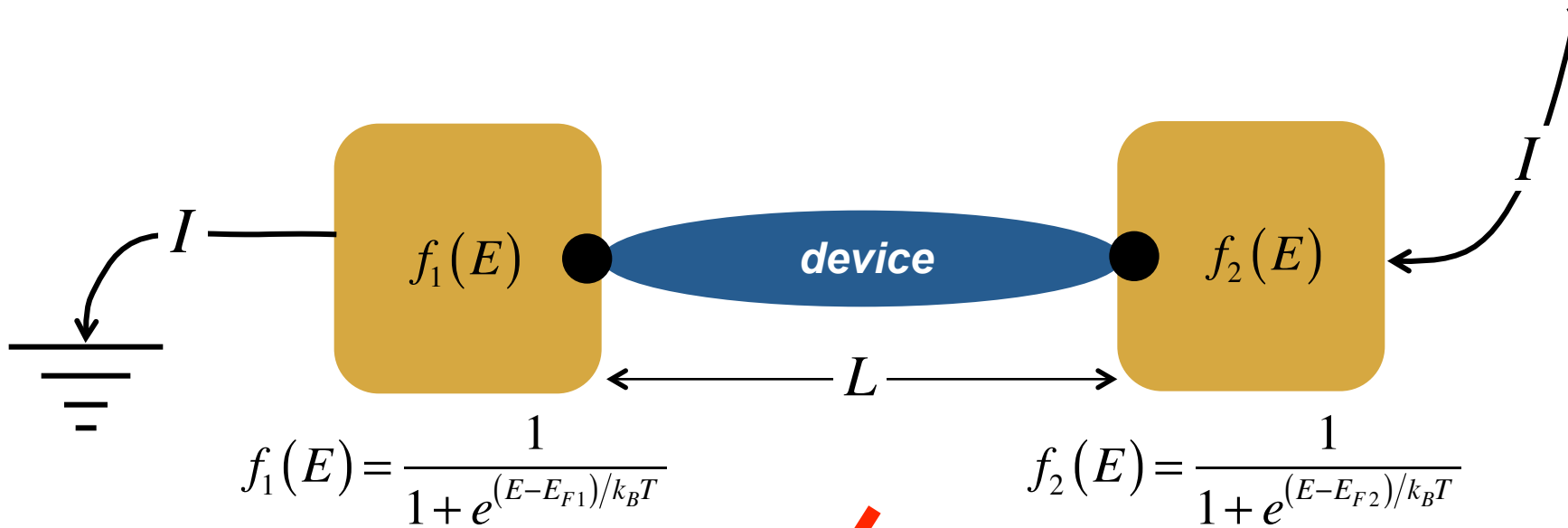


Channels

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Landauer Approach



$$I = \frac{2q}{h} \int \mathcal{T}(E) M(E) (f_1 - f_2) dE$$

transmission, modes (channels), differences in Fermi functions

Definition of channels (2D)

$$\text{Define: } M(E) \equiv W \frac{h}{4} \langle v_x^+(E) \rangle D_{2D}(E)$$

$$\text{Units: } M(E) = (\text{m})(\text{J-s}) \left(\frac{\text{m}}{\text{s}} \right) \left(\frac{\#}{\text{J-m}^2} \right) = \#$$

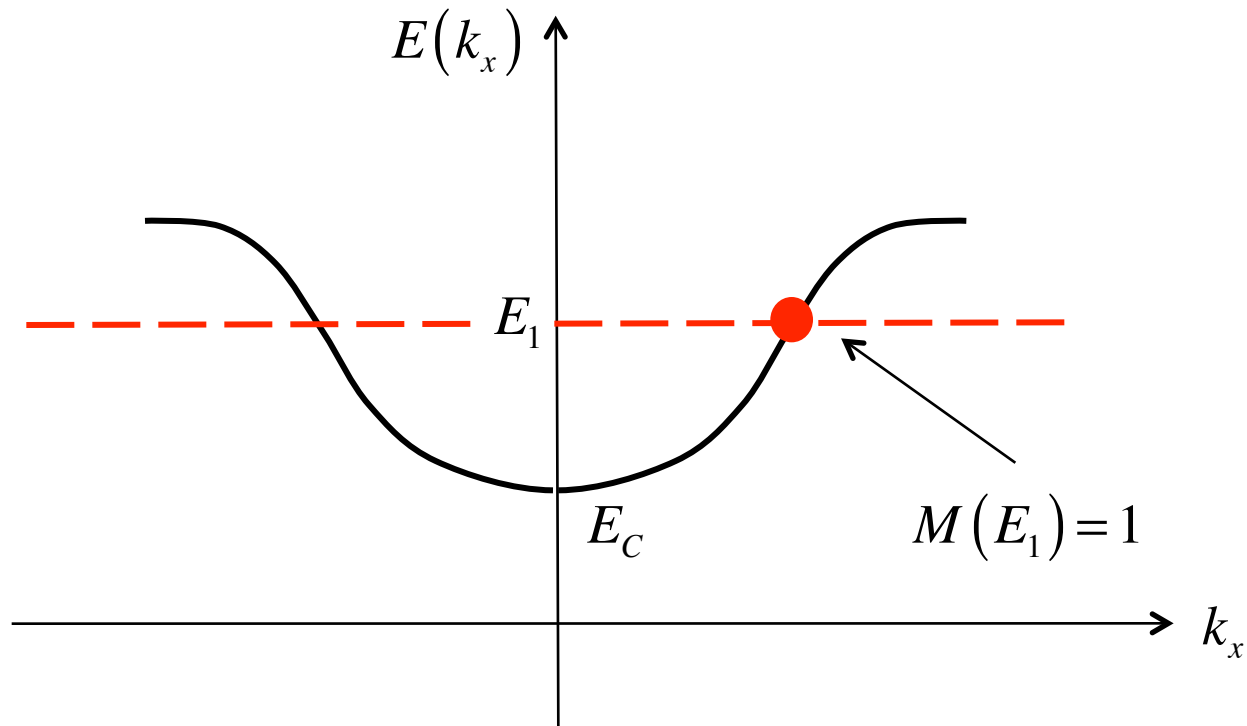
A channel (or mode) is a state with a velocity in the direction of transport.

Average velocity in the +x (transport) direction =

$$\langle v_x^+(E) \rangle = \langle |v_x(E)| \rangle$$

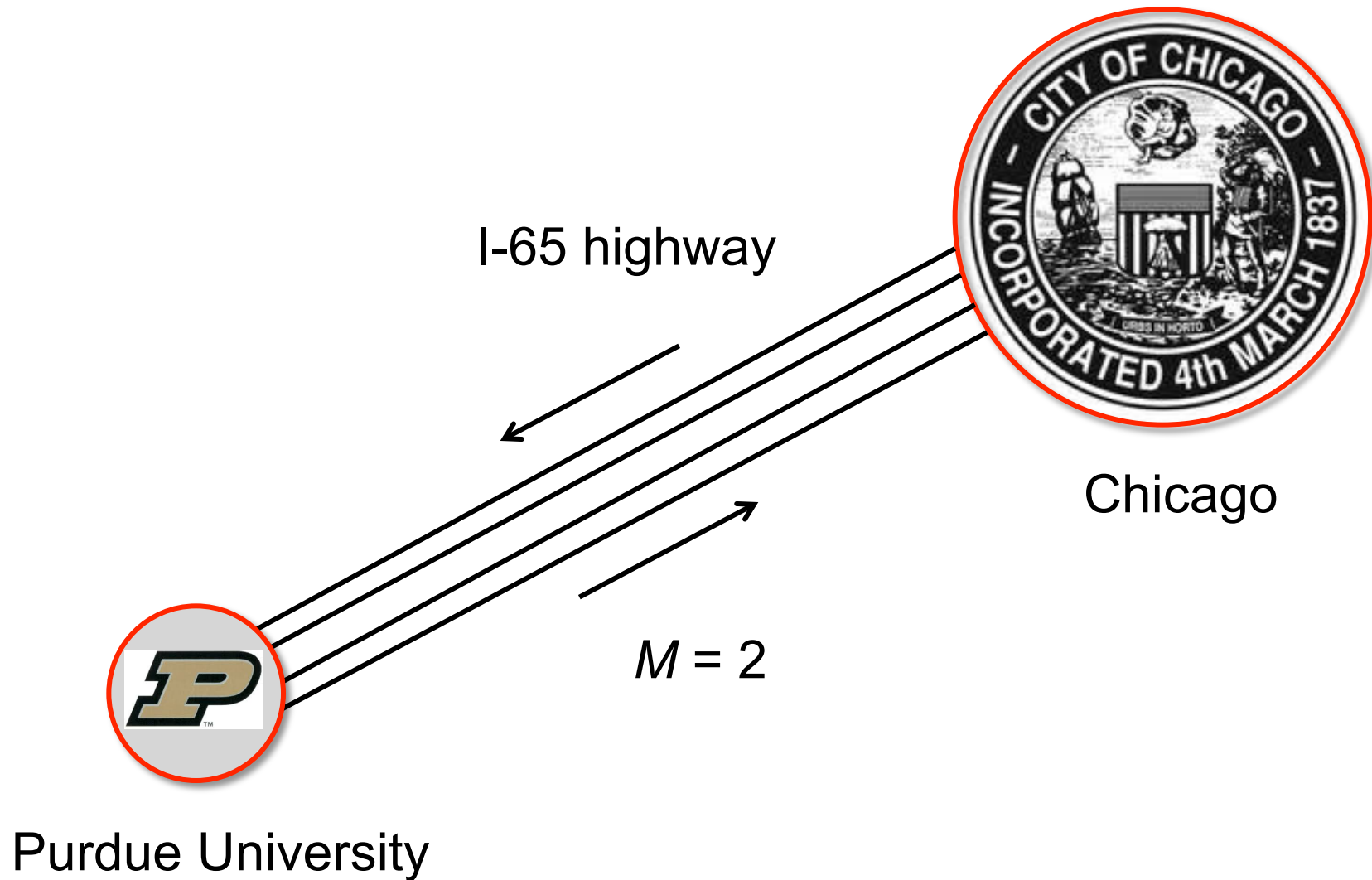
Channels (modes) in 1D

$$M_{1D}(E) = \frac{h}{4} \langle v_x^+(E) \rangle D_{1D}(E)$$



(Easily generalized to arbitrary bandstructures in 2D and 3D.)

Channels



Example: $M(E)$ in 2D

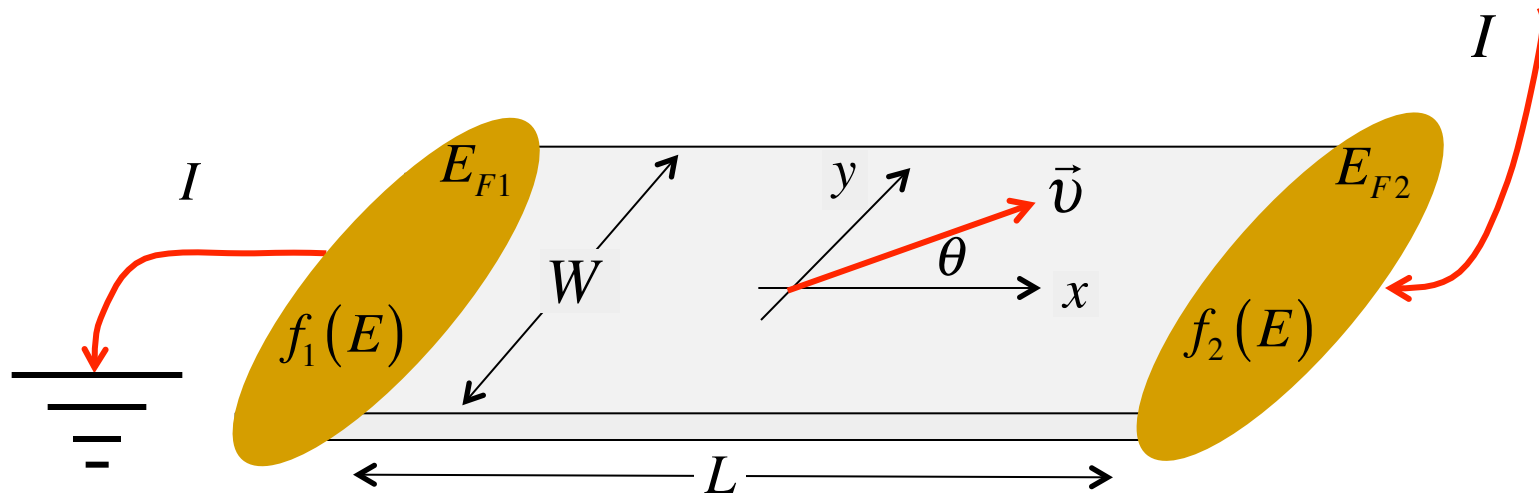
$$M(E) \equiv W \frac{h}{4} \langle v_x^+(E) \rangle D_{2D}(E) \quad M_{2D}(E) \equiv M(E)/W = \frac{h}{4} \langle v_x^+(E) \rangle D_{2D}(E)$$

(number) (number per unit width)

$$D_{2D}(E) = g_v \left(\frac{m^*}{\pi \hbar^2} \right) \text{J}^{-1} \text{m}^{-2} \quad (\text{parabolic energy bands})$$

$$\langle v_x^+(E) \rangle = ?$$

Average velocity in the direction of transport



$$E = E_C + \frac{1}{2} m^* v^2 \quad (\text{parabolic energy bands})$$

$$v(E) = \sqrt{\frac{2(E - E_C)}{m^*}} \quad \langle v_x^+(E) \rangle = \frac{\int_{-\pi/2}^{+\pi/2} v(E) \cos(\theta) d\theta}{\pi} = \frac{2}{\pi} v(E) \quad \checkmark$$

$$v_x(E) = v(E) \cos(\theta)$$

$M(E)$ in 2D

$$M(E) \equiv W \frac{\hbar}{4} \langle v_x^+(E) \rangle D_{2D}(E) \quad M_{2D}(E) \equiv M(E)/W = \frac{\hbar}{4} \langle v_x^+(E) \rangle D_{2D}(E)$$

$$D_{2D}(E) = g_v \left(\frac{m^*}{\pi \hbar^2} \right) \text{J}^{-1} \text{m}^{-2}$$

$$\langle v_x^+(E) \rangle = \frac{2}{\pi} v(E)$$

$$v(E) = \sqrt{\frac{2(E - E_C)}{m^*}}$$

$$M_{2D}(E) = g_v \frac{\sqrt{2m^*(E - E_C)}}{\pi \hbar} \text{m}^{-1}$$

How do we interpret this result?

Interpretation

$$M(E) = W \frac{\hbar}{4} \langle v_x^+ \rangle D_{2D}(E)$$

$$M(E) = g_V W \frac{\sqrt{2m^*(E - E_C)}}{\pi \hbar}$$

$$k = \frac{2\pi}{\lambda_B} = \frac{\sqrt{2m^*(E - E_C)}}{\hbar} \quad \lambda_B \text{ is the de Broglie wavelength of electrons.}$$

$$M(E) = g_V \frac{Wk}{\pi} = g_V \frac{W}{\lambda_B(E)/2}$$

$$\langle v_x^+ \rangle = \frac{2}{\pi} v$$

$$v = \sqrt{\frac{2(E - E_C)}{m^*}}$$

$$D_{2D}(E) = g_V \frac{m^*}{\pi \hbar^2}$$

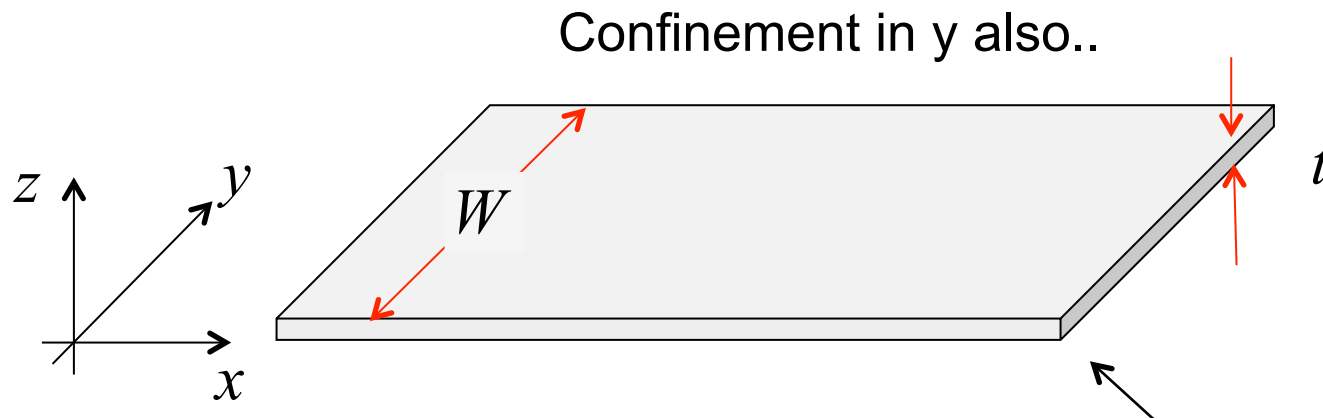
$$E - E_C + \frac{\hbar^2 k^2}{2m^*}$$

Interpretation

$$M(E) = g_V \frac{W k}{\pi} = g_V \frac{W}{\lambda_B(E)/2}$$

At any energy, E , the electron has a de Broglie wavelength. The number of half wavelengths that fit into the width of the 2D conductor is the number of channels $M(E)$.

Waveguide modes



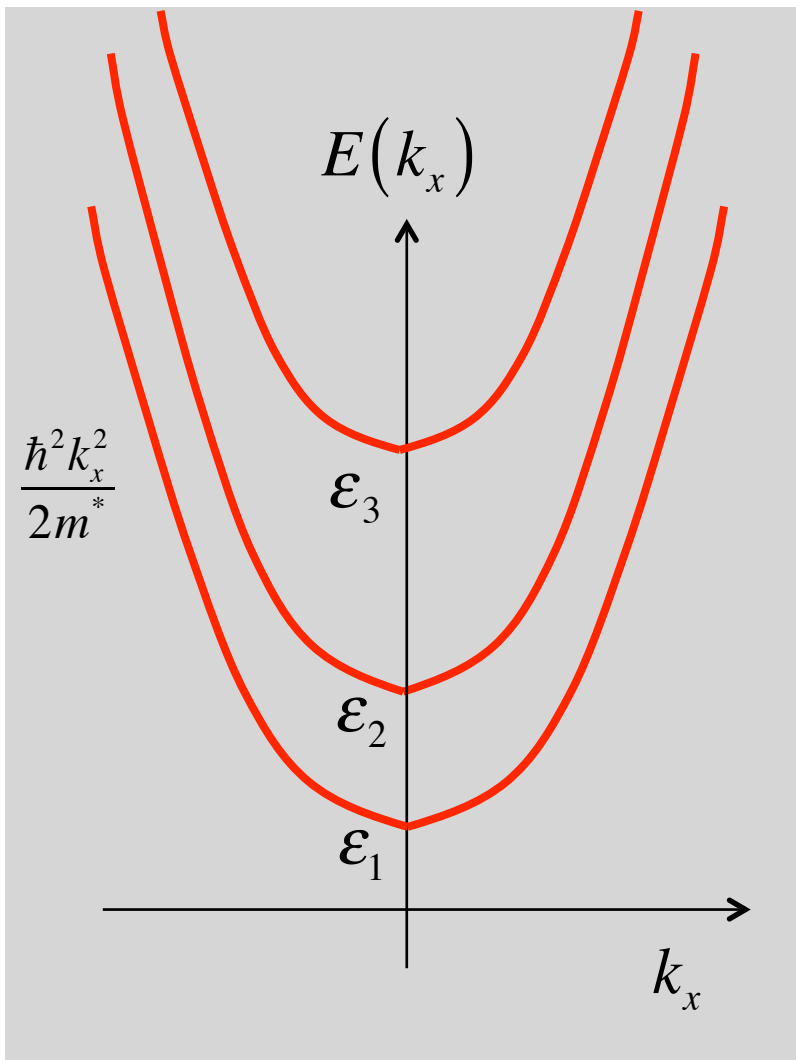
Confinement in z produces widely spaced subbands. We will consider only the first.

$$\psi(x, y) \propto e^{ik_x x} \sin k_y y$$

$$k_y = j\pi/W \quad j = 1, 2, \dots$$

$$E = \epsilon_{z1} + \frac{\hbar^2 k_y^2}{2m^*} + \frac{\hbar^2 k_x^2}{2m^*} = \epsilon_{z1} + \frac{\hbar^2 j^2 \pi^2}{2m^* W^2} + \frac{\hbar^2 k_x^2}{2m^*}$$

Recall: Subbands



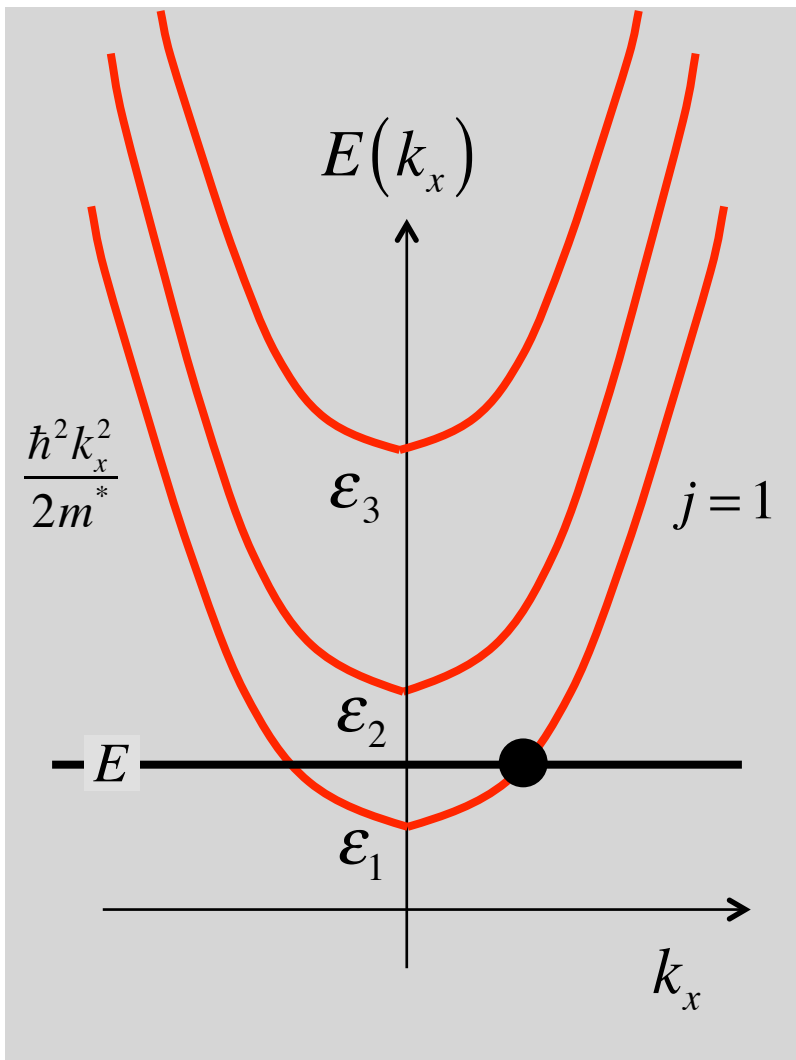
$$\epsilon_j = \frac{\hbar^2 \pi^2 j^2}{2m^* W^2} \quad j = 1, 2, 3, \dots$$

$$k_y = k_{yj} = \frac{\pi}{W} j \quad j = 1, 2, 3, \dots$$

$$k_{yj} = \frac{2\pi}{\lambda_{Bj}} \quad j = \frac{W}{\lambda_{Bj}/2}$$

For subband j , j half wavelengths fit into the width of the conductor, W .

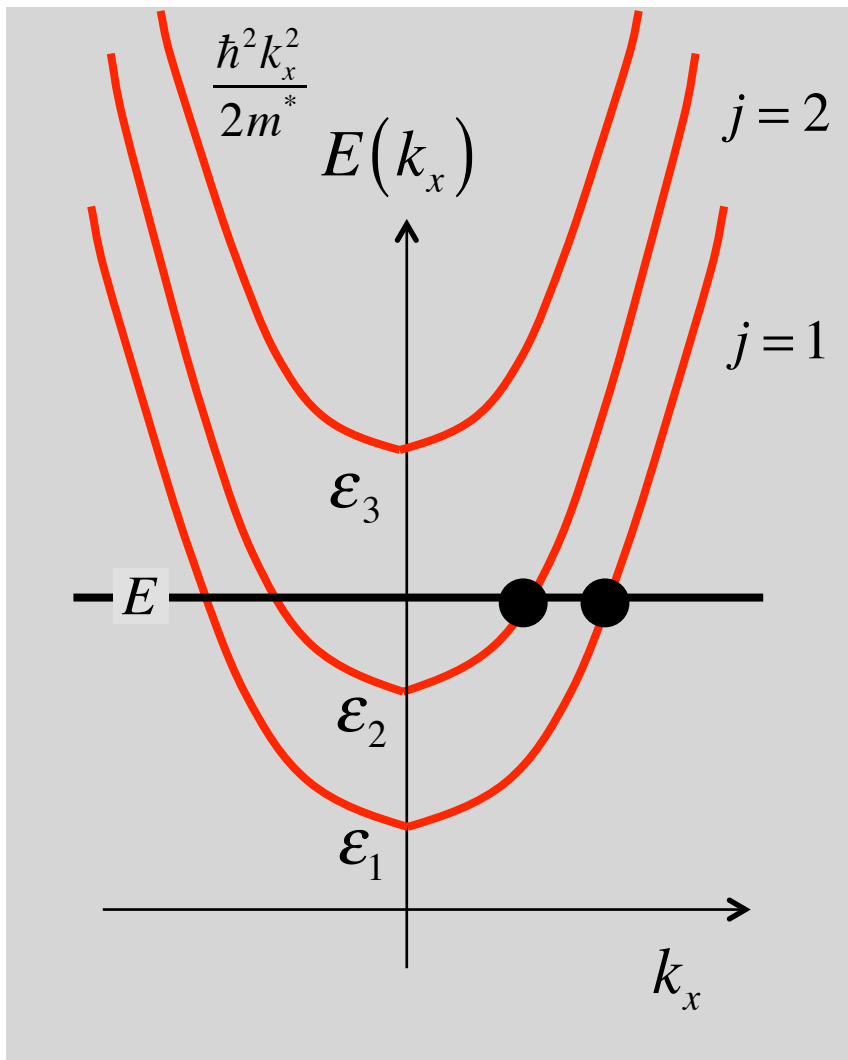
One mode



$$j = \frac{W}{\lambda_{Bj}/2} = 1 \quad M(E) = 1$$

$j = 1$ corresponds to subband 1. At this energy, only subband 1 has channel.

Two modes



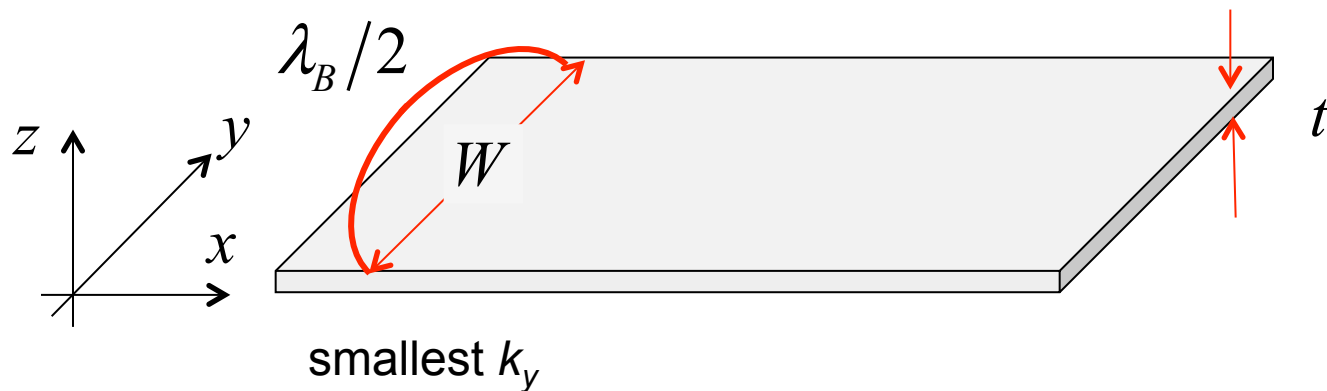
$$j = \frac{W}{\lambda_j/2} = 2 \quad M(E) = 2$$

$j = 2$ corresponds to subband 2. At this energy, subbands 1 2 both provide a channel.

Waveguide modes

$$M(E) = \frac{W}{\lambda_B(E)/2}$$

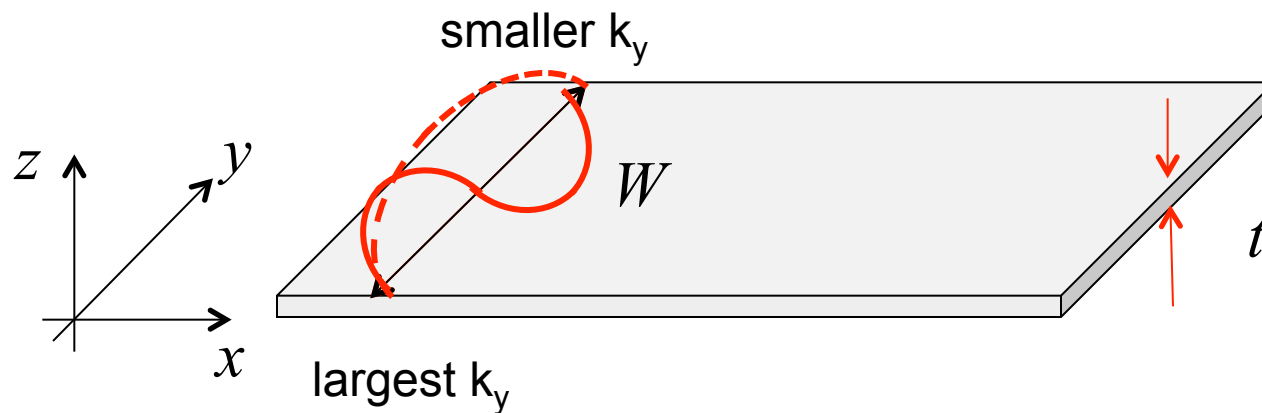
When $M(E) = 1 \rightarrow \lambda_B(E)/2 = W$



$$M(E) = j = 1$$

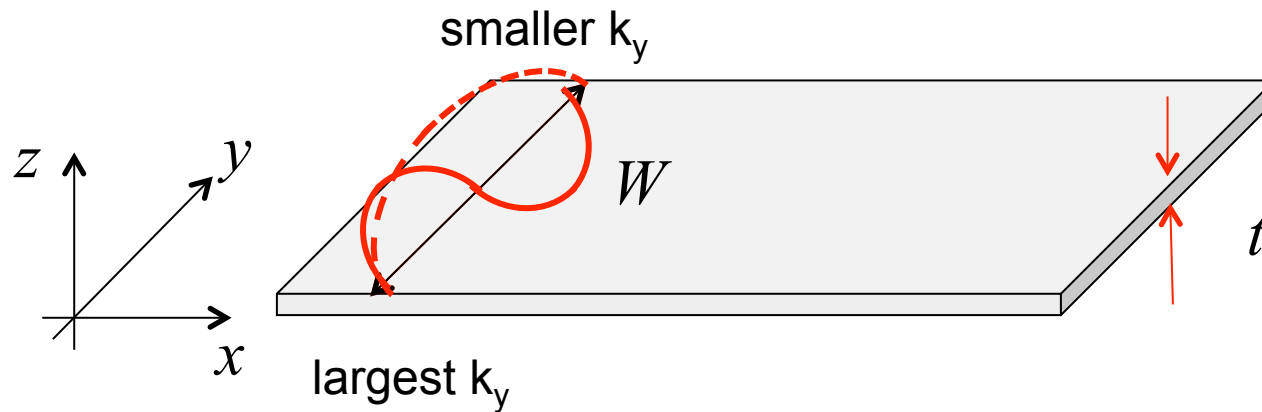
Waveguide modes: $M = 2$

$$M(E) = \frac{W}{\lambda_B(E)/2} \quad \text{When} \quad M(E) = 2 \rightarrow \lambda_B(E) = W$$



$$M(E) = j = 2$$

Waveguide modes



$M = \#$ of electron half wavelengths that fit into W .

Most convenient when the number of modes is small and countable.

Modes from the DOS

1D (single subband):

$$M(E) \equiv \frac{h}{4} \langle v_x^+(E) \rangle D_{1D}(E)$$

$$\langle v_x^+(E) \rangle = v(E)$$

2D:

$$M(E) = W \frac{h}{4} \langle v_x^+(E) \rangle D_{2D}(E)$$

$$\langle v_x^+(E) \rangle = \frac{2}{\pi} v(E)$$

3D:

$$M(E) \equiv A \frac{h}{4} \langle v_x^+(E) \rangle D_{3D}(E)$$

$$\langle v_x^+(E) \rangle = \frac{v(E)}{2}$$

Most convenient when the number of modes is large and NOT countable.

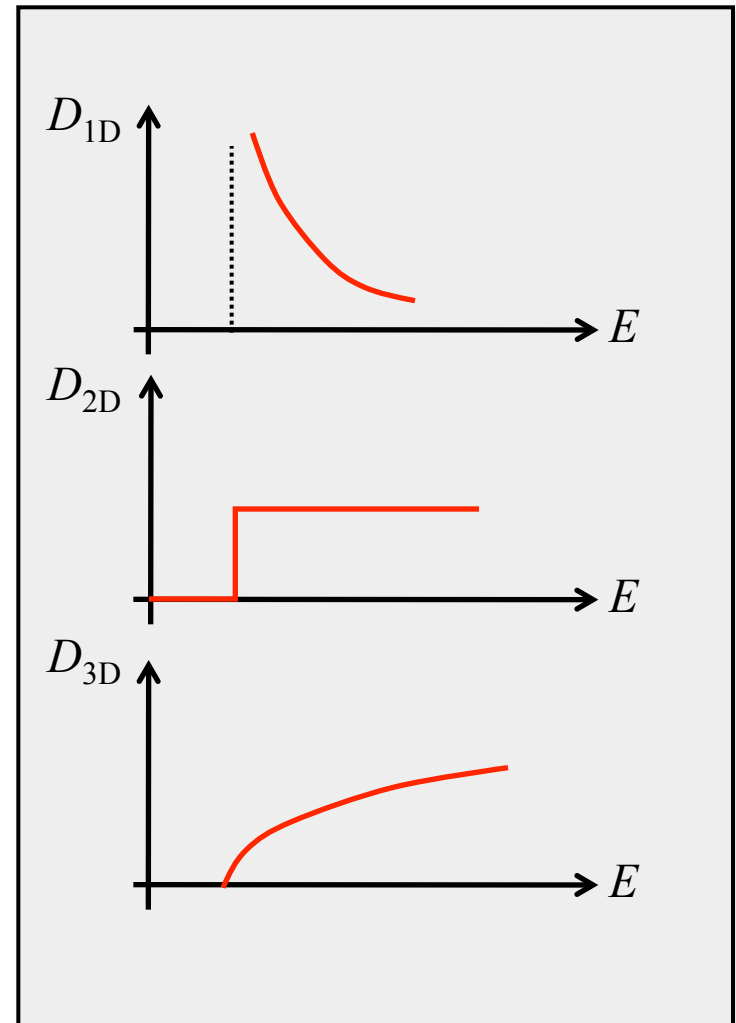
DOS (for parabolic energy bands)

$$D(E) = L D_{1D}(E) = \frac{L}{\pi \hbar} \sqrt{\frac{2m^*}{(E - \varepsilon_1)}} \Theta(E - \varepsilon_1)$$

$$D(E) = A D_{2D}(E) = A \frac{m^*}{\pi \hbar^2} \Theta(E - \varepsilon_1)$$

$$D(E) = \Omega D_{3D}(E) = \Omega \frac{m^* \sqrt{2m^* (E - E_C)}}{\pi^2 \hbar^3} \Theta(E - E_C)$$

$$(E(k) = E_C + \hbar^2 k^2 / 2m^*)$$



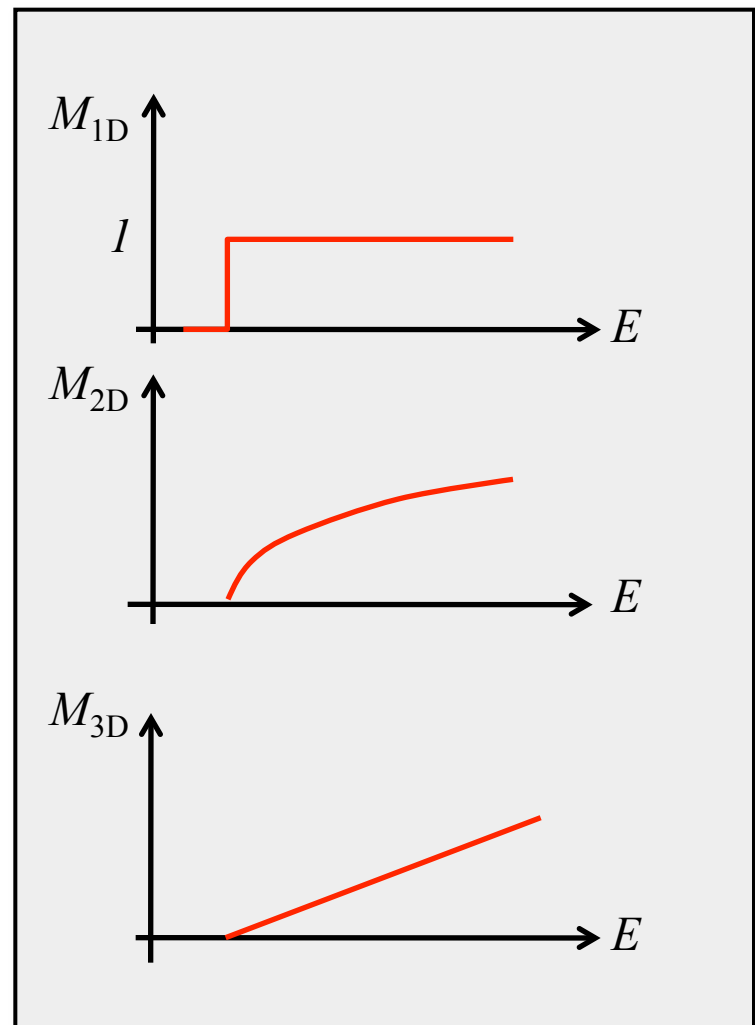
Number of modes (for parabolic energy bands)

$$M(E) = M_{1D}(E) = 1$$

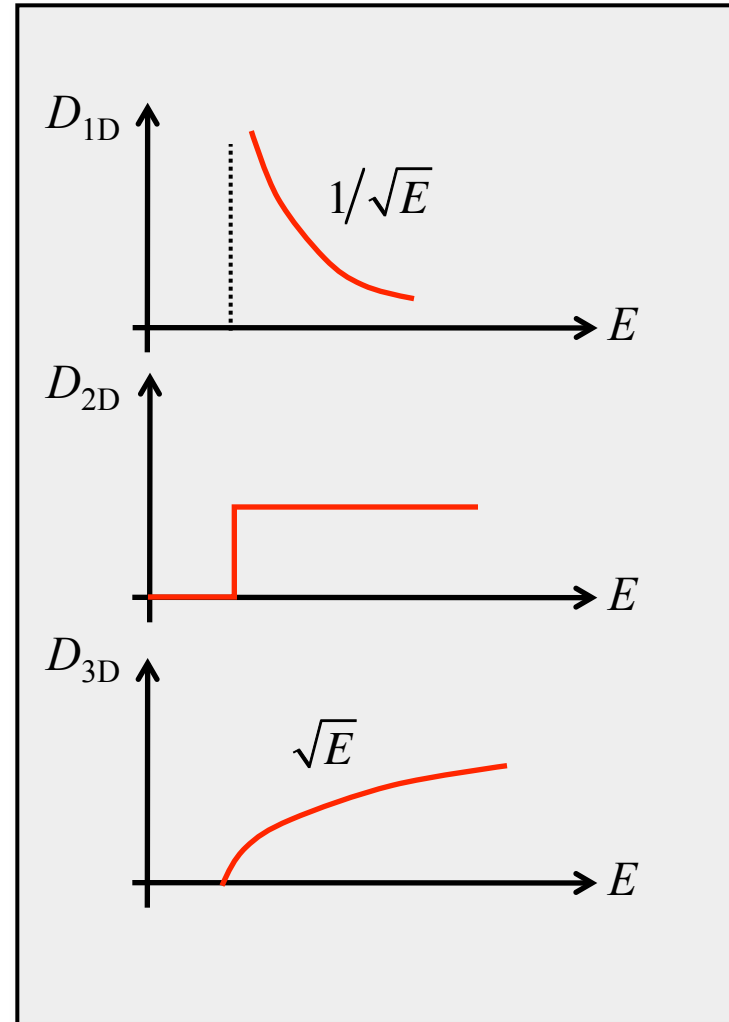
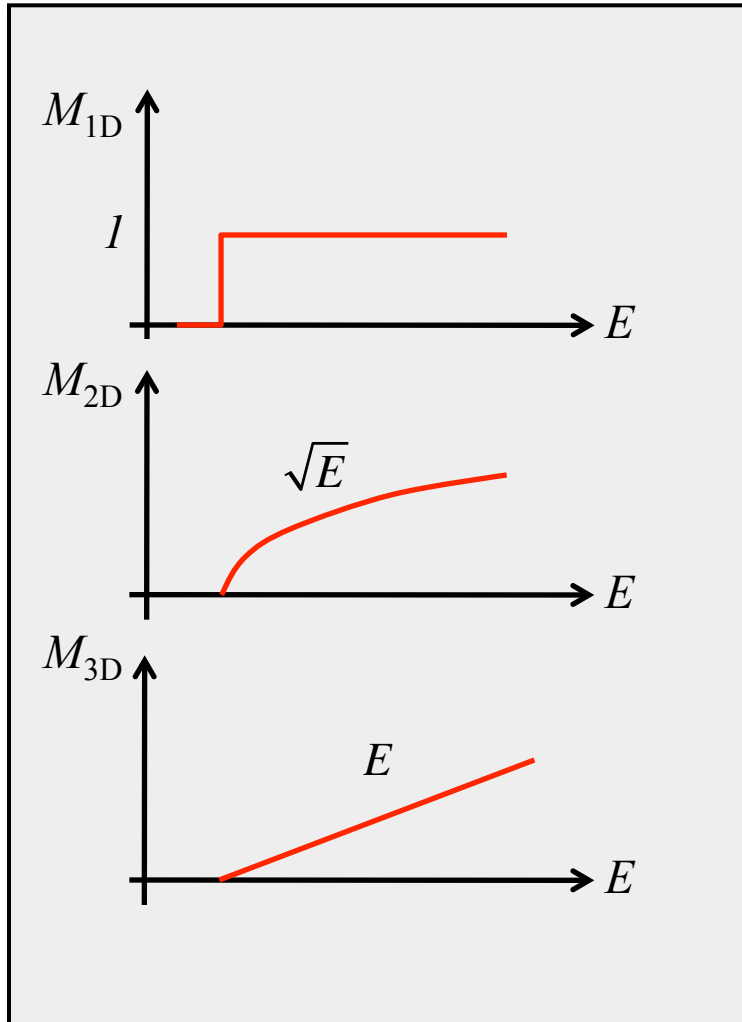
$$M(E) = W M_{2D}(E) = W \frac{\sqrt{2m^*(E - E_C)}}{\pi\hbar}$$

$$M(E) = A M_{3D}(E) = A \frac{m^*}{2\pi\hbar^2} (E - E_C)$$

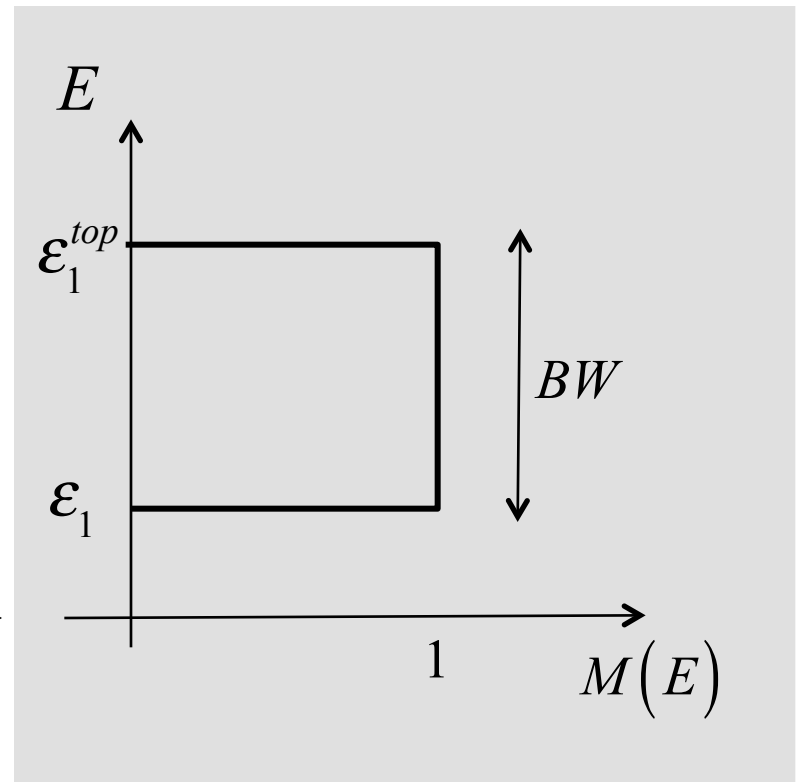
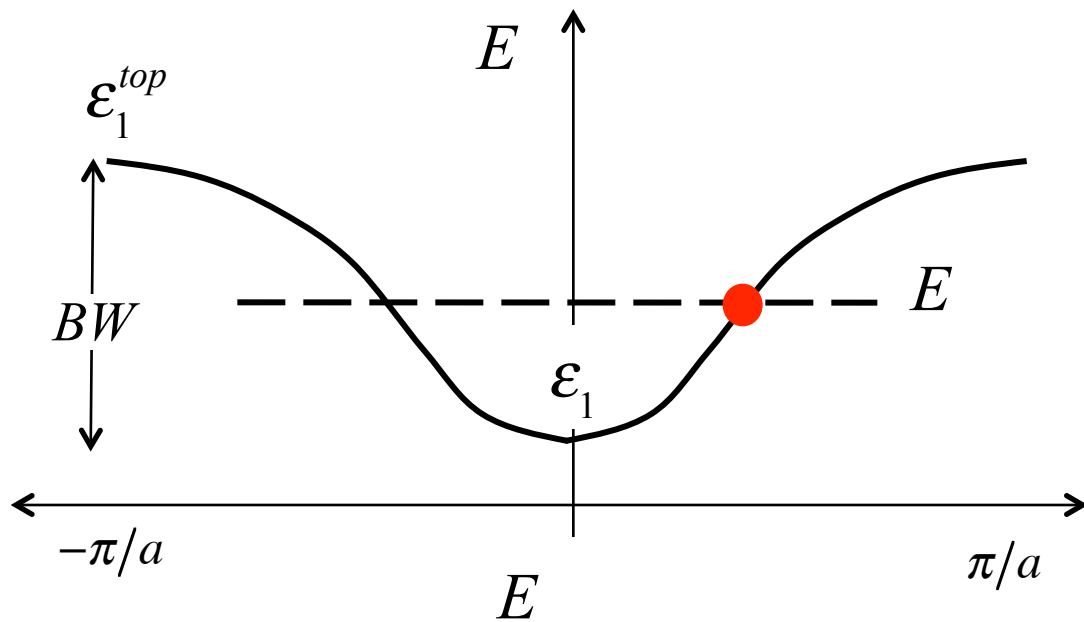
$$(E(k) = E_C + \hbar^2 k^2 / 2m^*)$$



M(E) vs. DOS (parabolic bands)



M(E) in 1D: physical picture



M(E) for graphene

$$M(E) = W \frac{\hbar}{4} \langle v_x^+ \rangle D(E)$$

for graphene:

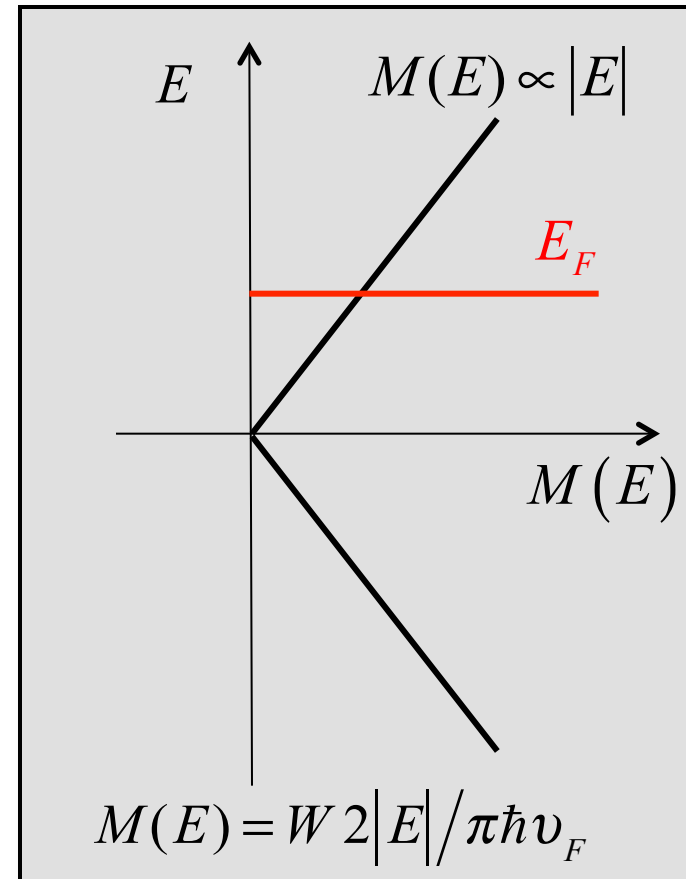
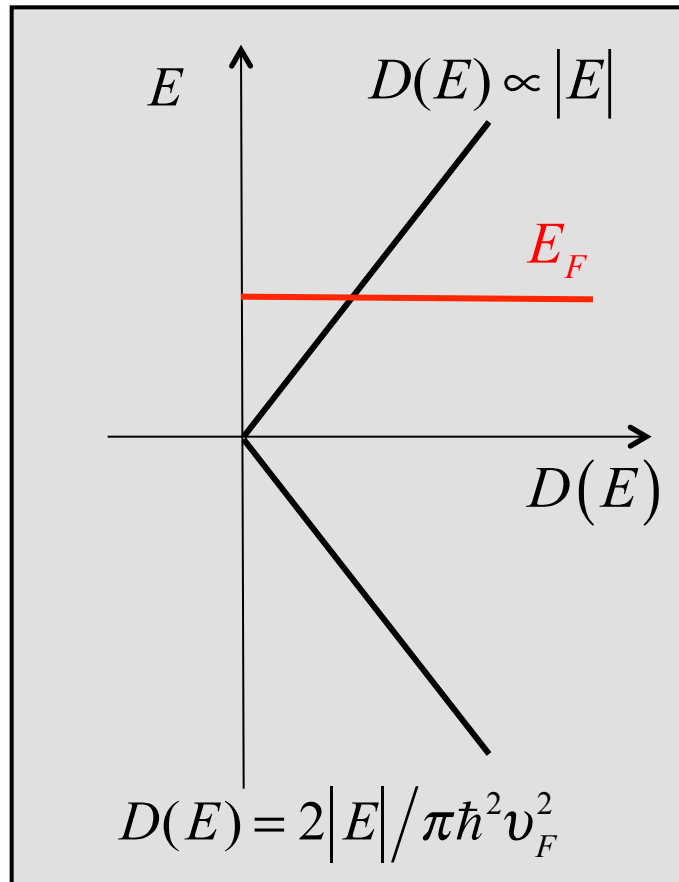
$$D(E) = 2|E| / \pi \hbar^2 v_F^2$$

$$v(E) = v_F$$

$$\langle v_x^+ \rangle = \frac{2}{\pi} v_F$$

$$M(E) = W \frac{2|E|}{\pi \hbar v_F}$$

M(E) vs. D(E) for graphene



M(E) for graphene: two definitions

$$M(E) = W \frac{\hbar}{4} \langle v_x^+ \rangle D(E)$$

DOS definition

$$M(E) = g_V \frac{W}{(\lambda_B/2)}$$

Waveguide
mode definition

Both give the same answer:

$$M(E) = W \frac{2|E|}{\pi \hbar v_F}$$

Aside: DOS and conductivity effective masses

$$n = N_C \mathcal{F}_{1/2}(\eta_F)$$

$$\eta_F = \frac{E_F - E_C}{k_b T_L}$$

$$N_C = \frac{(2m_D^* k_B T)^{3/2}}{4\pi^{3/2} \hbar^3}$$

$$m_D^* = 6^{2/3} (m_l^* m_t^*)^{1/3}$$

“density of states effective mass” (silicon)

$$\mu = \frac{q\tau}{m_c^*}$$

$$\frac{1}{m_c^*} = \frac{1}{3} \left[\frac{1}{m_l^*} + \frac{2}{m_t^*} \right]$$

“conductivity effective mass” (silicon)

DOM effective mass

To see how to compute $M(E)$ for an arbitrary $E(k)$, and how to compute the “distribution of modes” effective mass, see:

Changwook Jeong, Raseong Kim, Mathieu Luisier, Supriyo Datta, and Mark Lundstrom, “On Landauer vs. Boltzmann and Full Band vs. Effective Mass Evaluation of Thermoelectric Transport Coefficients,” *J. Appl. Phys.*, **107**, 023707, 2010.

Summary

$$I = \frac{2q}{h} \int T(E) M(E) (f_1 - f_2) dE$$

modes

$$M_{1D}(E) = \frac{h}{4} \langle v_x^+ \rangle D_{1D}(E)$$

$$M_{2D}(E)W = W \frac{h}{4} \langle v_x^+ \rangle D_{2D}(E)$$

$$M_{3D}(E)A = A \frac{h}{4} \langle v_x^+ \rangle D_{3D}(E)$$

Summary

- 1) The density of states is used to compute carrier densities.
- 2) The number of modes (channels) is used to compute the current.
- 3) The number of modes at energy, E , is proportional to the average velocity (in the direction of transport) at energy, E times the $\text{DOS}(E)$.
- 4) $M(E)$ depends on the bandstructure **and** on dimensionality.

Questions?

To compute the current, simply use the correct $M(E)$ for the **material** and for the **dimension** (1D, 2D, or 3D).

$$I = \frac{2q}{h} \int \mathcal{T}(E) M(E) (f_1 - f_2) dE$$

