

# Heterostructure Fundamentals

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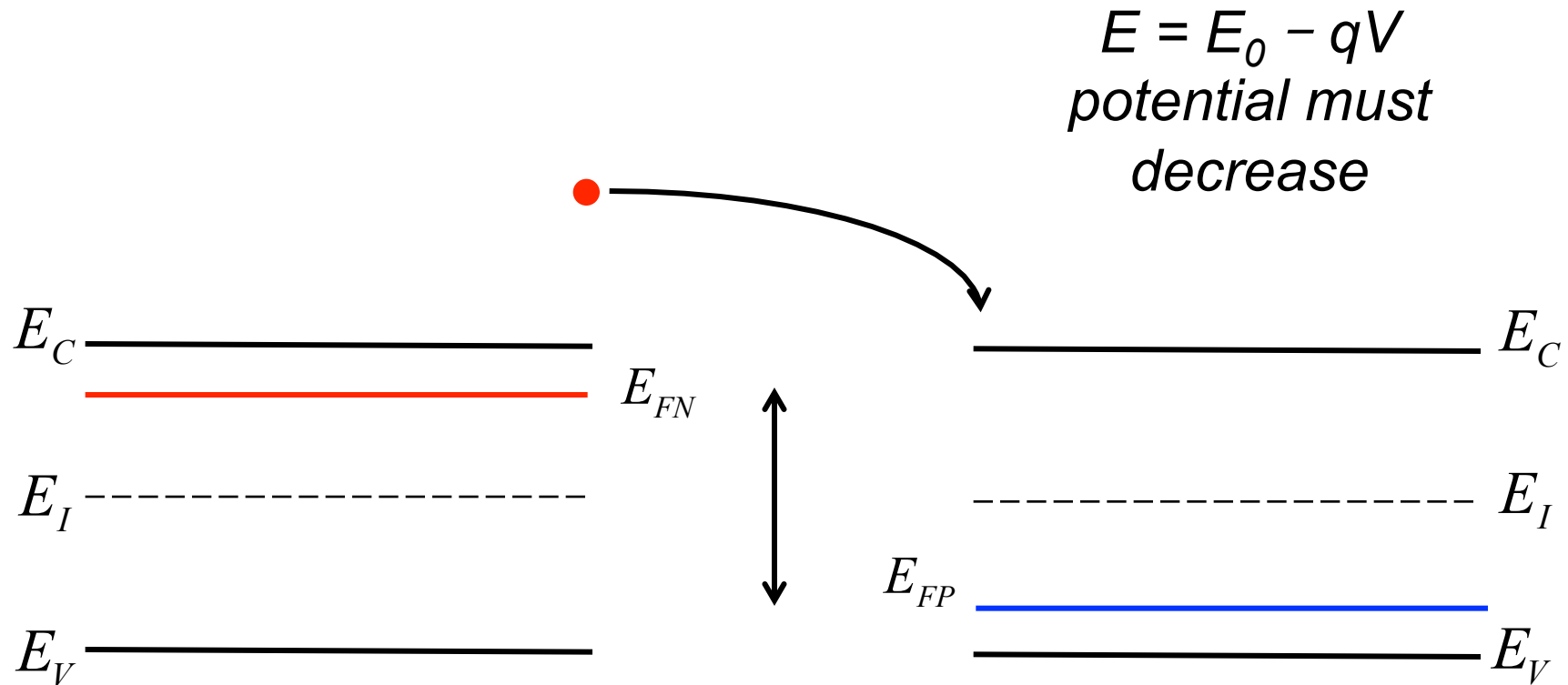
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# Outline

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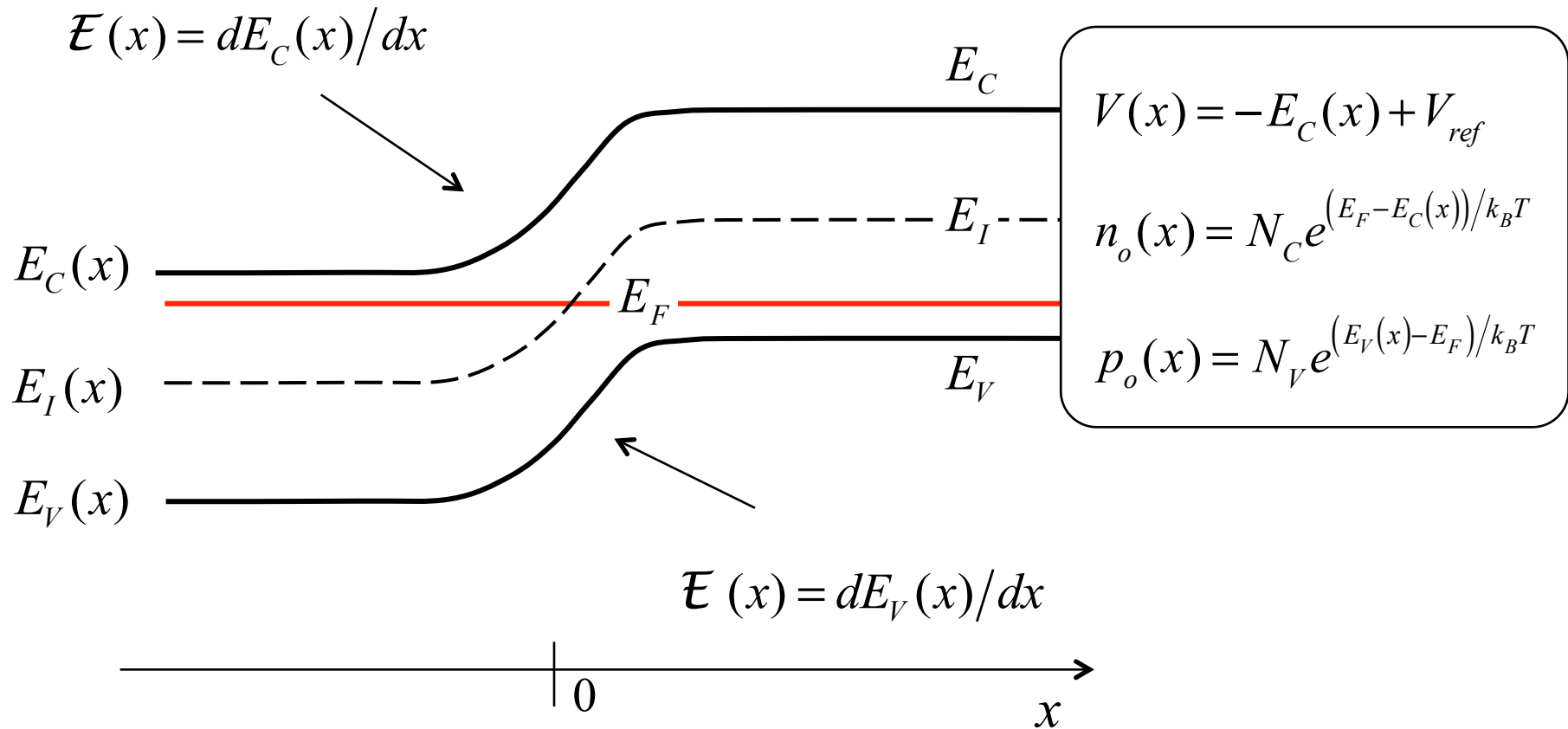
- 1) Review of energy band diagrams
- 2) Heterojunctions
- 3) General heterostructure
- 4) DD equation for heterostructures
- 5) Summary

# Review: pn homojunctions

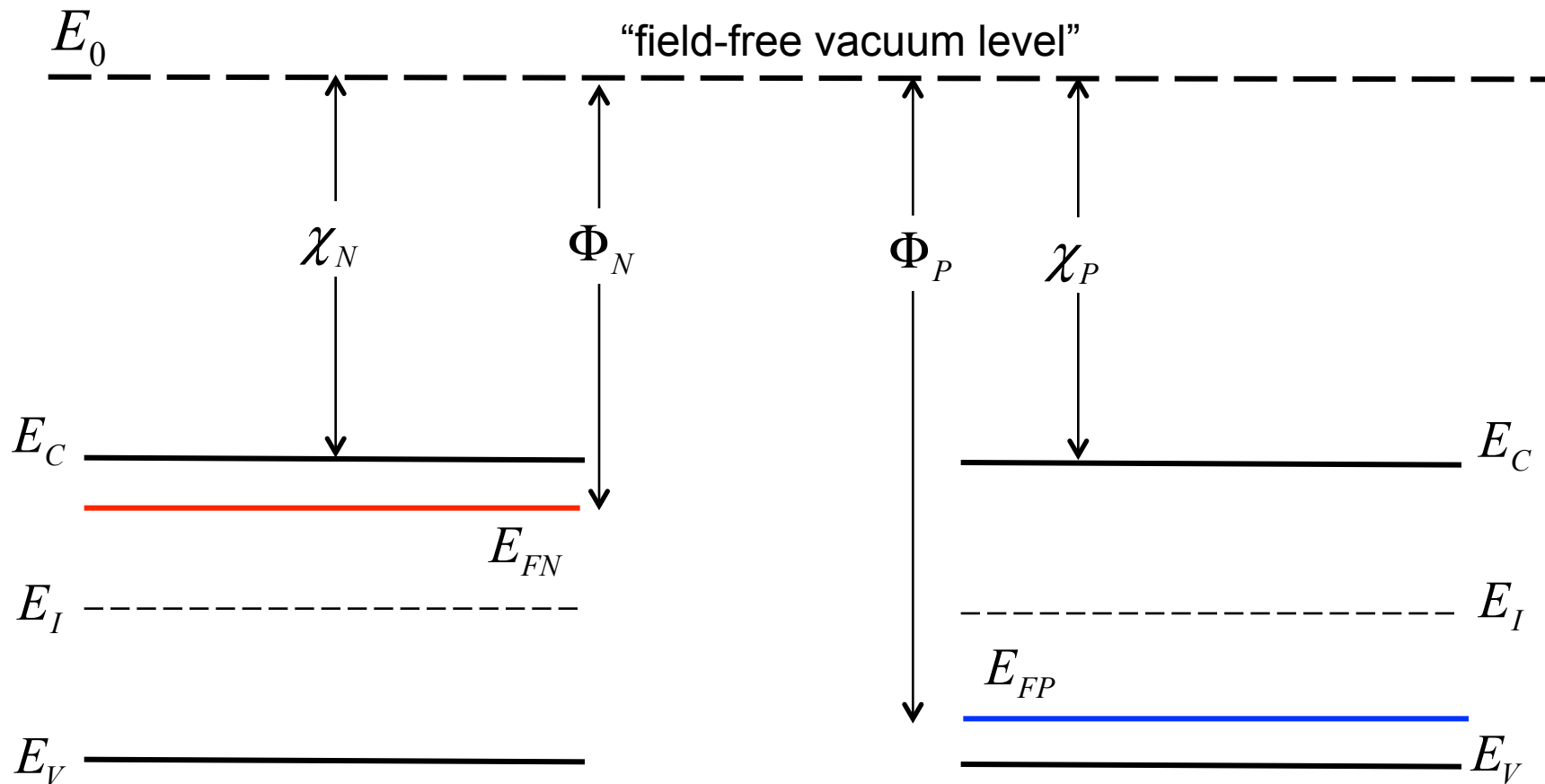


$$qV_{BI} = (E_{FN} - E_{FP})/q$$

# Review: pn homojunctions



# Reference for the energy bands

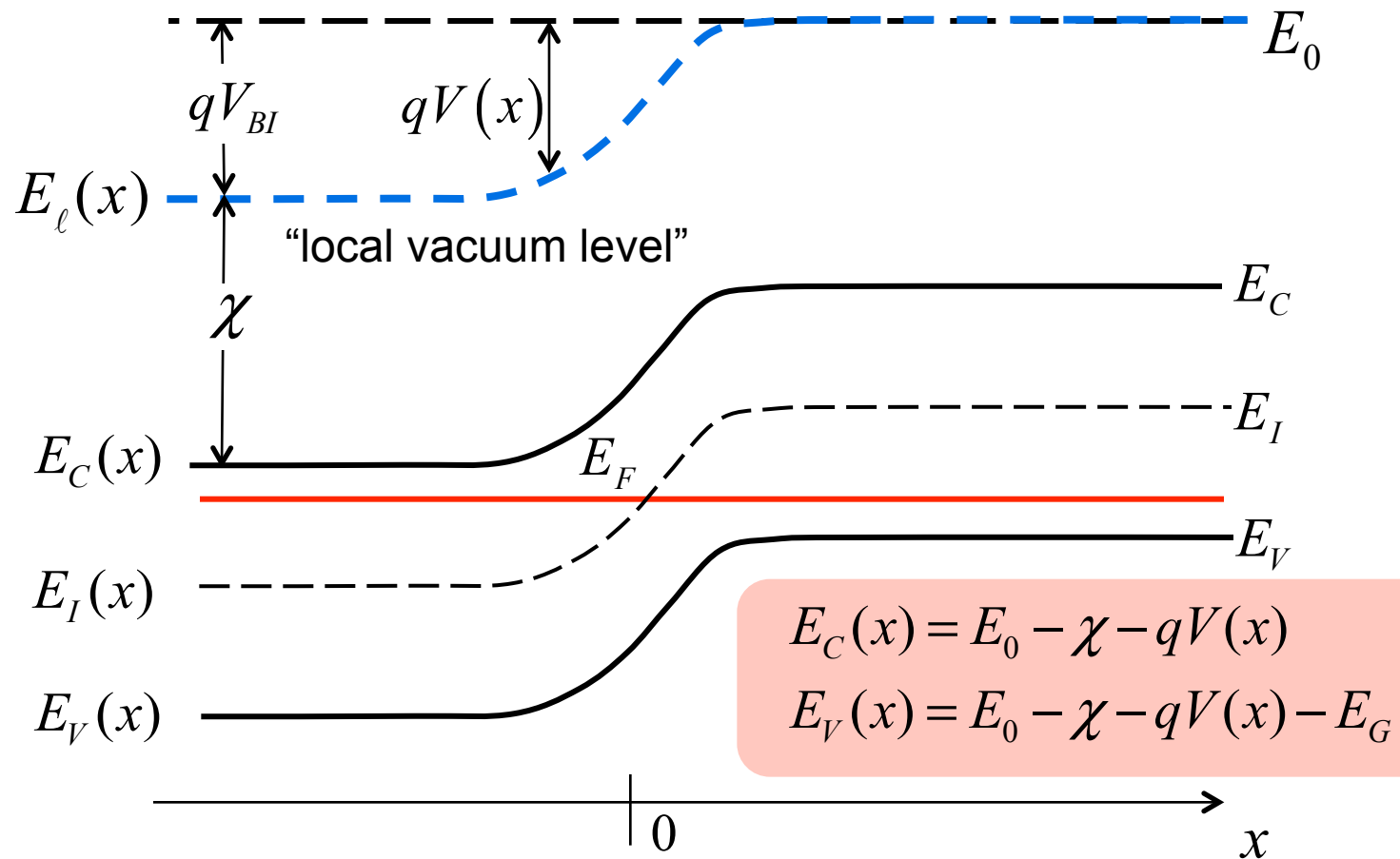


$$E_C = E_0 - \chi$$

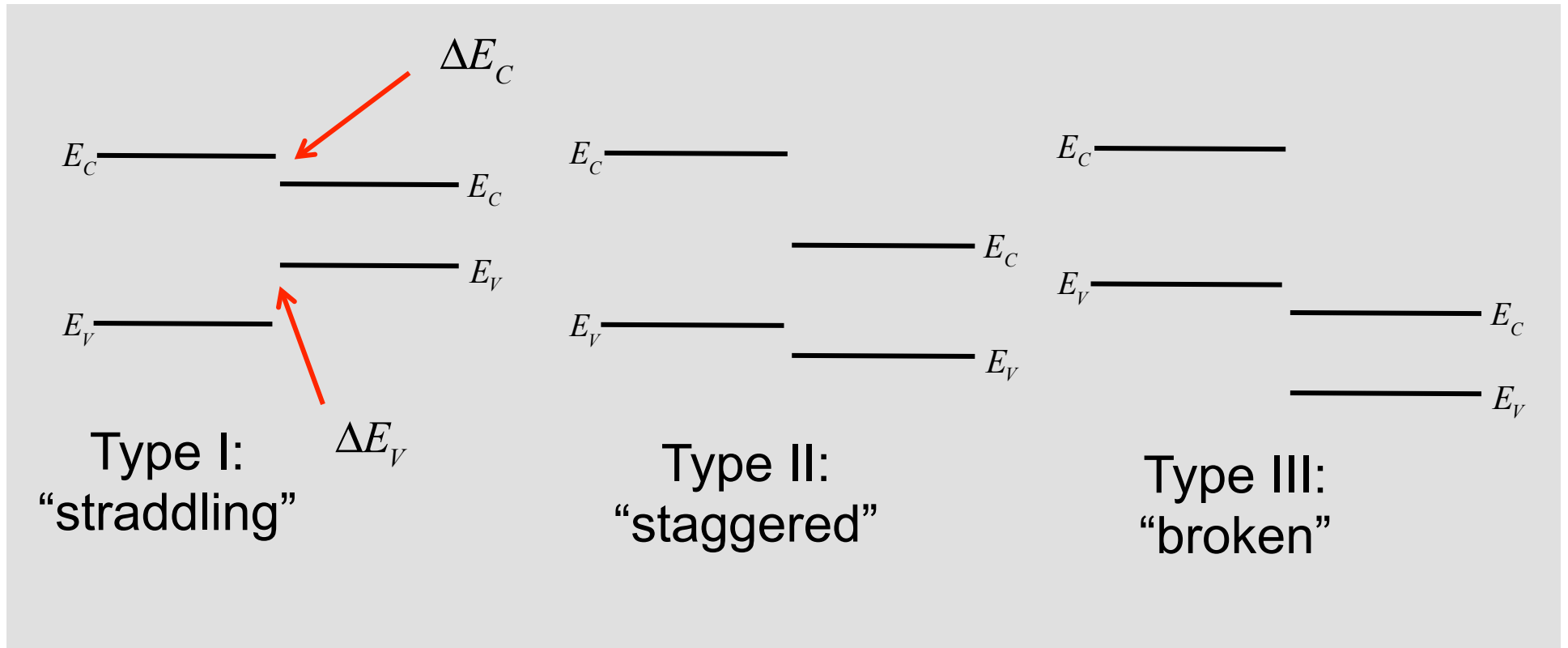
$$E_V = E_0 - \chi - E_G$$

$$qV_{BI} = (\Phi_P - \Phi_N)$$

# Local vacuum level

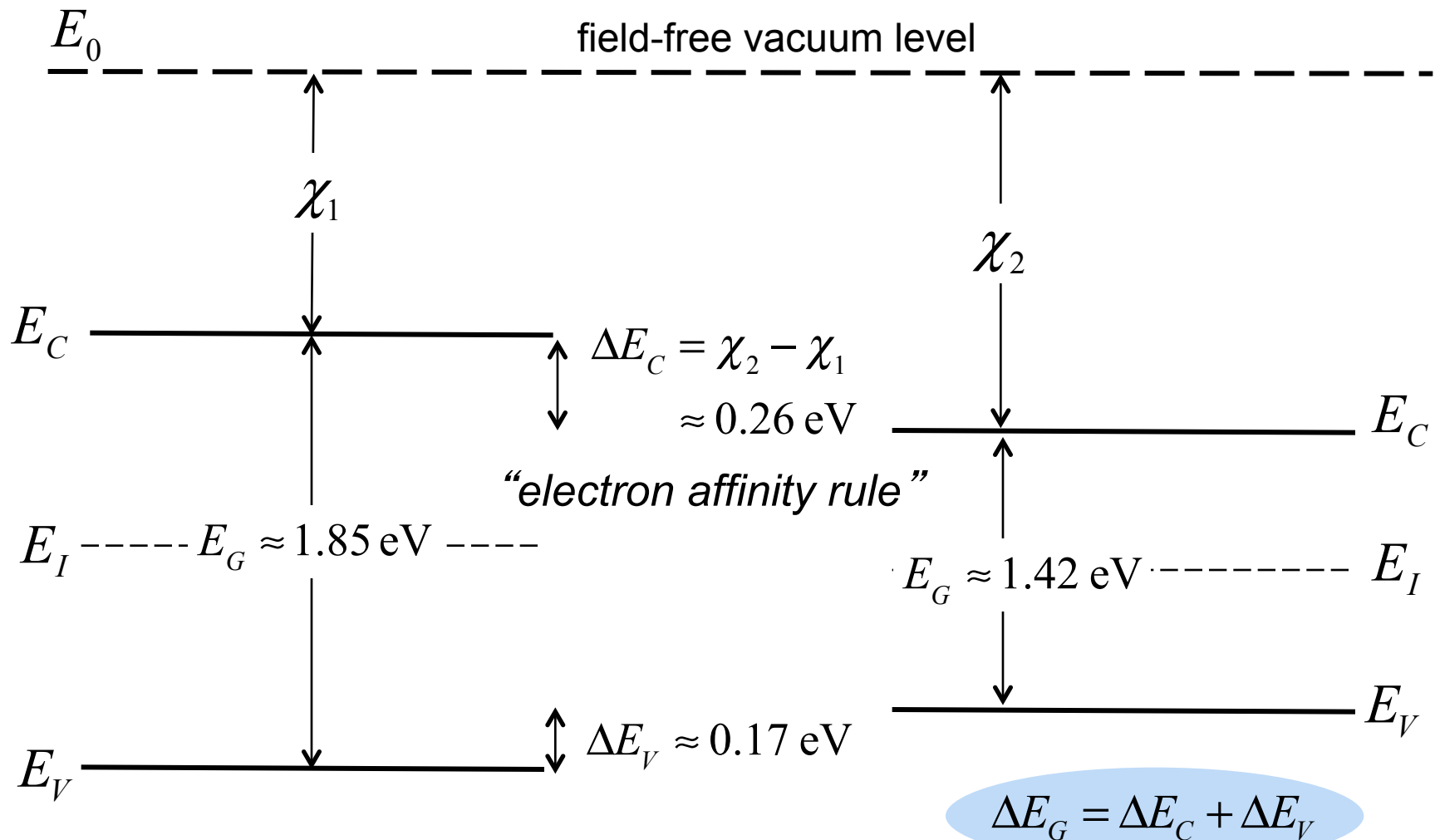


# Types of heterojunctions



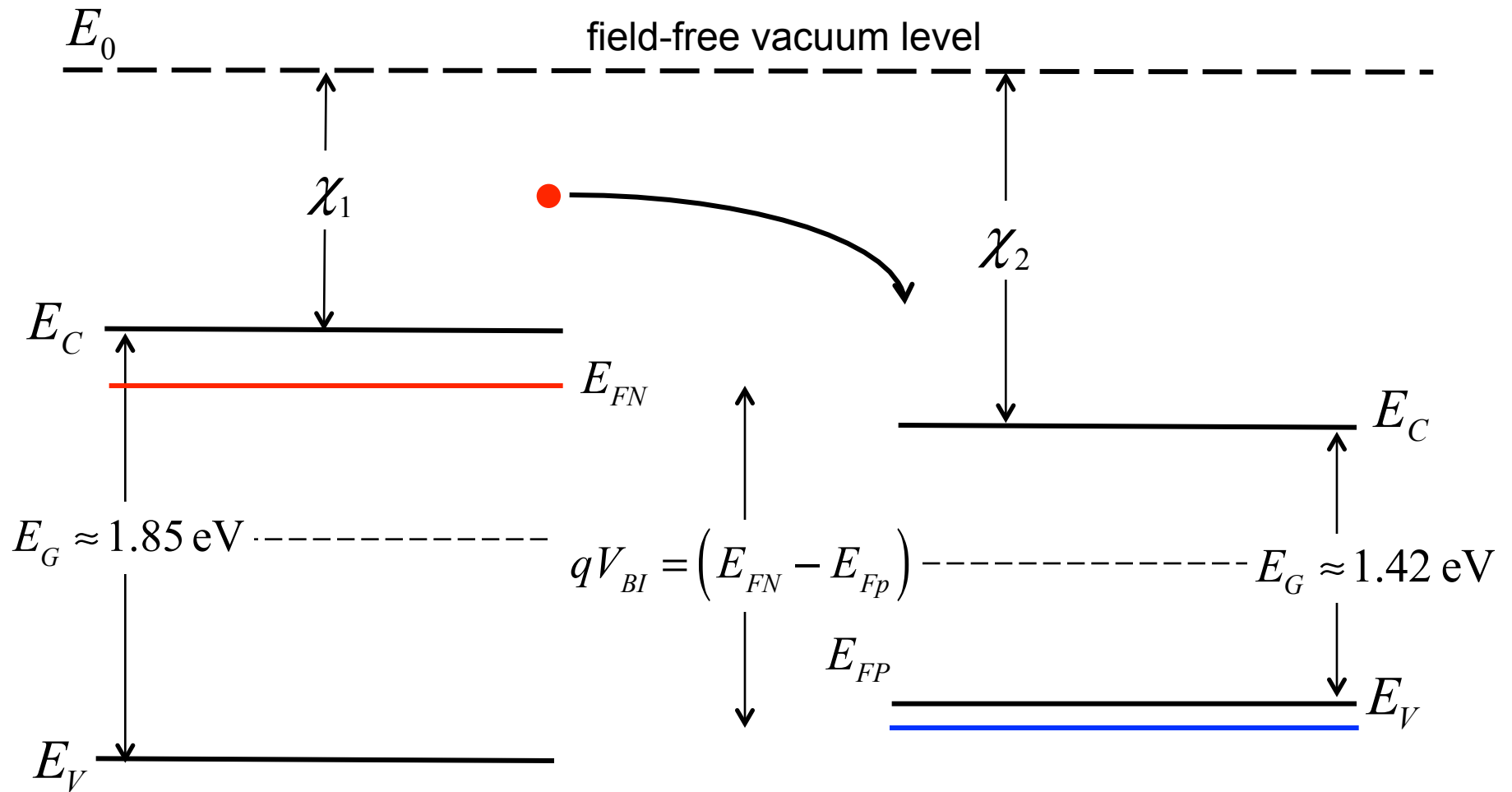
**"band alignment" is a critical factor.**

# Al<sub>0.3</sub>Ga<sub>0.7</sub>As : GaAs (Type I HJ)

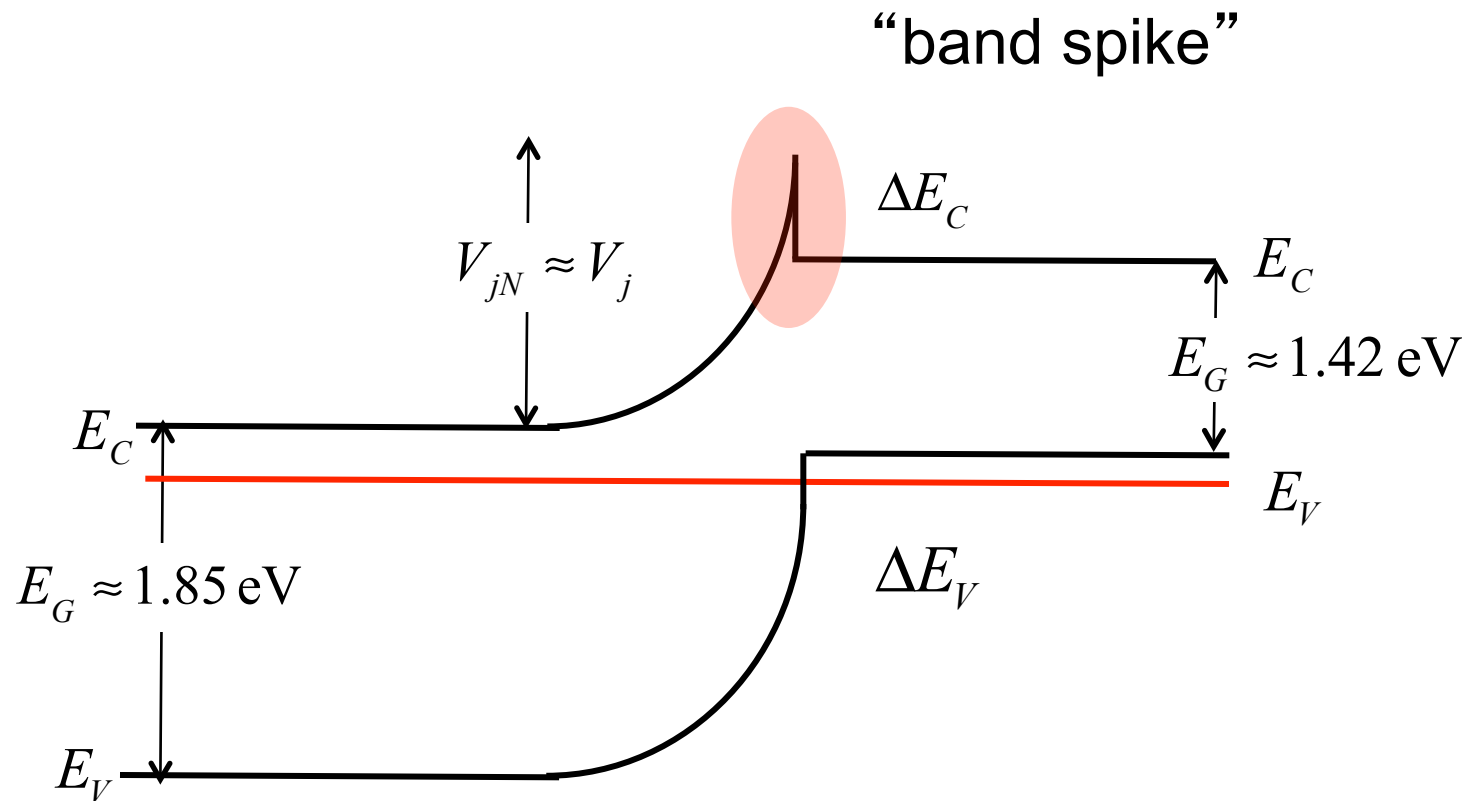




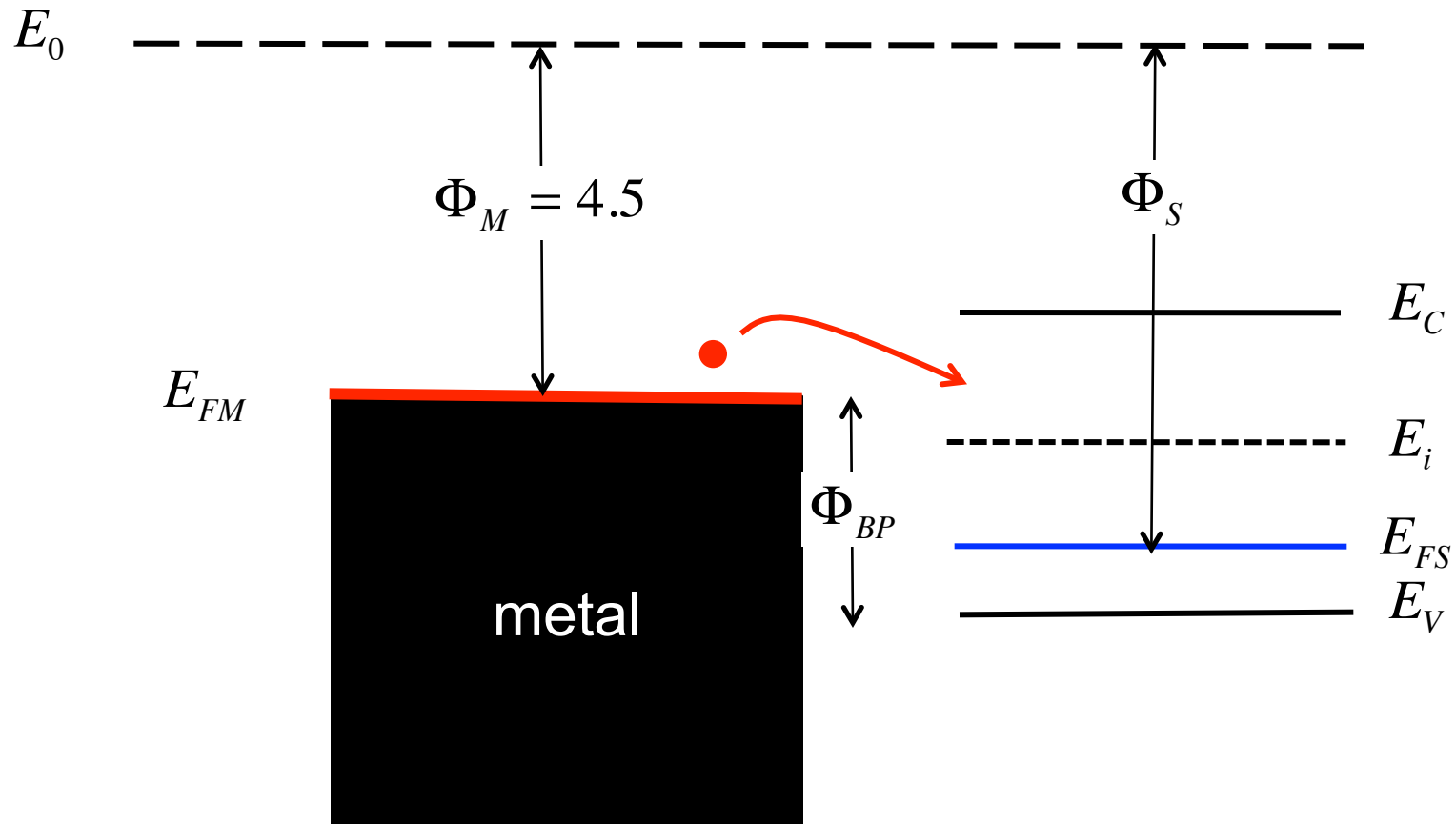
# N-Al<sub>0.3</sub>Ga<sub>0.7</sub>As : p<sup>+</sup>-GaAs (Type I HJ)



# N-Al<sub>0.3</sub>Ga<sub>0.7</sub>As : p<sup>+</sup>-GaAs (Type I HJ)

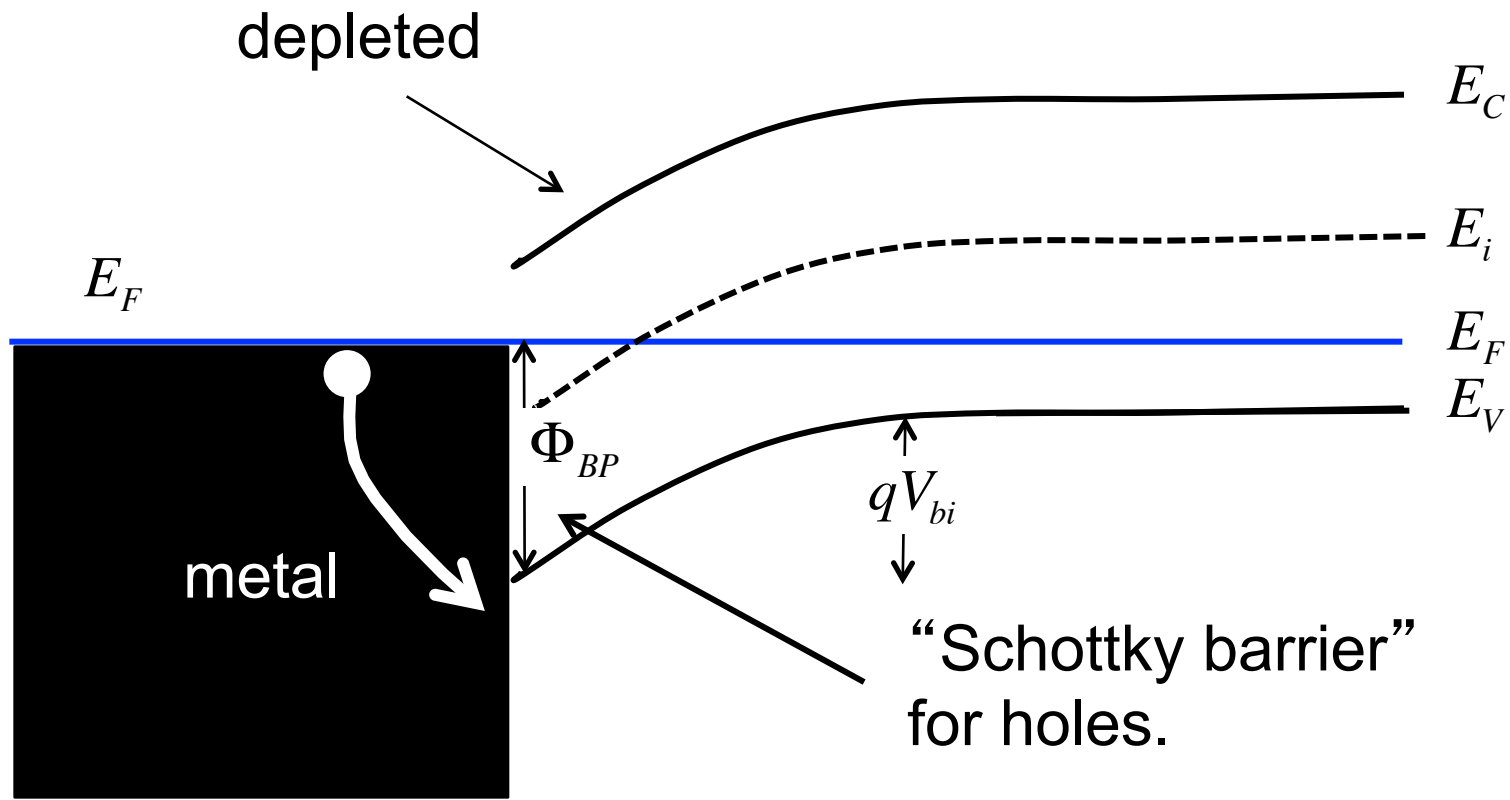


# Metal-Semiconductor heterojunctions

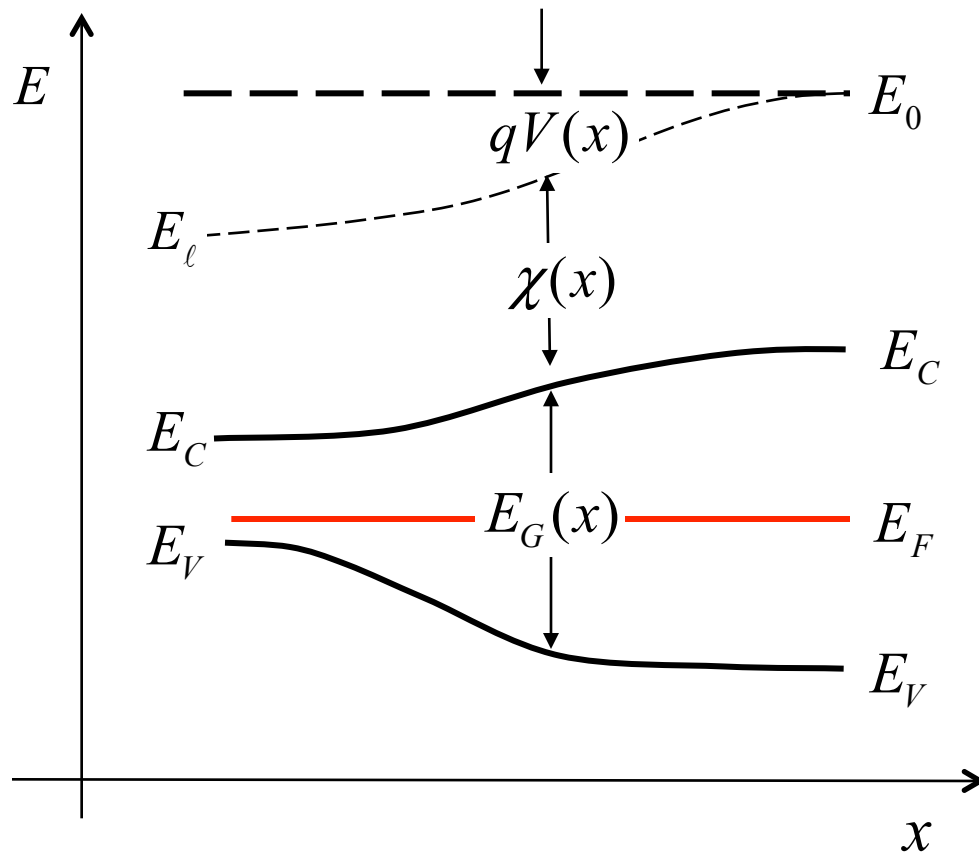


# MS diode: equilibrium band diagram

from the band diagram....potential, e-field,  $p(x)$ ,  $\rho(x)$



# General, graded heterostructure

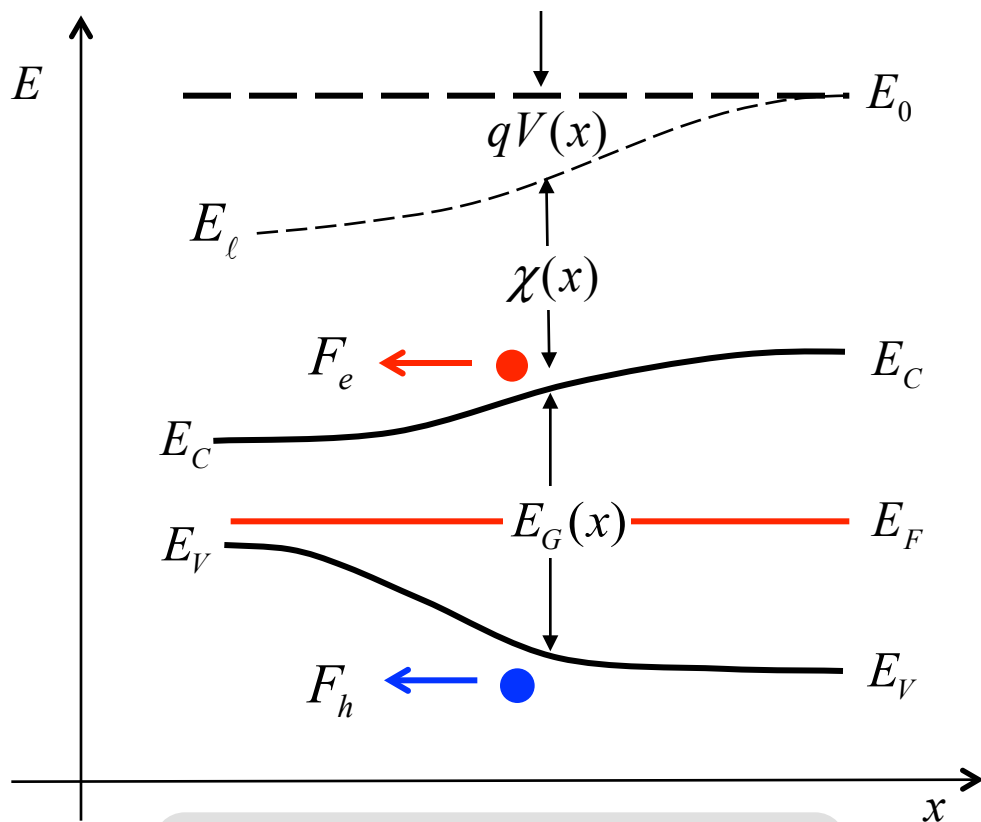


$$E_C(x) = E_0 - \chi(x) - qV(x)$$

$$E_V(x) = E_C(x) - E_G(x)$$

A smooth and slow variation of the composition is assumed.

# “Quasi-electric fields”



$$E_C(x) = E_0 - \chi(x) - qV(x)$$

$$E_V(x) = E_C(x) - E_G(x)$$

$$F_e = -\frac{dE_C}{dx} = q \frac{dV}{dx} + \frac{d\chi}{dx}$$

$$F_e = -q\mathcal{E}(x) - q\mathcal{E}_{QN}(x)$$

$$\mathcal{E}_{QN} \equiv -\frac{1}{q} \frac{d\chi}{dx}$$

$$F_h = +\frac{dE_V}{dx} = -q \frac{dV}{dx} - \frac{d(\chi + E_G)}{dx}$$

$$F_h = +q\mathcal{E}(x) + q\mathcal{E}_{QP}(x)$$

$$\mathcal{E}_{QP} \equiv -\frac{1}{q} \frac{d(\chi + E_G)}{dx}$$

# DD equation for heterostructures

$$J_p = p\mu_p \frac{dF_p}{dx} \quad p = N_V(x) e^{(E_V - F_p)/k_B T} \quad F_p = E_V(x) - k_B T \ln(p/N_V)$$

$$\frac{dF_p}{dx} = \frac{dE_V(x)}{dx} - k_B T \left[ \frac{1}{p} \frac{dp}{dx} - \frac{1}{N_V} \frac{dN_V}{dx} \right]$$

$$J_p = p\mu_p \left[ \frac{dE_V(x)}{dx} + \frac{k_B T}{N_V} \frac{dN_V}{dx} \right] - k_B T \mu_p \frac{dp}{dx}$$

$$\frac{dE_V(x)}{dx} = \frac{d}{dx} \left[ E_0 - \chi(x) - qV(x) - E_G(x) \right] = q \left( \mathcal{E}(x) + \mathcal{E}_{QP} \right)$$

# Hole and electron currents

$$J_p = pq\mu_p \left[ \mathcal{E} + \mathcal{E}_{QP} + \frac{k_B T}{q} \frac{1}{N_V} \frac{dN_V}{dx} \right] - qD_p \frac{dp}{dx}$$

“DOS effect”

$$J_n = nq\mu_n \left[ \mathcal{E} + \mathcal{E}_{QN} - \frac{k_B T}{q} \frac{1}{N_C} \frac{dN_C}{dx} \right] + qD_n \frac{dn}{dx}$$

**quasi-electric fields**

$$\mathcal{E}_{QP} \equiv -\frac{1}{q} \frac{d(\chi + E_G)}{dx} \quad \mathcal{E}_{QN} \equiv -\frac{1}{q} \frac{d\chi}{dx}$$



# Summary

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- 1) Band offsets are critical parameters.
- 2) In graded heterostructures, we need to consider electric fields and quasi-electric fields.
- 3) The slope of the conduction band gives the quasi-electric field for electrons – not the electric field.
- 4) The slope of the valence band gives the quasi-electric field for holes – not the electric field.

