

ECE 659: Quantum Transport Spring 2009

EE 117, MWF 930A-1020P

Course website: <http://cobweb.ecn.purdue.edu/%7Edatta/659.htm>

Lecture videos posted at <https://nanohub.org/resources/6172/>

**Final exam: Thursday, 5/7, 7PM - 9PM, Lawson, B155**

**Cumulative, Closed book, notes (4 pages) will be provided**

### *General References*

1. Chapter 1, 4, 7.1, 8-11: Quantum Transport: Atom to Transistor
2. Lectures 1-5A, B: <http://www.nanohub.org/resources/5279>
3. Nanoelectronic Devices: A Unified View, <http://arxiv.org/abs/0809.4460v2>

There will be five questions on the final exam, one from each of the topics we have covered: Semiclassical transport, quantum transport, spin transport, energy transport and correlated transport. The homework problems (without the MATLAB implementation) are a good guide. The last practice exam is also a good guide regarding the first two topics. In addition the following problems are intended to be a guide to the last three topics.

**Problem 1:** A two-component spinor  $\{\psi\}$  evolves in time according to the equation

$i\hbar \frac{d}{dt} \{\psi\} = \mu_B [\vec{\sigma} \cdot \vec{B}] \{\psi\}$ , where  $\vec{\sigma}$  represents the Pauli spin matrices. Show that the spin

$\vec{S} \equiv \psi^\dagger \vec{\sigma} \psi$  evolves according to  $\frac{d}{dt} \vec{S} = \frac{2\mu_B}{\hbar} \vec{B} \times \vec{S}$ . **Useful result:**  $(\vec{a} \times \vec{b})_m = \epsilon_{mnp} a_n b_p$

**Problem 2:** Consider a channel with two spin levels, described by a (2x2) Hamiltonian  $[H] = \epsilon_0 I + \epsilon \sigma_z$ , connected to two anti-parallel contacts 1 and 2, one communicating exclusively with +x spins and the other with -x spins. (a) Write down the self-energy matrices  $\Sigma_1$  and  $\Sigma_2$ . (b) Calculate the spectral function  $[A]$ . A small bias is applied so that over a small energy range

around  $E = 0$  where we can assume  $f_1=1$  and  $f_2=0$ . Find (c) the correlation function  $G^n$  and (d) the number of electrons  $N$  and the spin  $\vec{S}$  in the channel.

**Problem 3:** Consider a 2-D conductor in the x-y plane described by the Hamiltonian

$$H = \frac{p^2}{2m} + C\vec{\sigma} \cdot (\vec{E} \times \vec{p})$$

where  $m$  is the effective mass,  $C$  is a real constant and  $\vec{p} \equiv -i\hbar\nabla$ . There is a strong electric field perpendicular to the plane of the conductor :  $\vec{E} = E_0\hat{z}$ . Assume a plane wave solution of the form  $\exp[i(\vec{k} \cdot \vec{r} - Et/\hbar)] \begin{pmatrix} \psi \\ \bar{\psi} \end{pmatrix}$ , where  $\vec{k}$  lies in the x-y plane and obtain the dispersion relation  $E(\vec{k})$ .

**Problem 4:** Starting from  $[\Sigma_s^{in}(E)] = D(+\varepsilon) [G^n(E-\varepsilon)]$

and  $[\Gamma_s(E)] = D(+\varepsilon) [G^n(E-\varepsilon)] + D(+\varepsilon) [G^p(E+\varepsilon)]$  show that

(a) the integrated scattering current is zero, though it may not be zero at each energy  $E$ :

$$\int dE I_s(E) = \frac{q}{h} \int dE \text{Trace}[\Sigma_s^{in} A - \Gamma_s G^n] = 0$$

(b) the scattering current is zero at each energy if both the electrons and the scatterers are at equilibrium at the same temperature  $T$ .

**Problem 5:** Consider a device having one energy level  $\varepsilon$

with equal escape rates  $\gamma_1/\hbar = \gamma_2/\hbar = 10^{12}/\text{sec}$ .

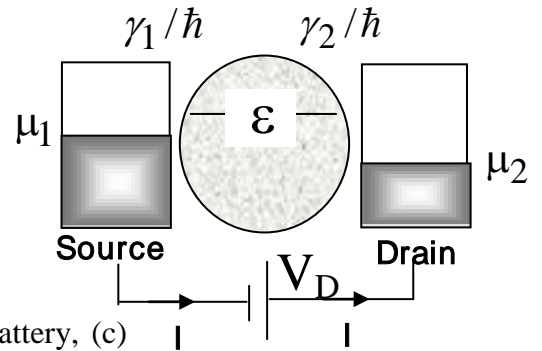
(small enough that the broadening can be neglected)

with an applied voltage  $V_D = (\mu_1 - \mu_2)/q$  such that

$$\varepsilon - \mu_1 = k_B T \text{ and } \varepsilon - \mu_2 = 2k_B T$$

Calculate the (a) current, (b) power delivered by the battery, (c)

power dissipated in (or absorbed from) contact 1 and (d) power dissipated in (or absorbed from) contact 2. Assume  $k_B T = 0.025$  eV.



**Problem 6:** A channel has two energy levels  $\varepsilon_1$  and  $\varepsilon_2$  corresponding to four levels 00, 01, 10 and 11 in the multi-electron picture. Apply the law of equilibrium in the multi-electron picture to

obtain the equilibrium occupation probabilities assuming zero interaction energy ( $U_0 = 0$ ) for the four levels and show that

$$P_{00} = (1 - f_1)(1 - f_2) \quad , \quad P_{01} = (1 - f_1) f_2, \quad P_{10} = f_1(1 - f_2) \quad \text{and} \quad P_{11} = f_1 f_2$$

where  $f_1$  and  $f_2$  are the equilibrium Fermi functions corresponding to the two energy levels.

**Problem 7:** A channel has a very large number  $N$  of degenerate states all having the same energy  $\varepsilon$  in equilibrium with an electrochemical potential  $\mu$  and temperature  $T$ . Starting from the general law of equilibrium  $P_n = (1/Z) e^{-(E_n - n\mu)/k_B T}$ , show that the fraction of occupied states can

be written as  $f \equiv \frac{n}{N} = \frac{1}{\exp\left(\frac{\varepsilon + \alpha - \mu}{k_B T}\right) + 1}$  if the interaction energy  $U(n)$  can be approximated as

$$U(n) \cong U_0 + \alpha n. \quad \text{Useful result:} \quad \sum_n {}^N C_n x^n = (1 + x)^N, \quad \sum_n {}^N C_n n x^n = Nx(1 + x)^{N-1}$$

**Problem 8:** Consider two coupled quantum dots each with two spin-degenerate levels, such that

the one-electron Hamiltonian,

$$h = \begin{matrix} & a & b & \bar{a} & \bar{b} \\ \begin{bmatrix} \varepsilon & t & 0 & 0 \\ t & \varepsilon & 0 & 0 \\ 0 & 0 & \varepsilon & t \\ 0 & 0 & t & \varepsilon \end{bmatrix} \end{matrix}$$

having an intra-dot interaction energy  $U$  and zero inter-dot interaction energy.

At what values of  $\mu$  does the total number of electrons change from  $N = 0$  to 1 to 2 to 3 and finally to 4?

**Useful result:** Eigenvalues of

$$\begin{bmatrix} a & 0 & t & t \\ 0 & a & t & t \\ t & t & b & 0 \\ t & t & 0 & b \end{bmatrix}$$

**are**  $a, b$  and  $\frac{(a + b) \pm \sqrt{(a - b)^2 + 16t^2}}{2}$