

Fundamentals of Atomic Force Microscopy

Part 2: Dynamic AFM Methods

Week 4, Lecture 2
Reconstruction of Interaction Force
from Frequency Shift in FM-AFM

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From the last lecture

- Shape of total potential energy well of oscillator+sample system governs the natural frequency of the probe
 - For a quadratic well, frequency is independent of oscillation amplitude
 - Tip-sample interaction adds additional terms making the frequency shift depend on both Z and oscillation amplitude
 - How to convert freq. shift vs. Z into U_{ts} or F_{ts}^{CONS} ?

Relation between frequency shift and interaction force

- Recall

$$\frac{\Delta\omega_0}{\omega_0} = -\frac{V_{ts}(Z,A)}{kA^2} \quad (1)$$

where

$$\begin{aligned} V_{ts}(Z,A) &= \langle \mathbf{F}_{ts}^{CONS} \cdot \mathbf{q} \rangle = \frac{1}{2\pi} \int_0^{2\pi} \mathbf{F}_{ts}^{CONS}(Z + A \sin \theta) \cdot A \sin \theta \, d\theta \\ &= \frac{1}{\pi} \int_{q=-A}^{+A} \mathbf{F}_{ts}^{CONS}(Z + q) \cdot \frac{q}{\sqrt{A^2 - q^2}} \, dq \end{aligned} \quad (2)$$

Let $u = \sin \theta, q = Au$ then (2) becomes

$$V_{ts}(Z,A) = \frac{A}{\pi} \int_{u=-1}^{+1} \mathbf{F}_{ts}^{CONS}(Z + Au) \cdot \frac{u}{\sqrt{1 - u^2}} \, du$$

Thus

$$\frac{\Delta\omega_0}{\omega_0} = -\frac{1}{k\pi A} \int_{u=-1}^{+1} \mathbf{F}_{ts}^{CONS}(Z + Au) \cdot \frac{u}{\sqrt{1 - u^2}} \, du = -\frac{1}{k\pi A^2} \int_{q=-A}^{+A} \mathbf{F}_{ts}^{CONS}(Z + q) \cdot \frac{q}{\sqrt{A^2 - q^2}} \, dq \quad (3)$$

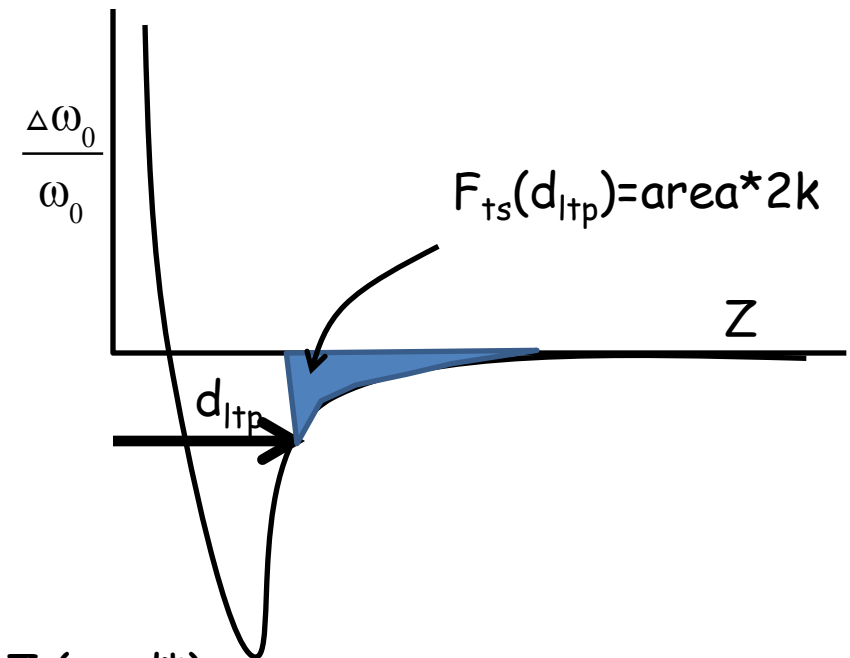
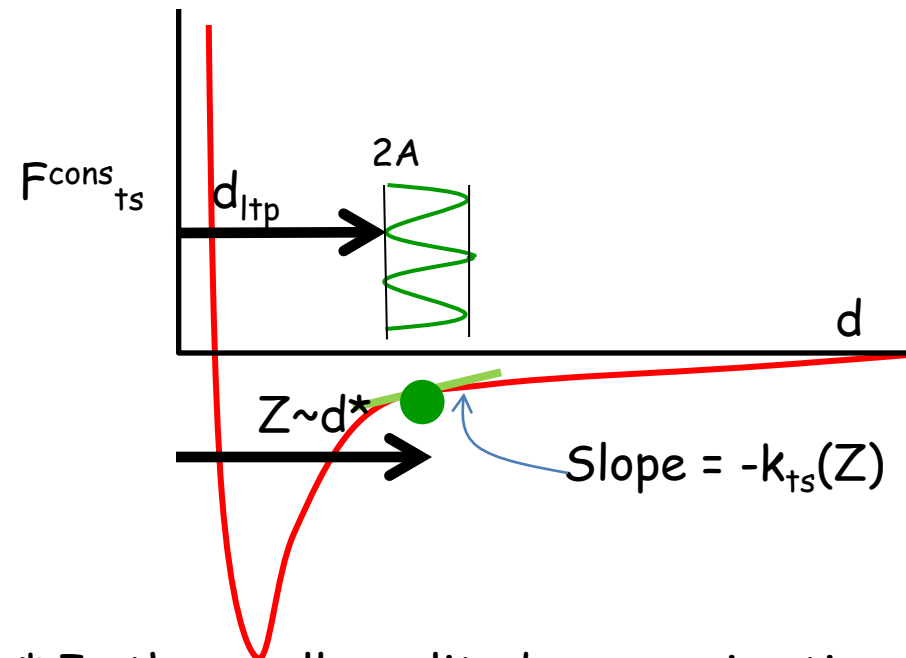
- Formula derived by F. J. Giessibl, Phys. Rev. B., 56, 16010, 1997.
- Key assumption is that $Z=d^*$ i.e. the mean deflection of the tip is considered negligible compared to oscillation amplitude

The small amplitude approximation

- In week 2, we showed that when $A \ll Z$ then $v_{ts}(Z, A) \sim -\frac{k_{ts}(Z) A^2}{2}$
- Apply this to Eq. (1) and we get $\Omega(Z) = \frac{\Delta\omega_0(Z)}{\omega_0} = \frac{1}{2} \frac{k_{ts}(Z)}{k}$
- From which the force can be reconstructed as follows

$$F_{ts}^{CONS}(d_{ltp}) = 2k \int_{d_{ltp}}^{\infty} \Omega(\xi) d\xi \quad (4)$$

- Where d_{ltp} , "the lower turnaround point" is as shown below*



* In the small amplitude approximation $d_{ltp} \sim Z$ (or d^*)

The large amplitude approximation

- When $A \gg Z$ then

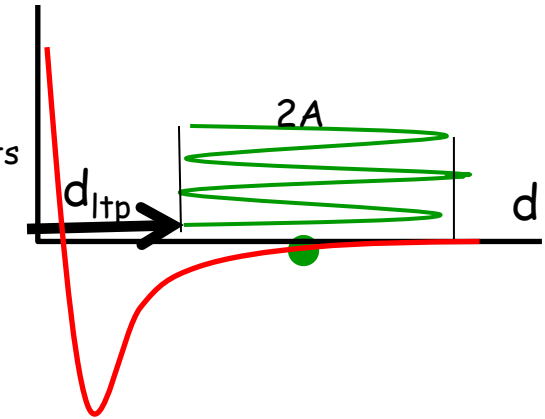
This derivation is based on Giessibl and Bielefeldt, Phys. Rev. B, 61, 9968, 2000

Define a "normalized" frequency shift parameter into Eq. (3)

$$\gamma(Z, A) = k A^{3/2} \frac{\Delta \omega_0}{\omega_0} = -\frac{1}{\pi \sqrt{A}} \int_{q=-A}^{+A} F_{ts}^{CONS}(Z+q) \cdot \frac{q}{\sqrt{A^2 - q^2}} dq \quad (5)$$

Units of $\gamma(Z, A)$ are $Nm^{0.5}$. Rewriting (5)

$$\gamma(Z, A) = -\frac{1}{\sqrt{2}\pi} \int_{q=-A}^{+A} \frac{F_{ts}^{CONS}(Z+q)}{\sqrt{A+q}} \cdot \frac{-\frac{q}{A}}{\sqrt{\frac{1}{2} - \frac{q}{2A}}} dq \quad (6)$$



In the large amplitude limit, two approximations can be made:

1. The **second term in the integrand ~ 1** when $q \sim -A$ i.e. for the duration that tip-sample forces are active
2. The upper limit on the integral can be changed from $+A$ to $+\infty$ since the interaction forces vanish by the time the tip reaches its top-most position

Using these it can be shown (See Appendix) that

$$F_{ts}^{CONS}(d_{ltp}) = -2k \int_{d_{ltp}}^{\infty} \frac{A^{3/2}}{\sqrt{2(\xi - d_{ltp})}} \frac{\partial \Omega}{\partial \xi} d\xi \quad (7)$$

Unifying approaches

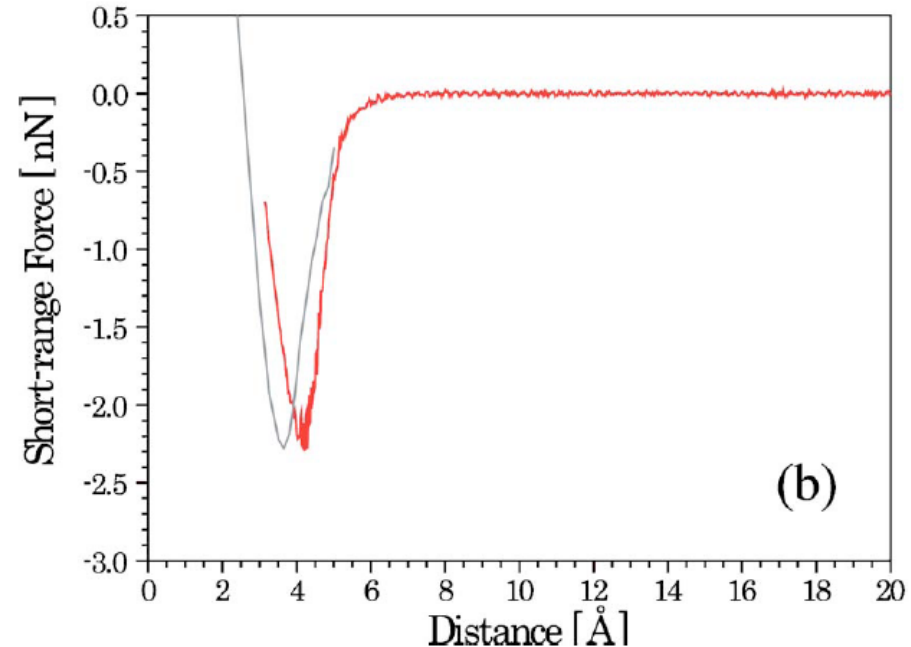
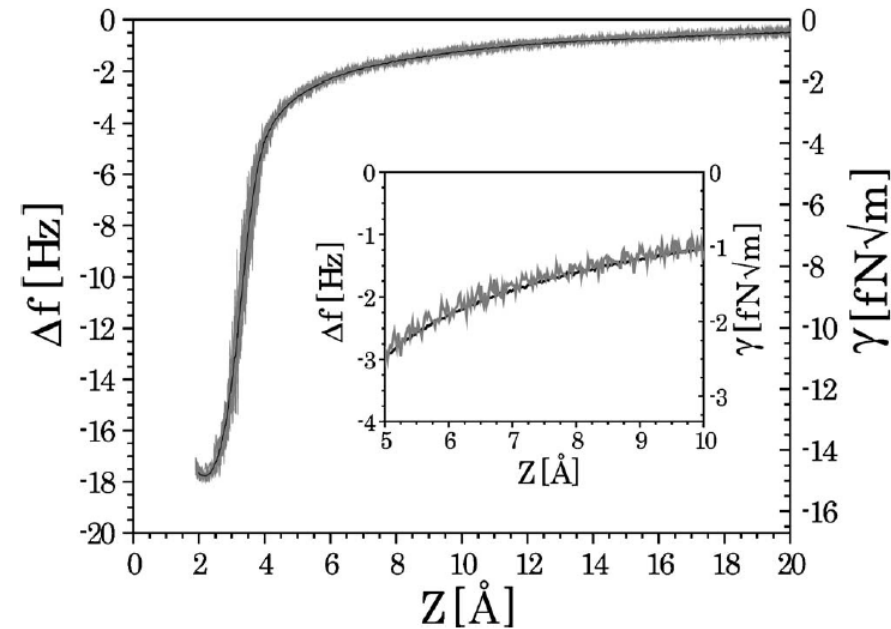
- For arbitrary amplitudes there are two approaches
- The first (Sader and Jarvis, App. Phys. Lett, 84, 10, 2004) shows (see appendix)

$$F_{ts}^{CONS}(d_{ltp}) = 2k \int_{d_{ltp}}^{\infty} \left\{ \Omega(\xi) + \frac{\Omega(\xi)\sqrt{A}}{8\sqrt{\pi(\xi - d_{ltp})}} - \frac{A^{3/2}}{\sqrt{2(\xi - d_{ltp})}} \frac{\partial \Omega}{\partial \xi} \right\} d\xi \quad (8)$$

- First term is the small amplitude approximation, last term is the large amplitude approximation, the middle term comes from an interpolation based on fractional calculus
- In practice $\Omega(Z)$ is acquired at discrete points and also the 2nd and 3rd terms can introduce a singularity in the integral, which needs to be properly accounted for
(<http://www.ampc.ms.unimelb.edu.au/afm/bibliography.html>)
- Giessibl, App. Phys. Lett, 78, 123-125, proposes another numerical approach based on matrix inversion

An example

- Abe, Custance, Morita et al, App. Phys. Lett, 87, 173503, 2005



Frequency shift vs tip-sample vertical displacement f - Z characteristics acquired over a Si111-7x7 corner adatom at room temperature. Ten of a total of eighty f - Z curves measured during the tracking of the adatom are superimposed gray. The average curve from these 80 f - Z curves is shown in black. The inset is for the comparison of a single f - Z curve gray with the averaged one black. The axes on the right side display the corresponding normalized frequency shift $A=282 \text{ \AA}$, $f_0=162\,295.8 \text{ Hz}$, and $k=28.7 \text{ N/m}$.

(Red) Experimental chemical interaction force obtained on the corner adatom red, and predicted short-range force gray for a Si tip interacting with an adatom of the Si111-5x5 surface from first-principles calculations

Appendix

To reconstruct force based on the large amplitude assumption, we started at

$$\gamma(Z,A) = kA^{3/2} \frac{\Delta\Omega_0}{\Omega_0} = kA^{3/2}\Omega \quad (1)$$

and reached the approximation

$$\gamma(Z,A) \sim \frac{1}{\sqrt{2\pi}} \int_{q=-A}^{+\infty} \frac{F_{ts}^{CONS}(Z+q)}{\sqrt{A+q}} dq \quad (2)$$

Recalling that $q=d-Z$ we can rewrite above as

$$\gamma(Z,A) \sim \frac{1}{\sqrt{2\pi}} \int_{d=d_{tp}}^{+\infty} \frac{F_{ts}^{CONS}(d)}{\sqrt{d-d_{tp}}} dd$$

Durig (App.Phys.Lett,1999) suggests that this can be inverted using identities for Abelian integrals into the following

$$F_{ts}^{CONS}(d_{tp}) = -2k \int_{d_{tp}}^{\infty} \frac{A^{3/2}}{\sqrt{2(Z-d_{tp})}} \frac{\partial\Omega}{\partial Z} dZ$$

d_{tp} is the gap corresponding to the "lower turnaround point"

$$d_{tp} = Z - A$$