

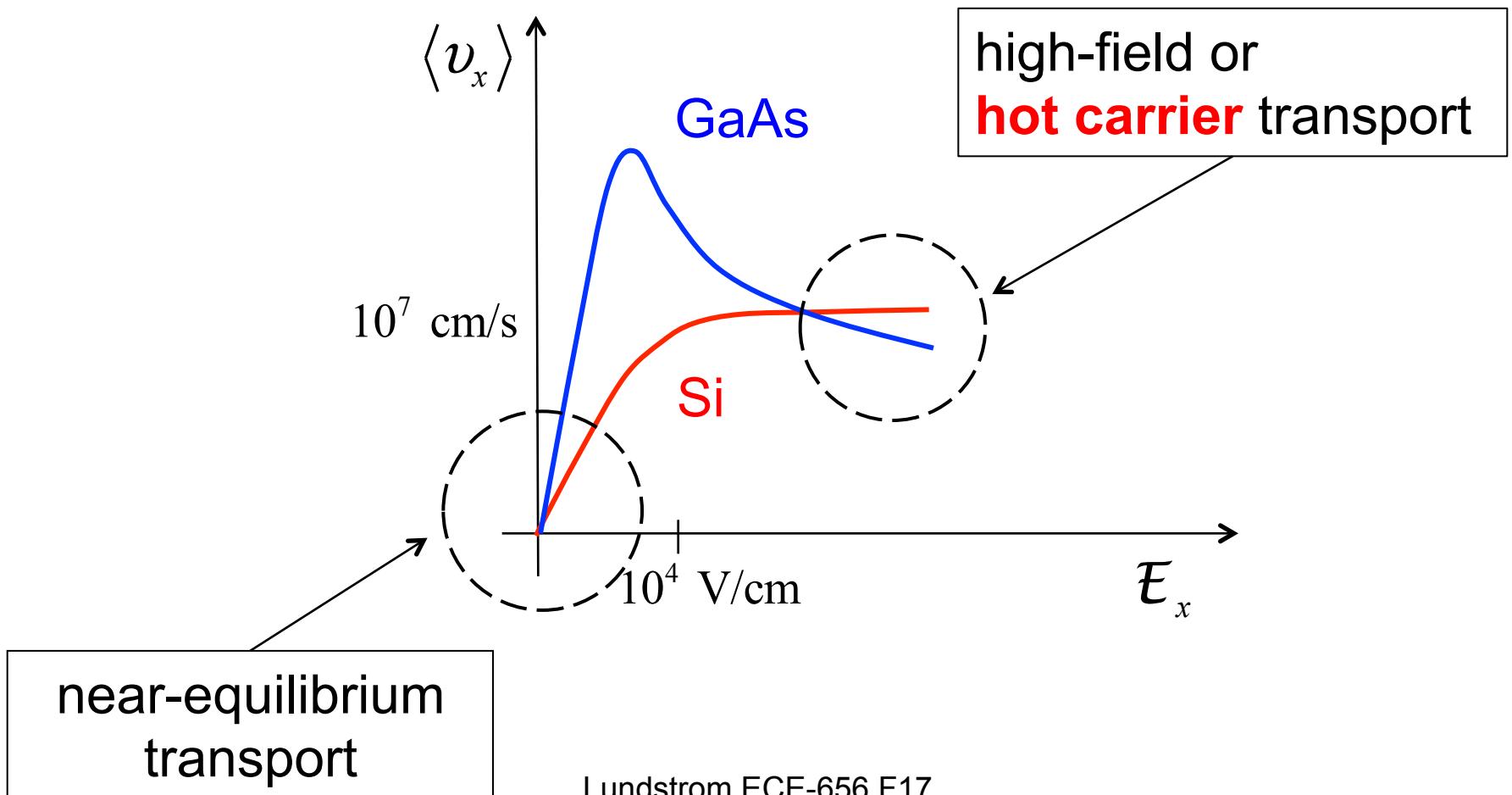
# Hot Carrier Transport in the bulk

Mark Lundstrom

Electrical and Computer Engineering  
Purdue University  
West Lafayette, IN USA

# Velocity vs. field characteristics

(bulk semiconductors assumed)



# Outline

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- 1) Brief Introduction
- 2) **Current Equation**
- 3) Qualitative features of high field transport
- 4) Saturated velocity
- 5) Survey of results
- 6) Electron temperature model
- 7) Summary

## Current equation

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$$J_{nx} = nq\mu_n \mathcal{E}_x + 2\mu_n \frac{dW_{xx}}{dx} \quad W_{xx} = \frac{1}{\Omega} \sum_{\vec{k}} \frac{1}{2} m^* v_x^2 = n u_{xx}$$

This is an “exact” steady-state current equation, but....

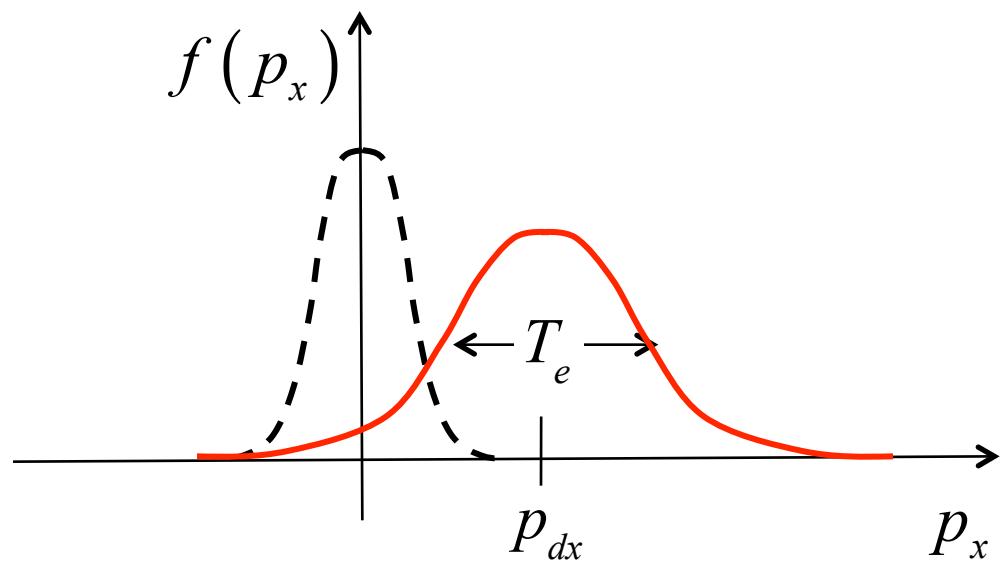
$$\mu_n [ f(\vec{r}, \vec{p}, t) ] \quad u_{xx} [ f(\vec{r}, \vec{p}, t) ] = \left\langle \frac{1}{2} p_z v_z \right\rangle$$

# Electron kinetic energy

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$$W_{xx} = \frac{1}{\Omega} \sum_{\vec{k}} \frac{1}{2} m^* v_x^2 = n u_{xx}$$

$$u_{xx} = \frac{1}{2} m^* v_{dx}^2 + \frac{3}{2} k_B T_e$$



## Aside: neglect of the drift energy

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$$u = \frac{1}{2} m^* v_d^2 + \frac{3}{2} k_B T_e \approx \frac{3}{2} k_B T_e$$

$$\frac{m^* v_d^2 / 2}{3k_B T_e / 2} = \frac{\langle \tau_m \rangle}{\langle \tau_E \rangle} \ll 1$$

The drift energy is small when the energy relaxation time is much larger than the momentum relaxation time (which is the typical case).

## Current equation: bulk semiconductor

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$$J_{nx} = nq\mu_n \mathcal{E}_x + 2\mu_n \frac{d(nu_{xx})}{dx}$$

$$J_{nx} = nq\mu_n \mathcal{E}_x + qD_n \frac{dn}{dx}$$

$$\frac{D_n}{\mu_n} = \frac{2u_{xx}}{q}$$

near equilibrium:  $u_{xx} \approx \frac{k_B T_L}{2}$

high-fields:

$$u_{xx} \approx \frac{k_B T_e}{2}$$

$$T_e > T_L$$

## (local) Field-dependent mobility

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$$J_{nx} = nq\mu_n \mathcal{E}_x + qD_n \frac{dn}{dx}$$

In general:  $\mu_n[f(\vec{r}, \vec{p}, t)] \quad D_n[f(\vec{r}, \vec{p}, t)]$

In a bulk semiconductor,  $f$  is determined by  $\mathcal{E}$ , so there is a one-to-one mapping between  $\mathcal{E}$  and  $f$ .

$\mu_n(\mathcal{E}) \quad D_n(\mathcal{E})$  Electric **field dependent** mobility and diffusion coefficient.

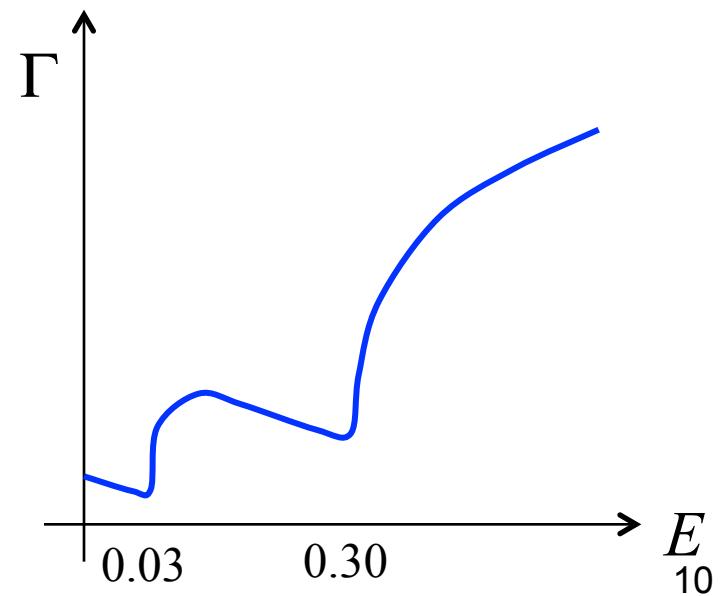
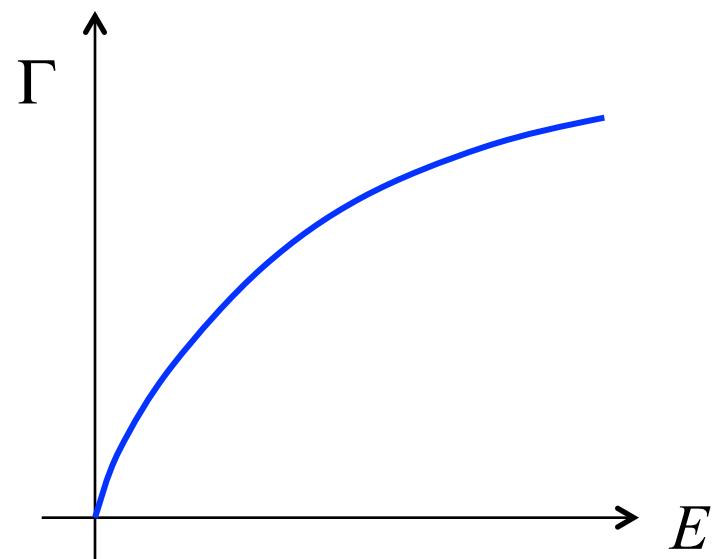
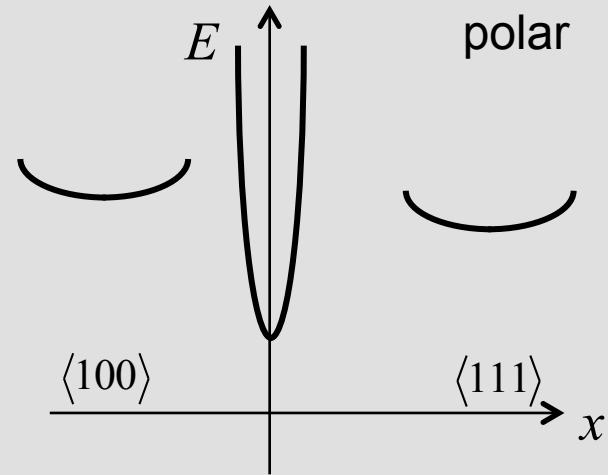
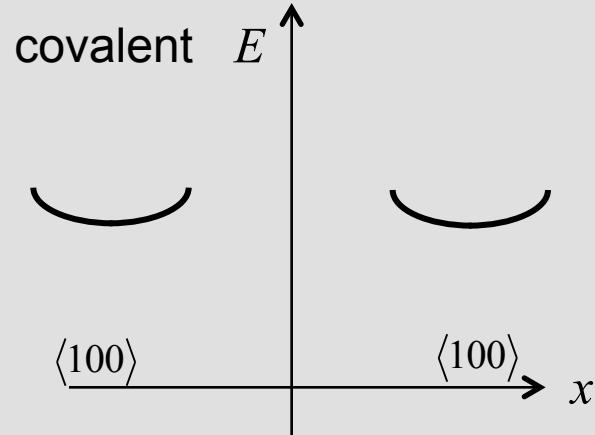
$$J_{nx} = nq\mu_n(\mathcal{E}) \mathcal{E}_x + qD_n(\mathcal{E}) \frac{dn}{dx}$$

# Outline

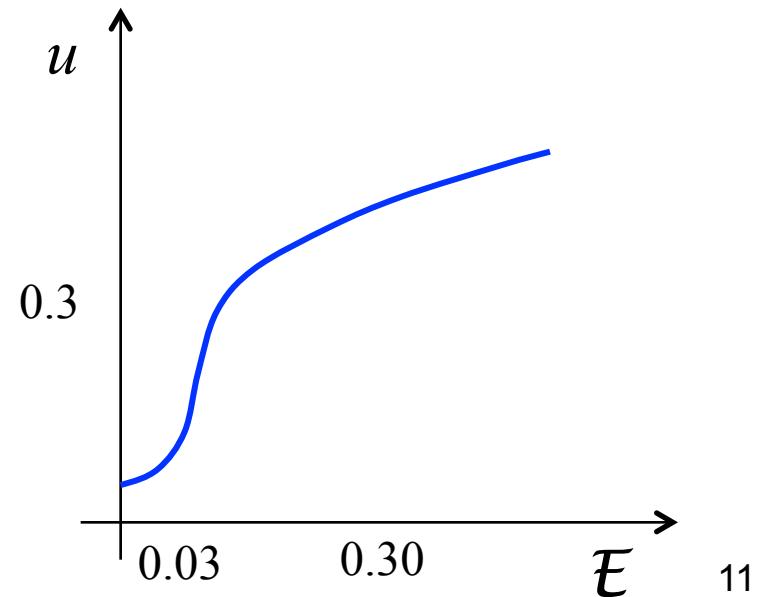
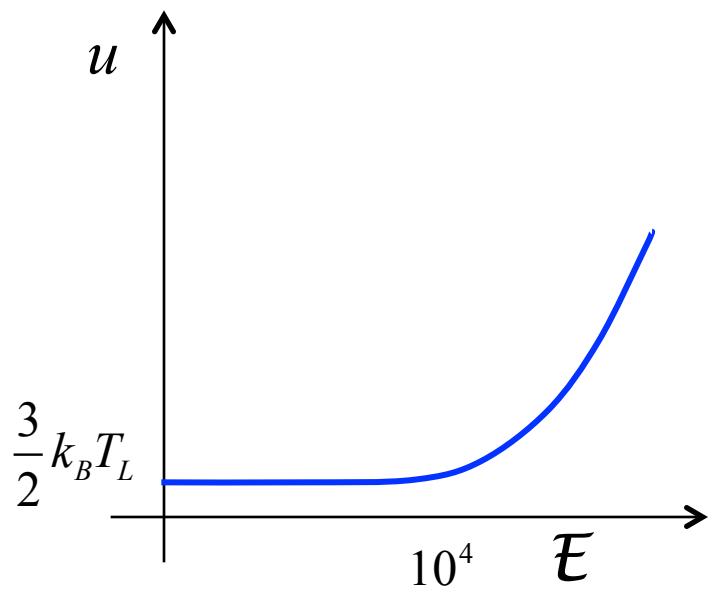
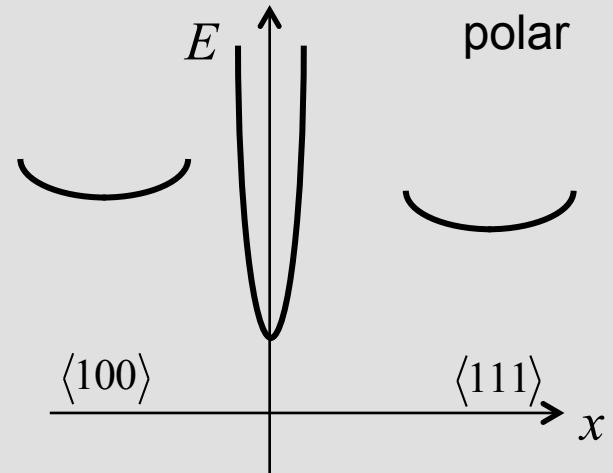
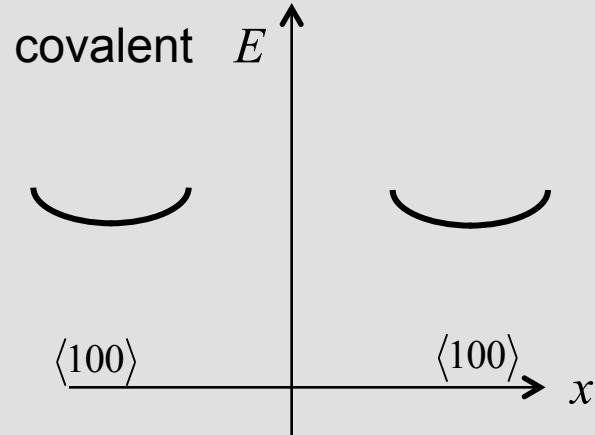
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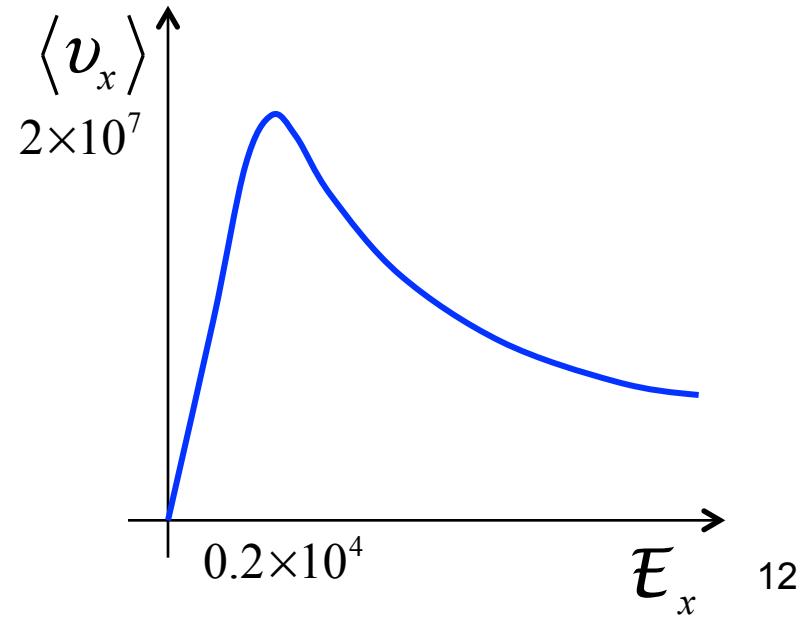
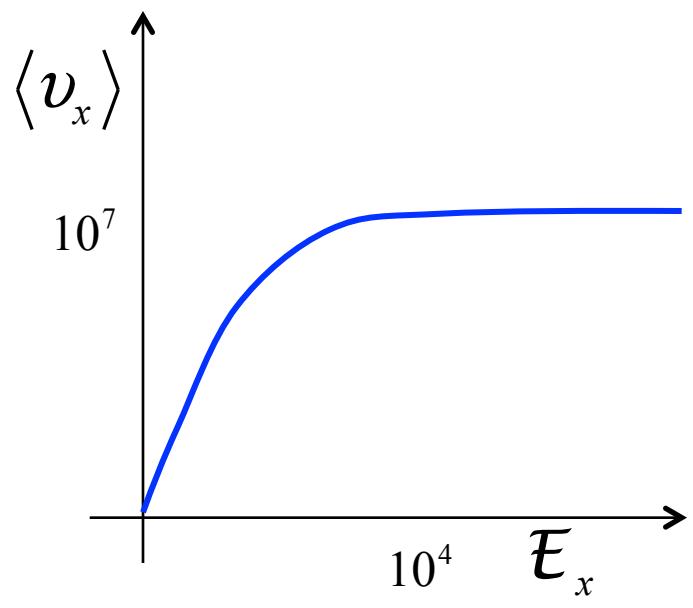
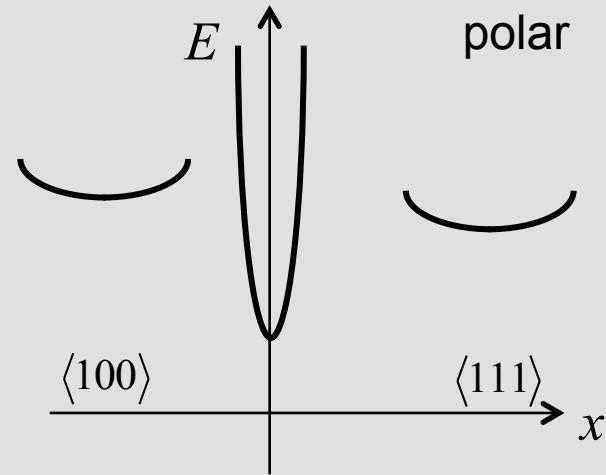
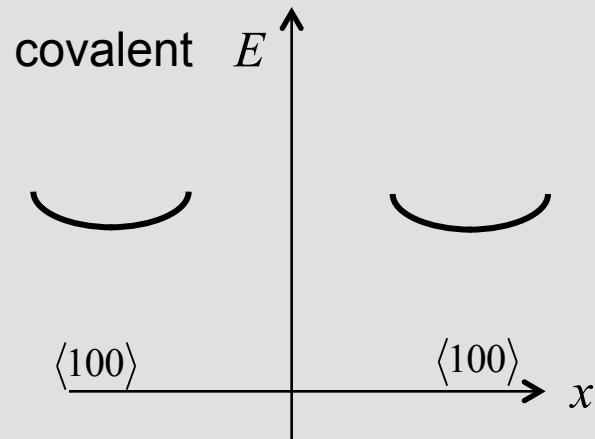
# Covalent vs. polar semiconductors



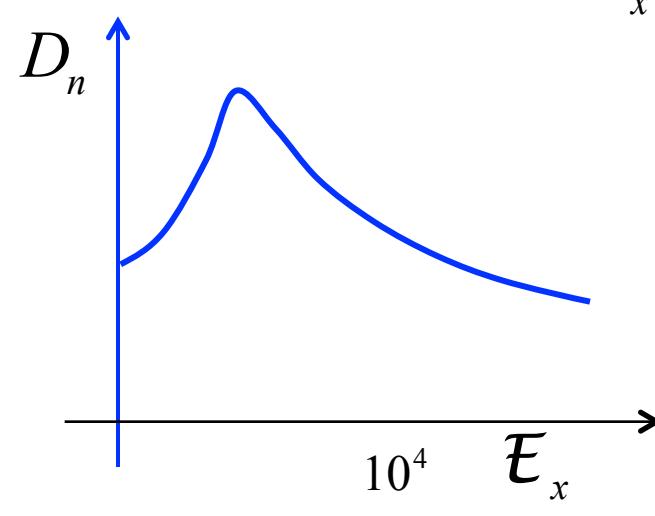
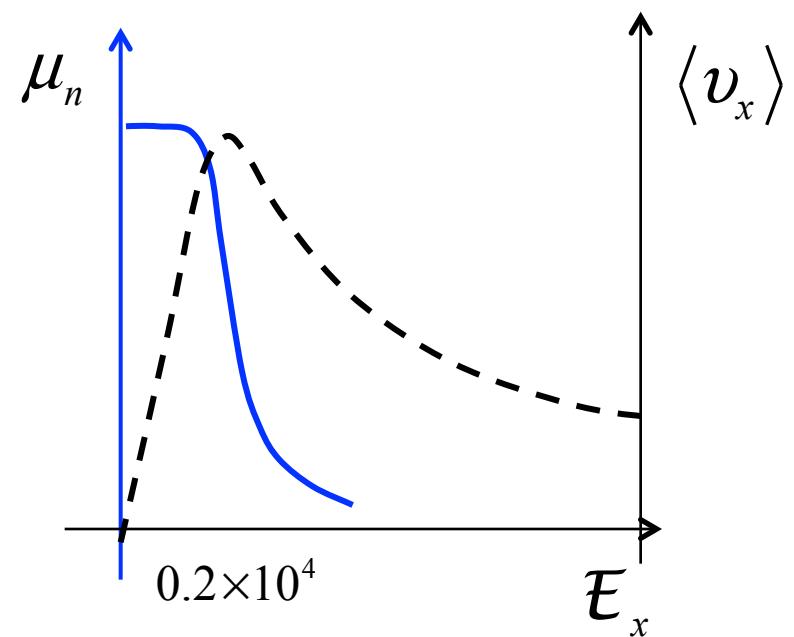
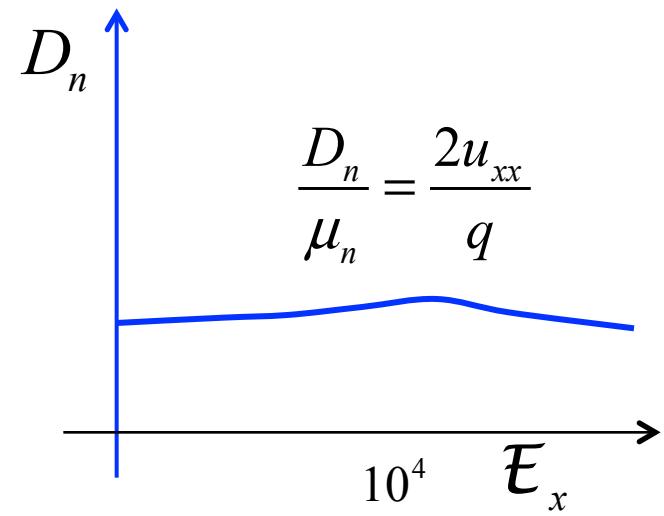
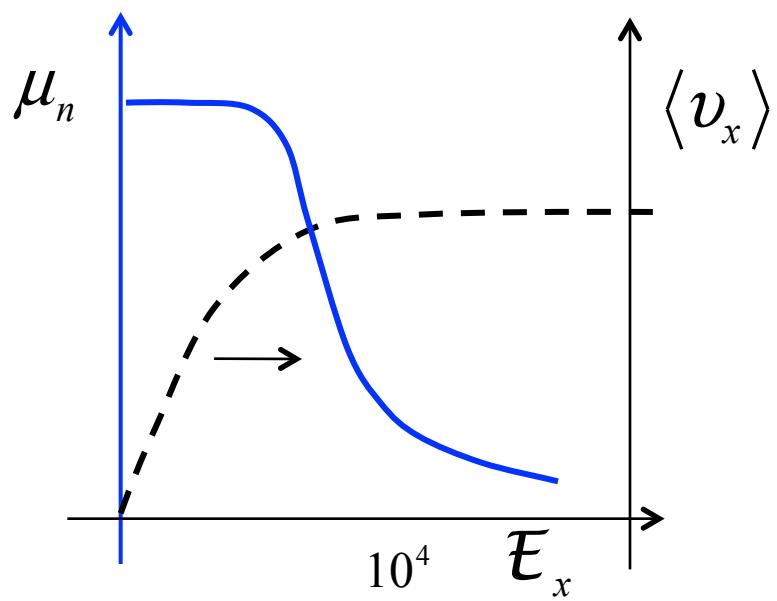
## Average energy vs. electric field



# Average velocity vs. electric field



# Mobility and diffusion coefficient



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# Saturation velocity

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$$v_{SAT} \approx \sqrt{\frac{\hbar\omega_0}{m^*}}$$

Si:  $\hbar\omega_0 = 0.063 \text{ eV}$        $v_{SAT} \approx 1.0 \times 10^7 \text{ cm/s}$

Ge:  $\hbar\omega_0 = 0.037 \text{ eV}$        $v_{SAT} \approx 0.6 \times 10^7 \text{ cm/s}$

SiC:  $\hbar\omega_0 = 0.12 \text{ eV}$        $v_{SAT} \approx 1.5 \times 10^7 \text{ cm/s}$

## Can we calculate $v_{SAT}$ ?

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$$\mu_n = \frac{q \langle \tau_m \rangle}{m^*} \quad (\text{momentum balance})$$

$$J_{nx} \mathcal{E}_x = \frac{n(u - u_0)}{\langle \tau_E \rangle} \quad (\text{energy balance}) \quad n q \mu_n \mathcal{E}_x^2 = \frac{n(u - u_0)}{\langle \tau_E \rangle}$$

$$u = u_0 + \langle \tau_E \rangle q \mu_n \mathcal{E}_x^2 = u_0 + \frac{\langle \tau_E \rangle \langle \tau_m \rangle}{m^*} q^2 \mathcal{E}_x^2 \approx \frac{\langle \tau_E \rangle \langle \tau_m \rangle}{m^*} q^2 \mathcal{E}_x^2$$

$$\langle \tau_m \rangle \approx \langle \tau \rangle \quad (\text{ave. time between collisions})$$

$$\langle \tau_E \rangle \approx \frac{u}{\hbar \omega_0} \langle \tau \rangle = \frac{u}{\hbar \omega_0} \langle \tau_m \rangle$$

## Calculation of $v_{SAT}$

$$\mu_n = \frac{q \langle \tau_m \rangle}{m^*} \quad u \approx \frac{\langle \tau_E \rangle \langle \tau_m \rangle}{m^*} q^2 \mathcal{E}_x^2 \quad \langle \tau_E \rangle \approx \frac{u}{\hbar \omega_0} \langle \tau \rangle = \frac{u}{\hbar \omega_0} \langle \tau_m \rangle$$

$$u \approx \frac{\langle \tau_m \rangle^2 u}{\hbar \omega_0 m^*} q^2 \mathcal{E}_x^2$$

$$\langle \tau_m \rangle \approx \frac{\sqrt{\hbar \omega_0 m^*}}{q \mathcal{E}_x} \rightarrow \mu_n = \sqrt{\frac{\hbar \omega_0}{m^*}} \frac{1}{\mathcal{E}_x}$$

$$\langle v_x \rangle = \mu_n \mathcal{E}_x \rightarrow v_{SAT} = \sqrt{\frac{\hbar \omega_0}{m^*}} \quad \checkmark$$

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## <111> Silicon: low-field

$$\mathcal{E}_z = -100 \text{ V/cm}$$

$$\langle v_z \rangle = 8.1 \times 10^4 \text{ cm/s}$$

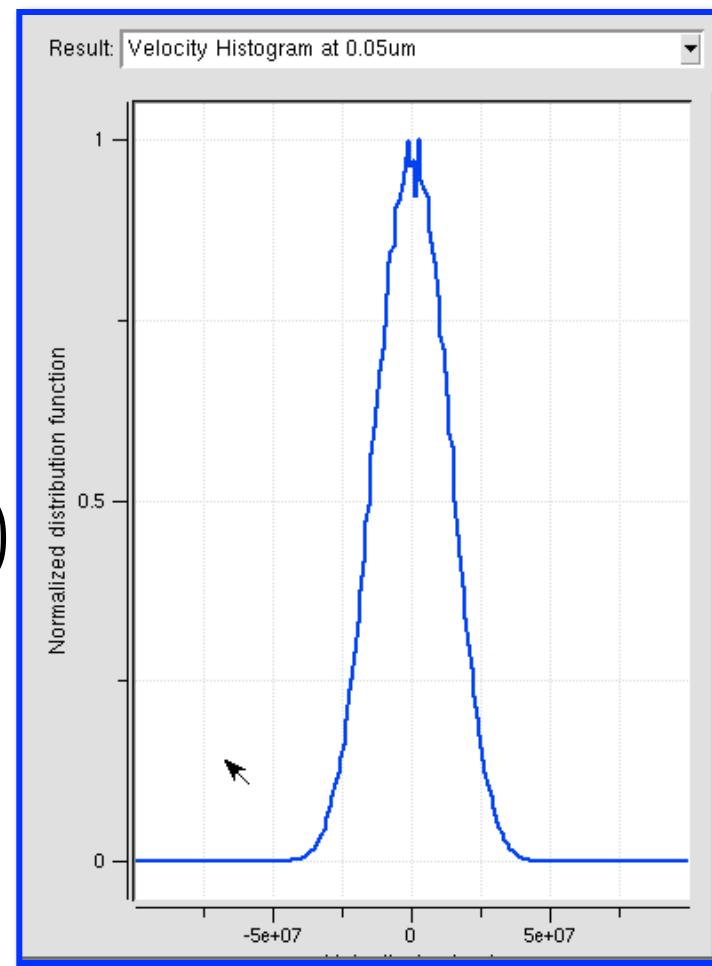
$$\mu_n(\mathcal{E}_z) = 810 \text{ cm}^2/\text{V-s}$$

$$u = 0.04 \text{ eV} \quad (1.5k_B T_L / q = 0.039 \text{ eV})$$

$$u_{zz} = 0.0135 \text{ eV} \quad (u_{zz} / u = 0.34)$$

$$u_{drift} \sim 10^{-7} \text{ eV} \quad (u_{drift} / u \sim 10^{-5})$$

$$n(x, y, z)/n = 0.33 / 0.335 / 0.335$$



## <111> Silicon: high-field

$$\mathcal{E}_z = -10^5 \text{ V/cm}$$

$$\langle v_z \rangle = 1.04 \times 10^7 \text{ cm/s}$$

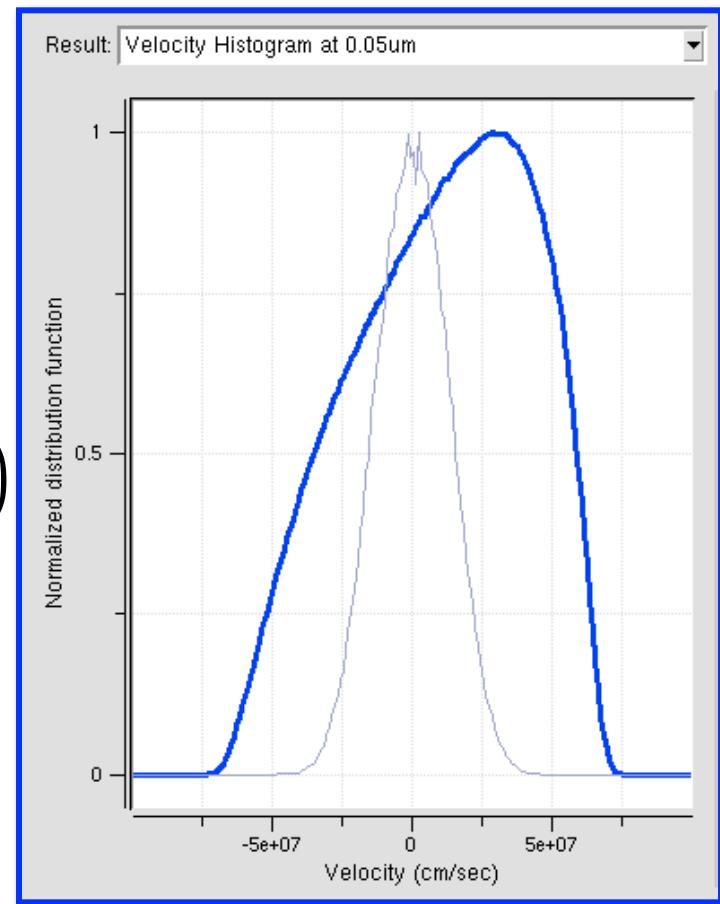
$$\mu_n(\mathcal{E}_z) = 104 \text{ cm}^2/\text{V-s}$$

$$u = 0.364 \text{ eV} \quad (1.5k_B T_L / q = 0.039 \text{ eV})$$

$$u_{zz} = 0.145 \text{ eV} \quad (u_{zz} / u = 0.40)$$

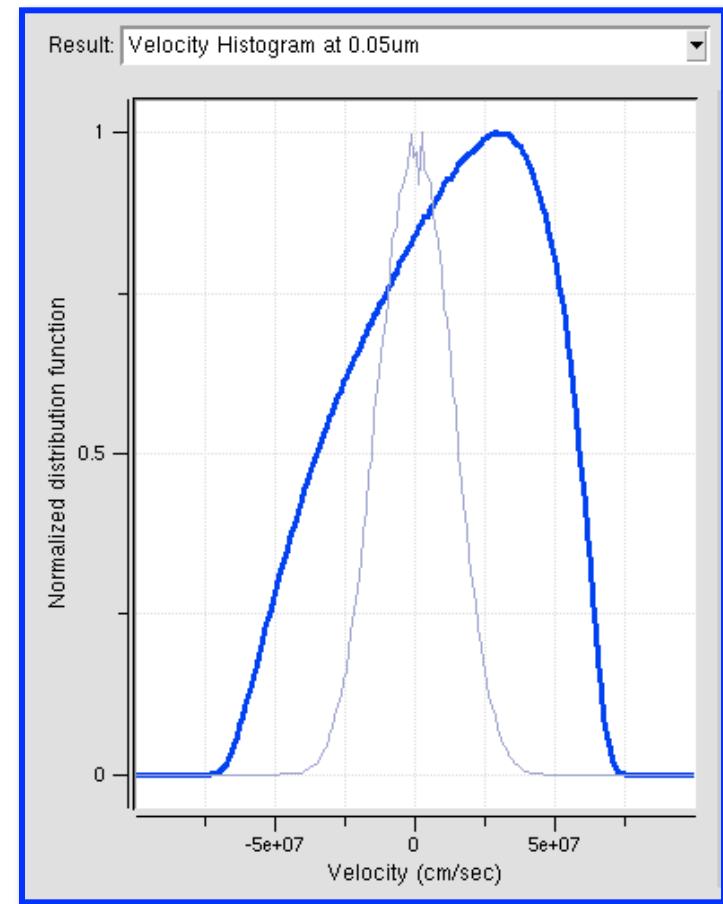
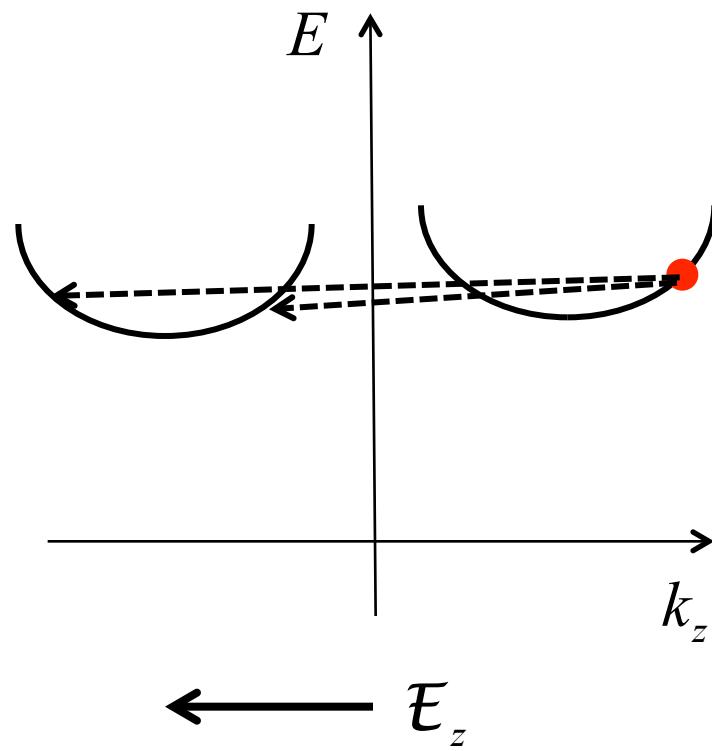
$$u_{drift} = 0.008 \text{ eV} \quad (u_{drift} / u = 0.02)$$

$$n(x, y, z)/n = 0.336 / 0.331 / 0.333$$



(simulations performed with DEMONs on [www.nanoHUB.org](http://www.nanoHUB.org))

## <111> Silicon: high-field



(simulations performed with DEMONs on [www.nanoHUB.org](http://www.nanoHUB.org))

## <100> Silicon: high-field

$$\mathcal{E}_z = -10^5 \text{ V/cm}$$

$$\langle v_z \rangle = 0.98 \times 10^7 \text{ cm/s}$$

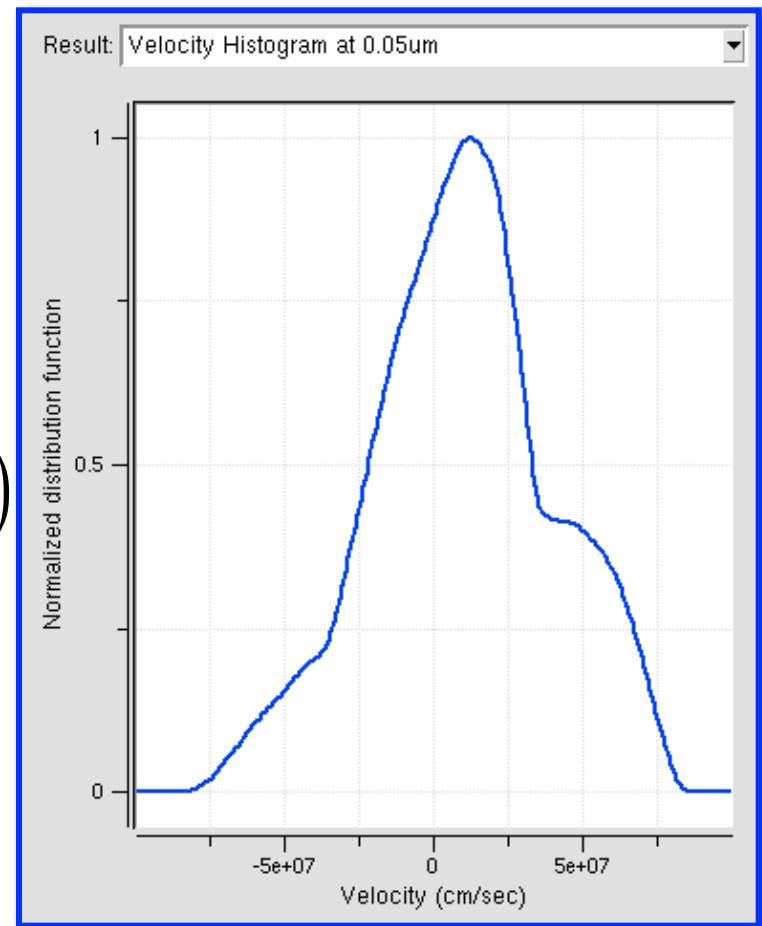
$$\mu_n(\mathcal{E}_z) = 98 \text{ cm}^2/\text{V-s}$$

$$u = 0.346 \text{ eV} \quad (1.5k_B T_L / q = 0.039 \text{ eV})$$

$$u_{zz} = 0.138 \text{ eV} \quad (u_{zz} / u = 0.40)$$

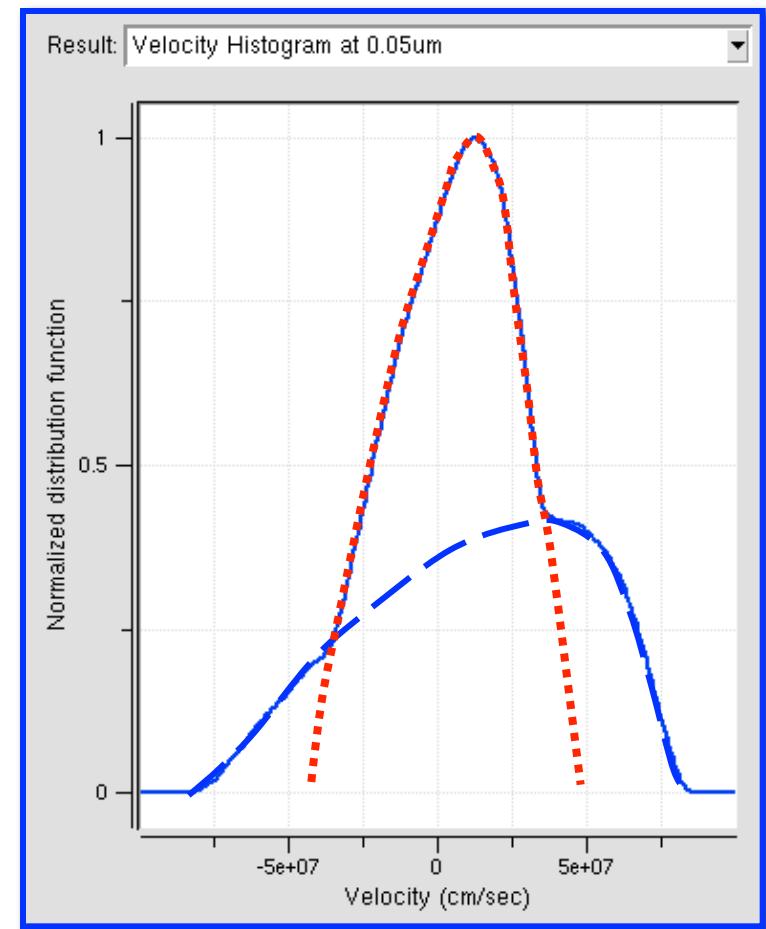
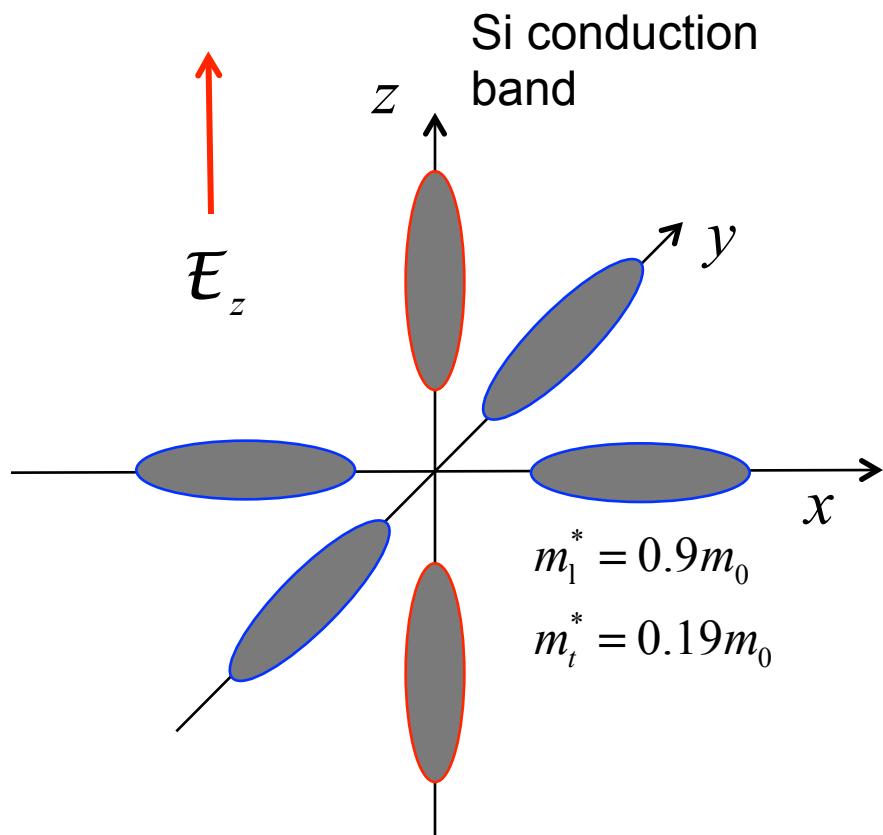
$$u_{drift} = 0.007 \text{ eV} \quad (u_{drift} / u = 0.02)$$

$$n(x, y, z)/n = 0.306 / 0.309 / 0.385$$



(simulations performed with DEMONs on [www.nanoHUB.org](http://www.nanoHUB.org))

## <100> Silicon: high-field



(simulations performed with DEMONs on [www.nanoHUB.org](http://www.nanoHUB.org))

## Suggested exercises

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- 1) Estimate the momentum and energy relaxation times from the high-field simulations (assume that the effective mass is the conductivity effective mass).
- 2) Repeat the simulations for GaAs and compare the results to Si.

## <100> Silicon: high-field

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See Lundstrom, FCT, Sec. 7.5.1 for a discussion of how “valley repopulation” affect the velocity vs. electric field characteristics of <100> Si.

# Summary

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- 1) High-field transport leads to **local** field-dependent mobilities and diffusion coefficients (when the field varies slowly in space and time).
- 2) Balance equations provide a useful way to interpret detailed (e.g. Monte Carlo) simulations.
- 3) The electron temperature approach provides a qualitative (and sometimes quantitative) way to view high-field (**hot carrier**) transport.

# Outline

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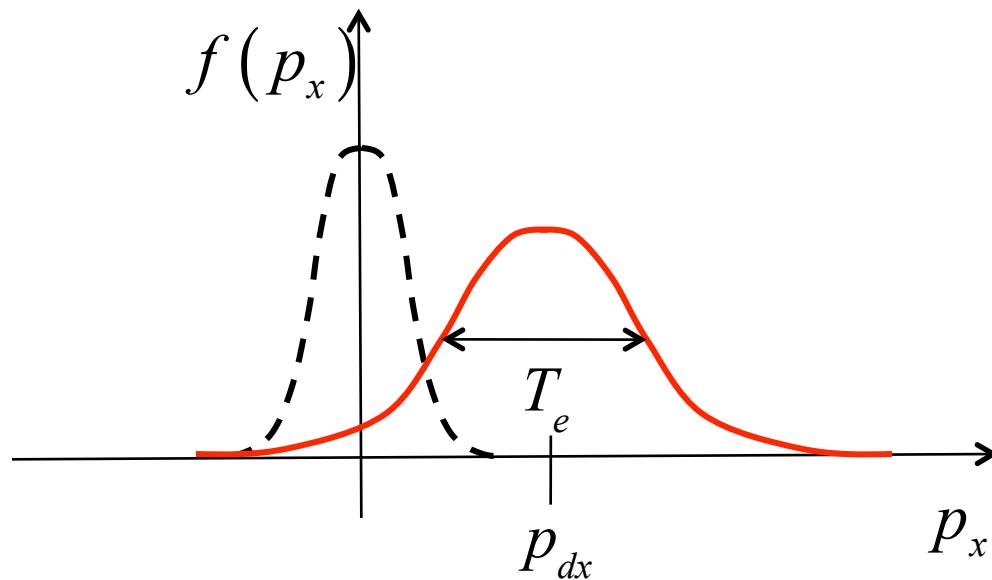
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# Electron temperature approach

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1) Goal: to compute  $\langle v_x \rangle = v_{dx} (\mathcal{E})$

2) Assume:  $f(\vec{p}) = e^{-|\vec{p} - m^* \vec{v}_d|^2 / 2m^* k_B T_e}$



## Electron temperature approach

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$$f(\vec{p}) = e^{-\left|\vec{p} - m^* \vec{v}_d\right|^2 / 2m^* k_B T_e}$$

2 unknowns:  $v_{dx}, T_e$  ...need 2 equations

1) Momentum balance:

$$J_{nx} = nq\mu_n \mathcal{E}_x \rightarrow v_{dx} = -\mu_n \mathcal{E}_x$$

2) Energy balance:

$$J_{nx} \mathcal{E}_x = nq\mu_n \mathcal{E}_x^2 = \frac{n(u - u_0)}{\langle \tau_E \rangle}$$

# Electron temperature approach

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$$J_{nx} \mathcal{E}_x = n q \mu_n \mathcal{E}_x^2 = \frac{n(u - u_0)}{\langle \tau_E \rangle}$$

$$u = u_0 + q \langle \tau_E \rangle \mu_n \mathcal{E}_x^2 = u_0 + \frac{q^2 \langle \tau_E \rangle \langle \tau_m \rangle}{m^*} \mathcal{E}_x^2$$

$$u_0 \approx \frac{3}{2} k_B T_L$$

$$u \approx \frac{3}{2} k_B T_e \quad (\text{neglects drift energy})$$

$$\frac{T_e}{T_L} = 1 + \frac{2q^2 \langle \tau_E \rangle \langle \tau_m \rangle}{3k_B T_L m^*} \mathcal{E}_x^2$$

$$f(\vec{p}) = e^{-|\vec{p} - m^* \vec{v}_d|^2 / 2m^* k_B T_e}$$

$$v_{dx} = -\mu_n(T_e) \mathcal{E}_x$$

$$\frac{T_e}{T_L} = 1 + \frac{2q^2 \langle \tau_E \rangle \langle \tau_m \rangle}{3k_B T_L m^*} \mathcal{E}_x^2$$

## Aside: neglect of the drift energy

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$$u = \frac{1}{2} m^* v_d^2 + \frac{3}{2} k_B T_e \approx \frac{3}{2} k_B T_e$$

$$\frac{m^* v_d^2 / 2}{3k_B T_e / 2} \ll 1 ?$$

$$v_d^2 = \mu_n^2 \mathcal{E}_x^2 = q^2 \langle \tau_m \rangle^2 \mathcal{E}_x^2 / m^{*2}$$

$$\frac{T_e}{T_L} = 1 + \frac{2q^2 \langle \tau_E \rangle \langle \tau_m \rangle}{3k_B T_L m^{*2}} \mathcal{E}_x^2 \rightarrow \frac{3}{2} k_B T_e \approx \frac{q^2 \langle \tau_E \rangle \langle \tau_m \rangle}{m^{*2}} \mathcal{E}_x^2$$

$$\frac{m^* v_d^2 / 2}{3k_B T_e / 2} = \frac{\langle \tau_m \rangle}{\langle \tau_E \rangle} \ll 1$$

The drift energy is small when the energy relaxation time is much larger than the momentum relaxation time (which is the typical case).

# Electron temperature model

$$f(\vec{p}) = e^{-|\vec{p} - m^* \vec{v}_d|^2 / 2m^* k_B T_e}$$

$$v_{dx} = -\mu_n(T_e) E_x$$

$$\frac{T_e}{T_L} = 1 + \frac{2\mu_n \langle \tau_E \rangle}{3k_B T_L} E_x^2$$

need to specify:

$$\mu_n(T_e) \text{ or } \langle \tau_m \rangle(T_e) \text{ and } \langle \tau_E \rangle(T_e)$$

“it can be shown”

$$\mu_n(T_e) = \mu_0 \sqrt{T_L/T_e} \quad (\text{ADP})$$

$$\mu_n(T_e) = \mu_0 (T_e/T_L)^{3/2} \quad (\text{II})$$

for ODP IV scattering in Si:

$$1/\langle \tau_E \rangle = \frac{2}{3} \frac{C}{k_B T_L} \sqrt{T_L/T_e}$$

$$C \approx 10^{-8} \text{ W}$$

## The procedure

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- 1) Identify the scattering mechanism that controls momentum relaxation

e.g. ADP scattering in Si  $\mu_n(T_e) = \mu_{n0} \sqrt{T_L/T_e}$

- 2) Identify the scattering mechanism that controls energy relaxation

e.g. IV scattering in Si  $1/\langle\tau_E\rangle = \frac{2}{3} \frac{C}{k_B T_L} \sqrt{T_L/T_e}$

- 3) Solve the energy balance equation for  $T_e$

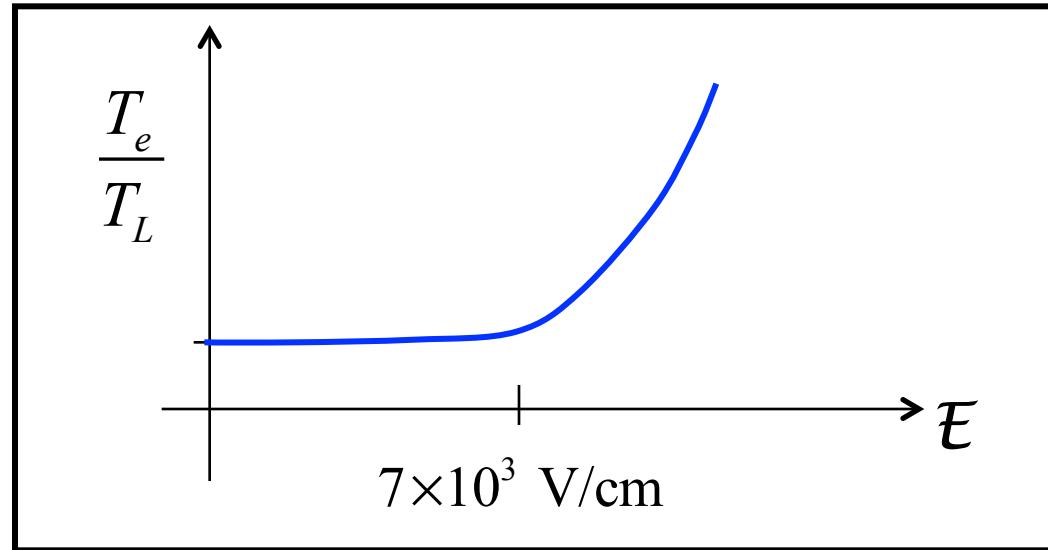
$$\frac{T_e}{T_L} = 1 + \frac{2\mu_n \langle\tau_E\rangle}{3k_B T_L} \mathcal{E}_x^2$$

Lundstrom ECE-656 F17

## Result (for silicon)

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$$\frac{T_e}{T_L} = 1 + \frac{q\mu_{n0}}{C} \mathcal{E}_x^2 = 1 + (\mathcal{E}/\mathcal{E}_c)^2 \quad \mathcal{E}_c \approx 7 \times 10^3 \text{ V/cm}$$



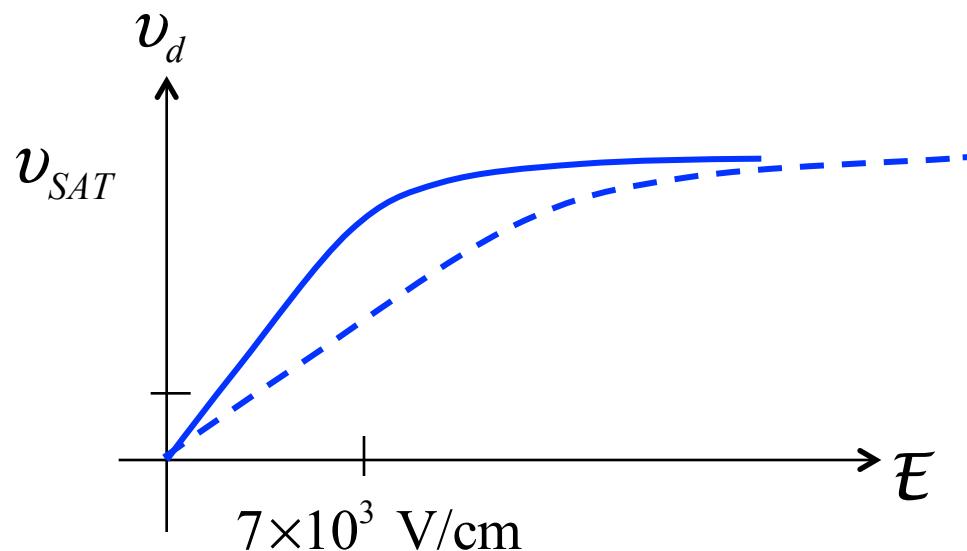
$$\mu_n(T_e) = \mu_{n0} \sqrt{T_L/T_e} = \frac{\mu_{n0}}{\sqrt{1 + (\mathcal{E}/\mathcal{E}_c)^2}}$$

# Velocity vs. field characteristic

$$\mu_n(T_e) = \frac{\mu_{n0}}{\sqrt{1 + (\mathcal{E}/\mathcal{E}_C)^2}}$$

$$v_d = \mu_n(T_e)\mathcal{E} = \frac{\mu_{n0}\mathcal{E}}{\sqrt{1 + (\mathcal{E}/\mathcal{E}_C)^2}}$$

$$v_{SAT} = \mu_{n0}\mathcal{E}_C = 1 \times 10^7 \text{ cm/s}$$



# High- field diffusion coefficient

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$$D_n = \frac{k_B T_e}{q} \mu_n(T_e) = D_{n0} \sqrt{1 + (\mathcal{E}/\mathcal{E}_C)^2}$$

but....in practice,  $D_n(\mathcal{E}) \approx D_{n0} \quad \left( \frac{D_n}{\mu_n} = \frac{2u_{xx}}{q} \right)$

a failure of the electron temperature model!

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# Summary

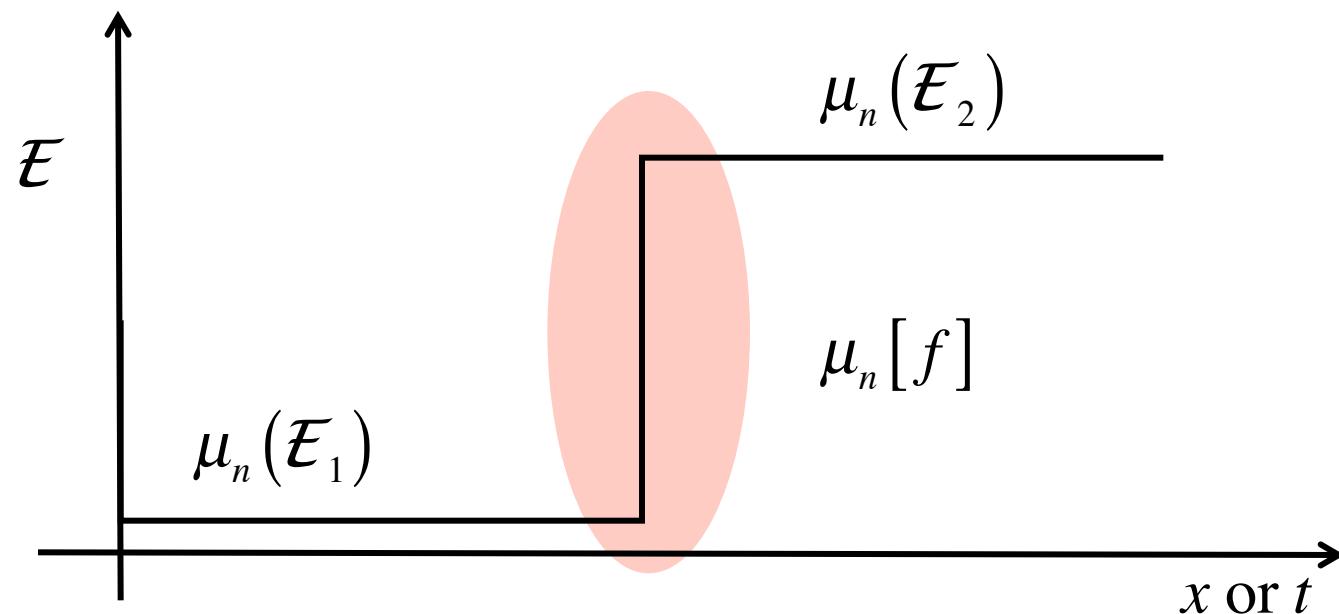
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- 1) High-field transport leads to field-dependent mobilities and diffusion coefficients (when the field varies slowly in space and time).
- 2) The electron temperature approach provides a qualitative (and sometimes quantitative) way to view high-field (**hot carrier**) transport.
- 3) Rapidly varying electric fields lead to “off-equilibrium”, “non-local” or “non-stationary” transport effects that cannot be described with (local) field-dependent field dependent transport parameters.

## Non-local transport

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Rapidly varying electric fields lead to “off-equilibrium”, “non-local” or “non-stationary” transport effects that cannot be described with (local) field-dependent field dependent transport parameters.



# Questions

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