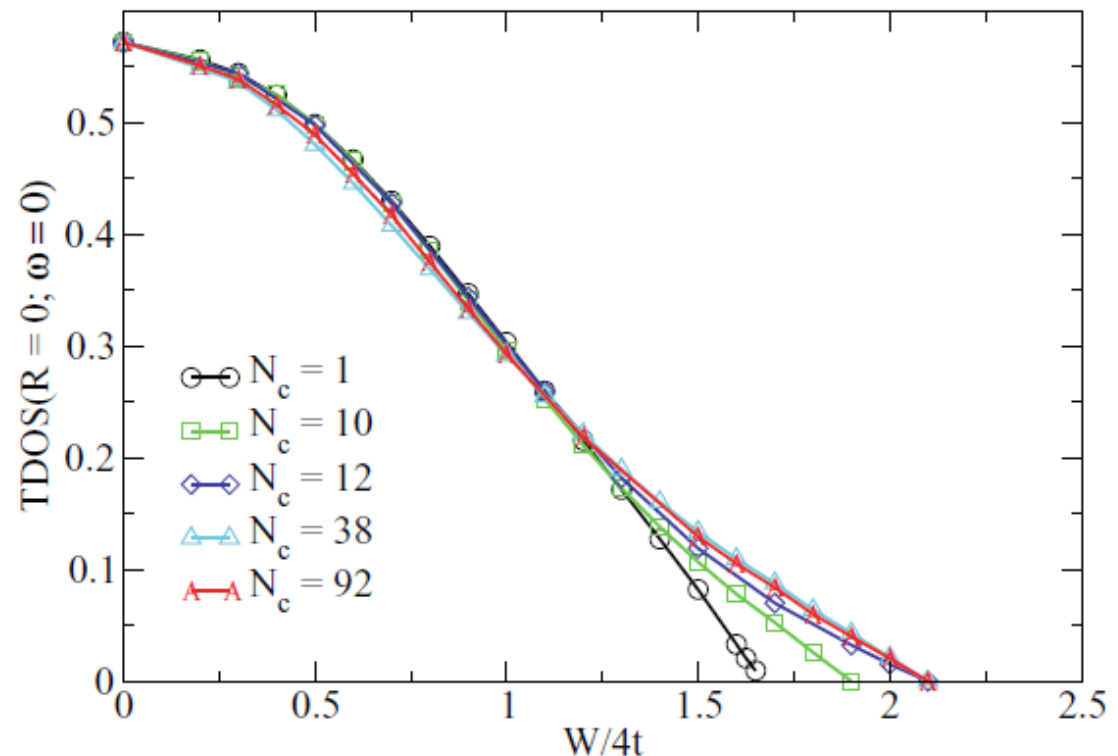


# Benchmark for 3D

- $N_c=1$  corresponds to TMT
- Does not get the re-entrance of mobility edge
- Critical disorder underestimated
- Exponent=1

- TMDCA (3D)

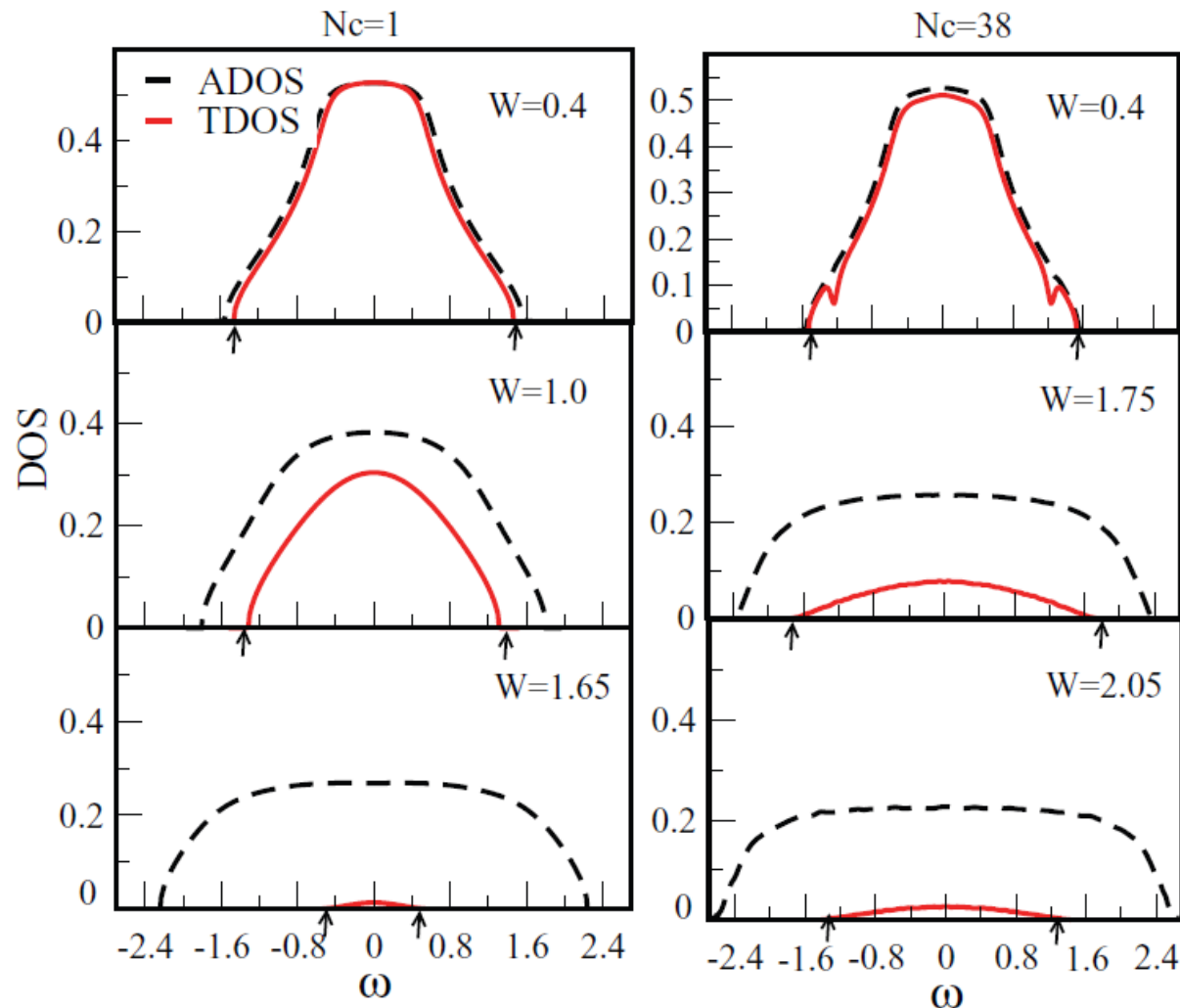
- Reentrant mobility edge
- Critical disorder  $\sim 2.1$
- Exponent  $\sim 1.67$
- Rapid convergence with  $N_c$ .





# TMDCA – Density of states ( $U=0$ )

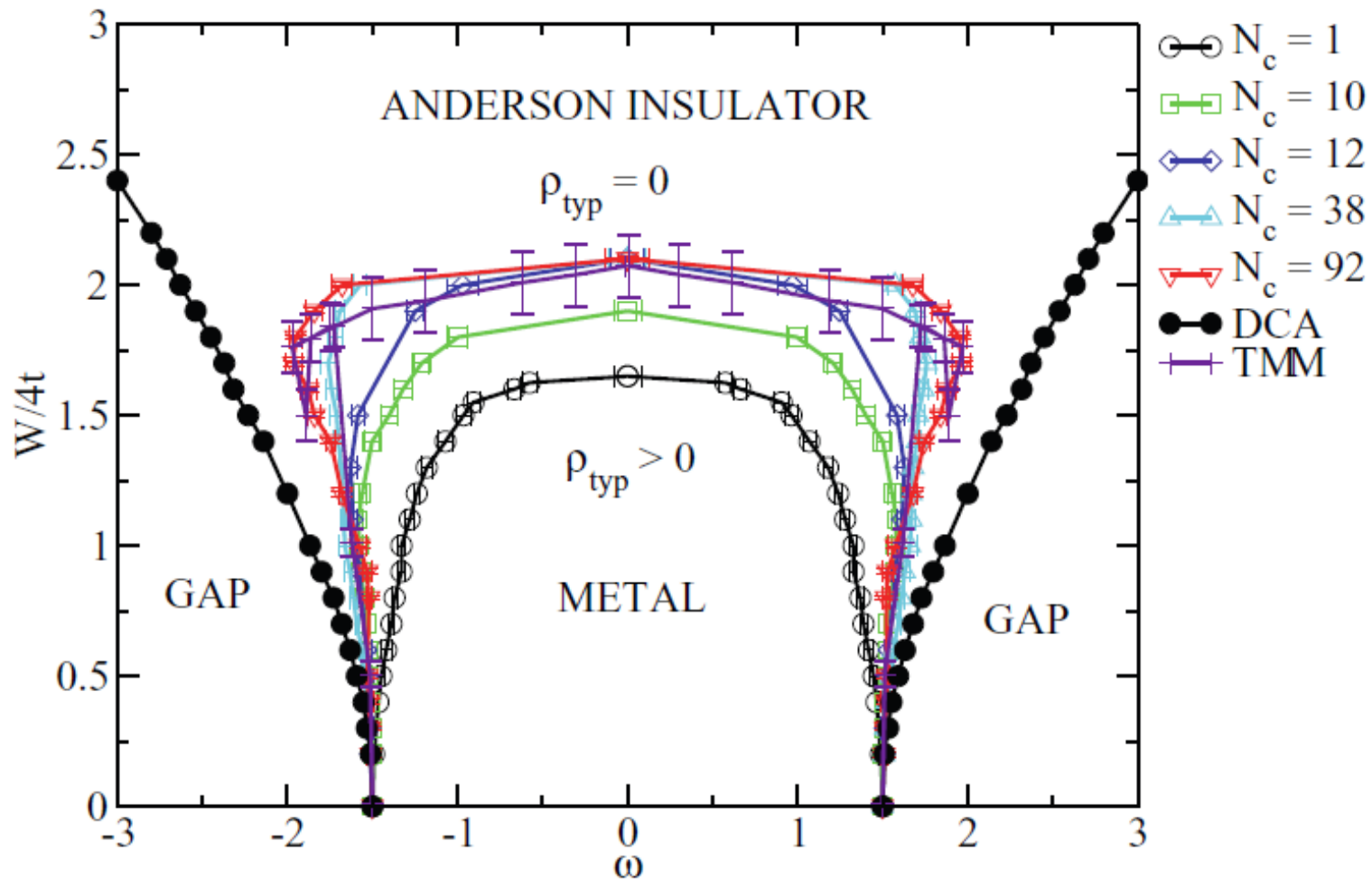
ADOS: Arithmetically averaged DoS TDOS: Typical average of DoS





# Mobility edge

## Entrance behaviour

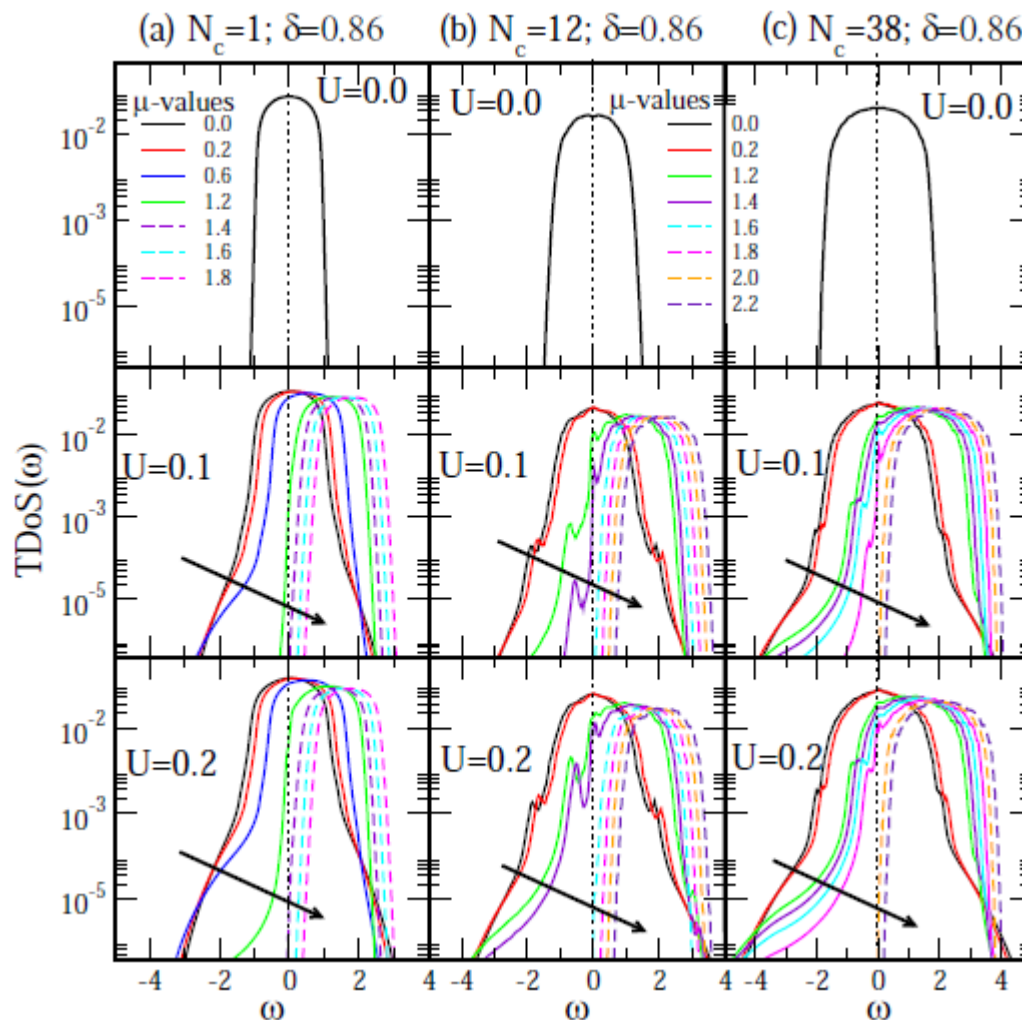






# Weak Interactions + Strong disorder in 3D

**Mobility edge survives in the presence of interactions.**

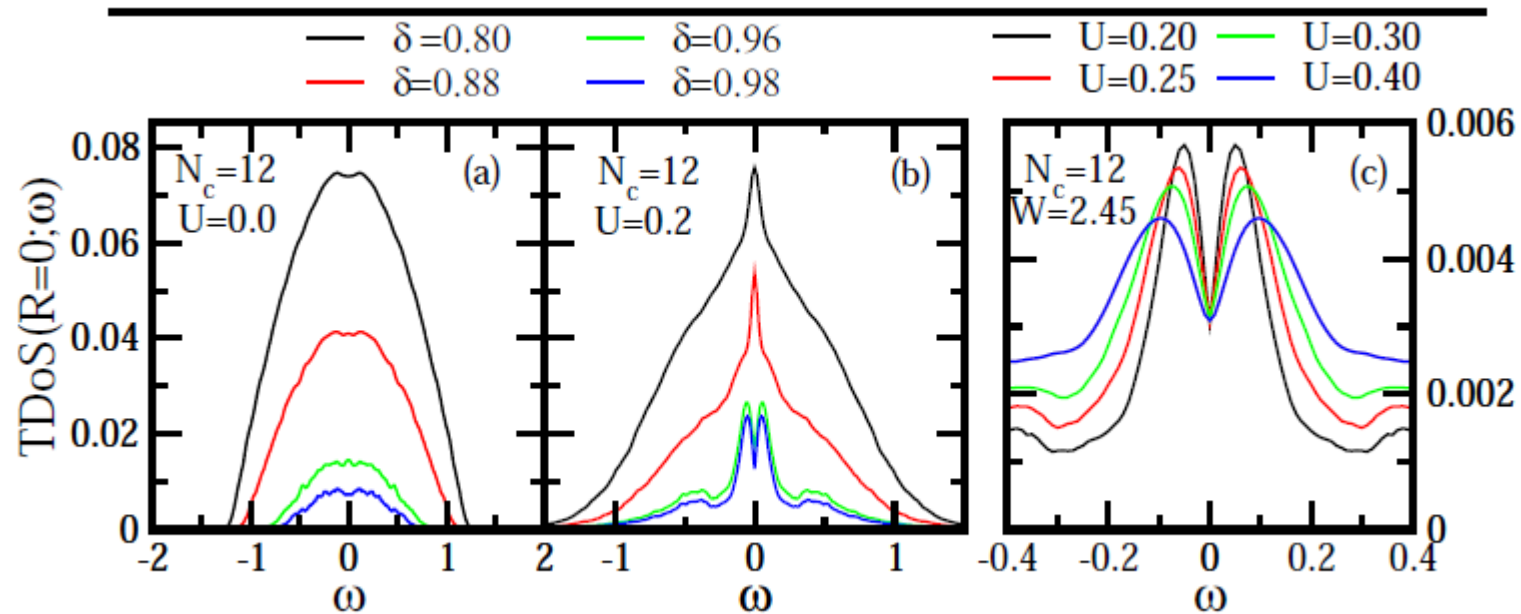


C.Ekuma et al ArXiv:  
1503.00025



# Interactions+Disorder in 3D

Pseudogap at intermediate disorder ( $W \sim W_c$ ).





# Thank you

TMDCA work in collaboration with Mark Jarrell, Juana Moreno, Chinedu Ekuma, Hanna Terletska, Shuxiang Yang and Ka-Ming.