

## Section 20

# PN Diode I-V Characteristics

### 20.4 Non-Ideal Effects

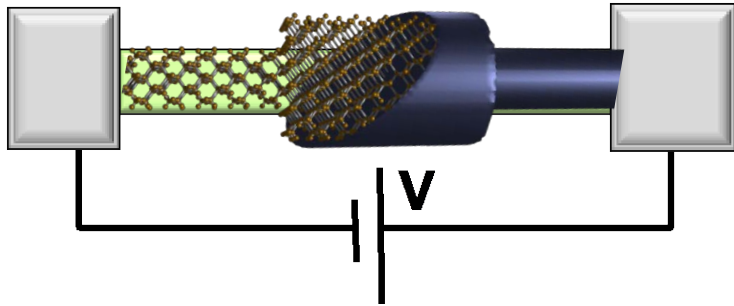
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[gekco@purdue.edu](mailto:gekco@purdue.edu)



School of Electrical and  
Computer Engineering

# Section 20

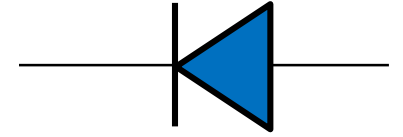
## PN Diode I-V Characteristics



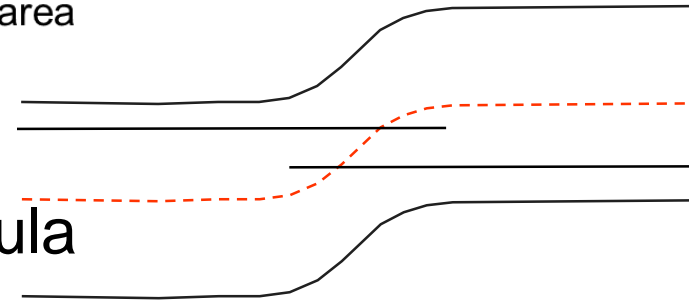
$$I = G \times V$$

$$= q \times n \times v \times A$$

↑ charge density    ↑ velocity    area



- > • 20.1 Band diagram with applied bias
- > • 20.2 Derivation of the forward bias formula
- 20.3 Forward Bias - Non-linear Regime
  - » Resistive drop
  - » Ambipolar regime
- > • 20.4 Non-ideal effects:
  - » Junction recombination
  - » Tunneling
  - » Impact ionization

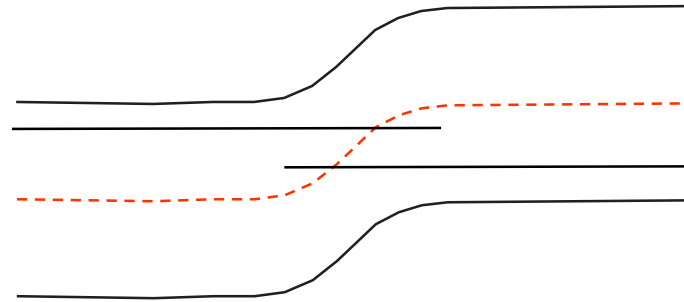


$$np = n_i^2 e^{(F_n - F_p)/kT} = n_i^2 e^{qV_A/kT}$$



## (4,6) Junction Recombination

### Mass action in non-equilibrium



$$np = n_i^2 e^{(F_n - F_p)\beta} = n_i^2 e^{qV_A\beta}$$

# (4,6) Junction Recombination

What is the recombination current?

$$I_R = -qA \int_0^w \frac{\partial n}{\partial t} dx$$

Shockley-Reed Hall

$$\frac{\partial n}{\partial t} = - \frac{[n(x)p(x) - n_i^2]}{\tau_p[n(x) + n_1] + \tau_n[p(x) + p_1]}$$

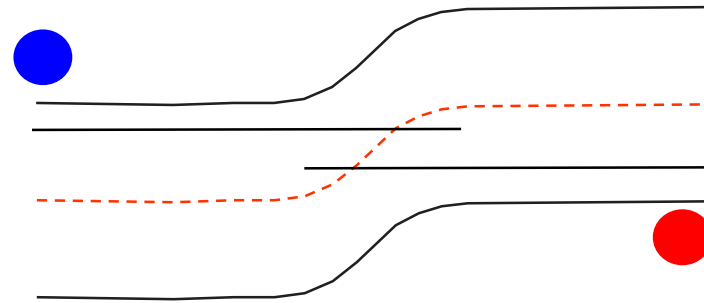
Assume

$$\tau_n = \tau_p$$

$$E_i = E_T$$

$$n_1 = p_1 = n_i$$

$$\frac{\partial n}{\partial t} = - \frac{n_i^2 (e^{qV_A/kT} - 1)}{\tau[n(x) + p(x) + 2n_i]}$$



$$np = n_i^2 e^{(F_n - F_p)\beta} = n_i^2 e^{qV_A\beta}$$

Follows from assuming midgap traps

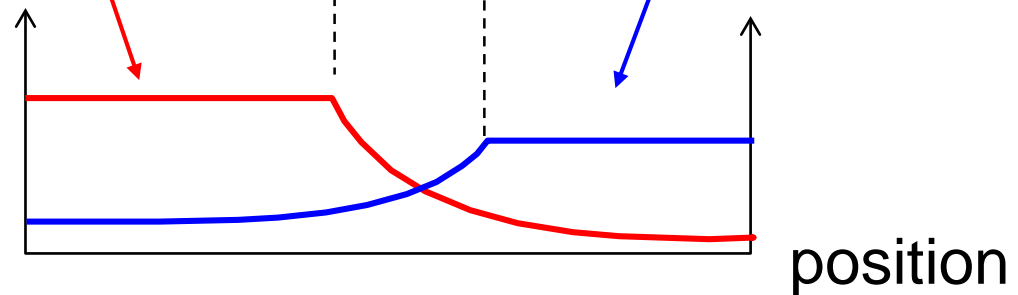
# Electron/Hole Concentrations at Junction

$$E_i(x) = E_{iL} - qV(x)$$

$$\begin{aligned} n(x) &= n_i e^{(F_N - E_i(x))/kT} \\ &= n_i e^{[F_N - E_{iL} + qV(x)]/kT} \end{aligned}$$

$$\begin{aligned} p(x) &= \frac{n_i^2 e^{qV_A/kT}}{n_i e^{[F_N - E_{iL} + qV(x)]/kT}} \\ &= n_i e^{-[F_N - E_{iL} + qV(x)]/kT + qV_A/kT} \end{aligned}$$

$$\frac{\partial n}{\partial t} = -\frac{n_i^2 (e^{qV_A/kT} - 1)}{\tau [n(x) + p(x) + 2n_i]}$$



# Junction Recombination

$$n(x) = n_i e^{(F_N - E_i(x))/kT}$$

$$= n_i e^{[F_N - E_{iL} + qV(x)]/kT}$$

$$p(x) = \frac{n_i^2 e^{qV_A/kT}}{n_i e^{[F_N - E_{iL} + qV(x)]/kT}}$$

$$= n_i e^{-[F_N - E_{iL} + qV(x)]/kT + qV_A/kT}$$

$$U_{FN} = \frac{F_N - E_{iL}}{kT} \quad U_A = \frac{V_A}{kT/q}$$

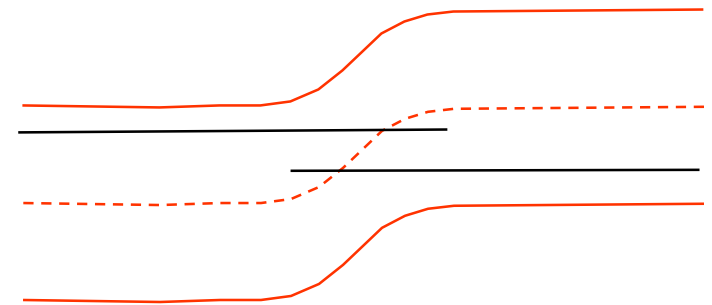
$$\frac{\partial n}{\partial t} = -\frac{n_i^2 (e^{qV_A/kT} - 1)}{\tau [n(x) + p(x) + 2n_i]} \quad \frac{\partial n}{\partial t} = -\frac{n_i (e^{U_A} - 1)}{\tau [e^{U_{FN}+U} + e^{-U_{FN}-U+U_A}]}$$

$$\Rightarrow \frac{\partial n}{\partial t} = -\frac{n_i}{\tau} \frac{e^{U_A/2} (e^{U_A/2} - e^{-U_A/2})}{e^{U_A/2} [e^{U_{FN}+U-U_A/2} + e^{-U_{FN}-U+U_A/2}]}$$

$$\Rightarrow \frac{\partial n}{\partial t} = -\frac{n_i}{\tau} \frac{\sinh(U_A/2)}{\cosh[U_{FN} + U - U_A/2]}$$

$$I_R = -qA \int_0^W \frac{\partial n}{\partial t} dx$$

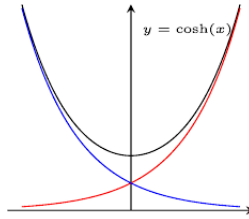
$$I_R = -qA \left( \frac{n_i}{\tau} \right) \times \sinh \left( \frac{U_A}{2} \right) \times \int_0^W \frac{dx}{\cosh[U_{FN} + U - U_A/2]}$$



# Junction Recombination in Forward Bias

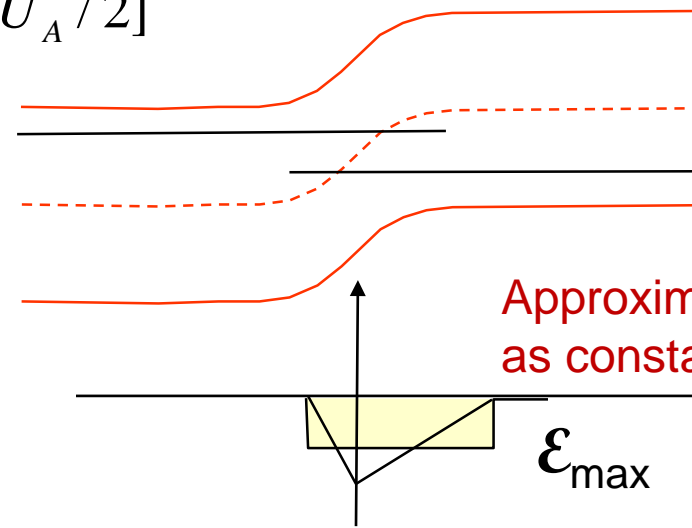
$$I_R = -qA \left( \frac{n_i}{\tau} \right) \times \sinh \left( \frac{U_A}{2} \right) \times \int_0^W \frac{dx}{\cosh[U_{FN} + U - U_A / 2]}$$

Keep positive branch



$$\Rightarrow I_R \approx -qA \left( \frac{n_i}{\tau} \right) \sinh \left( \frac{U_A}{2} \right) \int_0^W \frac{dx}{e^{(U_{FN} + U - U_A / 2)}}$$

$$\Rightarrow I_R \approx -qA \left( \frac{n_i}{\tau} \right) \times \sinh \left( \frac{U_A}{2} \right) \int_0^W \frac{dx}{e^{-(\mathcal{E}_{max} x) / (kT / 2q)}}$$

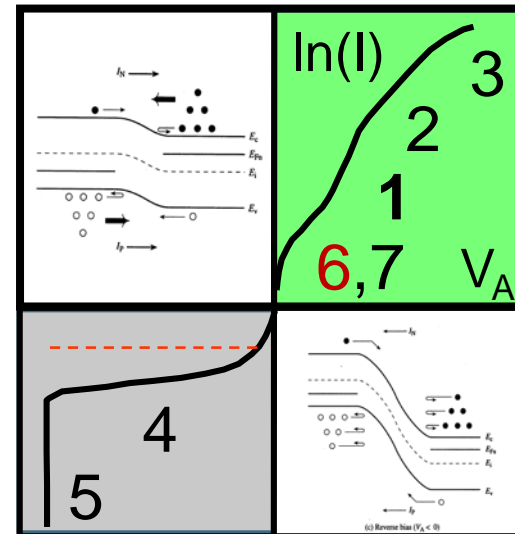


Approximate electric field as constant

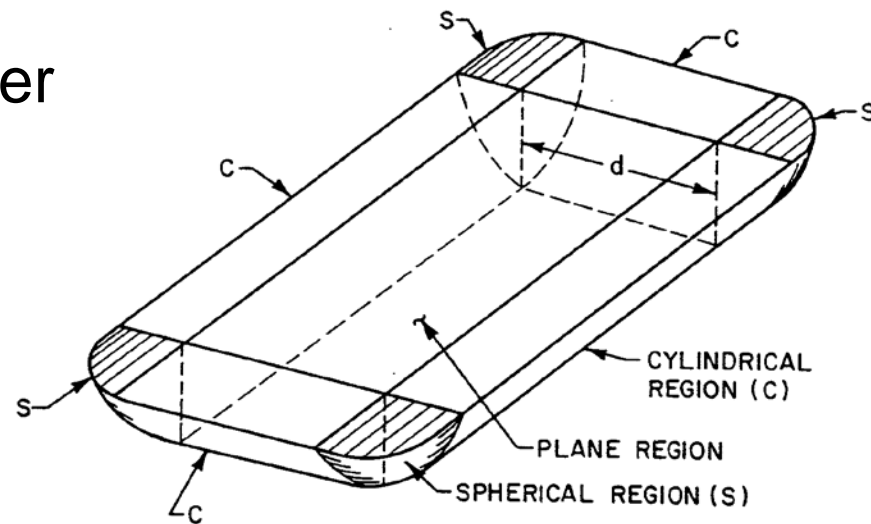
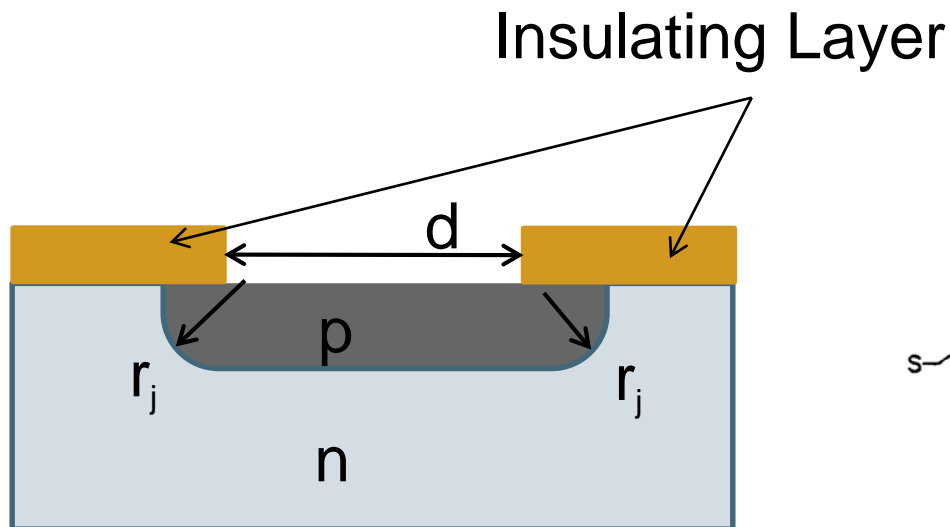
$$\Rightarrow I_{Dep} = -qA \left[ \frac{kT}{2q\mathcal{E}_{max}} \right] \left[ \frac{n_i}{\tau} e^{qV_A / 2kT} \right]$$

Effective spatial width of recombination

Excess Carrier at mid-junction  
Factor 1/2 indicates recombination / traps in junction  
Traps hidden in  $\tau$



# Junction Leakage in Practice



**Junction Design Considerations**  
Electric field stronger at corners, sharp edges. → increased recombination!



# Junction Recombination in Reverse Bias

$$np = n_i^2 e^{(F_n - F_p)\beta} = n_i^2 e^{qV_A\beta}$$

Shockley-Reed Hall

$$\frac{\partial n}{\partial t} = - \frac{[n(x)p(x) - n_i^2]}{\tau_p[n(x) + n_1] + \tau_n[p(x) + p_1]}$$

Assume

$$\tau_n = \tau_p \quad E_i = E_T$$

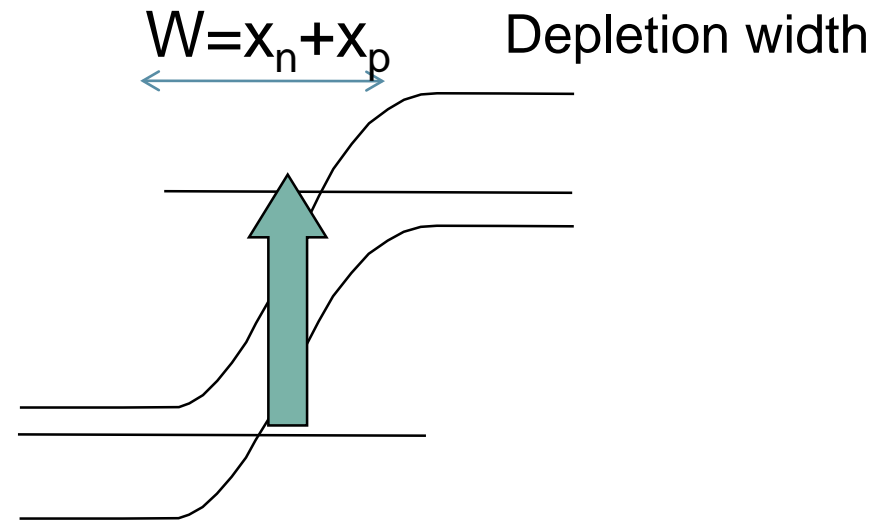
$$n_1 = p_1 = n_i$$

Follows from assuming midgap traps

$$\frac{\partial n}{\partial t} = - \frac{n_i^2 (e^{qV_A/kT} - 1)}{\tau[n(x) + p(x) + 2n_i]}$$

$$\boxed{\frac{\partial n}{\partial t} = - \frac{n_i}{2\tau}}$$

(Recombination in depletion region  
n=p=0)



# Junction Recombination in Reverse Bias

$$\frac{\partial n}{\partial t} = -\frac{n_i}{2\tau}$$

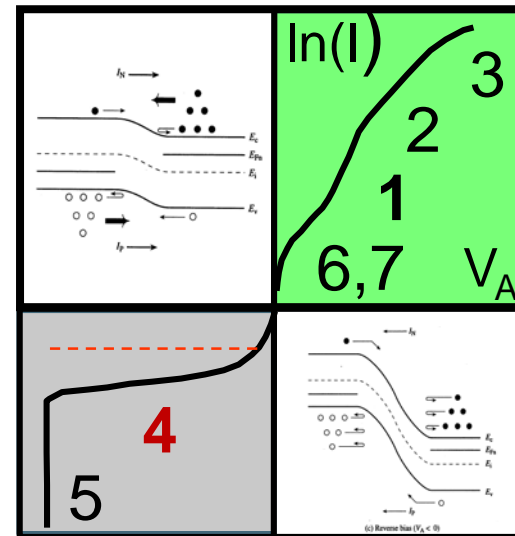
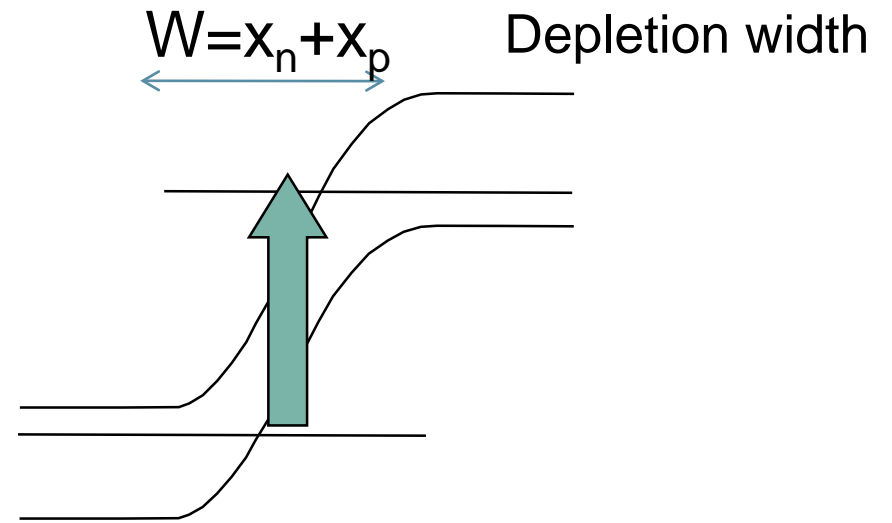
(Recombination in depletion region  
n=p=0)

Integrate...

$$I_R \approx -qA \int_0^W \left( \frac{n_i}{2\tau} \right) dx$$

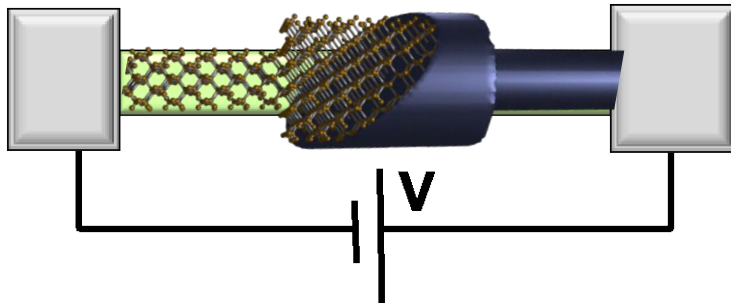
$$= -qA \frac{n_i W}{2\tau} \propto \sqrt{V_{bi} - V_A}$$

Ideally the flat – now it depends as a square root of voltage  
=> another probe mechanism for traps in junction



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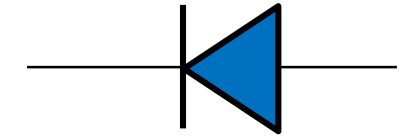
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$$I = G \times V$$

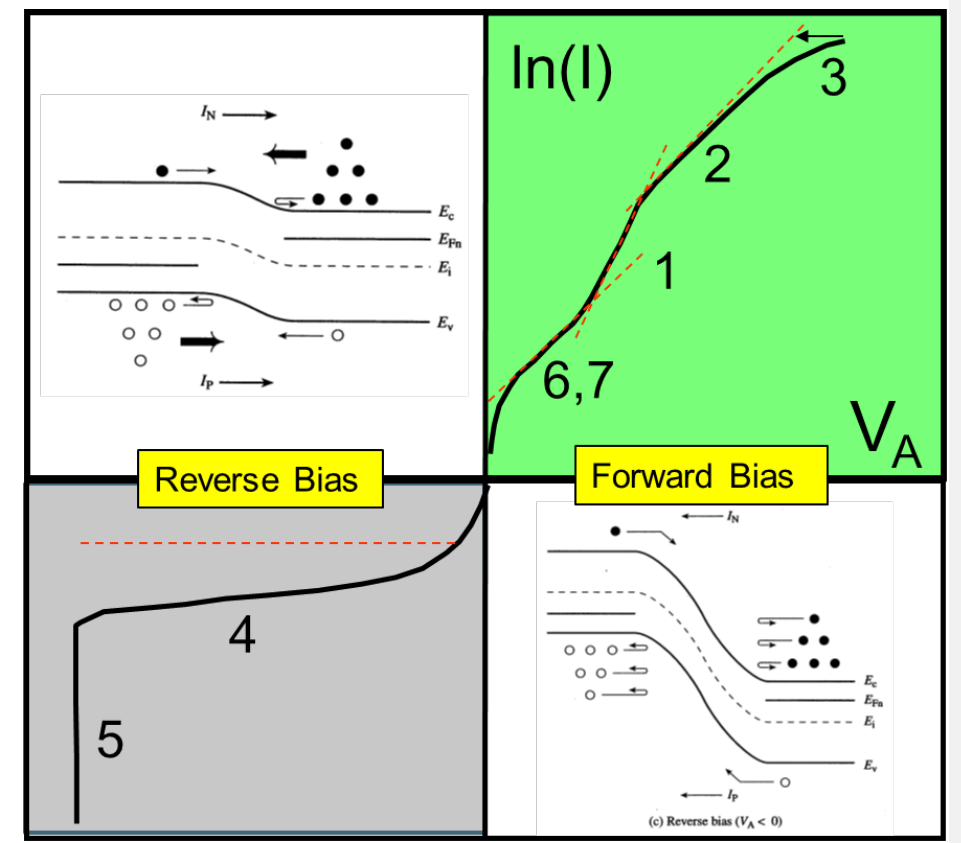
$$= q \times n \times v \times A$$

↑ charge density    ↑ velocity    ↑ area



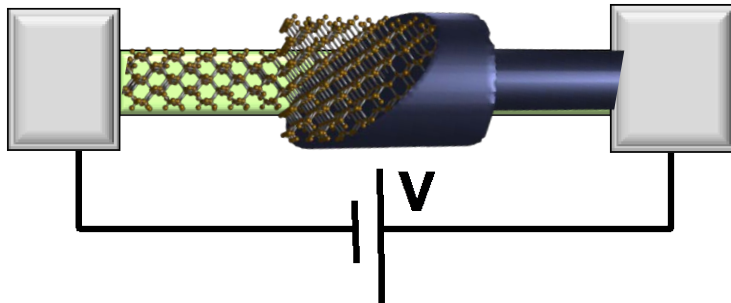
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  - » Tunneling
  - » Impact ionization



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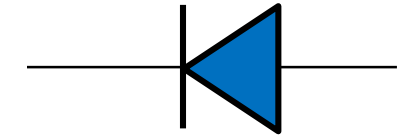
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$$I = G \times V$$

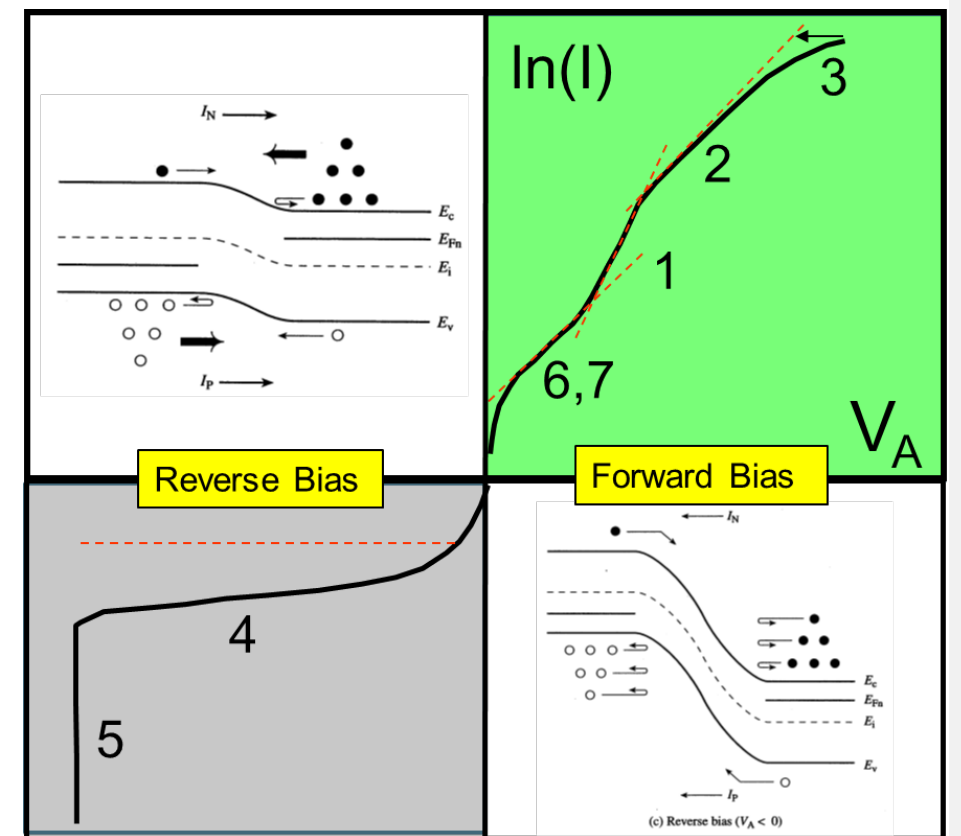
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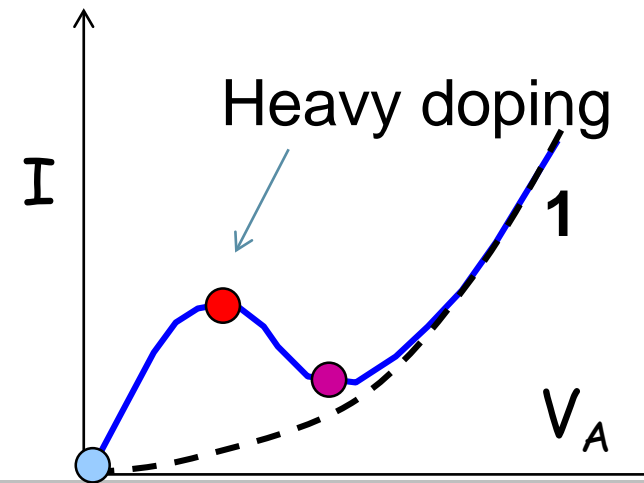
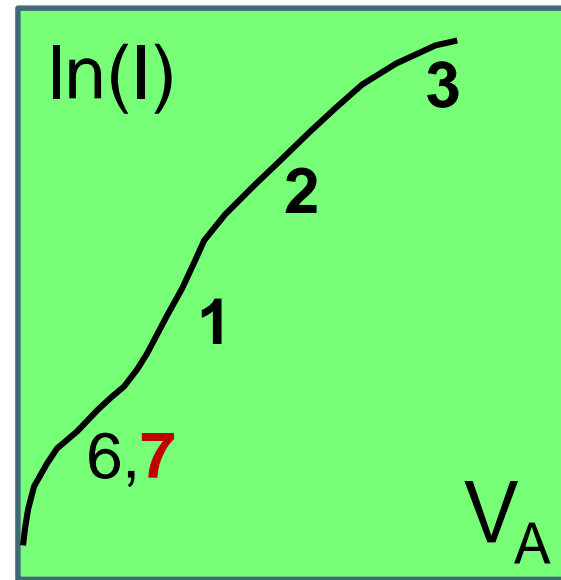
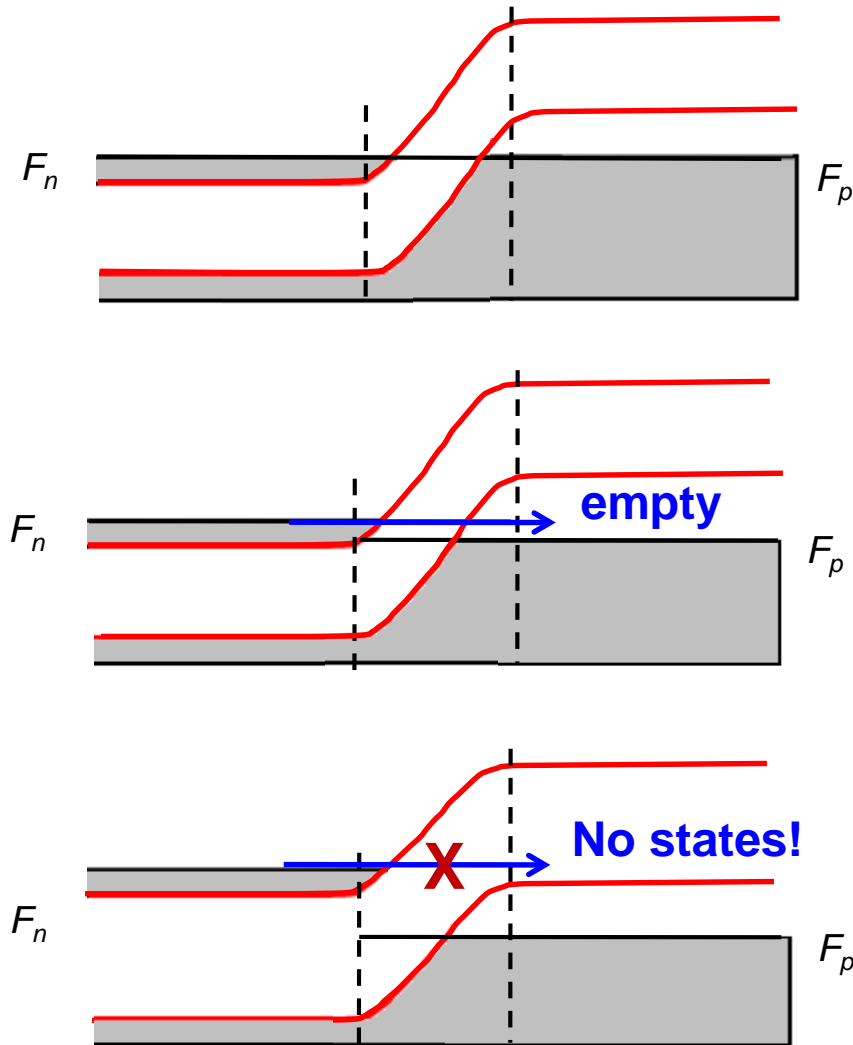
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(c) Reverse bias ( $V_A < 0$ )

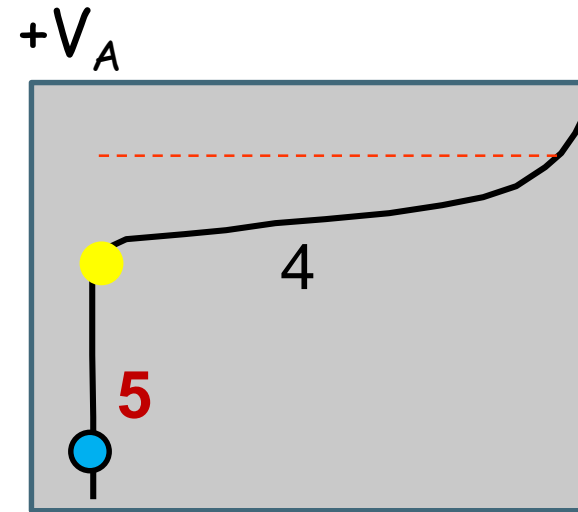
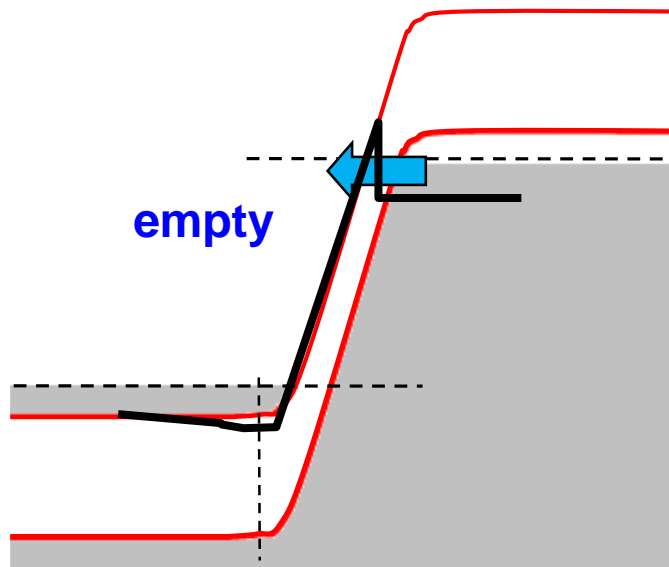
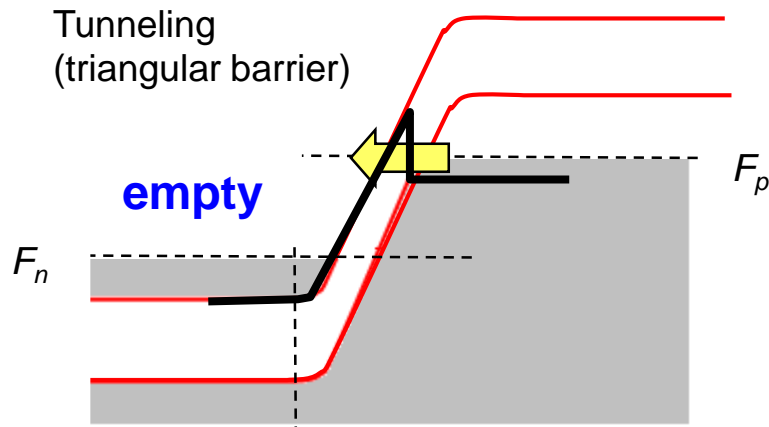
# Forward Bias Nonlinearity (7): Esaki Diode

Esaki-Diode: **Heavily** doped diode



Tunneling in diodes.  
Nobel Prize (Esaki)

# Reverse Bias (5): Zener Tunneling



Zener tunneling occurs in every diode. (reverse bias)

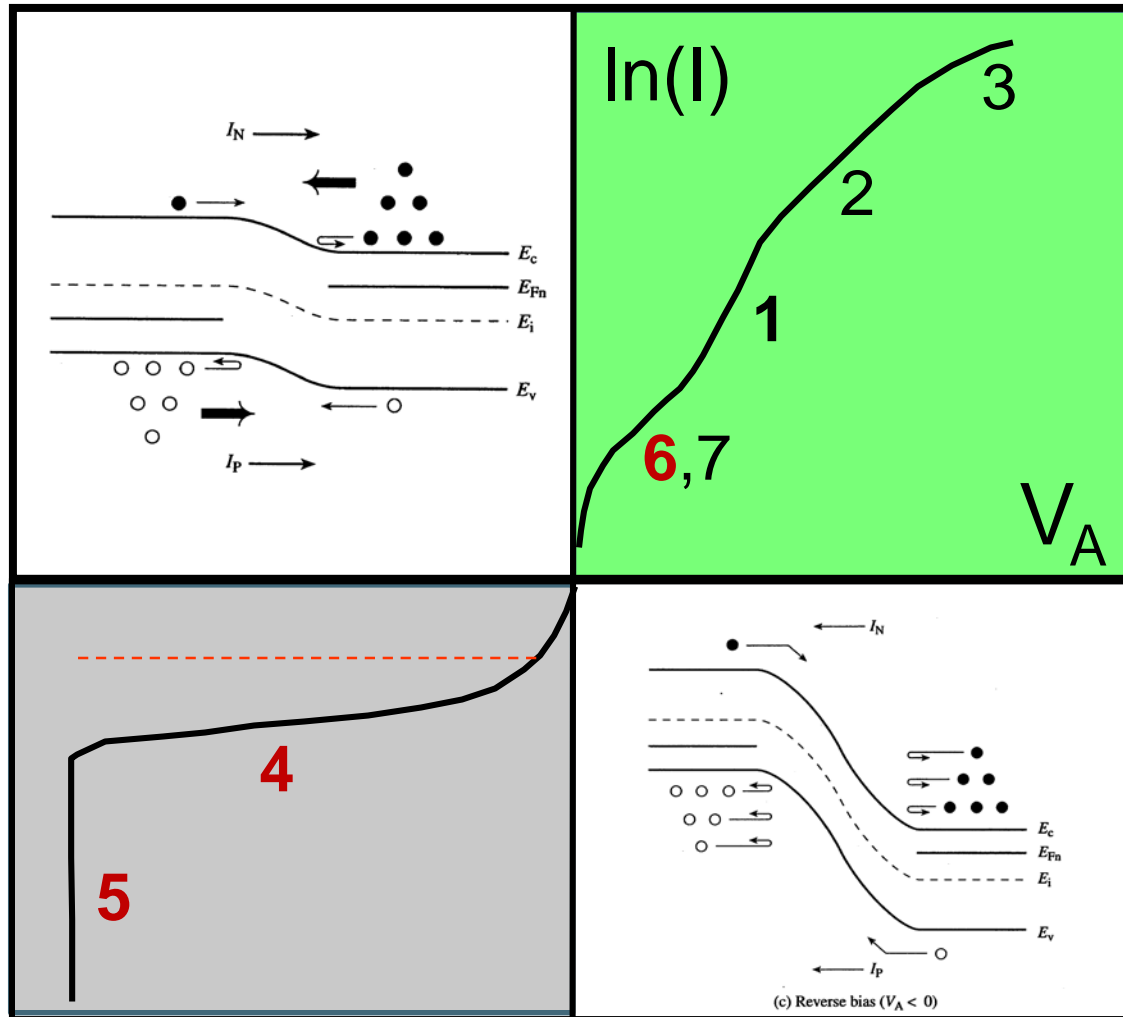
Remember: Tunneling through a triangular barrier

$$I = qpTv$$

$$T = \frac{4}{4 \cosh^2 \alpha d + \left( \frac{\alpha}{k} - \frac{k}{\alpha} \right) \sinh^2 \alpha d}$$

(p.49 ADF)

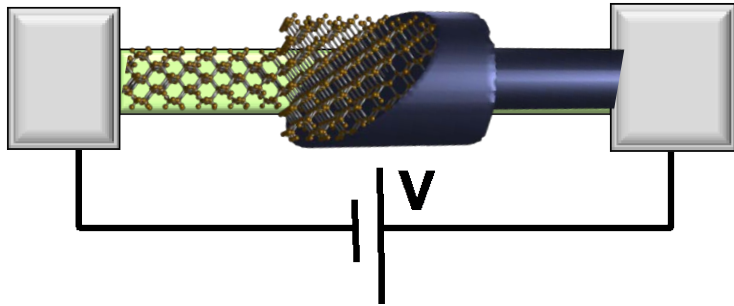
# Various Regions of I-V Characteristics



1. Diffusion limited
2. Ambipolar transport
3. High injection
4. **R-G in depletion**
5. **Breakdown**
6. **Trap-assisted R-G**
7. Esaki Tunneling

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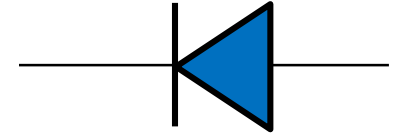
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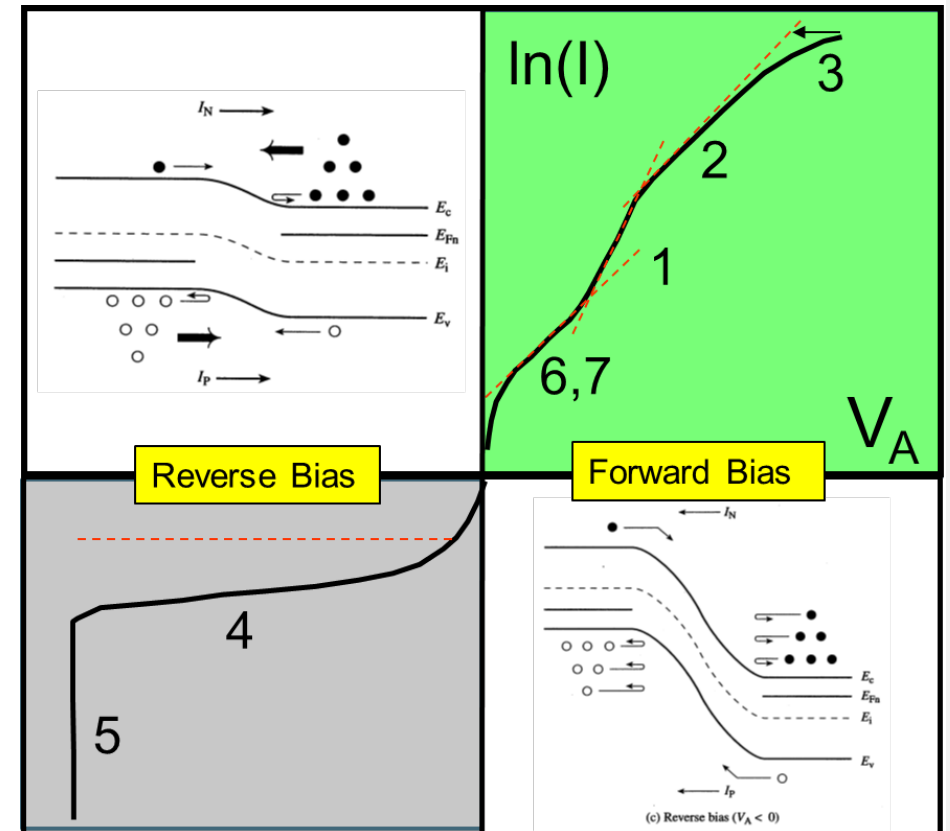
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charge density    velocity    area

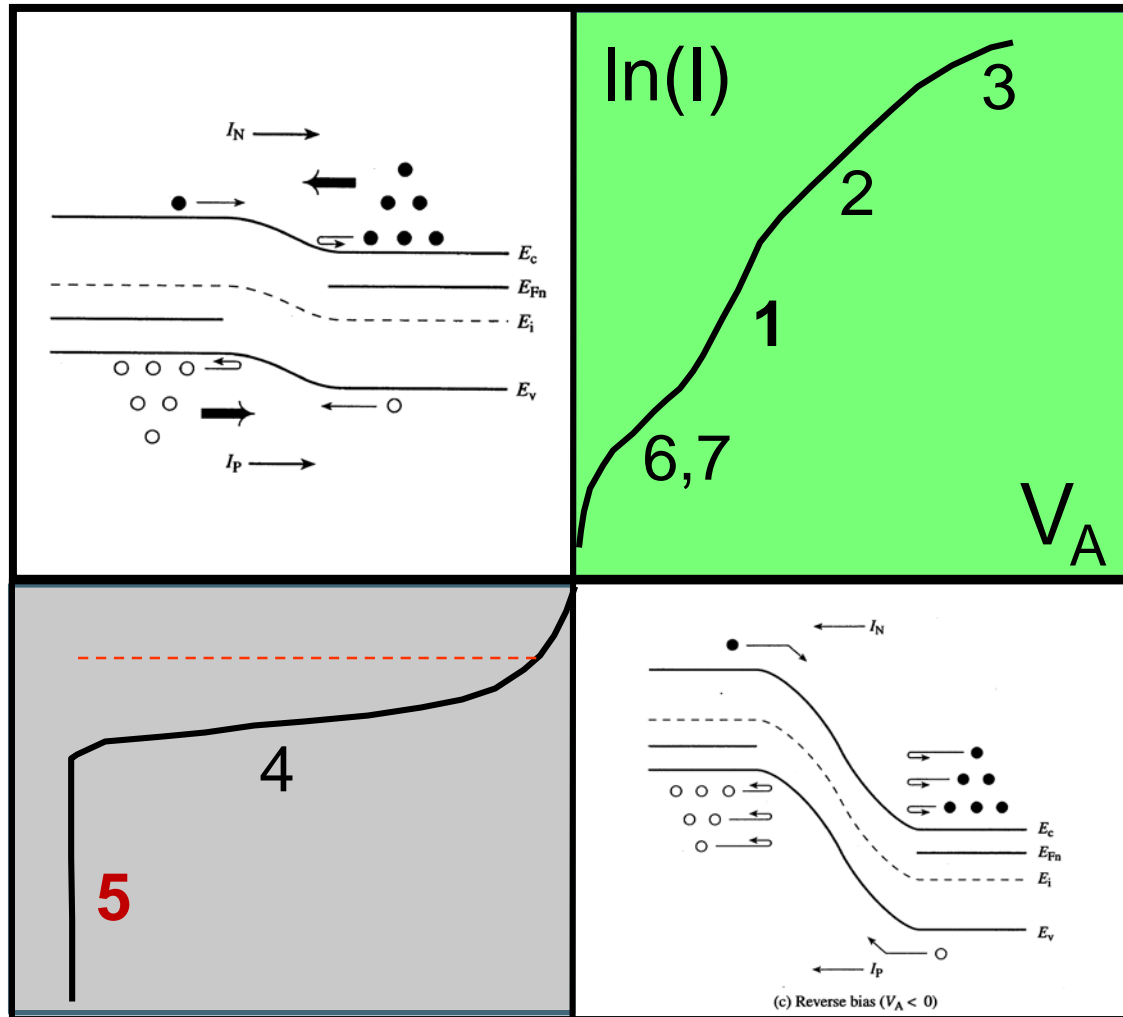


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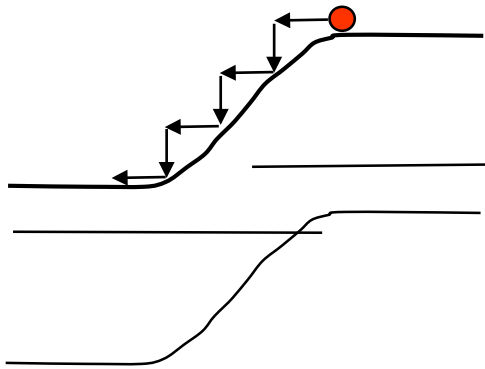
# Avalanche Breakdown



1. Diffusion limited
2. Ambipolar transport
3. High injection
4. R-G in depletion
- 5. Breakdown**
6. Trap-assisted R-G
7. Esaki Tunneling

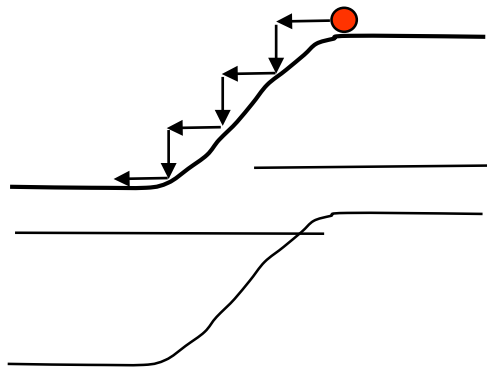
# Nonlinearity due to Impact-Ionization

Reverse Bias

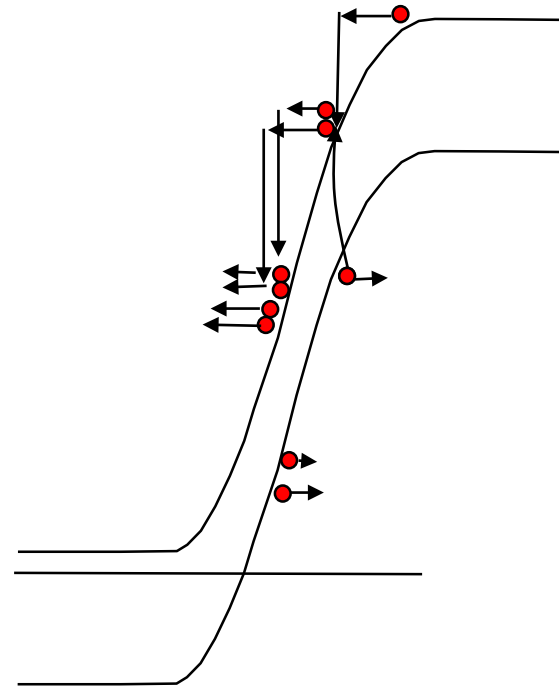


# Nonlinearity due to Impact-Ionization

Reverse Bias

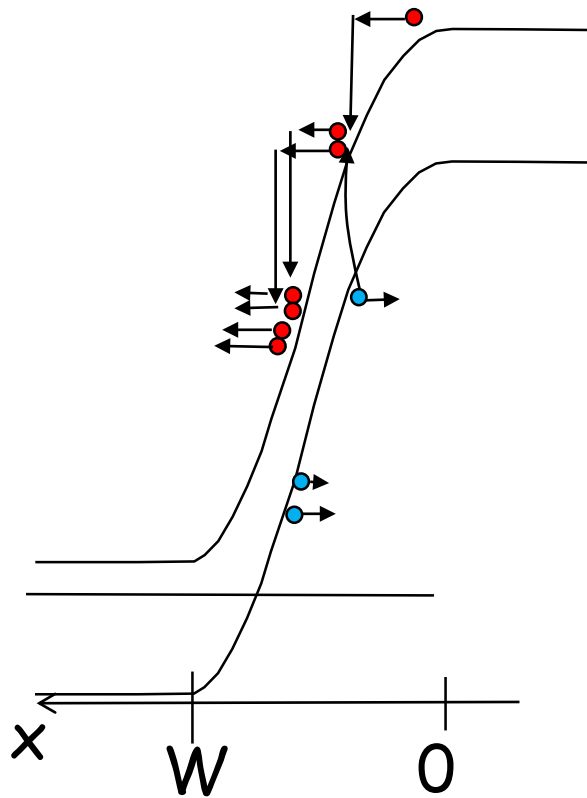


High Reverse Bias  $>$  bandgap (typically  $3/2$  bandgap)



**Exponential** current growth  
(Impact Ionization or Inverse Auger process)

# Impact-ionization and Flux Conservation



$$I_n(x+dx) = I_n(x) + \alpha_n I_n(x)dx + \alpha_p I_p(x)dx$$

Impact Ionization probabilities

$$\frac{I_n(x+dx) - I_n(x)}{dx} = \alpha_n I_n(x) + \alpha_p I_p(x)$$

$$\Rightarrow \frac{dI_n(x)}{dx} = \alpha_n I_n(x) + \alpha_p I_p(x)$$

Steady state: Define  $I_T = I_N + I_P$  (total current)  
Constant in steady state

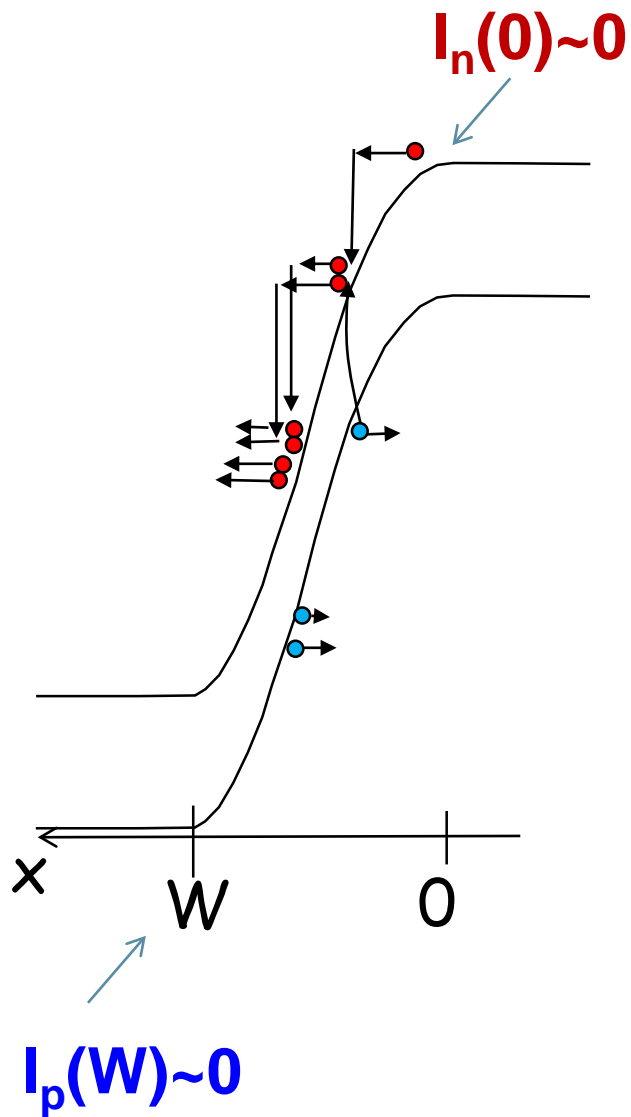
$$I_p = I_T - I_n(x)$$

$$\frac{dI_n(x)}{dx} = \alpha_p [I_T - I_n(x)] + \alpha_n I_n(x)$$

$$\frac{dI_n(x)}{dx} - (\alpha_n - \alpha_p) I_n(x) = \alpha_p I_T$$

Differential equation

# Impact-ionization and Flux Conservation



$$\frac{dI_n(x)}{dx} - (\alpha_n - \alpha_p)I_n(x) = \alpha_p I_T$$

Differential equation

$$y' - ay = b$$

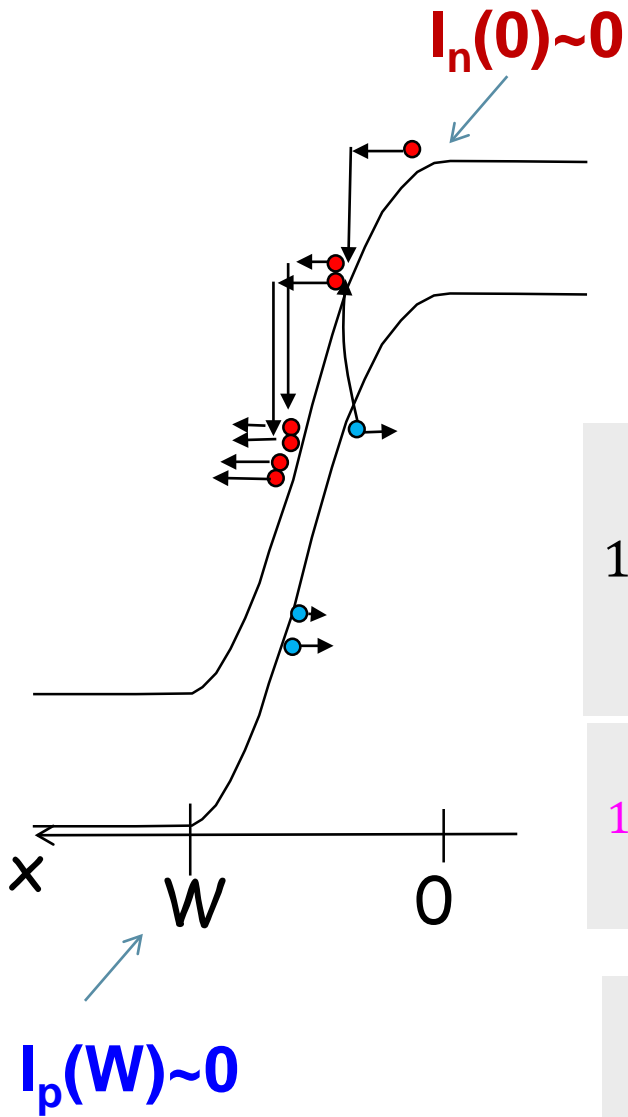
$$y = \frac{1}{a} e^{ax+ca} - \frac{b}{a}$$

Solution form of differential equation

$$\frac{I_n(W)}{I_T} = \frac{\int_0^W \alpha_p e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx + \frac{I_n(0)}{I_T}}{1 + \int_0^W (\alpha_p - \alpha_n) e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx}$$

Reverse diffusion current

# Impact-ionization



Solution form of differential equation

$$\frac{I_n(W)}{I_T} = \frac{\int_0^W \alpha_p e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx + \frac{I_n(0)}{I_T}}{1 + \int_0^W (\alpha_p - \alpha_n) e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx}$$

Reverse diffusion current

At  $x=W$ ,  $I_N$  has grown exponentially, and  $I_p$  is now negligible.

$$I_p(W) + I_n(W) = I_T \Rightarrow I_n(W) \approx I_T$$

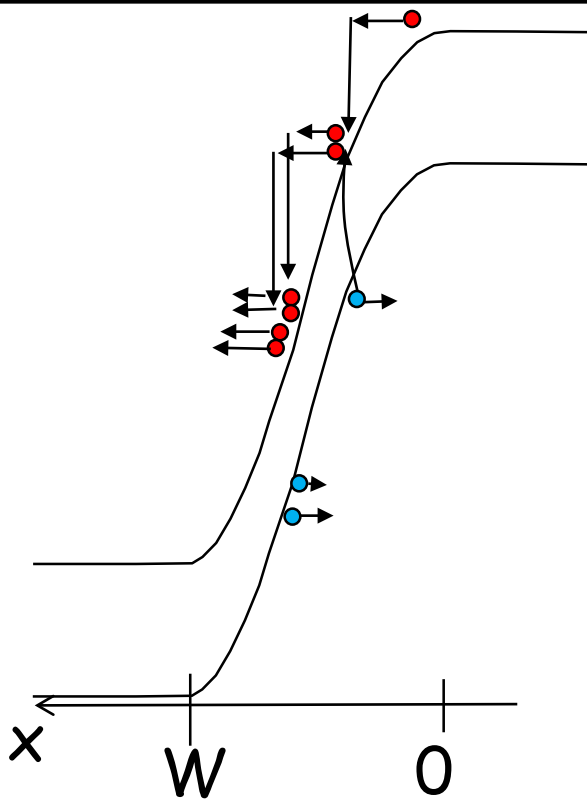
$$\frac{I_n(0)}{I_T} \equiv \frac{1}{M_p} \text{ Multiplication Factor}$$

$$1 = \frac{\int_0^W \alpha_p e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx + \frac{1}{M_p}}{1 + \int_0^W (\alpha_p - \alpha_n) e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx}$$

$$1 + \int_0^W (\alpha_p - \alpha_n) e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx = \int_0^W \alpha_p e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx + \frac{1}{M_p}$$

$$\int_0^W \alpha_n e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx = \left( 1 - \frac{1}{M_p} \right) \approx 1$$

# Impact-ionization



$$\int_0^W \alpha_n e^{-\int_0^x (\alpha_n - \alpha_p) dx'} dx = \left(1 - \frac{1}{M_p}\right) \approx 1$$

Assume:  $\alpha_n = \alpha_p$

this cannot be quite right...  
n, p different masses etc

$$\int_0^W \alpha_n(x) dx = 1$$

Assume:  $\alpha_n(x) = \alpha_n$

Most avalanche happens spatially  
at maximum electric field

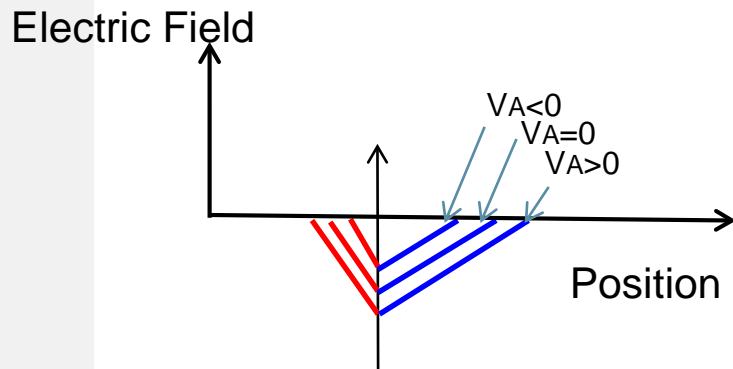
$$\alpha_n W = 1$$

$$\alpha_p = A_0 e^{-B/\mathcal{E}}$$

from experiment and theory  
A and B material coefficients

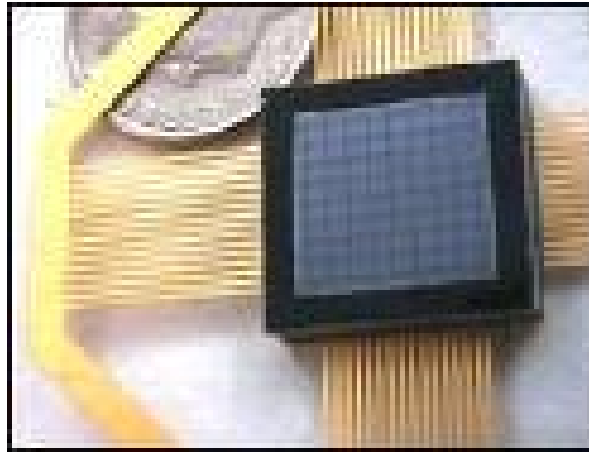
Breakdown-Field

$$\mathcal{E}(0^-) = \frac{qN_D x_n}{k_s \epsilon_0} = \left[ \frac{2q}{k_s \epsilon_0} \frac{N_D N_A}{N_D + N_A} (V_{bi} - V_A) \right]^{1/2}$$

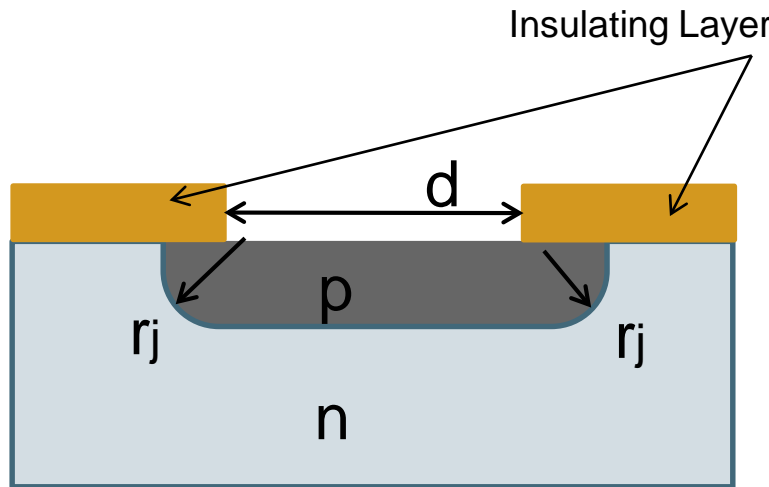
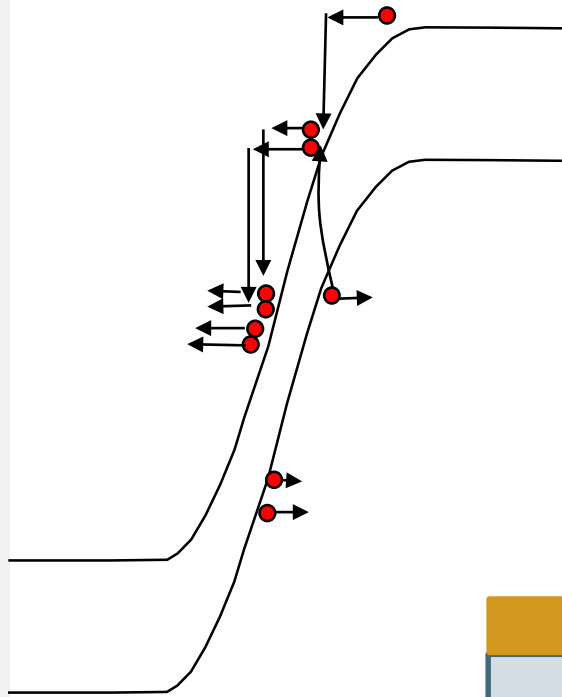


# Impact-ionization: In Practice

## Photon Detector



Good ....  
Imagers  
single photon can create an avalanche

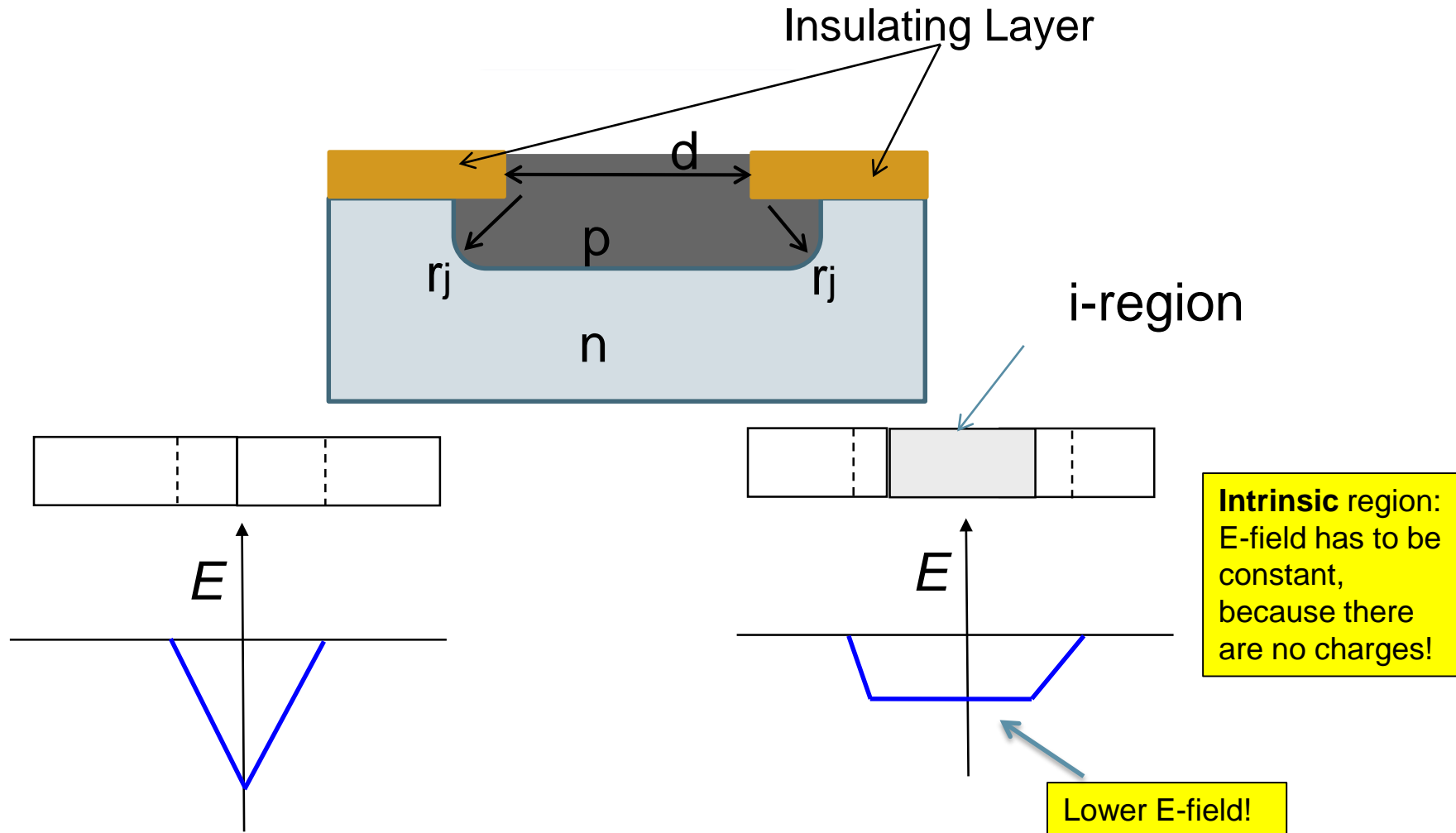


High E-fields at junction corners  $\rightarrow$  Breakdown

Bad....



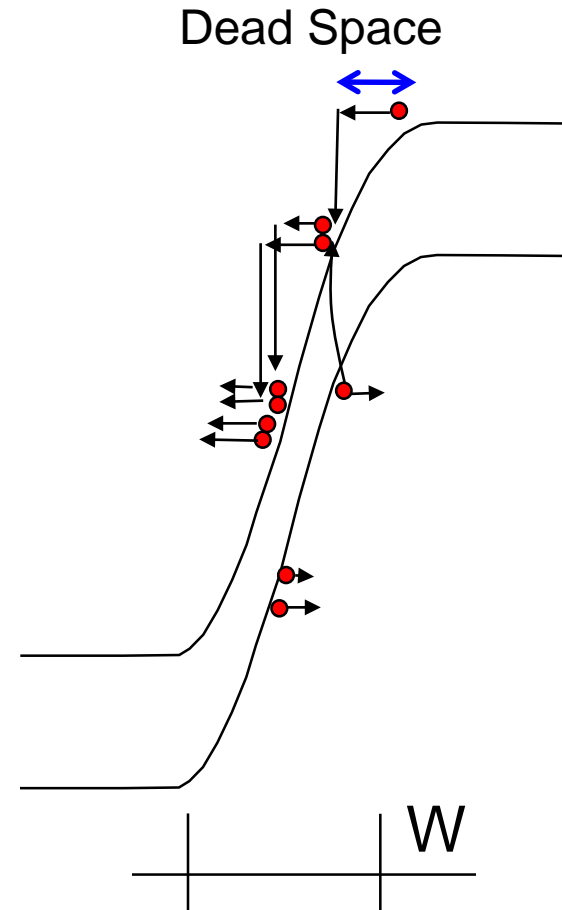
# Junction Engineering



Reduced field for p-i-n junction, because  $V_{bi}$  (area under the curve) must be the same.

High practical relevance for MOSFET scaling  
Needed to reduce fields on the drain side....

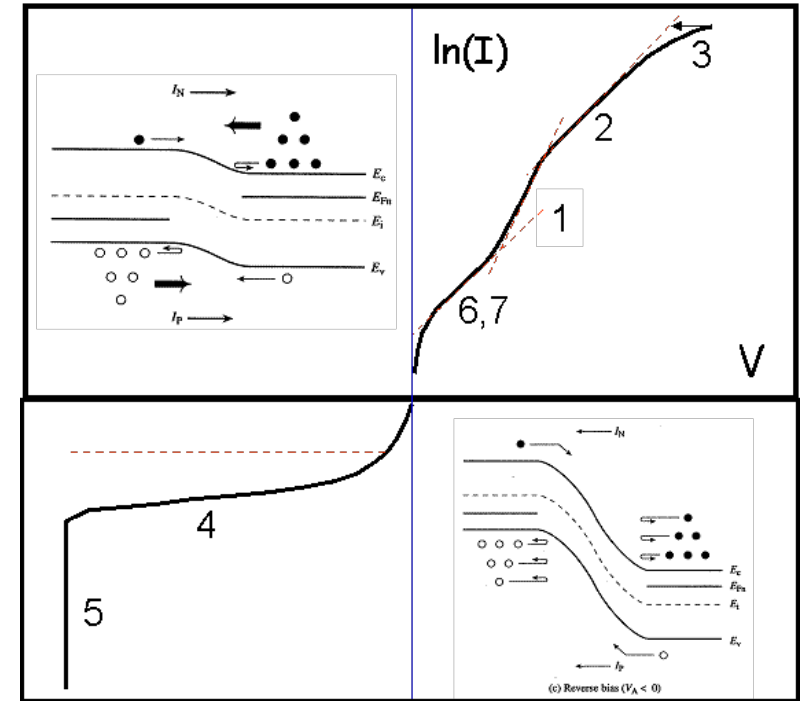
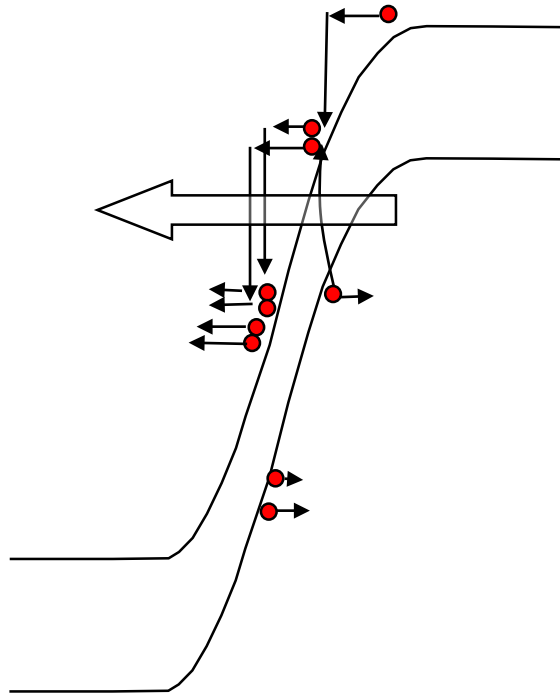
# Modern Considerations: Dead Space



**Dead Space:**  
Space you need before  
an electron can impact  
ionize.

For very small (ballistic) junctions,  
electrons can cross the junction without  
inducing impact ionization.  
(Dead space too small)

# Zener Breakdown vs. Impact Ionization



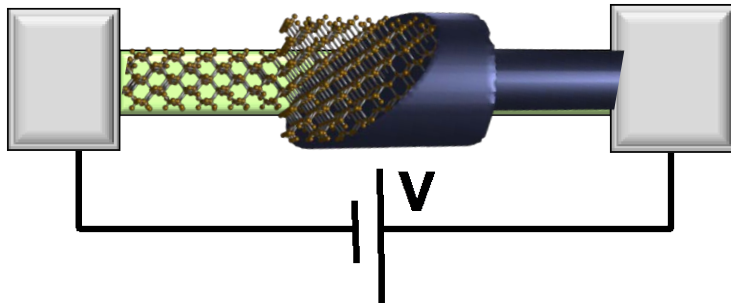
How do you differentiate between Zener tunneling and impact-ionization?

Can happen for smaller reverse bias  $\sim 1V$   
 Need bias  $>$  bandgap  
 Very noisy – can be measured

# Non-Ideal Effects: Conclusion

- 1) Junction recombination is often used as a diagnostic tool for process maturity. Defects in junction arises from misplaced donor impurities, not necessary from deep-trap impurities.
- 2) Impact ionization plays an important role in wide variety of devices (e.g. avalanche photo-diodes).

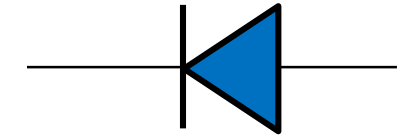
# Section 20 PN Diode I-V Characteristics



$$I = G \times V$$

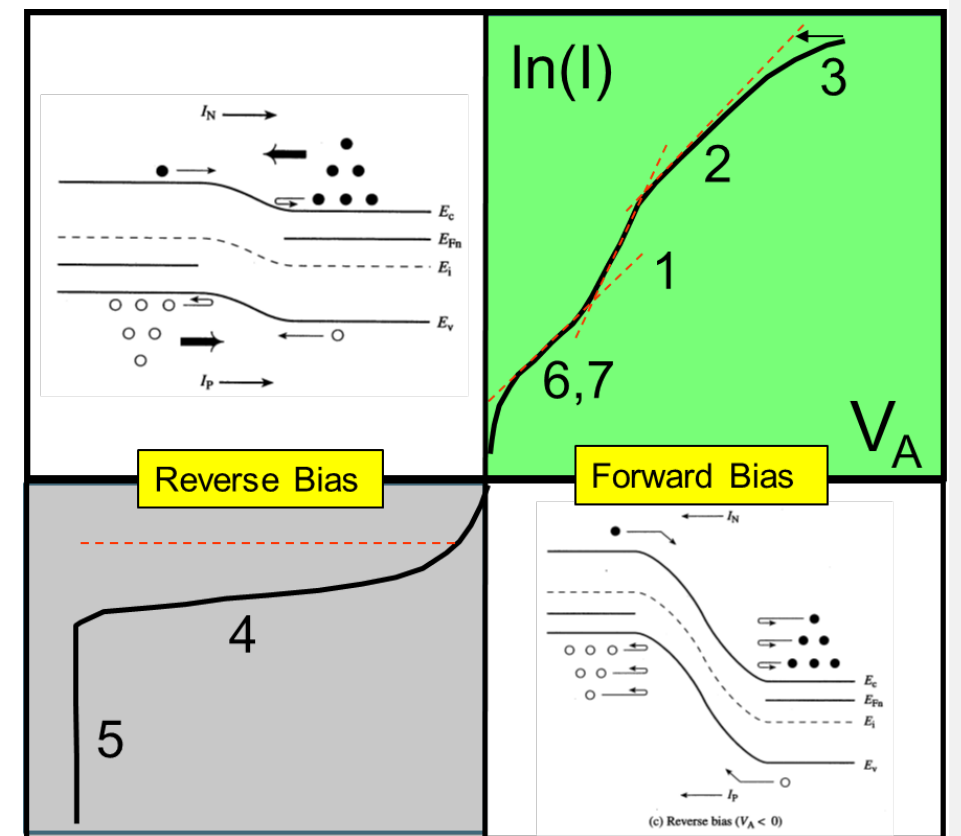
$$= q \times n \times v \times A$$

↑ charge density    ↑ velocity    ↑ area



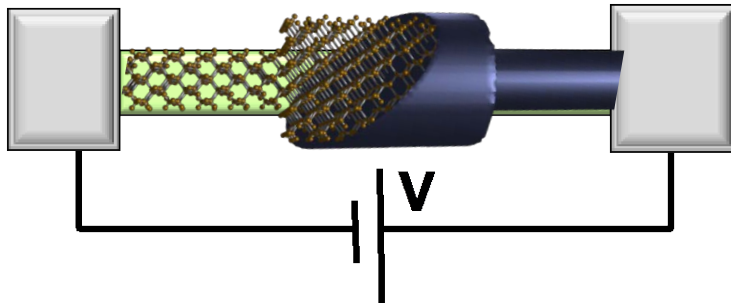
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- 20.1 Band diagram with applied bias
- 20.2 Derivation of the forward bias formula
- 20.3 Forward Bias - Non-linear Regime
  - » Resistive drop
  - » Ambipolar regime
- 20.4 Non-ideal effects:
  - » Junction recombination
  - » Tunneling
  - » Impact ionization



# Section 20

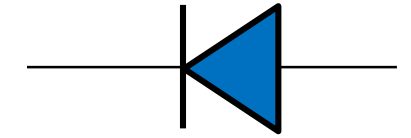
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