

Theory and Practice of Solar Cells: A Cell to System Perspective

PV Performance Limits by Shockley-Queisser (SQ) Triangle

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Outline

1) Motivation

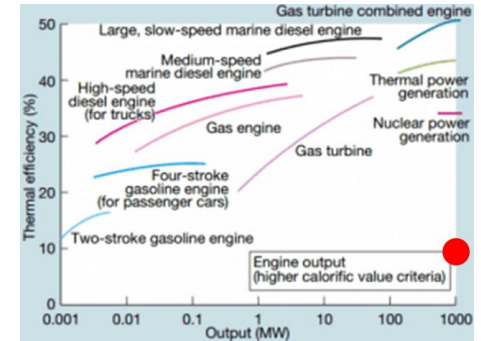
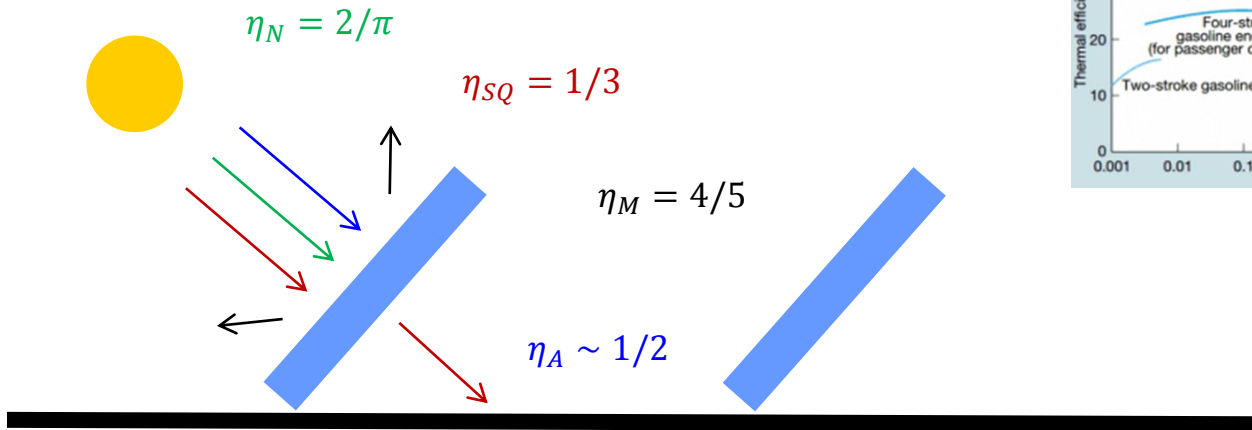
2) Derivation of the SQ-Triangle

3) Applications of SQ-Triangle

4) Conclusions

5) Appendix: Self-test questions

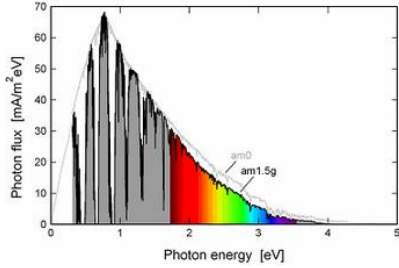
An Inefficient Machine!



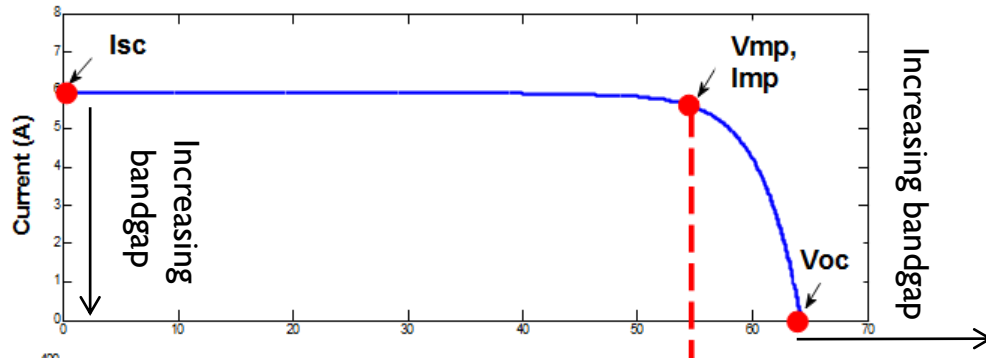
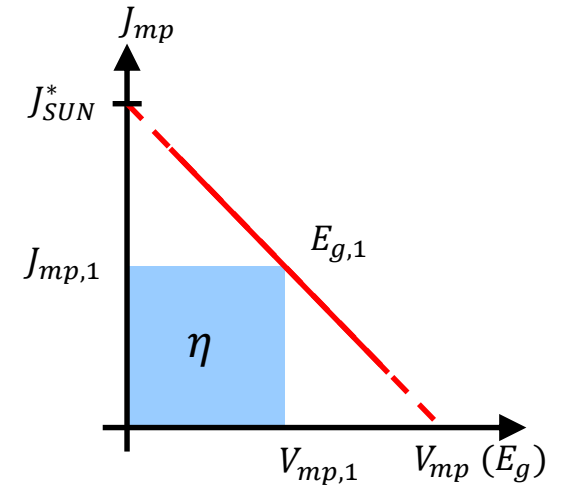
$$\eta = \eta_N \times \eta_{SQ} \times \eta_M \times \eta_A = \frac{2}{\pi} \times \frac{1}{3} \times \frac{5}{6} \times \frac{1}{2} \sim \frac{1}{10}$$

↑
↑
Tandem
Bifacial

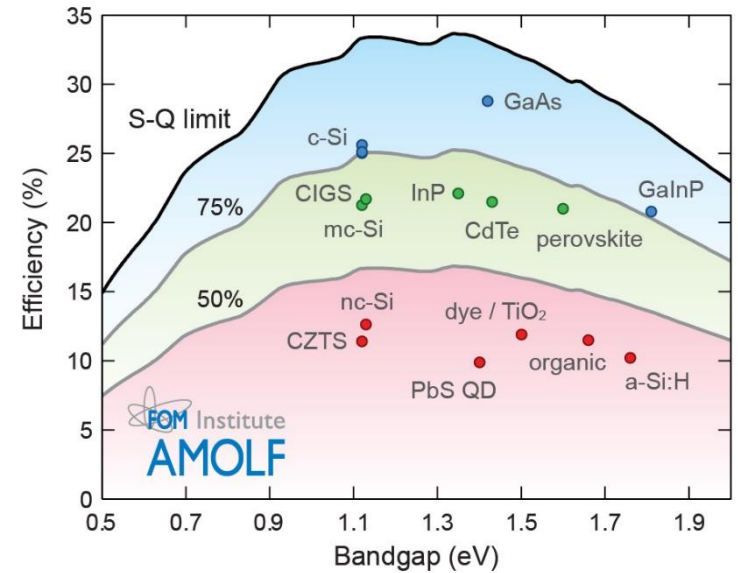
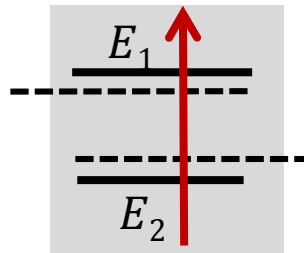
Power and efficiency



$$J_{mp} = 82(1 - 0.428E_g)$$

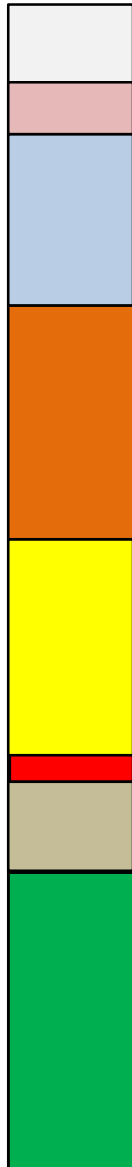


$$qV_{mp} = 0.95E_g - 0.3$$



Counting the photons – a roadmap

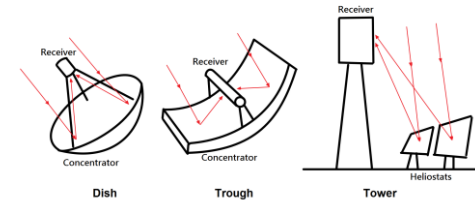
Carnot



Angle Entropy

Radiative

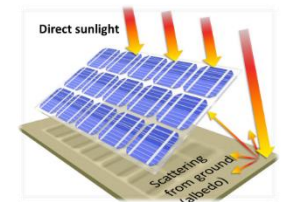
LED



CPV

Below bandgap

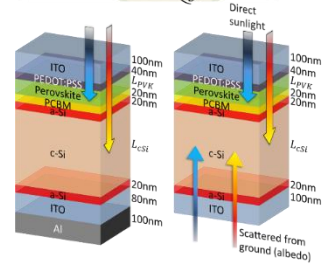
Tandem



MEG

Shockley-Queisser

$>4n^2$



Partition,
Cell-Module

Striping

Outline

- 1) Motivation
- 2) Derivation of SQ-Triangle
- 3) Application of SQ-Triangles
- 4) Conclusions

Defining the SQ-Triangle

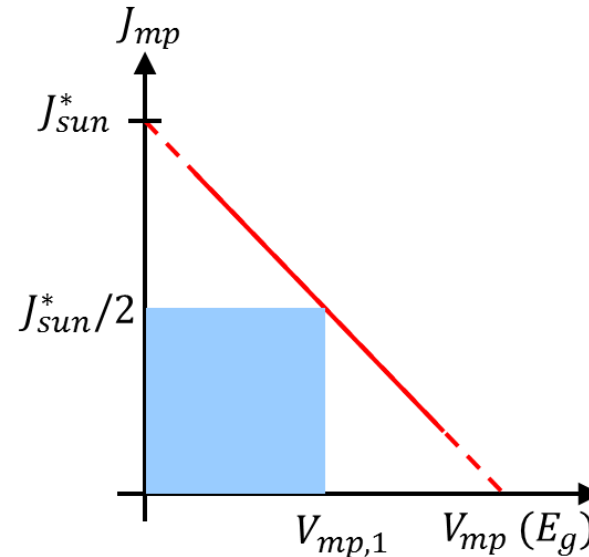
1. Calculate V_{mp} and I_{mp}

$$qV_{mp} = 0.95E_g - 0.3$$

$$J_{mp} = 82(1 - 0.428E_g)$$

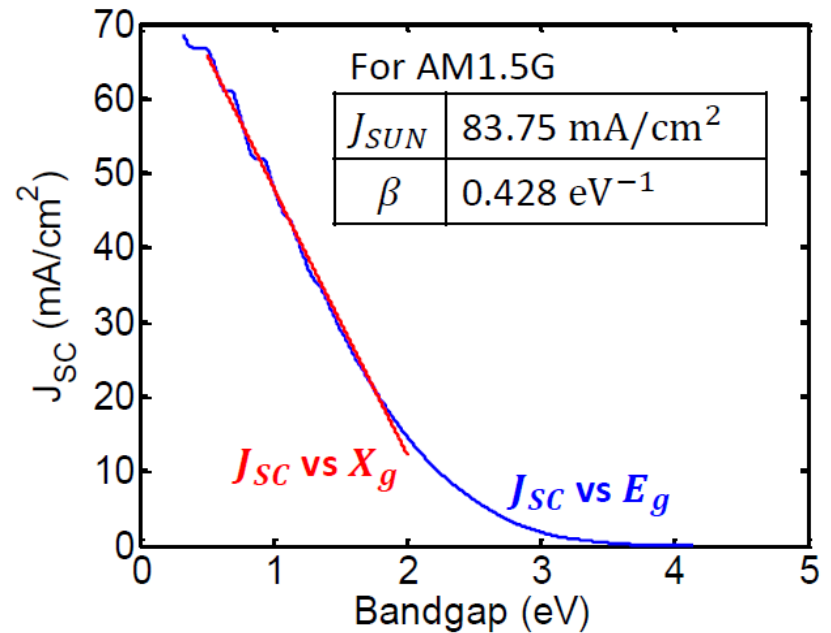
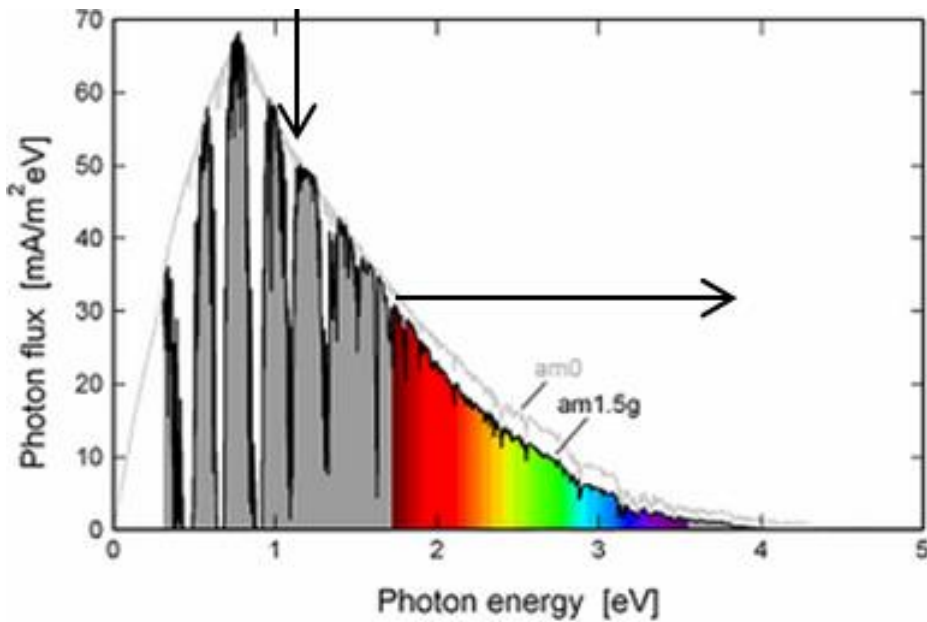
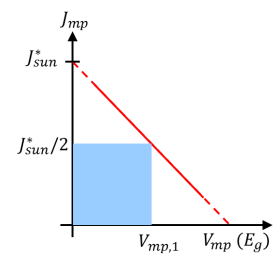
2. Calculate efficiency:

$$\eta = V_{mp} I_{mp} / P_{in}$$



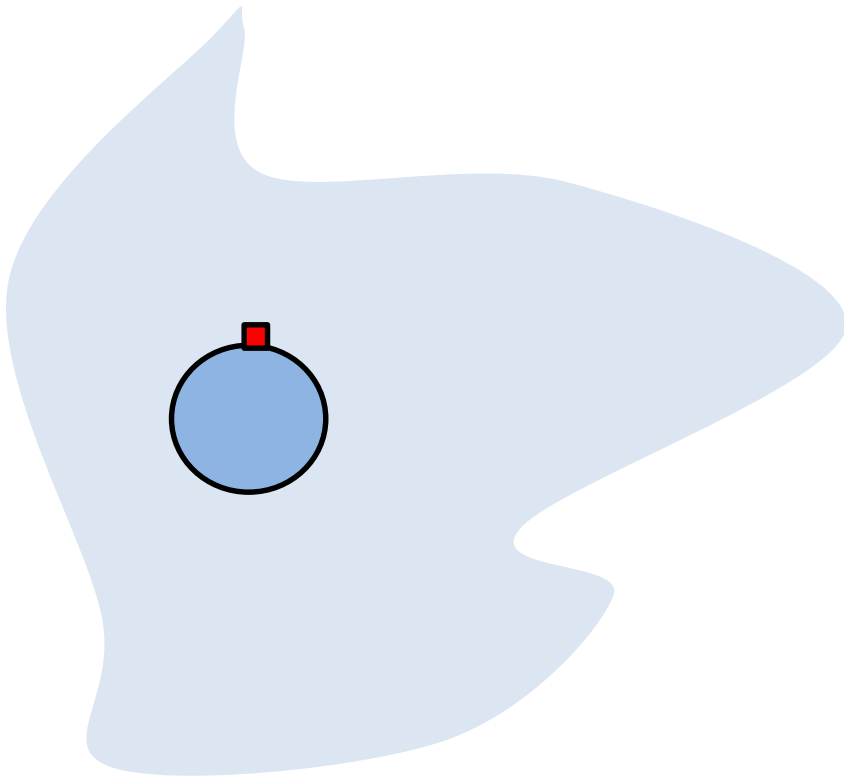
3. Locate in the triangle $J_{mp} = 72(1 - 0.52 V_{mp})$

Step 1: Calculate J_{mp}



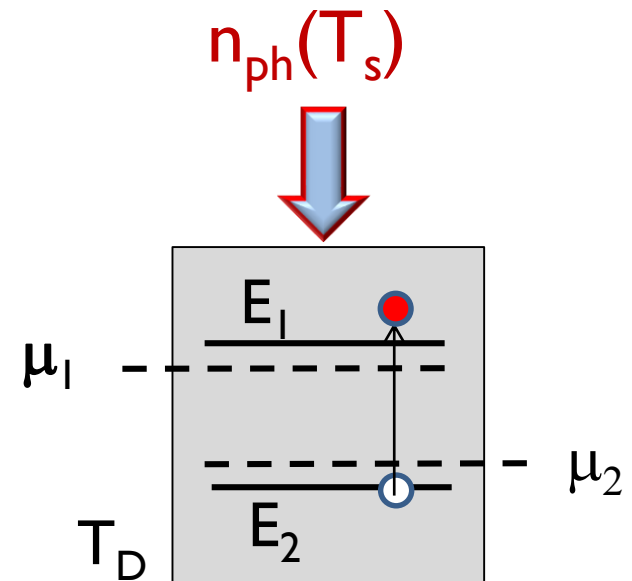
$$J_{mp} = 83.75(1 - 0.428E_g)$$

Step 2: Derive V_{mp} by a 2-Level Atom

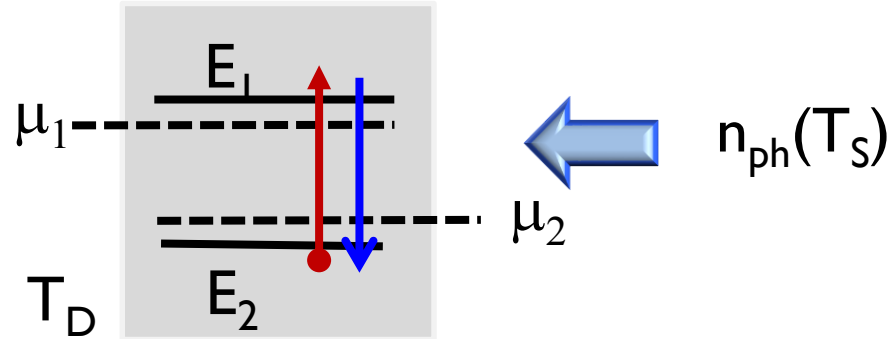
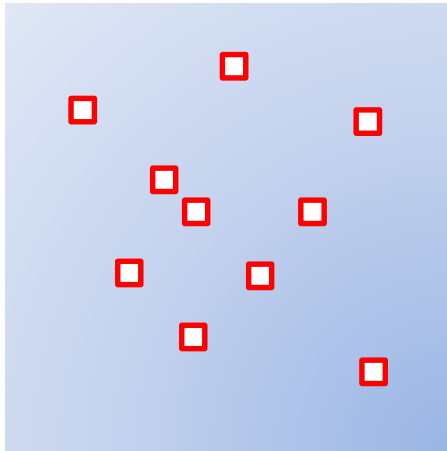


Some deep sea Plackton live
in a similar diffused light environment

Single frequency filtered light
Isotropic illumination
Atom with only two levels



Detailed balance between Photons and Electrons



$$k_B \theta_1 = E_1 - \mu_1 \quad k_B \theta_2 = E_2 - \mu_2$$

Upward transition = $f_2(1 - f_2)n_{ph}$

$$f_1 = \frac{1}{e^{\theta_1/T_D} + 1}$$

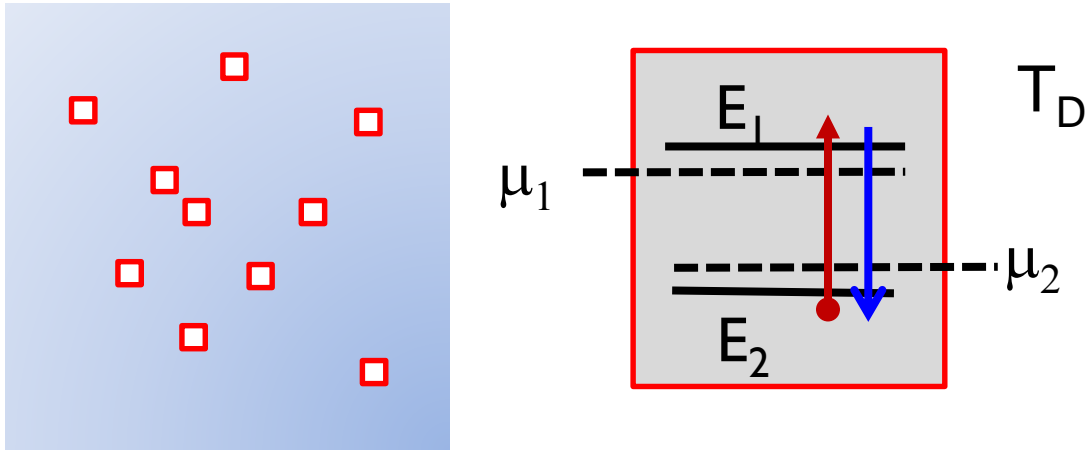
Downward transition = $f_1(1 - f_2)(n_{ph} + 1)$

$$f_2 = \frac{1}{e^{\theta_2/T_D} + 1}$$

At steady state up and down transitions are equal ...

$$f_1(1 - f_2)(n_{ph} + 1) = f_2(1 - f_2)n_{ph}$$

Detailed balance of photons and electrons



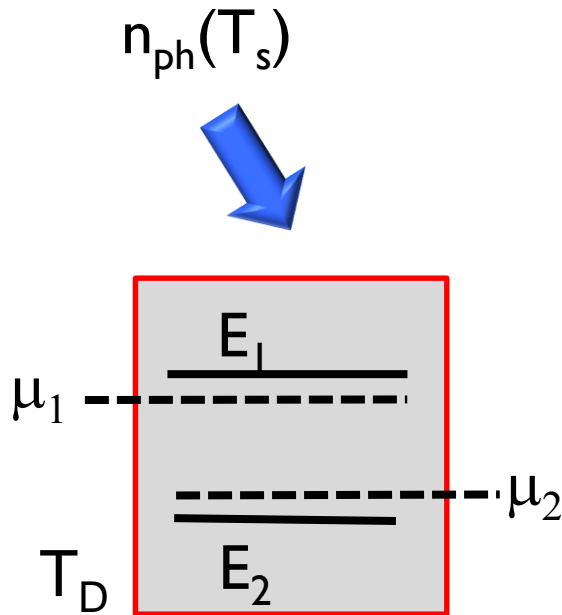
$$f_1(1 - f_2)(n_{ph} + 1) = f_2(1 - f_1)n_{ph}$$

$$\frac{1}{e^{\theta_1/T_D} + 1} \frac{e^{\theta_2/T_D}}{e^{\theta_2/T_D} + 1} (n_{ph} + 1) = \frac{1}{e^{\theta_2/T_D} + 1} \frac{e^{\theta_1/T_D}}{e^{\theta_1/T_D} + 1} \times (n_{ph})$$

**Bose Einstein
distribution**

$$n_{ph} = \frac{1}{e^{\hbar\omega/k_B T_S} - 1} \quad n_{ph} + 1 = \frac{e^{\hbar\omega/k_B T_S}}{e^{\hbar\omega/k_B T_S} - 1}$$

PV Efficiency of 2-level System



$$\frac{E_2 - \mu_2}{T_D} + \frac{E_2 - E_1}{T_S} = \frac{E_1 - \mu_1}{T_D}$$

$$(\mu_1 - \mu_2) = (E_1 - E_2) \left[1 - \frac{T_D}{T_S} \right]$$

$$\eta = \frac{(\mu_1 - \mu_2) R}{(E_1 - E_2) R} = \left[1 - \frac{T_D}{T_S} \right]$$

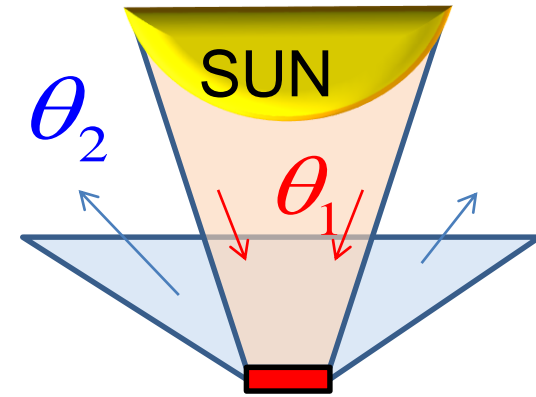
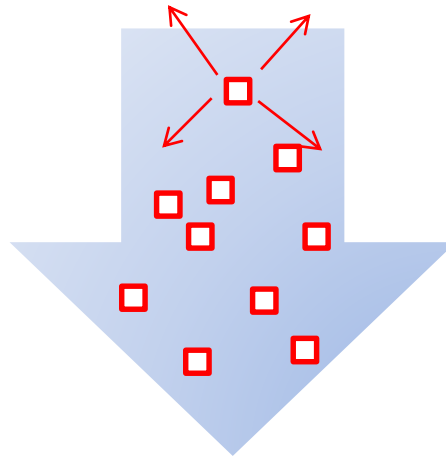
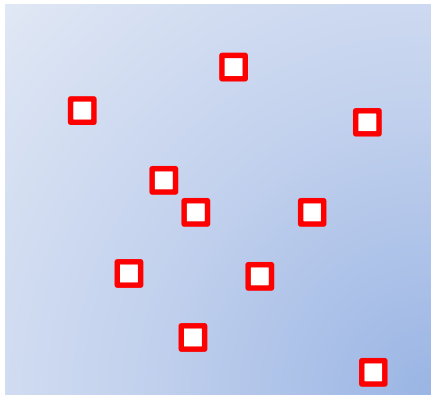
$$\eta = \left[1 - \frac{300}{6000} \right] = 0.95$$

$(\mu_1 - \mu_2) = 0$
if $T_D = T_S$

Can not extract
energy at same
temperature

Single molecule-single frequency gives Carnot efficiency!

Problem of Angular Anisotropy



$$\theta_2 f_1 (1 - f_2) (n_{ph} + 1) = \theta_1 f_2 (1 - f_2) n_{ph}$$

$$\text{or, } -\ln\left(\frac{\theta_1}{\theta_2}\right) + \left(\frac{E_2 - \mu_2}{k_B T_D}\right) + \left(\frac{E_1 - E_2}{k_B T_S}\right) = \left(\frac{E_1 - \mu_1}{k_B T_D}\right)$$

$$\therefore \mu_1 - \mu_2 = V_{mp} = E_g \left(1 - \frac{T_D}{T_S}\right) + k_B T_D \ln\left(\frac{\theta_1}{\theta_2}\right) \leftarrow \text{negative number}$$

The angle mismatch leads to V_{mp} loss

Step 1: Derivation of Vmp complete

$$\theta_1 = 6 \times 10^{-5}$$

$$\theta_2 = 4\pi \quad \text{Without mirror}$$

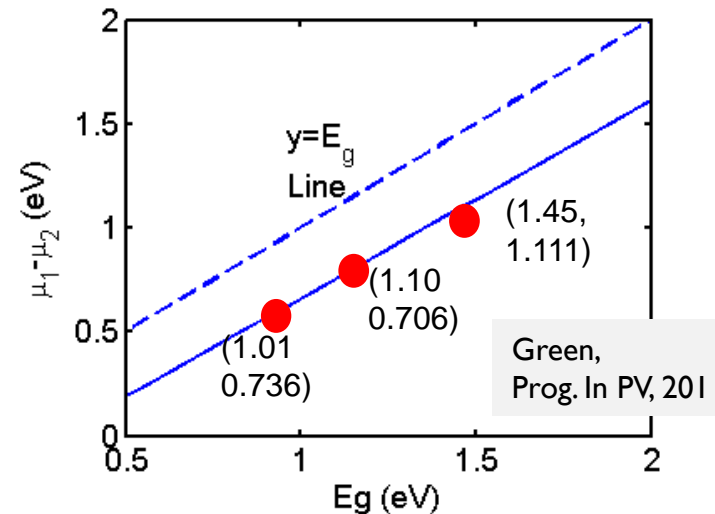
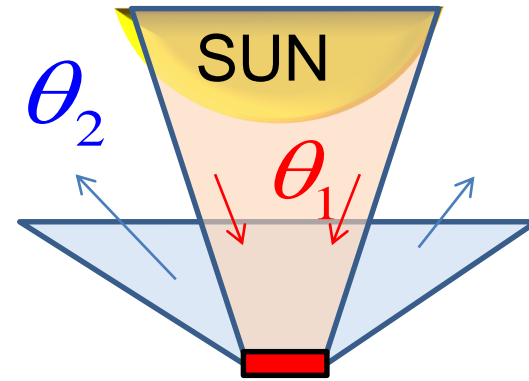
$$\theta_2 = 2\pi \quad \text{With mirror}$$

$$\eta = \frac{\mu_1 - \mu_2}{E_1 - E_2} = \left(1 - \frac{T_D}{T_S}\right) + \frac{k_B T_D}{E_1 - E_2} \ln \left(\frac{\theta_1}{\theta_2}\right)$$

$$\text{Set, } T_S = 6000K, T_D = 300K,$$

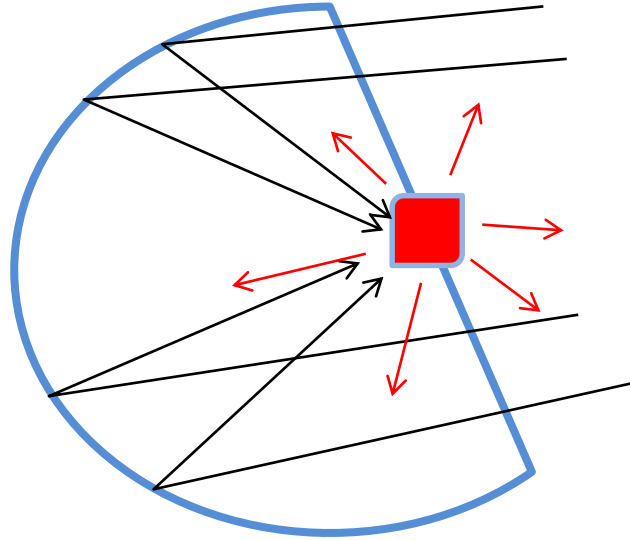
$$E_g = E_1 - E_2, \theta_2 = 2\pi :$$

$$qV = \Delta\mu = \left(1 - \frac{T_D}{T_S}\right) E_g + k_B T_D \ln \left(\frac{\theta_1}{\theta_2}\right) = 0.95 \times E_g - 0.31$$



Consistent with all experimental data !

Concentrator cells fool the cells



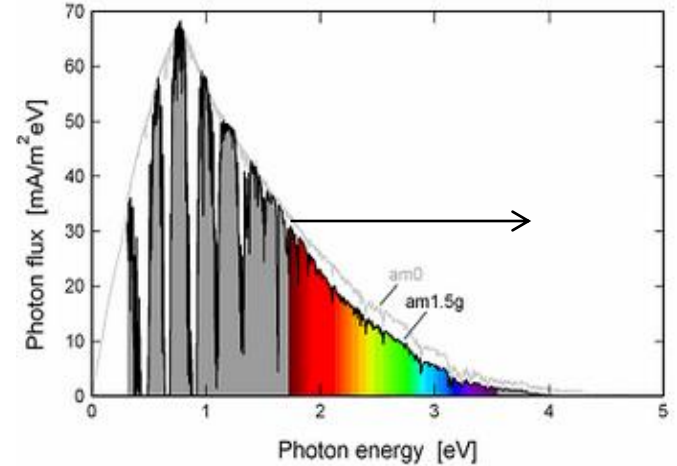
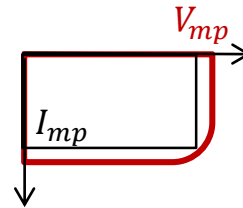
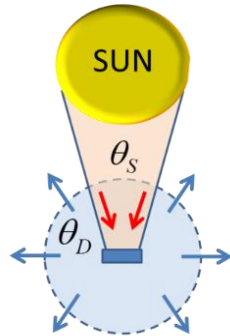
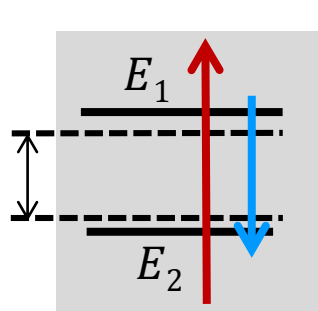
$$\theta_{S,C} = \theta_D$$

Number of suns ...

$$N = 2\pi / \theta_S \approx 104720$$

$$V_{mp} = \left(1 - \frac{T_D}{T_S}\right) E_g + k_B T_D \ln \left(\frac{\theta_S}{\theta_D}\right) \rightarrow \left(1 - \frac{T_D}{T_S}\right) E_g$$

Step 3: Creating the SQ Triangle



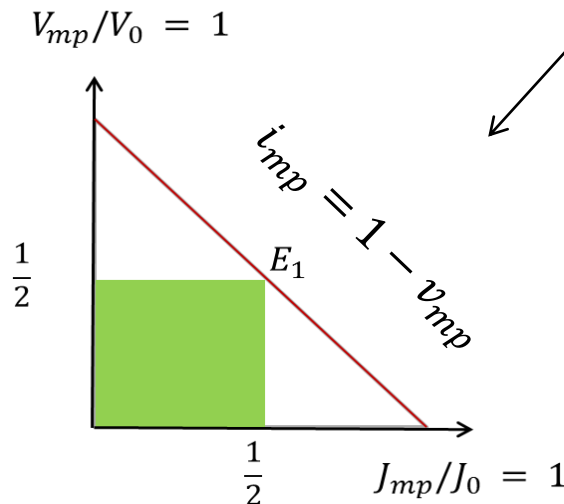
$$qV_{mp} = E_g \left(1 - \frac{T_D}{T_S} \right) - k_B T_D \ln \left(\frac{\theta_D}{c \theta_S} \right)$$

$$= c_f E_g - \Delta$$

$$J_{mp}(E_g) = c I_{sun} (1 - \beta' E_g)$$

$$= J_0 (1 - \beta V_{mp})$$

$$\beta \equiv \beta' / c_f$$



$$V_0 \equiv (1 - \beta \Delta) / \beta$$

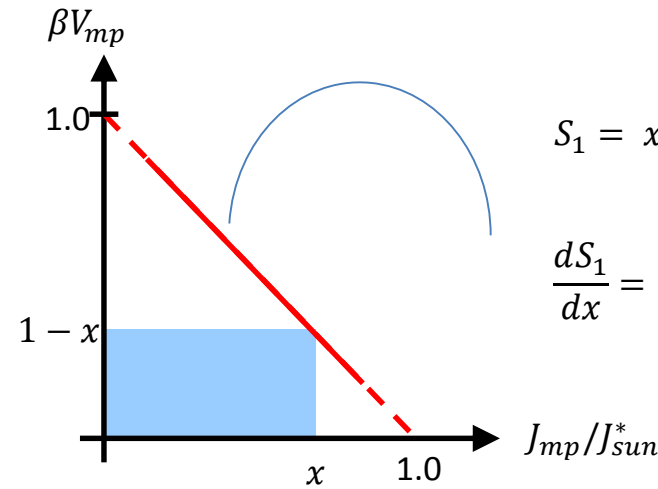
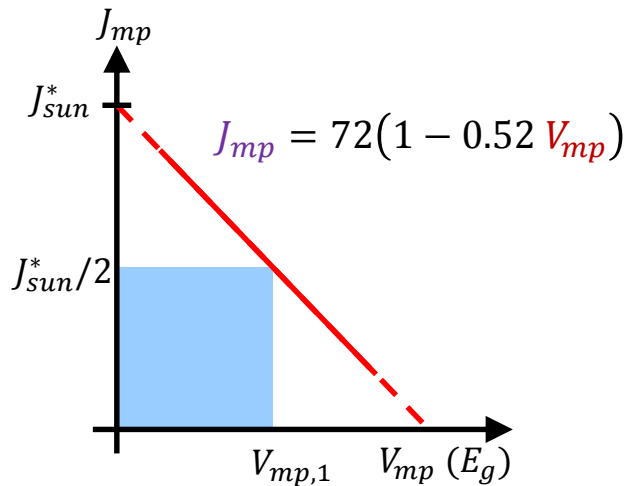
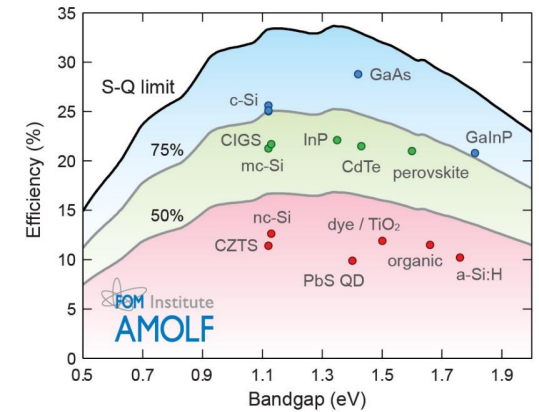
$$J_0 \equiv c I_{sun} (1 - \beta \Delta)$$

Hirst, PIP, 2011
 Khan & Alam, APL, 2015,
 Also, see AJP, 2012

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Maximum Single Junction Efficiency

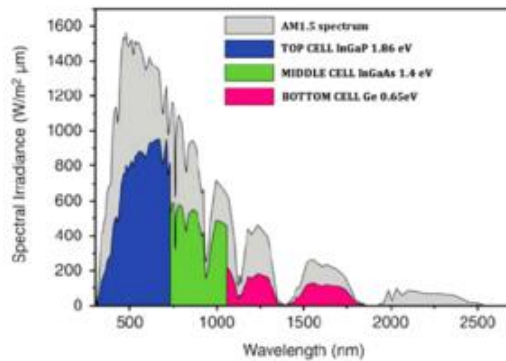
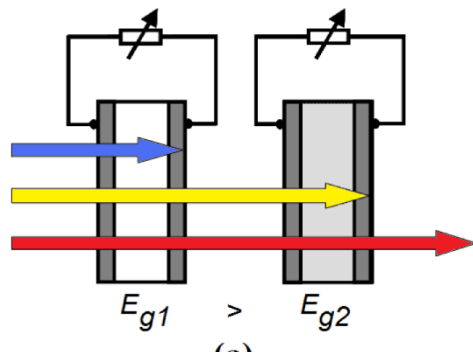


$$S_1 = x(1-x)$$

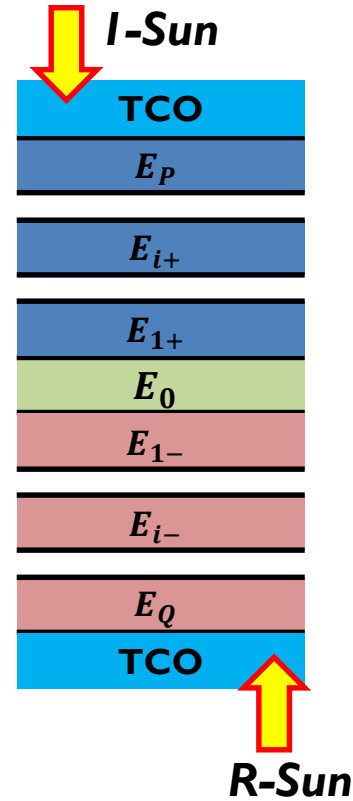
$$\frac{dS_1}{dx} = 1 - 2x = 0 \rightarrow x = \frac{1}{2}$$

$$0.52 * V_{mp} = \frac{1}{2}, \quad V_{mp} = 0.96, \quad 0.95 \times E_g - 0.3 = V_{mp} = 0.96, \quad E_g = 1.33V$$

Tandem Solar Cell

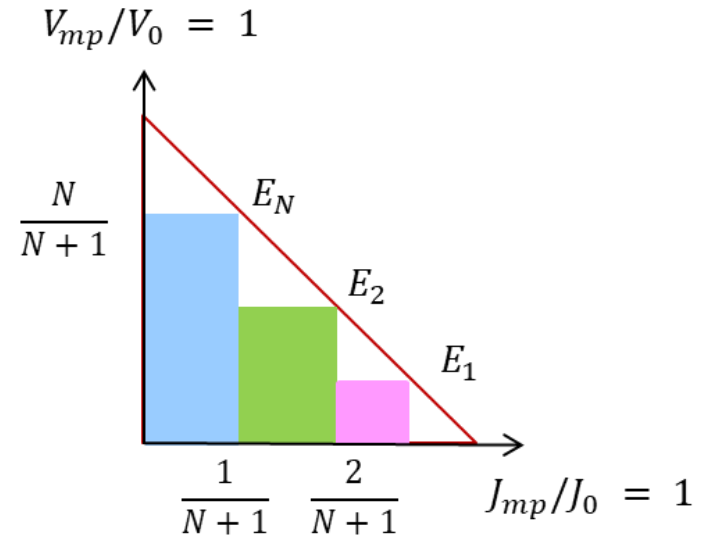
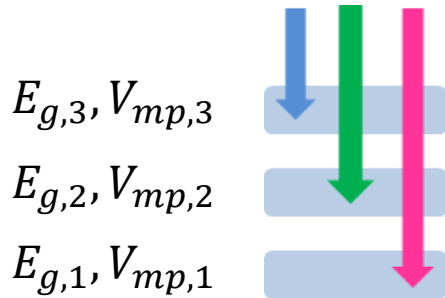


(b)



N-Junction Tandem Cell

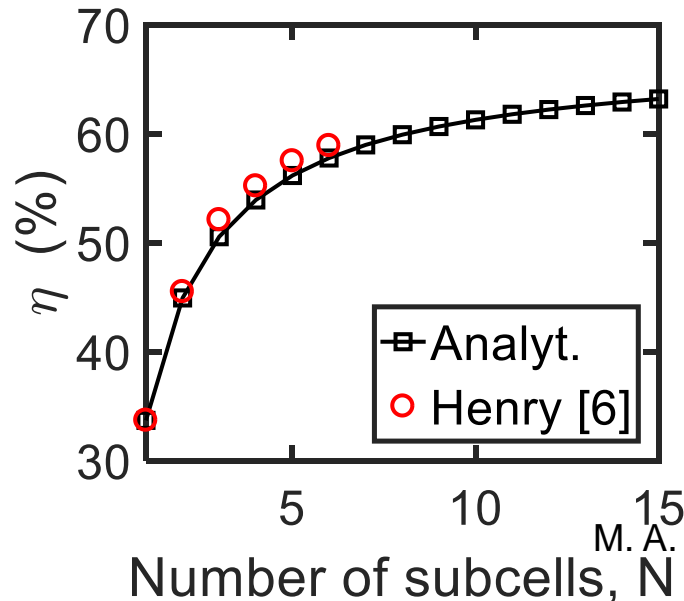
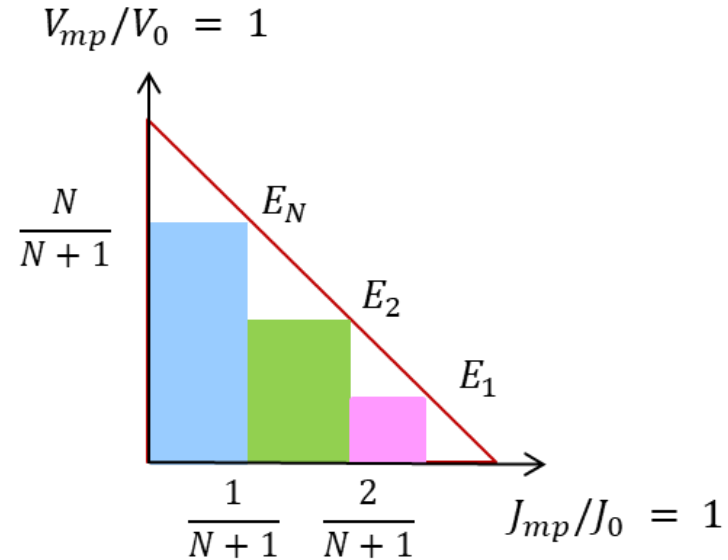
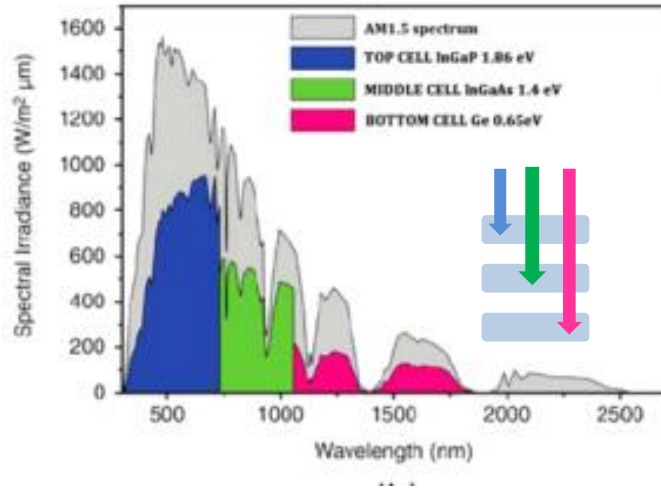
$$V_{mp} = c_f E_g - 0.31 - k_B T_D \ln c$$



$$V_{mp}^{\{i\}} = iV_0/(N+1)$$

$$I_{mp}^{\{i\}} = I_0/(N+1)$$

Efficiency of N-junction Tandem



$$V_0 \equiv (1 - \beta \Delta) / \beta$$

$$I_0 \equiv c I_{sun} (1 - \beta \Delta)$$

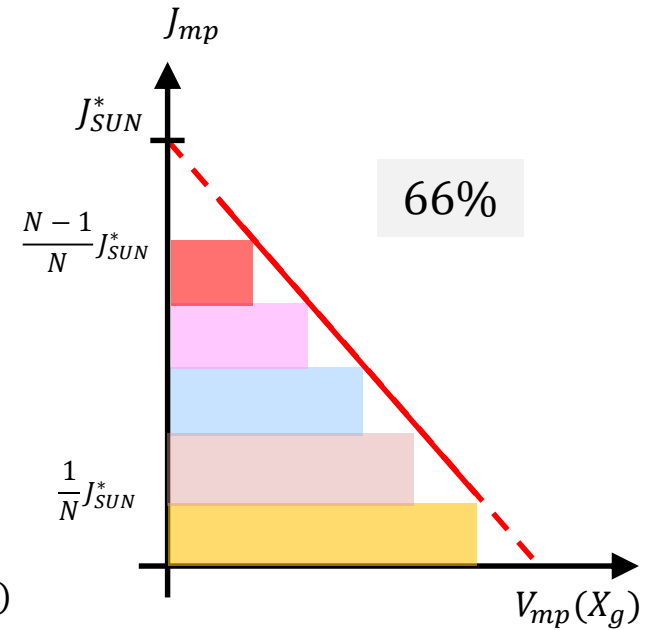
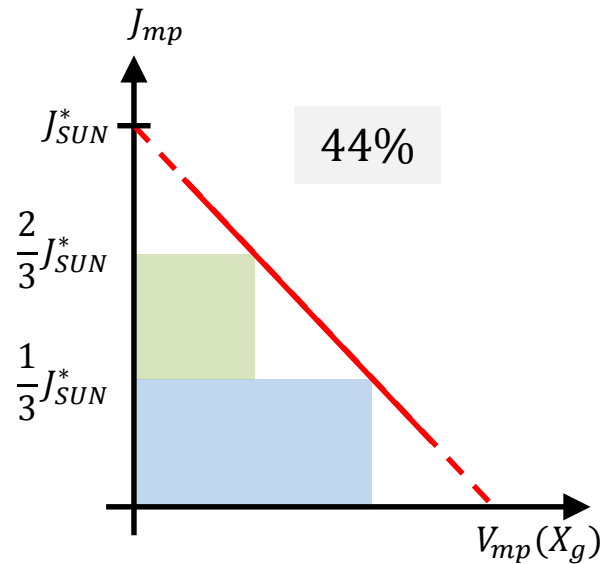
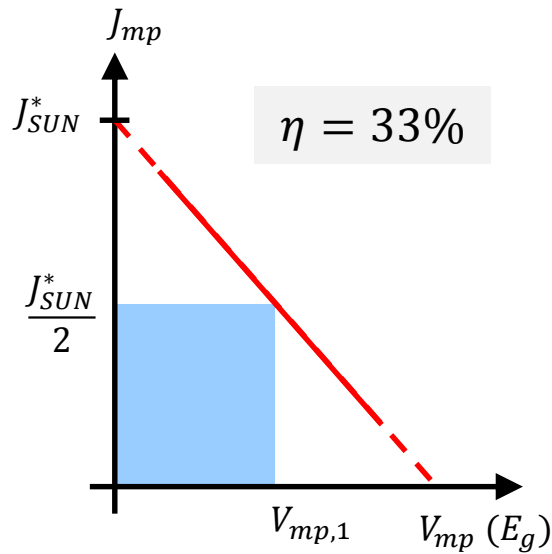
$$\eta_N(c) = (I_0 V_0 / 4c) \times 2N / (N + 1)$$

$$\beta = \beta' / c_f$$

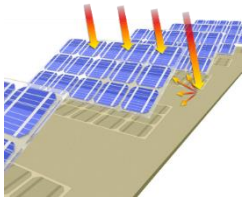
Tandem Efficiency Limits

$$J_{mp} = 70(1 - 0.52 V_{mp})$$

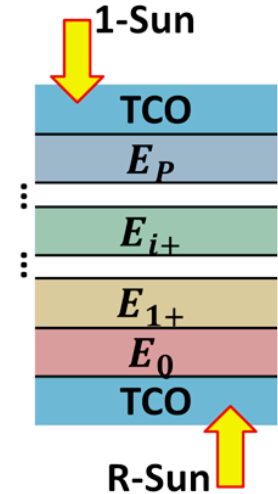
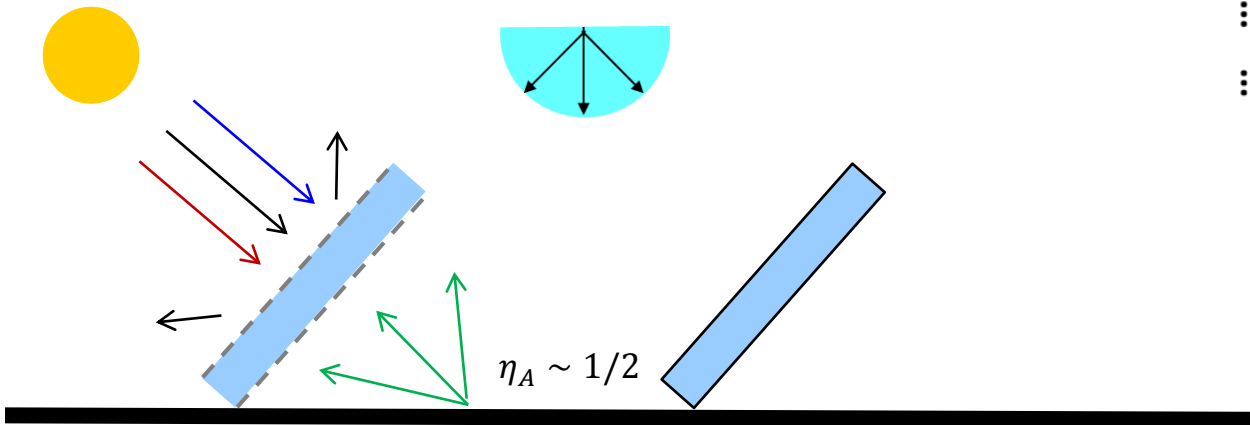
$$P_{out} = J_{mp} \times V_{mp}$$



Bifacial Solar Cell



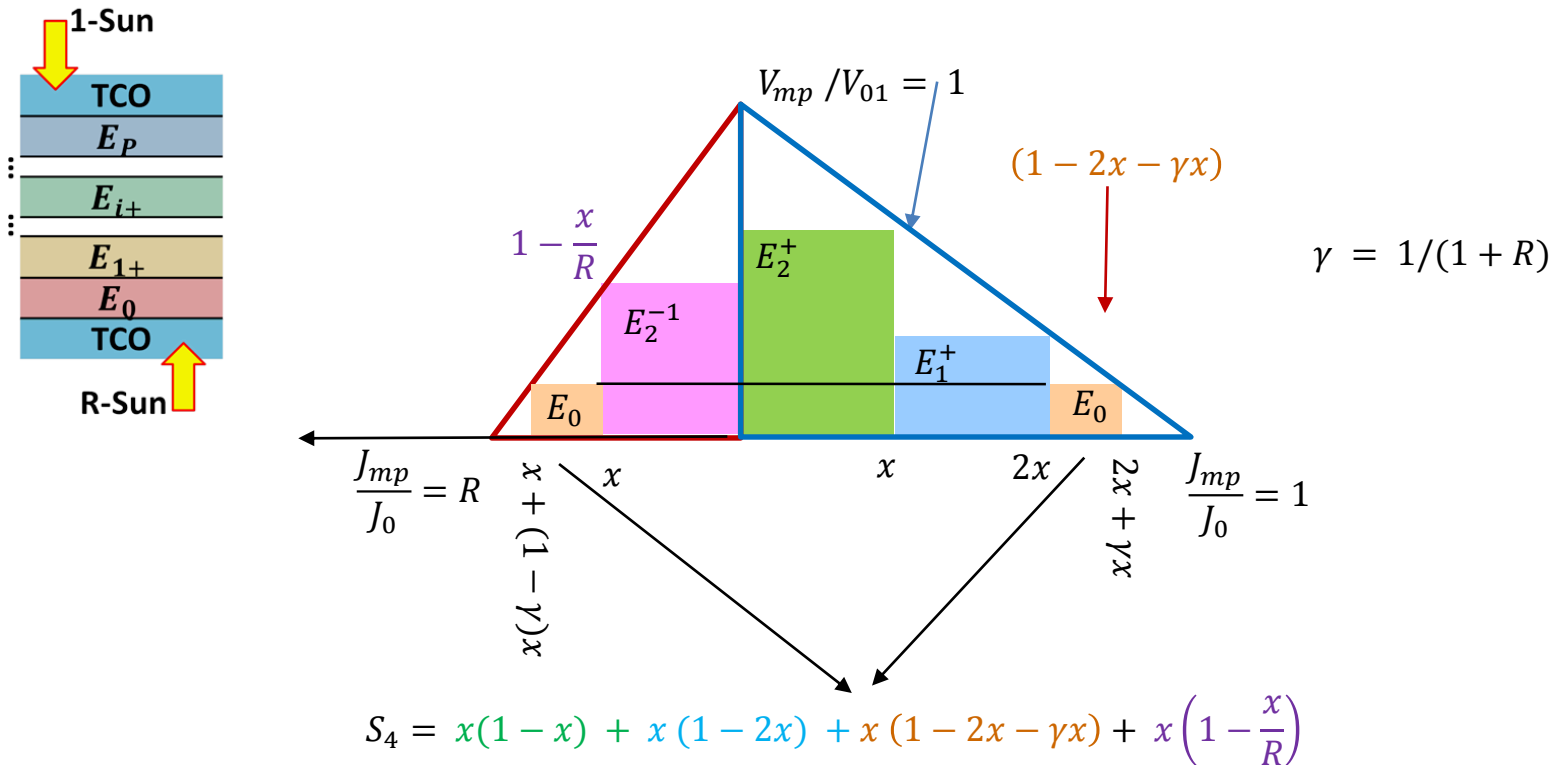
$$\eta = \eta_N \times \eta_{SQ} \times \eta_M \times \eta_A = \frac{2}{\pi} \times \frac{1}{3} \times \frac{5}{6} \times \frac{1}{2} \sim \frac{1}{10}$$



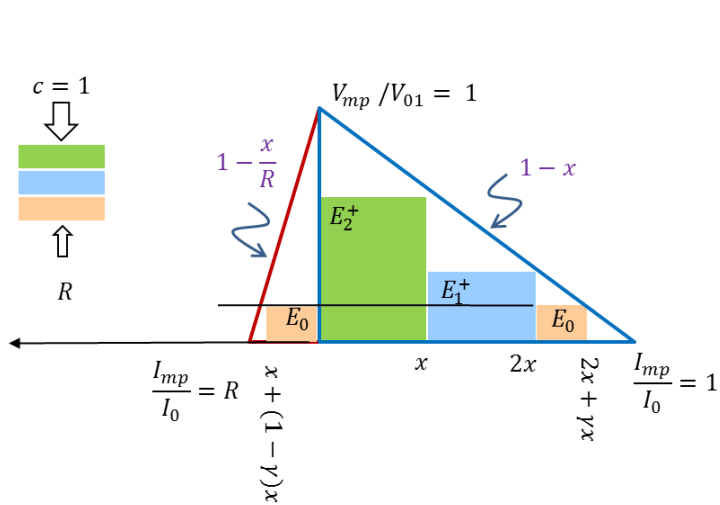
2015 bifacial cell productions



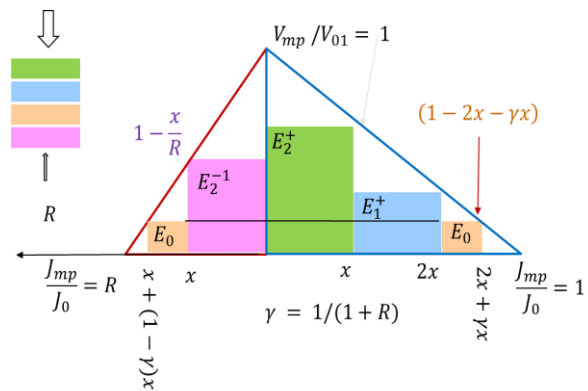
For inverted bifacial design



SQ Triangle for Bifacial PV



$$N_{crit} \leq 1 + R^{-1}$$



$$\frac{S_N}{S_1} = \frac{2(1+R)N^2}{N(N+1)(R+1) - 2R}$$

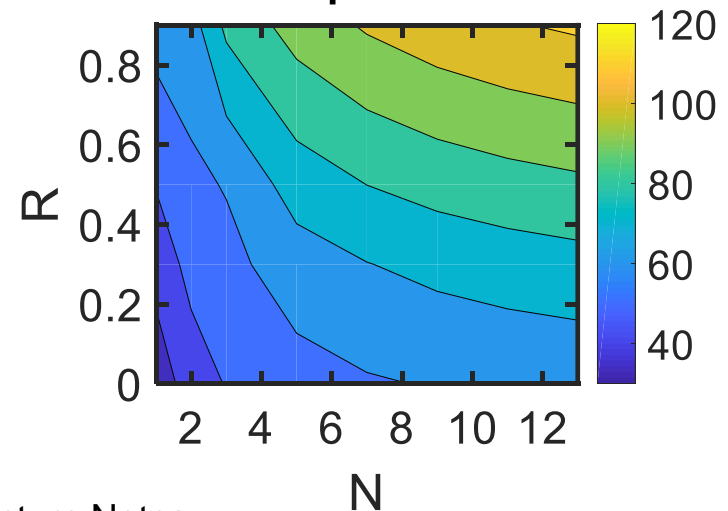
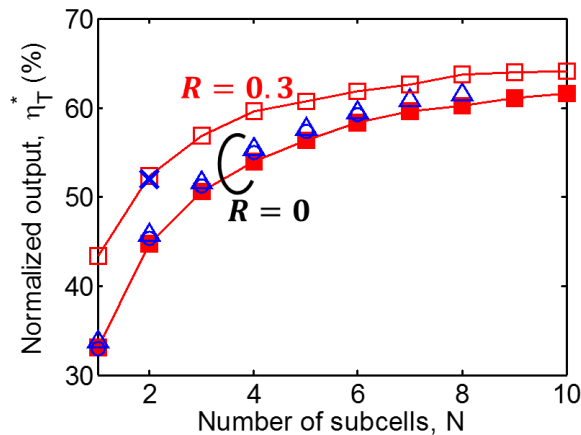
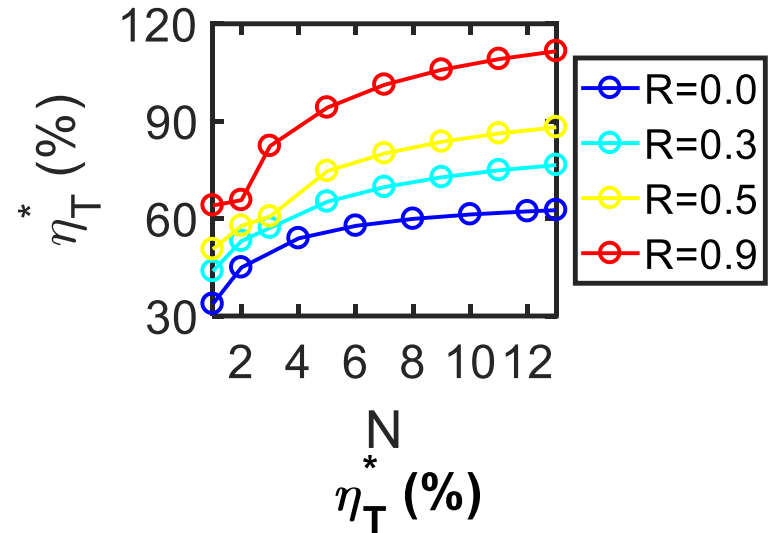
$$\frac{S_N}{S_1} = \frac{8R(1+R)N^2}{2R(2N^2 + 4N - 1) - R^2 - 1}$$

Advantages of Bifacial PV

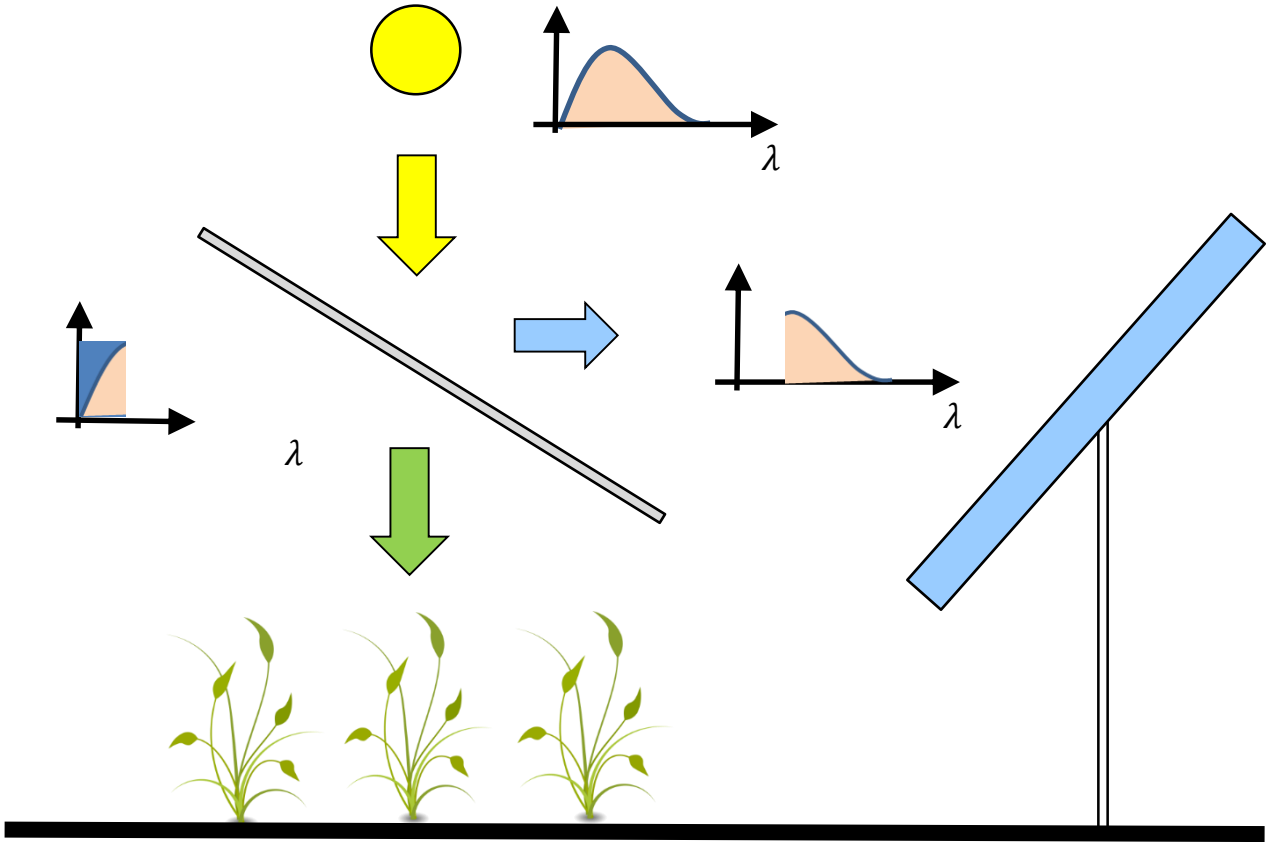
$$N_{crit} \leq 1 + R^{-1}$$

$$\frac{S_N}{S_1} = \frac{2(1+R)N^2}{N(N+1)(R+1) - 2R}$$

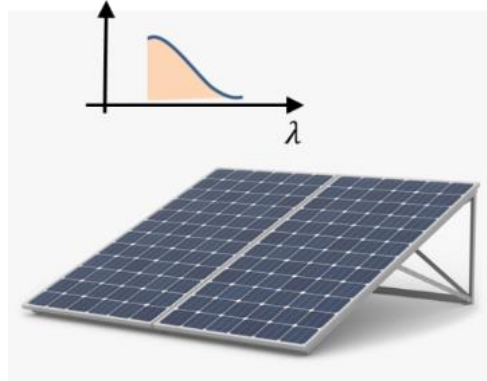
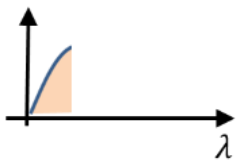
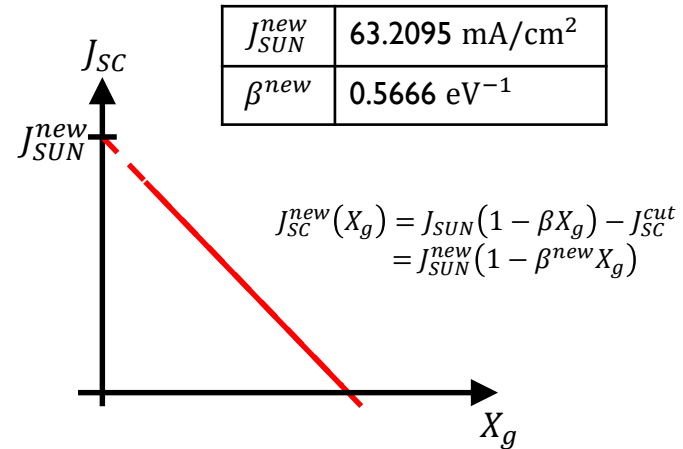
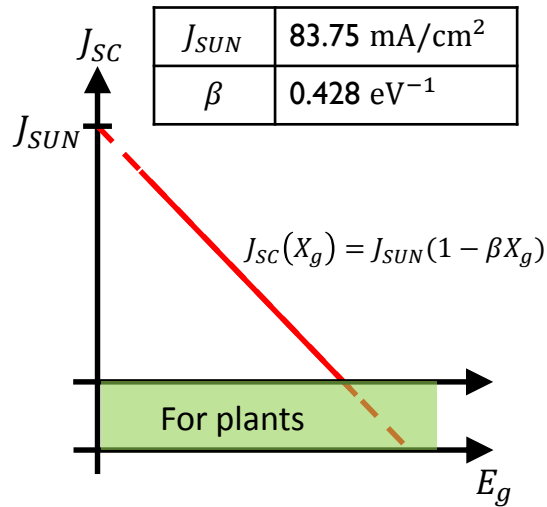
$$\frac{S_N}{S_1} = \frac{8R(1+R)N^2}{2R(2N^2 + 4N - 1) - R^2 - 1}$$



Plant-PV Tandem cells



Rescaled Tandem System



With the new parameters we can now redesign any N-J tandem

Conclusions

- Solar cells are inefficient machines. A thermodynamic analysis shows how we can improve the performance.
- A simple SQ Triangle anticipates thermodynamic limits for tandem PV, bifacial PV, and concentrator PV cells.
- Tandem efficiency scales as: $\eta_N(c) = I_0 V_0 N / [2(N + 1)c]$
- Bifacial PV has phase transition at $N_{crit} \leq 1 + R^{-1}$
- Thermodynamic limit serves as a beacon and a guardrail for next generation PV.

nanohub.org/resources/pvlimits

<http://arxiv.org/abs/1606.01176>

PV thermodynamic limit calculator

Simulation setup

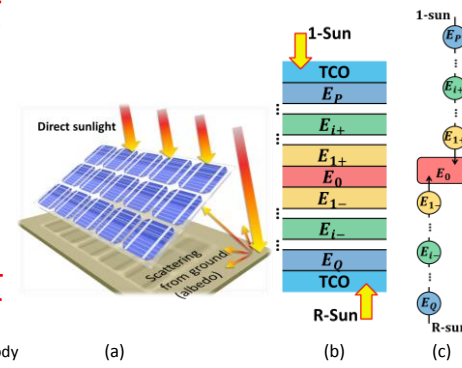
- Junction Type: Single Junction
- Single Junction Options: J-V
- Multi Junction Options: J-V

Simulation specific input

These set of inputs change based on the choice of simulation setup

Spectral input

- Sun Temperature: 5778K
- Device Temperature: 300K
- Solar Spectrum: AM1.5G
- Distance from sun (unit: 1e9 m): 150
- Cut-off energy (top filter): 100eV
- Albedo, R_g: 0
- Solar concentration factor: 1
- Device angle restriction factor: 1



Self-assessment

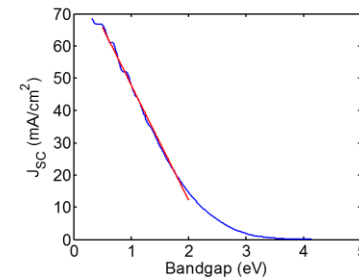
1. How does the average bandgap of tandem cell compare to that of a single junction solar cell?
2. How does the efficiency of a triple junction solar cell compare to that of a single junction solar cell? Hint. Efficiency ratio is given by $2N/(N+1)$.
3. What is the maximum short-circuit current achievable from AM1.5 illumination? Ans. 70 mA/cm^2 .
4. What is the maximum short circuit current for a 4-junction solar cell? Ans. $70/(N+1) = 14 \text{ mA/cm}^2$
5. For $R=0.3$, what is efficiency of a 4-junction bifacial solar cell?
6. If R_s increases by a factor of 5, how much does the critical concentration for a CPV decrease by?

Wait, Wait don't tell me ...

Maximum J_{sc} (in mA/cm^2) from AM1.5 spectrum is

- a) 7000 b) 700 c) 70 d) 7

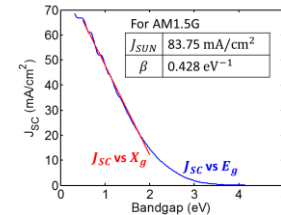
70 mA/cm^2



Wait, Wait don't tell me ...

Maximum J_{sc} for $E_g=1.7$ eV perovskite
under AM1.5 illumination is

- a) 100 b) 50 c) 20 d) 5



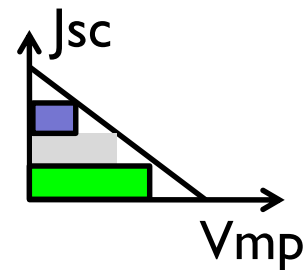
$$J_{sc} = 83.75(1 - 0.43E_g)$$
$$= 23 \text{ mA/cm}^2$$

Wait, Wait don't tell me ...

For a 3-junction tandem, maximum
 J_{sc} in mA/cm² is

- a) 100 b) 70 c) 17 d) 4

$$J_{sc} = J_{max} / (N + 1)$$



Wait, Wait don't tell me ...

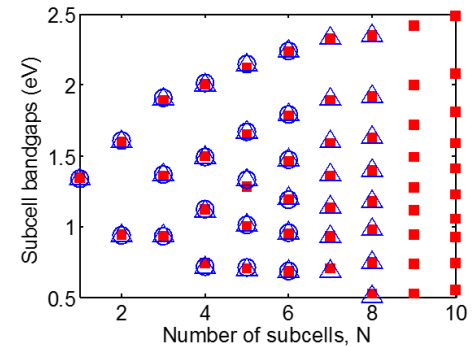
If $E_g = 1 \text{ eV}$, maximum V_{mp} is

- a) 1.0 b) 0.65 c) 0.45 d) 0.25

$$V_{oc} = 0.95 E_g - 0.3 \text{ V}$$

Wait, Wait don't tell me ...

In a N=3 tandem,
 $E_1 = 1.9\text{eV}$, $E_3 = 0.97$,
what is E_2 ?



- a) 2.5 b) 1.6 c) 1.3 d) 1.1

$$E_{g,ave} = E_{g,Sj} = 1.33$$

Wait, Wait don't tell me ...

In a N=3 tandem, What is Vmp?

- a) 4 b) 3 c) 2 d) 1

$$V_{mp}/N = 0.95 E_{avg} - 0.3 I$$

A Little Formula Sheet

SJ cell

$$J_{sc,SJ} = J_0(1 - \beta E_g) \quad (\text{AM1.5}, J_0 = 83.75, \beta = 0.428).$$

$$qV_{oc,SJ} = 0.95 \times E_g - 0.232$$

$$qV_{mp,SJ} = 0.95 \times E_g - 0.31 \quad E_{g,SJ}^{opt} \cong 2.55 kT_s$$

$$FF \sim (v_{oc}/v_{oc} + 4.7)$$

$$\eta_{T,SJ} = -26.45E_g^2 + 70.77E_g - 14.42 \quad (\text{AM1.5, empirical})$$

Tandem

$$J_{sc}(N) = \frac{2}{N+1} J_{sc}(\langle E_g \rangle)$$

$$qV_{mp}/N = \langle E_g \rangle \left(1 - \frac{T_D}{\langle E_g \rangle} \frac{E_{g,max}}{T_s} \right) - k_B T_D \ln \frac{\theta_D}{\theta_s}$$

$$E_{g,max} = \frac{N-1}{\beta N} + \frac{\beta(1+R)E_0 - R}{\beta \times N}$$

Module

$$(T - T_a) = P/h = 1000(1 - \eta - R)/h$$