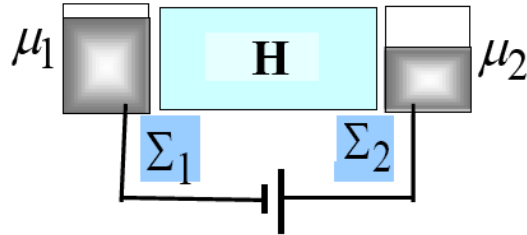


### HW#3: Problems 1-4, Due Wednesday February 25, 2009 in class

Please remember to turn in a copy of your MATLAB codes for all numerical problems.

#### Coherent transport



$$\Gamma_1 = i[\Sigma_1 - \Sigma_1^+], \Gamma_2 = i[\Sigma_2 - \Sigma_2^+]$$

$$1. G(E) = [EI - H - \Sigma_1 - \Sigma_2]^{-1},$$

$$2. A(E) = i[G - G^+] = G\Gamma_1 G^+ + G\Gamma_2 G^+ \quad \text{Density of states} * 2\pi$$

$$3. [G^D(E)] = [G\Gamma_1 G^+] f_1 + [G\Gamma_2 G^+] f_2 \quad \text{Electron density} * 2\pi$$

$$4a. I_i(E) = \frac{q}{h} ((\text{Trace}[\Gamma_i A]) f_i - \text{Trace}[\Gamma_i G^D]) \quad \text{Current/energy at terminal 'i'}$$

$$4b. I(E) = \frac{q}{h} \text{Trace}[\Gamma_1 G\Gamma_2 G^+] (f_1(E) - f_2(E)) \quad \text{2-terminal current/energy}$$

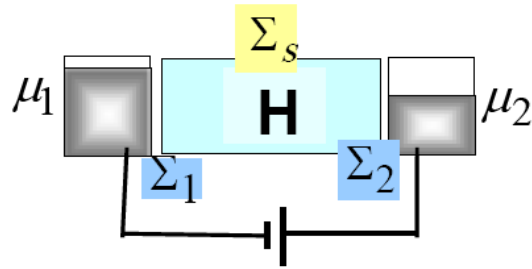
#### (1 x 1) version

$$3. n(E) = D(E) \frac{\gamma_1 f_1(E) + \gamma_2 f_2(E)}{\gamma_1 + \gamma_2} \quad \text{Electron density}$$

$$4a. I_i(E) = \frac{q}{h} \gamma_i (D(E) f_i(E) - n(E)) \quad \text{Current/energy at terminal 'i'}$$

$$4b. I(E) = \frac{q}{h} \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2} D(E) (f_1(E) - f_2(E)) \quad \text{2-terminal current/energy}$$

## Incoherent transport



$$\Gamma_1 = i[\Sigma_1 - \Sigma_1^+], \Gamma_2 = i[\Sigma_2 - \Sigma_2^+], \Gamma_s = i[\Sigma_s - \Sigma_s^+]$$

$$**1. G(E) = [EI - H - \Sigma_1 - \Sigma_2 - \Sigma_s]^{-1},$$

$$\text{where } [\Sigma_s] = D[G]$$

$$2. A(E) = i[G - G^+]$$

$$3. [G^n(E)] = [\Gamma_1 G^+] f_1 + [\Gamma_2 G^+] f_2 + [G \Sigma_s^{in} G^+]$$

$$\text{where } [\Sigma_s^{in}] = D[G^n]$$

$$4b. I_i = \frac{q}{h} \int dE (\text{Trace}[\Gamma_i A] f_i - \text{Trace}[\Gamma_i G^n]), i: \text{Terminals}$$

$$4c. I_{a \rightarrow b} = \frac{q}{h} \int dE i[H_{ab} G_{ba}^n - G_{ab}^n H_{ba}], a, b: \text{Internal Points}$$

**Problem 1:** The objective of this problem is to write a MATLAB code to describe numerically the propagation of a wavepacket and show that it travels with a velocity equal to the group velocity  $(1/\hbar) dE/dk$ . Consider a discrete lattice with points spaced by  $a = 2\text{e-}10$  m extending from  $-2\text{e-}8$  m to  $+2\text{e-}8$  m, described by a Hamiltonian matrix with non-zero elements given by ( $t_0 = \hbar^2/2ma^2$ , m: free electron mass)

$$H_{ii} = 2t_0, \quad H_{i,i+1} = H_{i,i-1} = -t_0$$

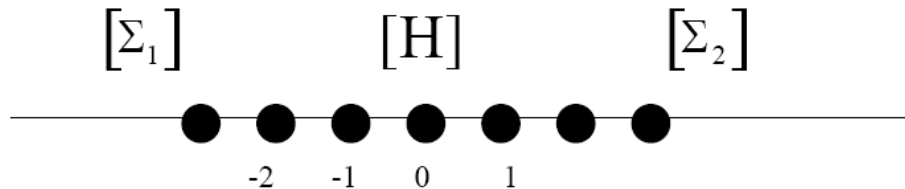
Assume that the initial wavefunction is given by  $\psi(z, t=0) = e^{+ikz} e^{-(z/20a)^2}$ , where  $k = \pi/4a$  and plot the center of the wavefunction

$$\langle z(t) \rangle = \frac{\int dz z |\psi(z, t)|^2}{\int dz |\psi(z, t)|^2}$$

as a function of time. Compare the velocity  $d\langle z(t) \rangle/dt$  with the group velocity

$$(1/\hbar) dE/dk = (2at_0/\hbar) \sin ka$$

**Problem 2:** Consider a 1-D wire



modeled with a Hamiltonian having  $H_{n,n} = +2t_0$ ,  $H_{n,n+1} = -t_0 = H_{n,n-1}$  (all other elements are zero), such that the dispersion relation is given by  $E = 2t_0(1 - \cos ka)$ . Use  $t_0 = 1$  eV. For a lattice with  $Np$  points, you could set up the H matrix using the command

$$H = -t_0 * \text{diag}(\text{ones}(1, Np-1), 1) - t_0 * \text{diag}(\text{ones}(1, Np-1), -1) + 2t_0 * \text{diag}(\text{ones}(1, Np));$$

$\Sigma_1$  is a matrix with all zeroes except for  $\Sigma_1(1,1) = \sigma$ , while  $\Sigma_2$  has all zeroes except for  $\Sigma_2(Np, Np) = \sigma$ .

(a) Using  $\sigma(E) = -t_0 e^{+ika}$ , plot numerically the transmission  $T(E)$  over the energy range  $-0.5 \text{ eV} < E < +4.5 \text{ eV}$ , using  $N_p = 25$ .

(b) Consider the same 1-D wire with  $N_p=25$  points and with one point scatterer located in the middle. This is accomplished by setting  $H(13,13) = U+2*t_0$  (rest of  $H$  same as before).

(a) Using  $\sigma(E) = -t_0 e^{+ika}$  and  $U = 1 \text{ eV}$ , plot numerically the transmission  $T(E)$  over the energy range  $0 \text{ eV} < E < 1 \text{ eV}$ .

(c) Using  $\sigma(E) = -t_0 e^{+ika}$ , show analytically that  $T(E) = \frac{1}{1 + (U/2t_0 \sin ka)^2}$  and

compare this result with the numerical result from part (b).

Hint: Treat point "13" of the lattice as the channel described by a (1x1) Hamiltonian and the rest of the wire on each side as  $\Sigma_1$  and  $\Sigma_2$ .

**Note:** This problem is similar to Prob. 1, 2 of HW#8 from ECE 495N, Fall 2008. Both homeworks and solutions are posted at <http://sites.google.com/site/ece495n/>. You are welcome to look at the solutions but what you submit should be your own work.

**Problem 3:** Consider the same 1-D wire with  $N_p=25$  points with two point scatterers located one near each end:  $H(6,6) = U+2*t_0$ ,  $H(20,20) = U+2*t_0$  (rest of  $H$  same as before). Using  $\sigma(E) = -t_0 e^{+ika}$  and  $U = 1 \text{ eV}$ , plot numerically the terminal currents versus energy over the range  $0.1 \text{ eV} < E < 1 \text{ eV}$ , assuming  $f_1 = 1$ ,  $f_2 = 0$ .

**Problem 4:** Consider the same device as in Problem 3 but with phase-breaking processes described by  $D = 5 \times 10^{-2} eV^2$ . Use the equations for incoherent transport to plot the terminal currents versus energy from Eq.(4b), with  $f_1 = 1$ ,  $f_2 = 0$ . Note that Eq.(4a) is not valid for incoherent transport. Also, both Eqs.(1) and (3) have to be solved self-consistently.