

NCN Summer School: Nanoscale Transistors: July, 2012

# Lecture 9: Scattering and Transmission

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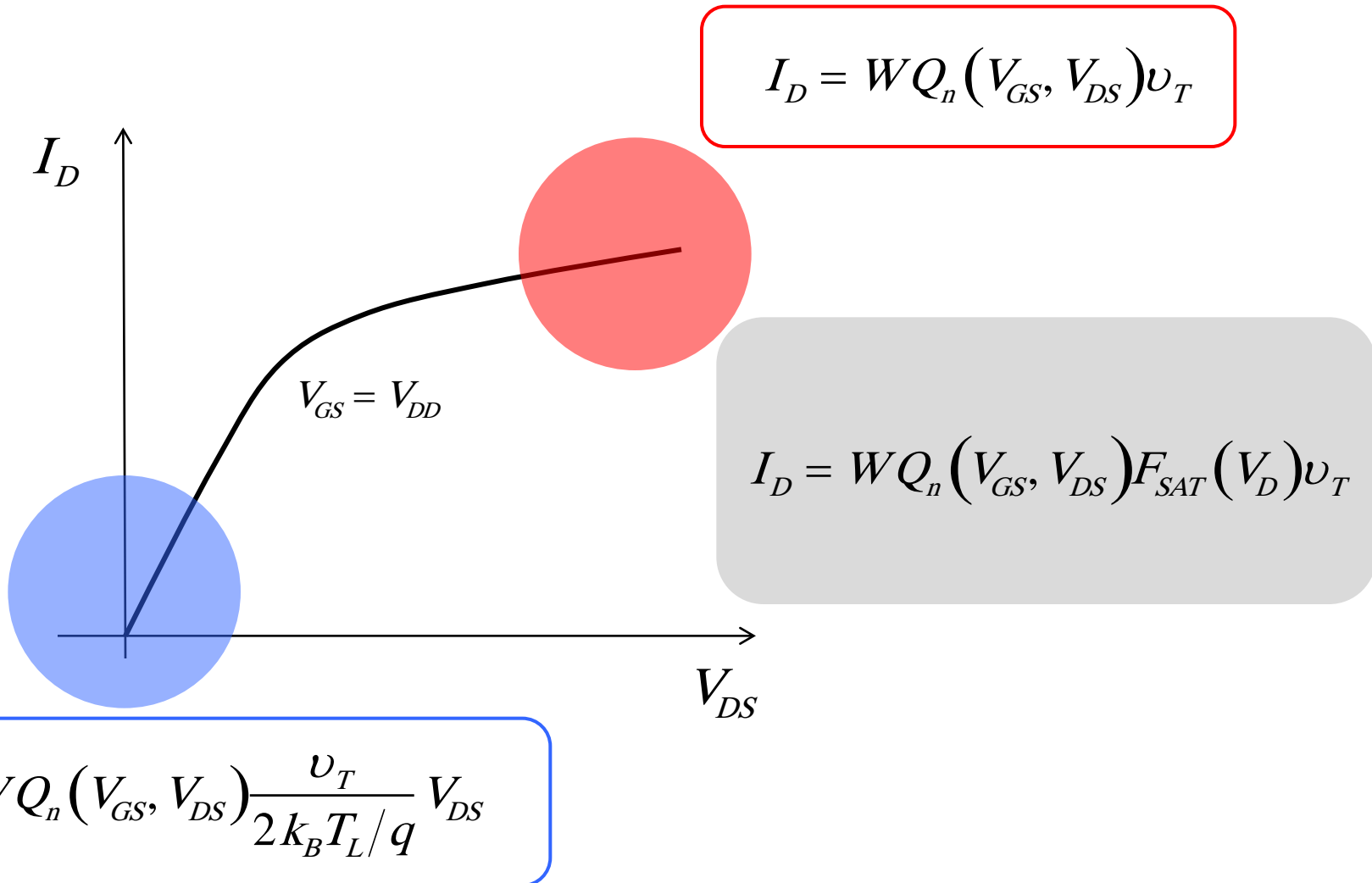
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Lundstrom 7.2012

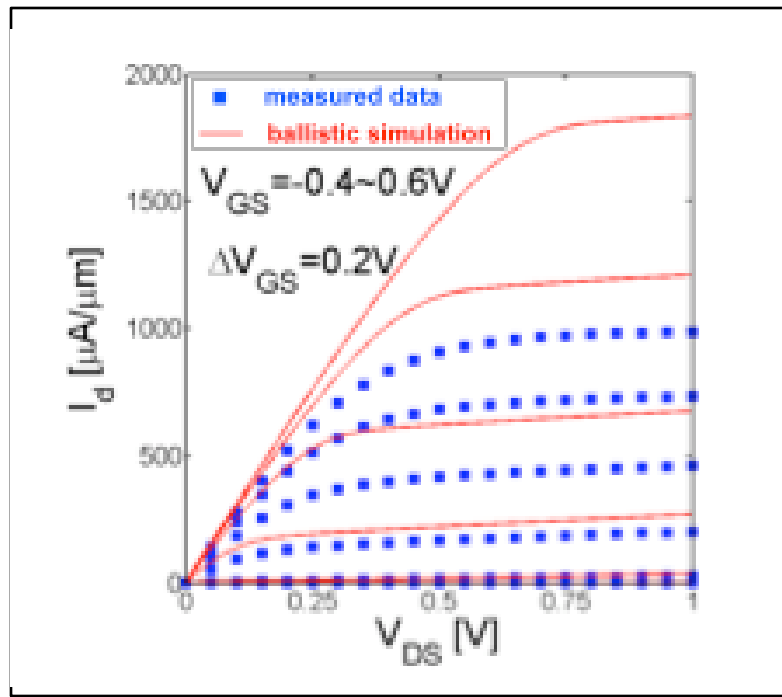


# ballistic MOSFET (MB)



# ballistic vs. real MOSFETs

$$L_G = 40 \text{ nm}$$

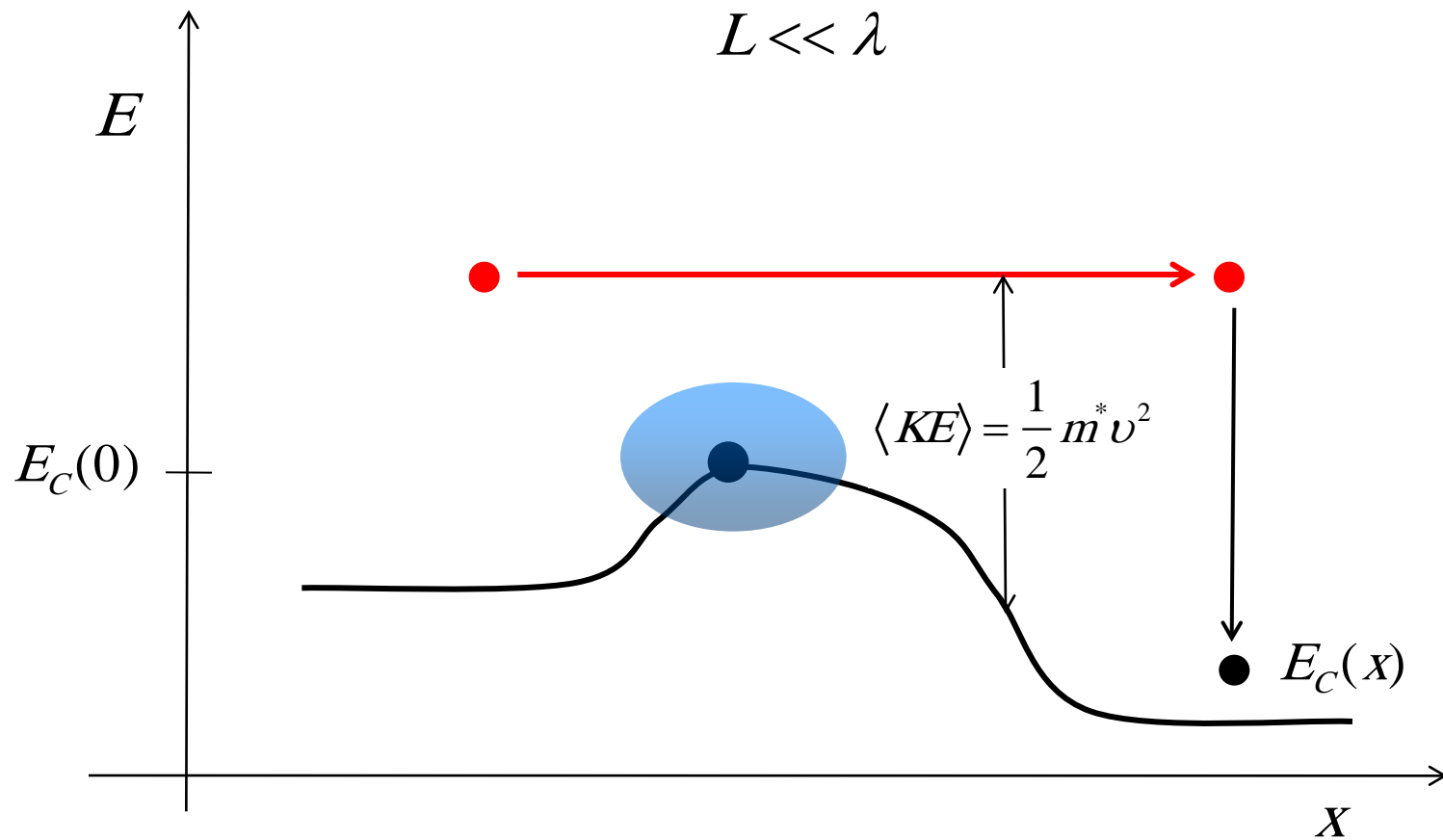


- Si MOSFETs deliver > one-half of the ballistic on-current. (Similar for the past 15 years.)
- MOSFETs operate closer to the ballistic limit under high  $V_{DS}$ .
- But III-V FETs operate close to the ballistic limit.

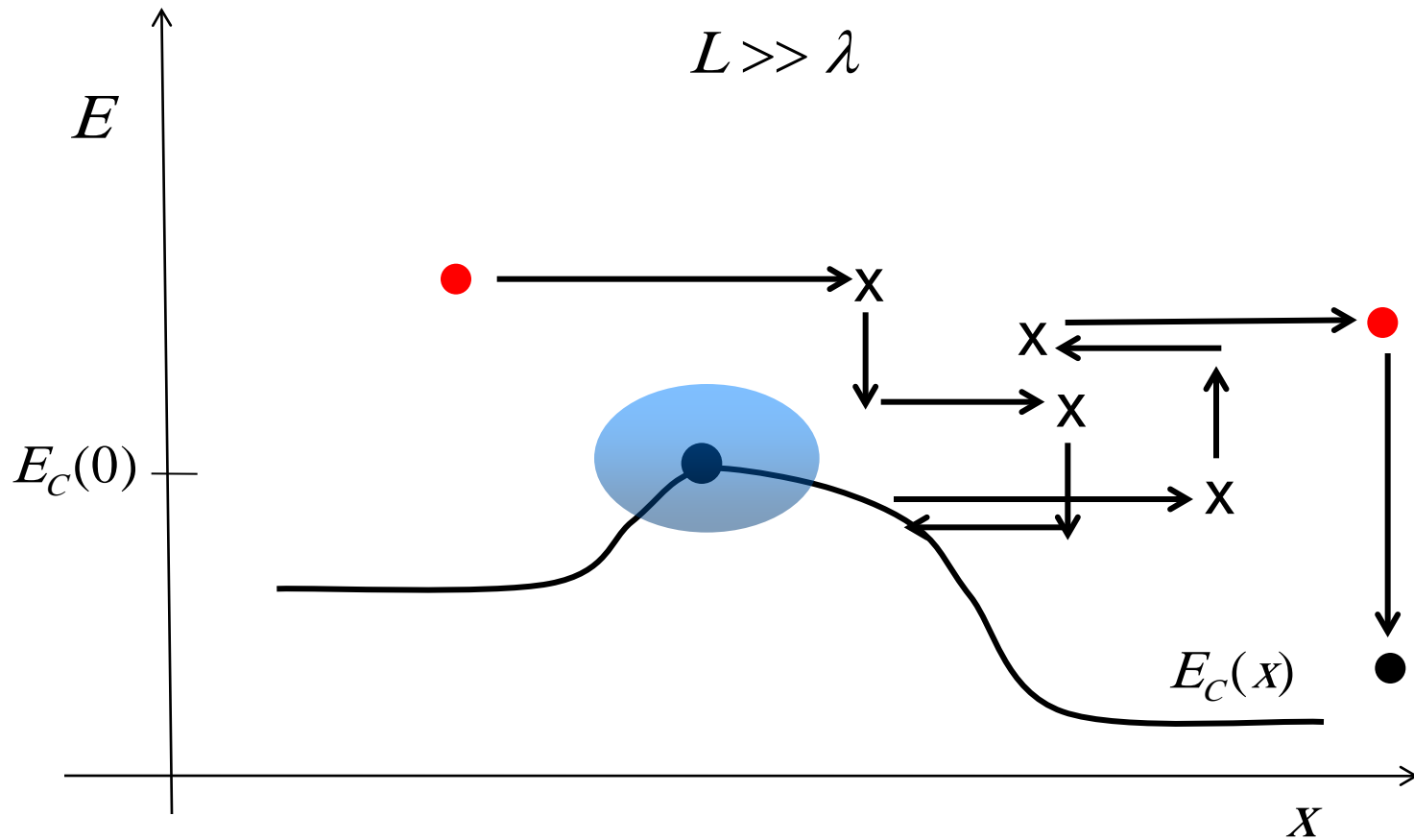
A. Majumdar, Z. B. Ren, S. J. Koester, and W. Haensch, "Undoped-Body Extremely Thin SOI MOSFETs With Back Gates," *IEEE Transactions on Electron Devices*, **56**, pp. 2270-2276, 2009.

3 Device characterization and simulation: Himadri Pal and Yang Liu, Purdue, 2010.

# review: ballistic transport in a MOSFET

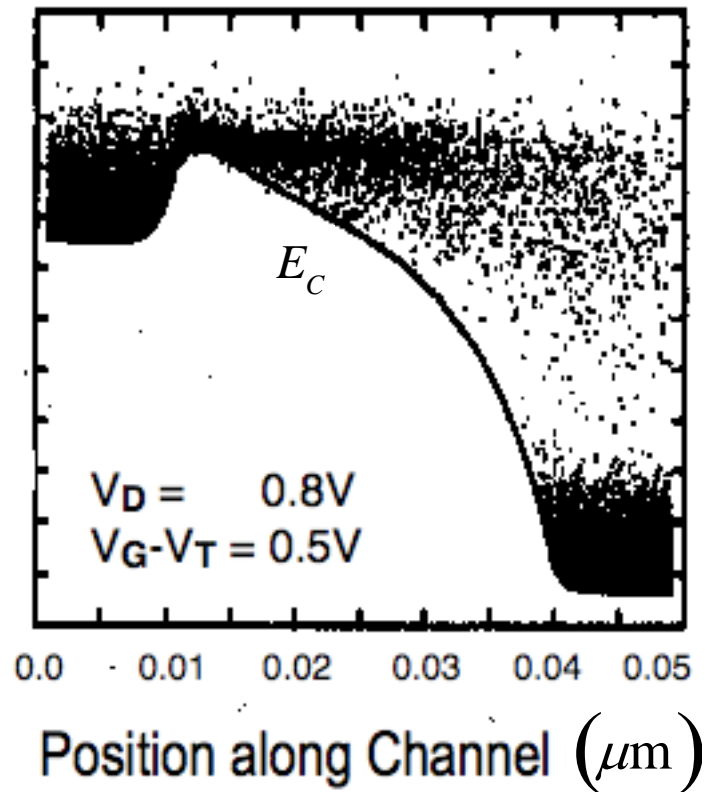


# review: diffusive transport in a MOSFET



# non-local, quasi-ballistic transport

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D. Frank, S. Laux, and M. Fischetti, Int. Electron Dev. Mtg., Dec., 1992.

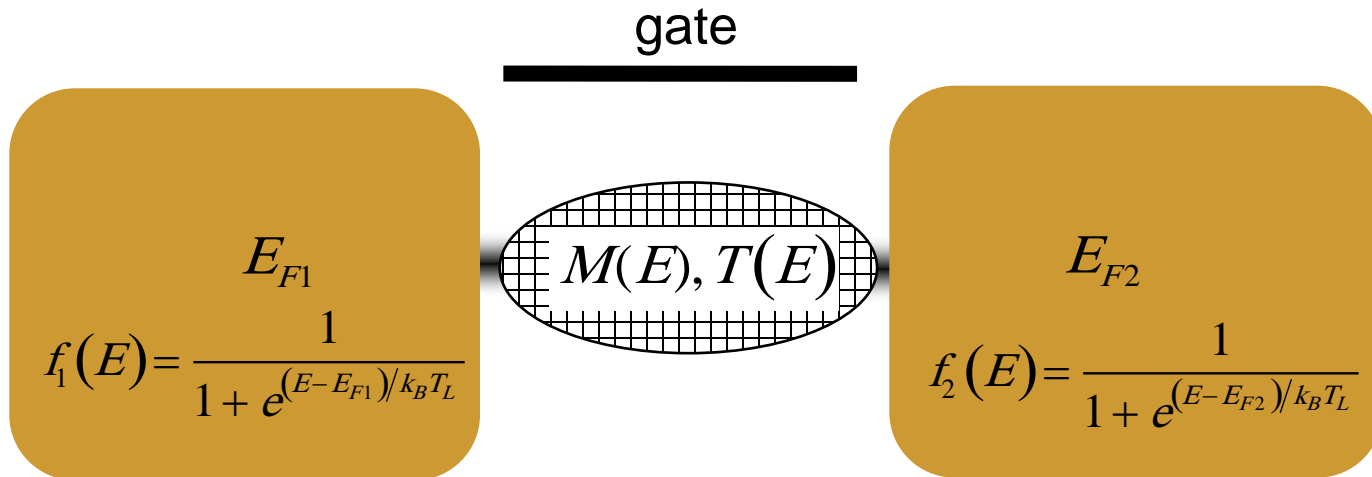
# nanoscale MOSFETs

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Nanoscale MOSFETs are neither fully ballistic nor fully diffusive; they operate in a “quasi-ballistic” regime.

How do we ***understand*** how carrier scattering affects the performance of a nanoscale MOSFET?

# transmission



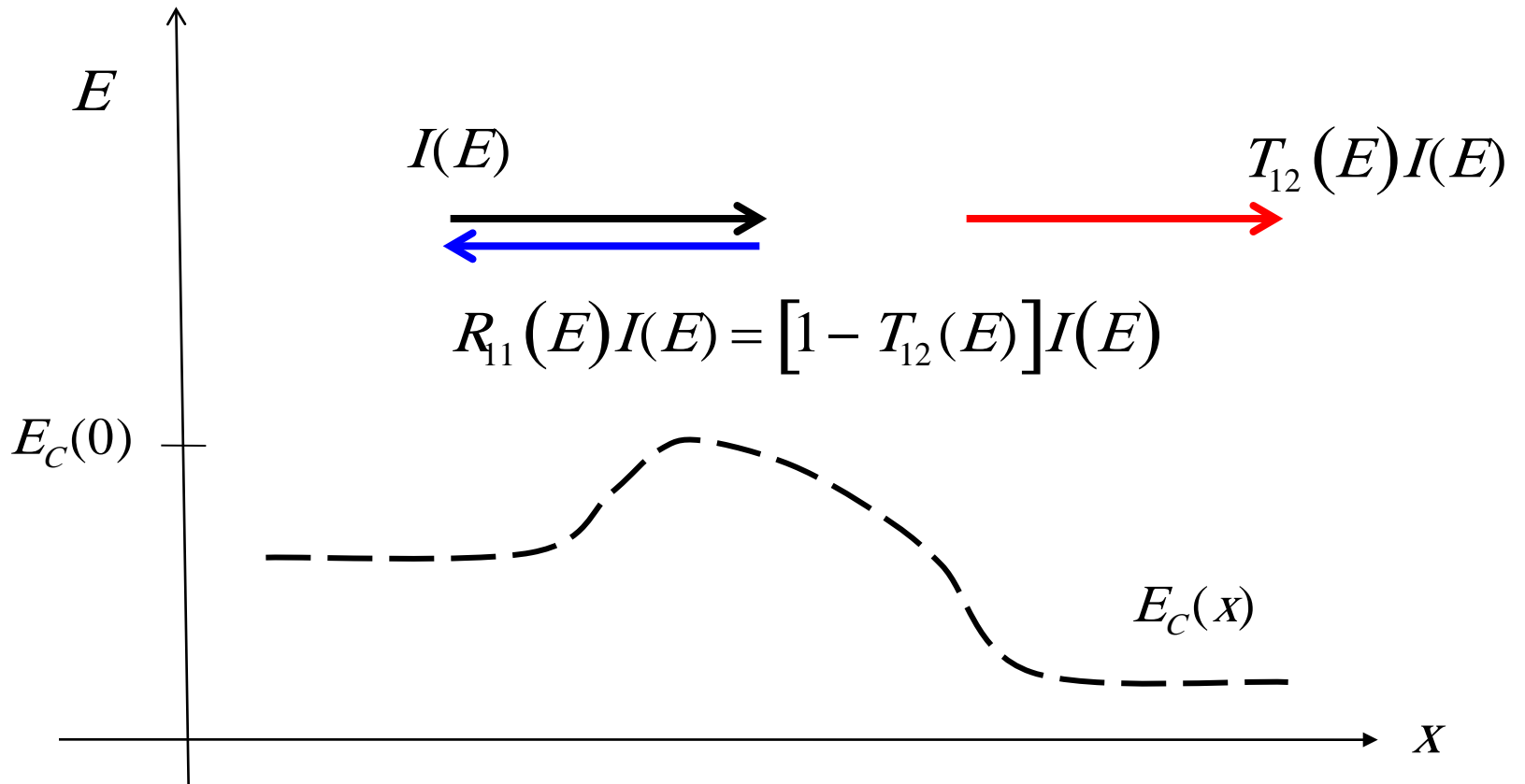
$$I = \frac{2q}{h} \int T(E) M(E) (f_1 - f_2) dE$$

ballistic transport:  $T(E) = 1$



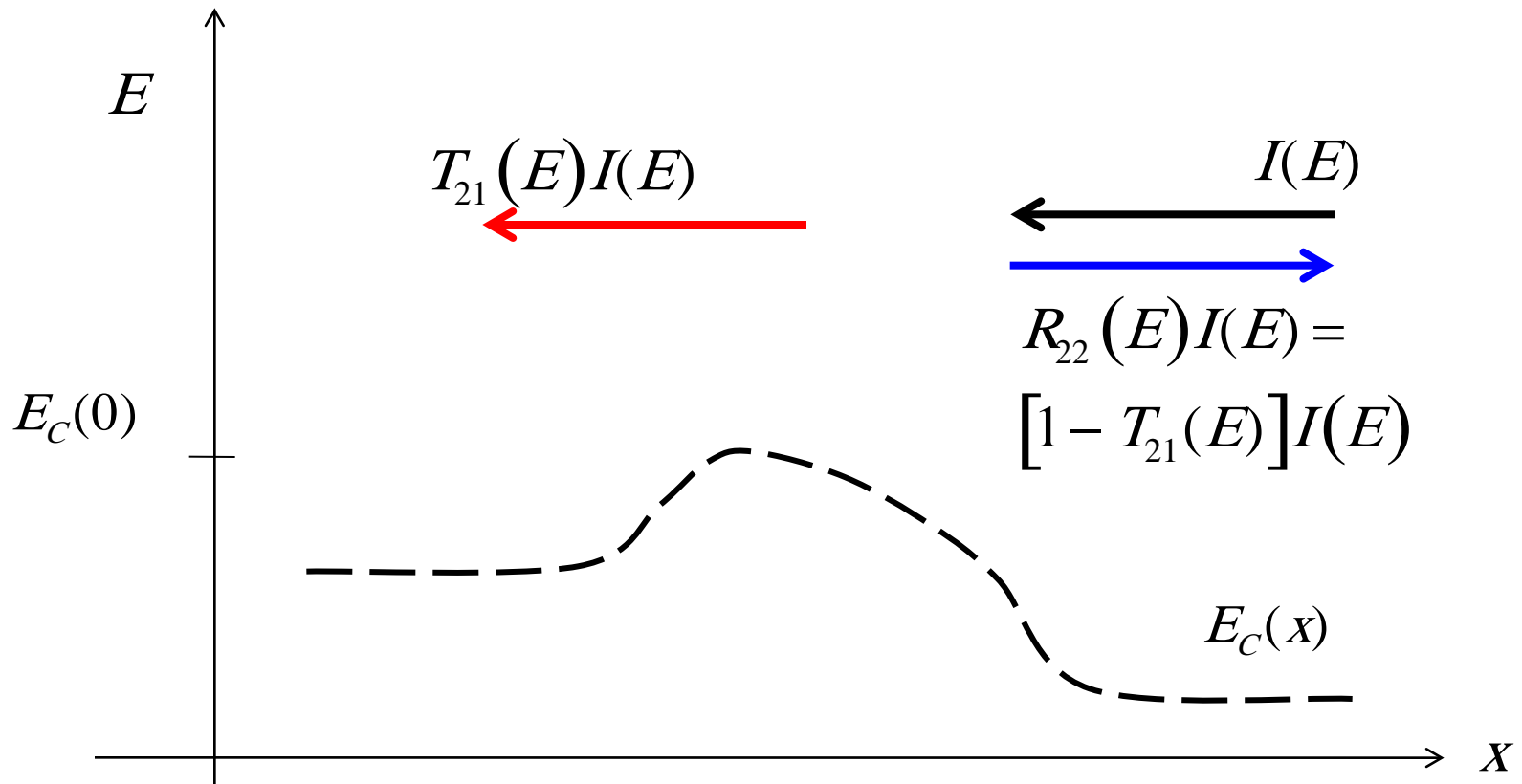
# current transmission in a MOSFET

*elastic scattering....*



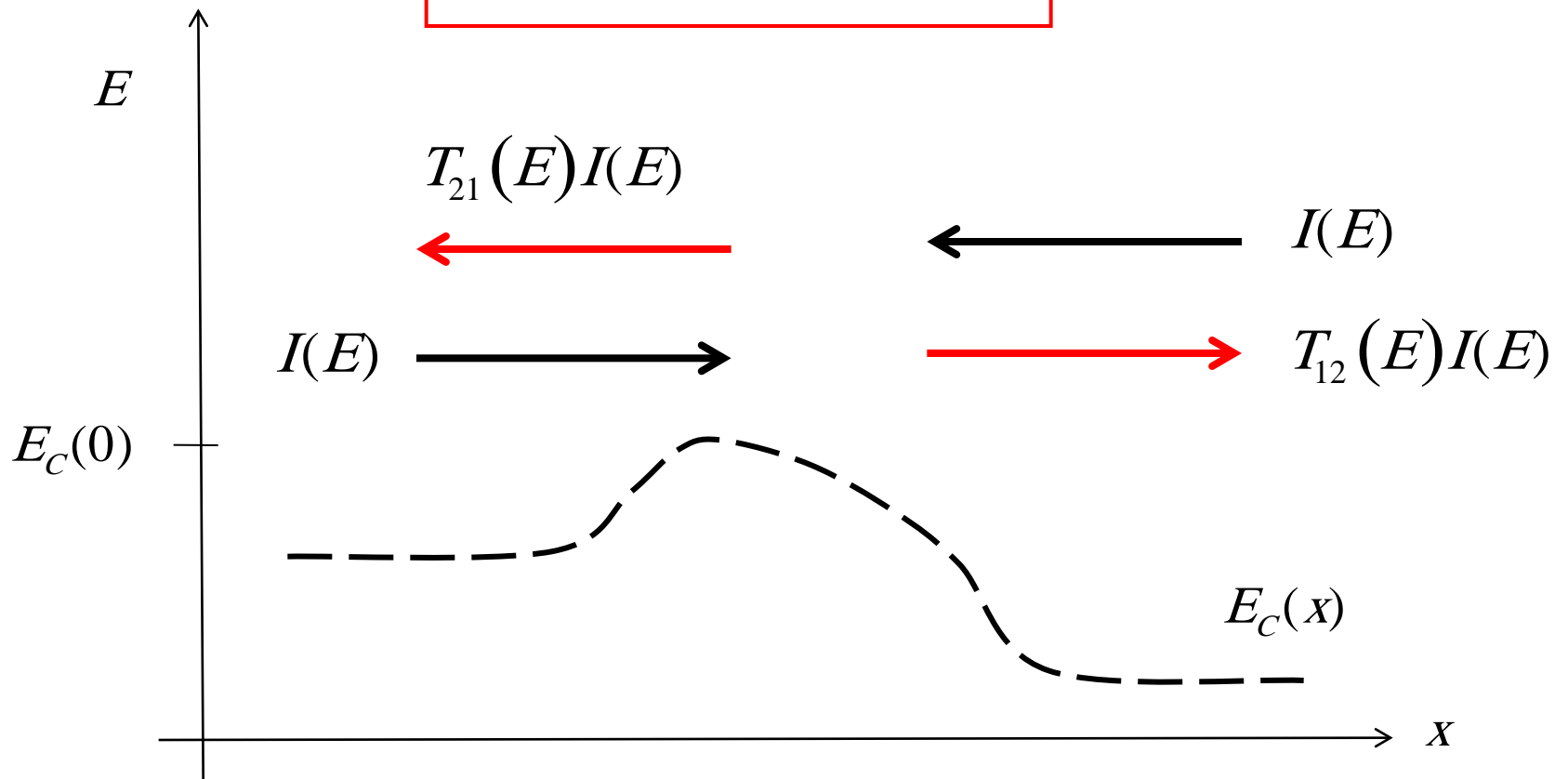
# current transmission in a MOSFET

*elastic scattering....*

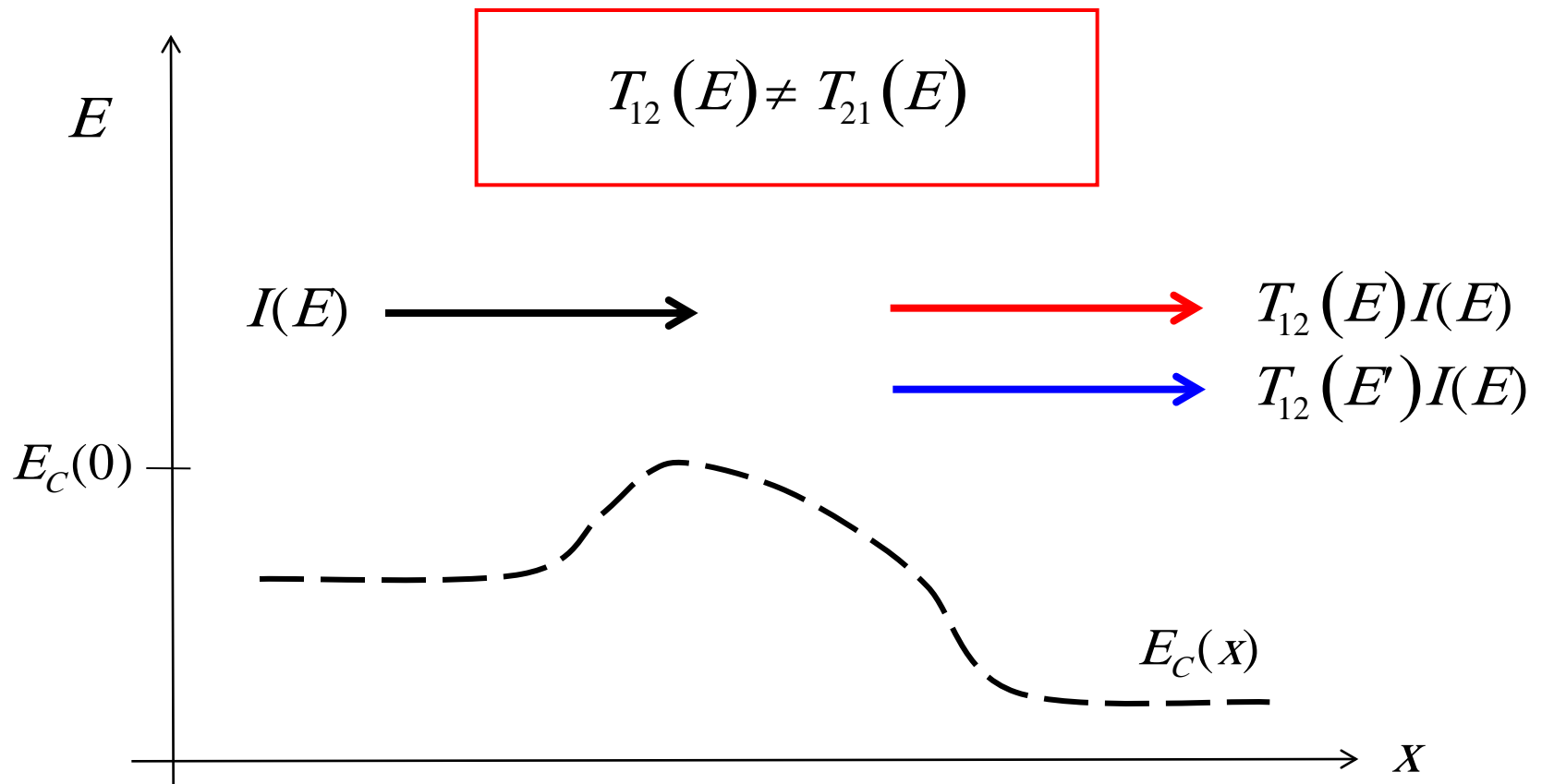


# transmission in the presence of **elastic** scattering

$$T_{12}(E) = T_{21}(E) = T(E)$$



# inelastic scattering



S. Datta, *Electronic Transport in Mesoscopic Systems*,  
Cambridge, 1995.

# MFP and transmission

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$$\Lambda(E) = v(E)\tau(E)$$

$$T(\Lambda(E))$$

# characteristic times

1) single particle lifetime,  $\tau$  :

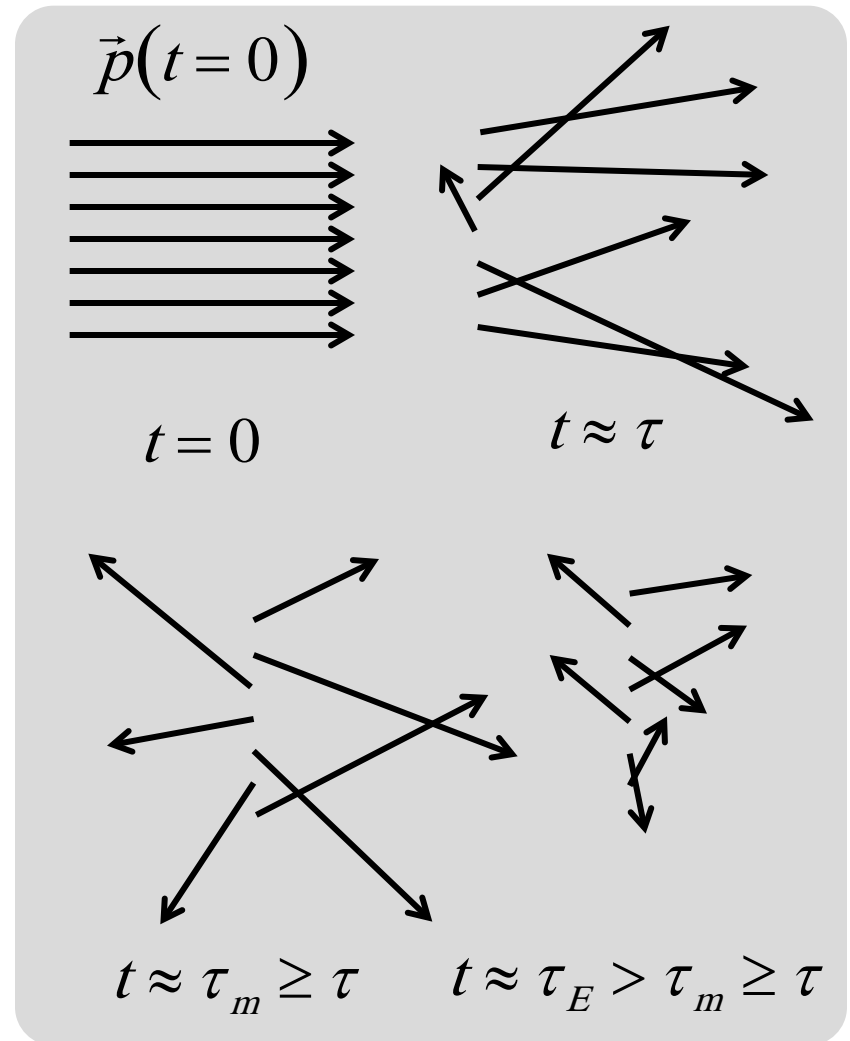
$$\tau(\vec{p})$$

2) momentum relaxation time,  $\tau_m$  :

$$\tau_m(\vec{p})$$

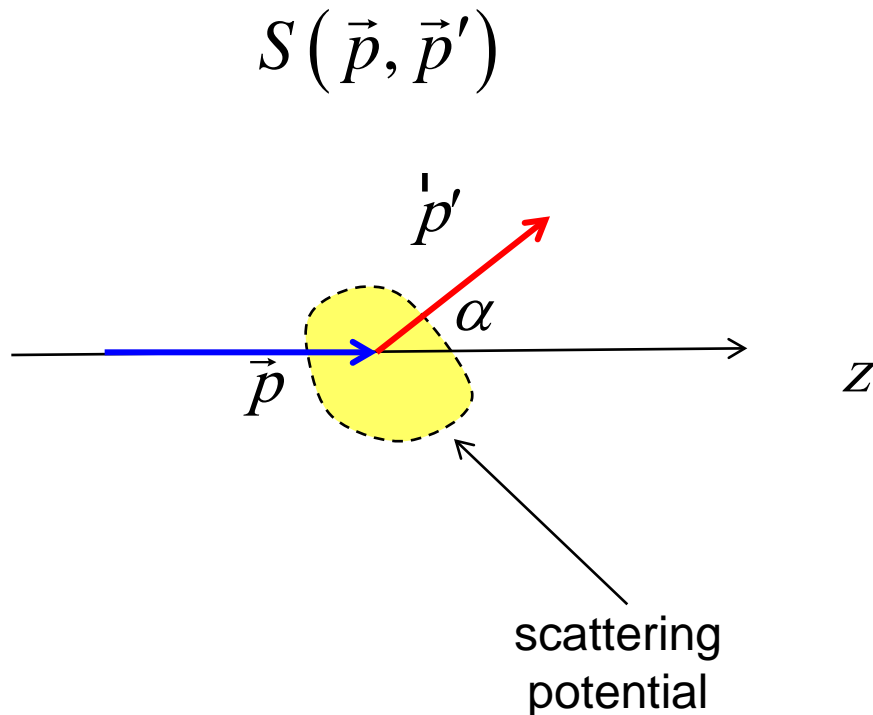
3) energy relaxation time,  $\tau_E$  :

$$\tau_E(\vec{p})$$



# transition rate and characteristic times

Transition rate from  $p$  to  $p'$   
(probability per second)

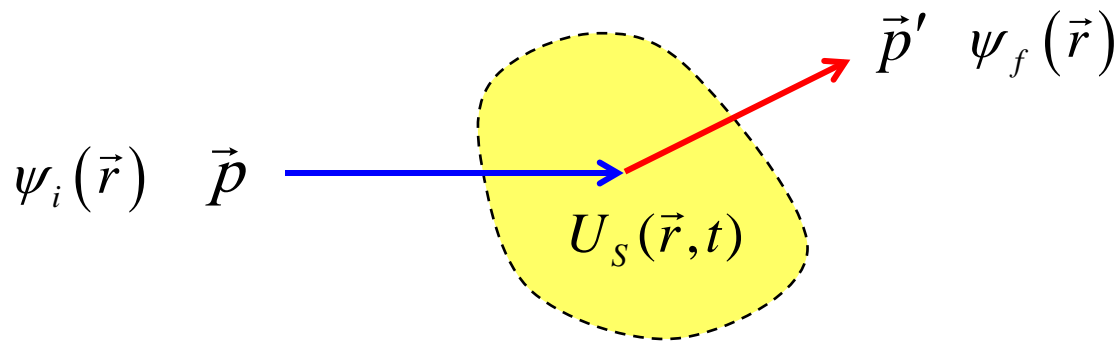


$$\frac{1}{\tau(\vec{p})} = \sum_{\vec{p}', \uparrow} S(\vec{p}, \vec{p}')$$

$$\frac{1}{\tau_m(\vec{p})} = \sum_{\vec{p}', \uparrow} S(\vec{p}, \vec{p}') \frac{\Delta p_z}{p_z}$$

$$\frac{1}{\tau_E(\vec{p})} = \sum_{\vec{p}', \uparrow} S(\vec{p}, \vec{p}') \frac{\Delta E}{E_0}$$

# Fermi's Golden Rule



$$S(\vec{p}, \vec{p}') = \frac{2\pi}{\hbar} |H_{p'p}|^2 \delta(E' - E - \Delta E) \quad H_{\vec{p}', \vec{p}} = \int_{-\infty}^{+\infty} \psi_f^* U_s(\vec{r}) \psi_i d\vec{r}$$

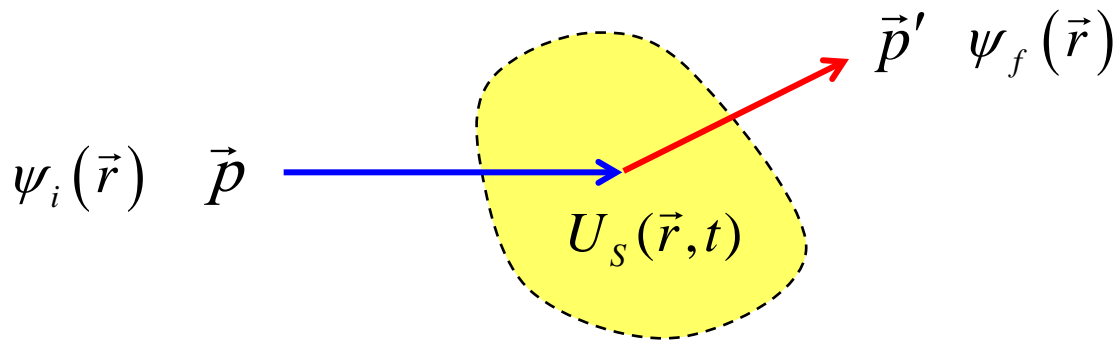
$$E' = E + \Delta E$$

$$\Delta E = 0 \text{ for a static } U_s$$

$$\Delta E = \pm \hbar\omega \text{ for an oscillating } U_s$$



# scattering and DOS



The number of ways that an incident electron at energy,  $E$ , can scatter is expected to be proportional to the density of final states that conserve energy and momentum.

1) elastic scattering

$$\frac{1}{\tau(E)} \propto D_f(E)$$

2) phonon absorption

$$\frac{1}{\tau(E)} \propto D_f(E + \hbar\omega)$$

3) phonon emission

$$\frac{1}{\tau(E)} \propto D_f(E - \hbar\omega)$$

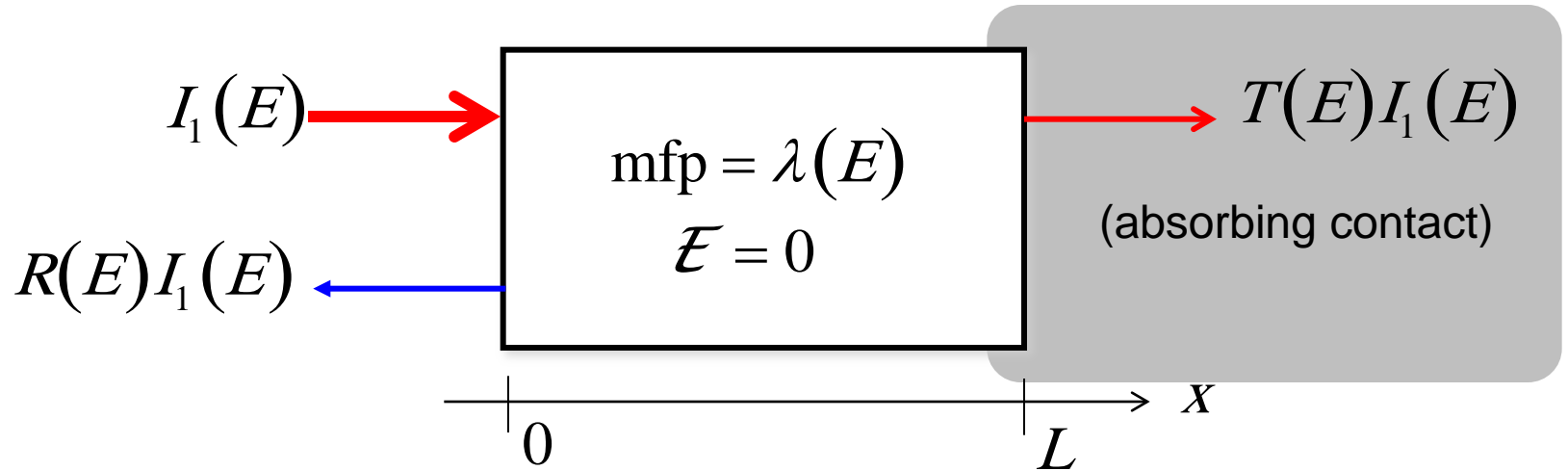
# MFP and transmission

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$$\Lambda(E) = v(E)\tau(E)$$

$$T(\Lambda(E))$$

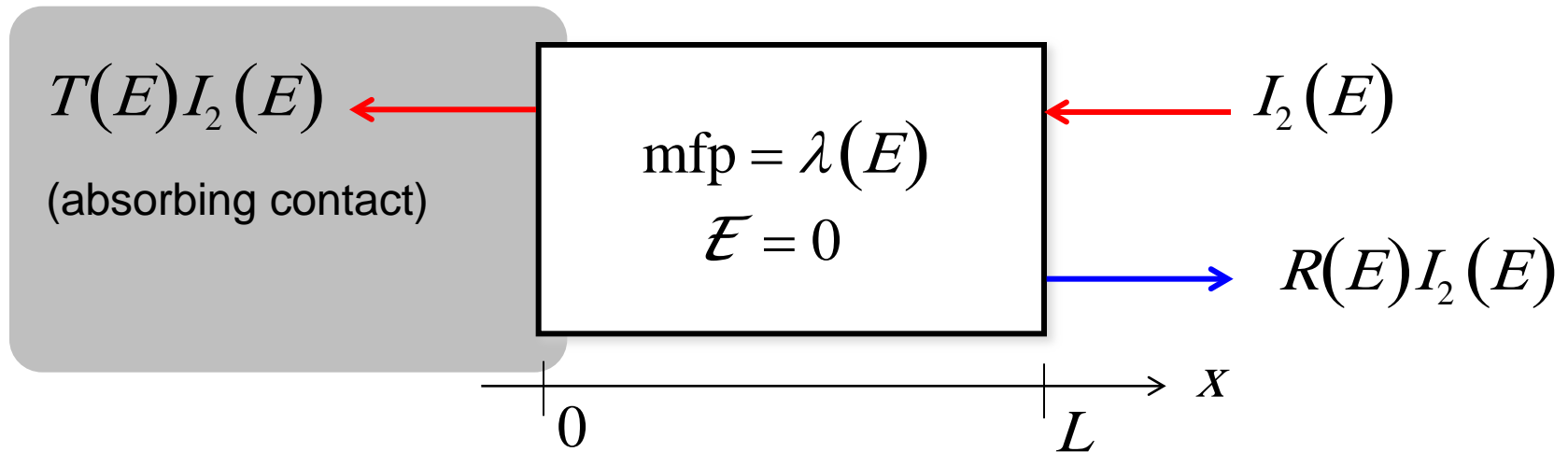
# transmission across a field-free slab



Consider a flux of carriers injected from the left into a field-free slab of length,  $L$ . The flux that emerges at  $x = L$  is  $T$  times the incident flux, where  $0 < T < 1$ . The flux that emerges from  $x = 0$  is  $R$  times the incident flux, where  $T + R = 1$ , assuming no carrier recombination-generation.

How is  $T$  related to the mean-free-path for **backscattering** within the slab?

# injection from the right

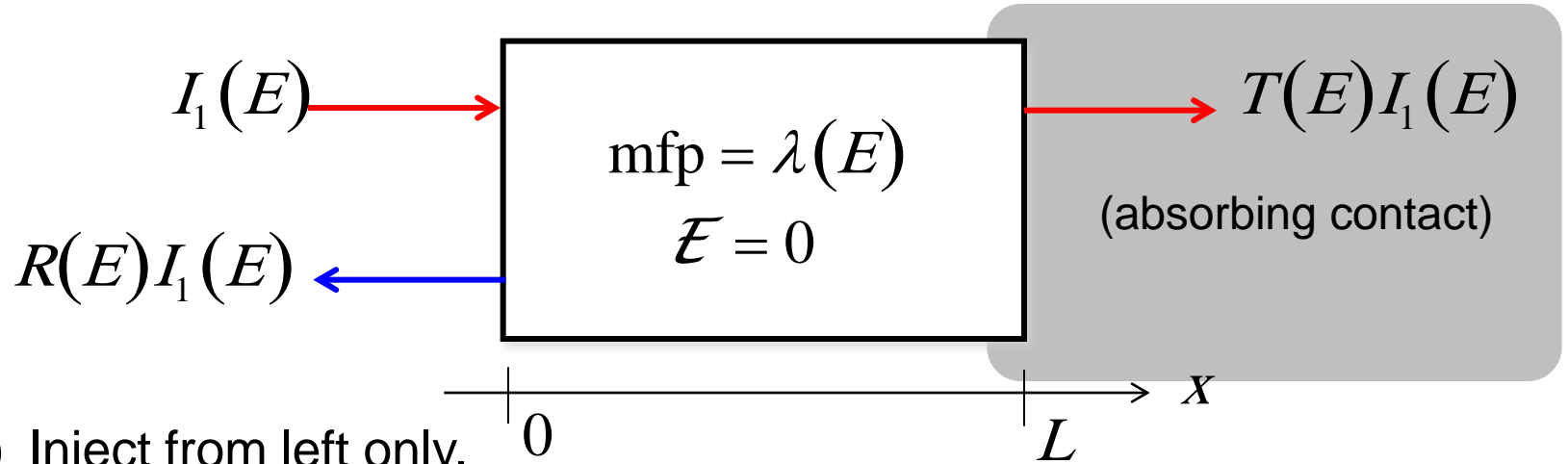


In general, there *could* be injection from both the left and the right contacts.

For elastic scattering:  $T_{12}(E) = T_{21}(E) = T(E)$

Near equilibrium:  $T_{12}(E) \approx T_{21}(E) \approx T(E)$  (no built-in fields)

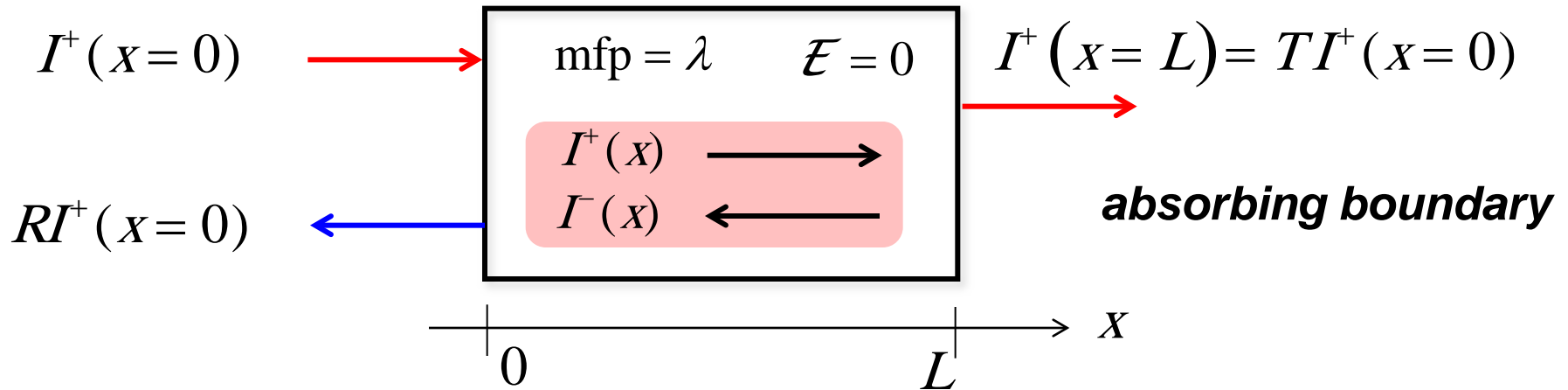
# problem specification



- 1) Inject from left only.
- 2) Ignore “vertical transport” (elastic scattering or near-equilibrium), so  $T_{12}(E) = T_{21}(E) = T(E)$ .

Then relate  $T$  to the mean-free-path for backscattering within the slab. (No assumption about whether the slab length,  $L$ , is long or short compared to the mfp, but we **do assume** that the mean-free-path is not position-dependent.)

# transmission



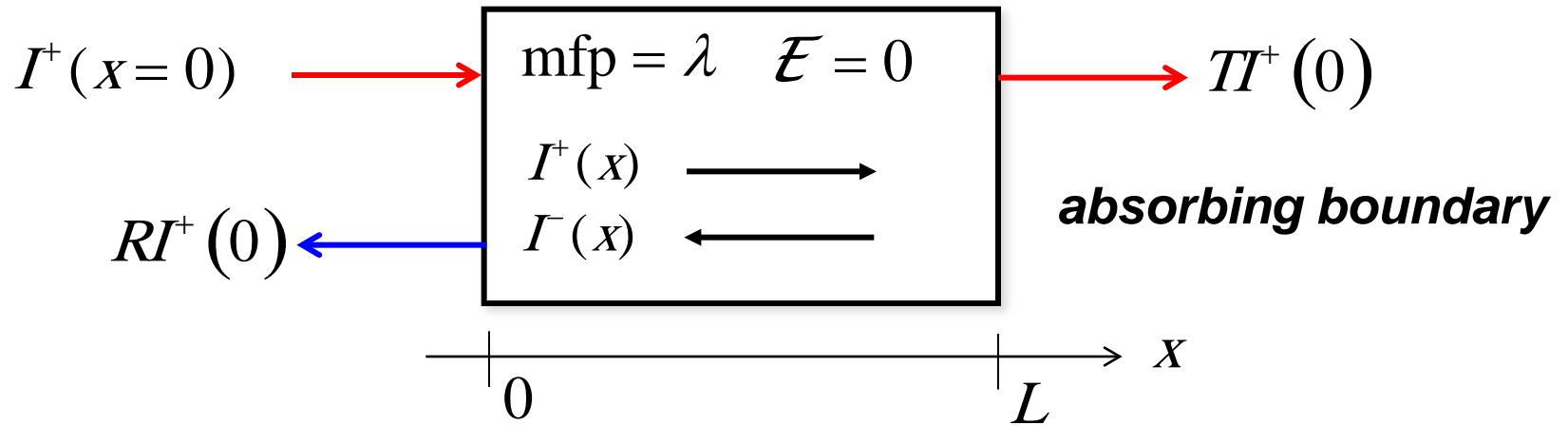
$$\frac{dI^+(x)}{dx} = -\frac{I^+(x)}{\lambda} + \frac{I^-(x)}{\lambda}$$

$$I = I^+(x) - I^-(x) \quad (\text{constant})$$

$$I^-(x) = I^+(x) - I$$

$$\frac{dI^+(x)}{dx} = -\frac{I}{\lambda}$$

## transmission (ii)

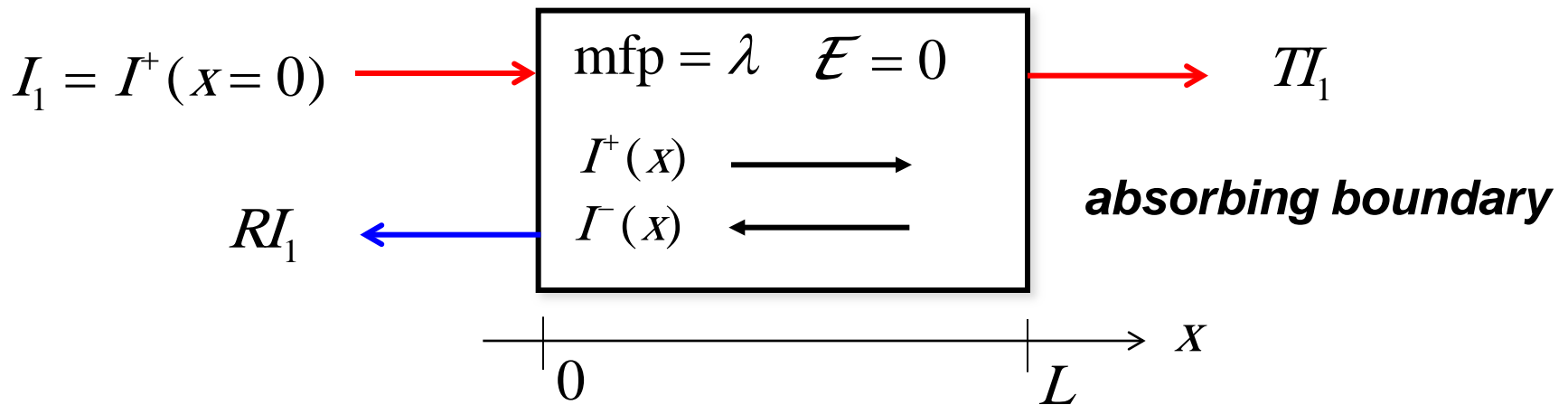


$$\frac{dI^+(x)}{dx} = -\frac{I}{\lambda}$$

$$\int_{I^+(0)}^{I^+(x)} dI^+ = -\frac{I}{\lambda} \int_0^x dx'$$

$$I^+(x) = I^+(0) - I \frac{x}{\lambda}$$

# transmission (iii)



$$I^+(x) = I^+(0) - I^+ \frac{x}{\lambda}$$

$$I^+(x) = I^+(0) - \left( I^+(x) - I^-(x) \right) \frac{x}{\lambda}$$

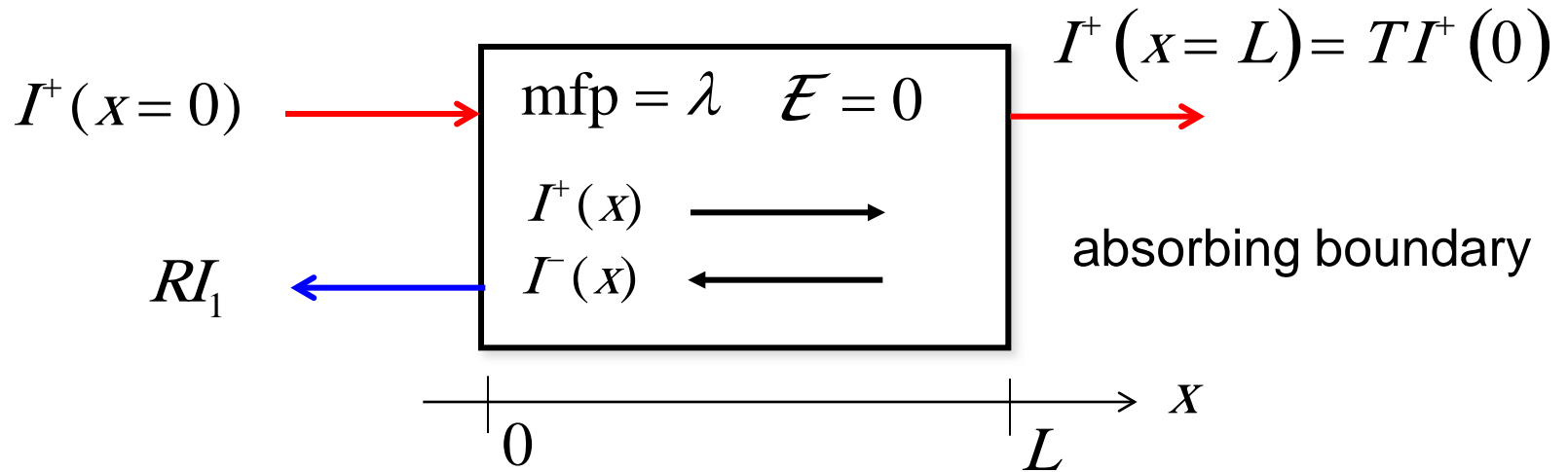
$$I^+(L) = I^+(0) - \left( I^+(L) - I^-(L) \right) \frac{L}{\lambda}$$

$$I^-(L) = 0$$

$$I^+(L) = I^+(0) - I^+(L) \frac{L}{\lambda}$$



# transmission (iv)



$$I^+(L) = I^+(0) - I^+(L) \frac{L}{\lambda}$$

$$I^+(L) = \frac{I^+(0)}{1 + L/\lambda}$$

$$\frac{I^+(L)}{I^+(0)} = T = \frac{\lambda}{\lambda + L}$$

$$T(E) = \frac{\lambda(E)}{\lambda(E) + L} \quad T(E) + R(E) = 1$$

$$T \rightarrow 0 \quad L \gg \lambda$$

$$T \rightarrow 1 \quad L \ll \lambda$$

# MFP and transmission

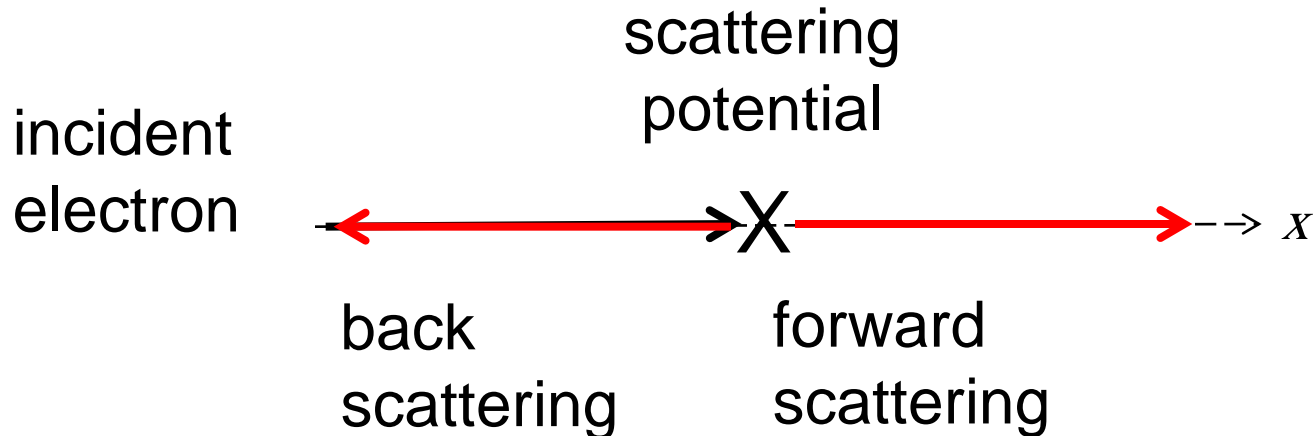
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$$\Lambda(E) = v(E)\tau(E)$$

$$T(\Lambda(E))$$

$\Lambda(E)$  vs.  $\lambda(E)$ ?

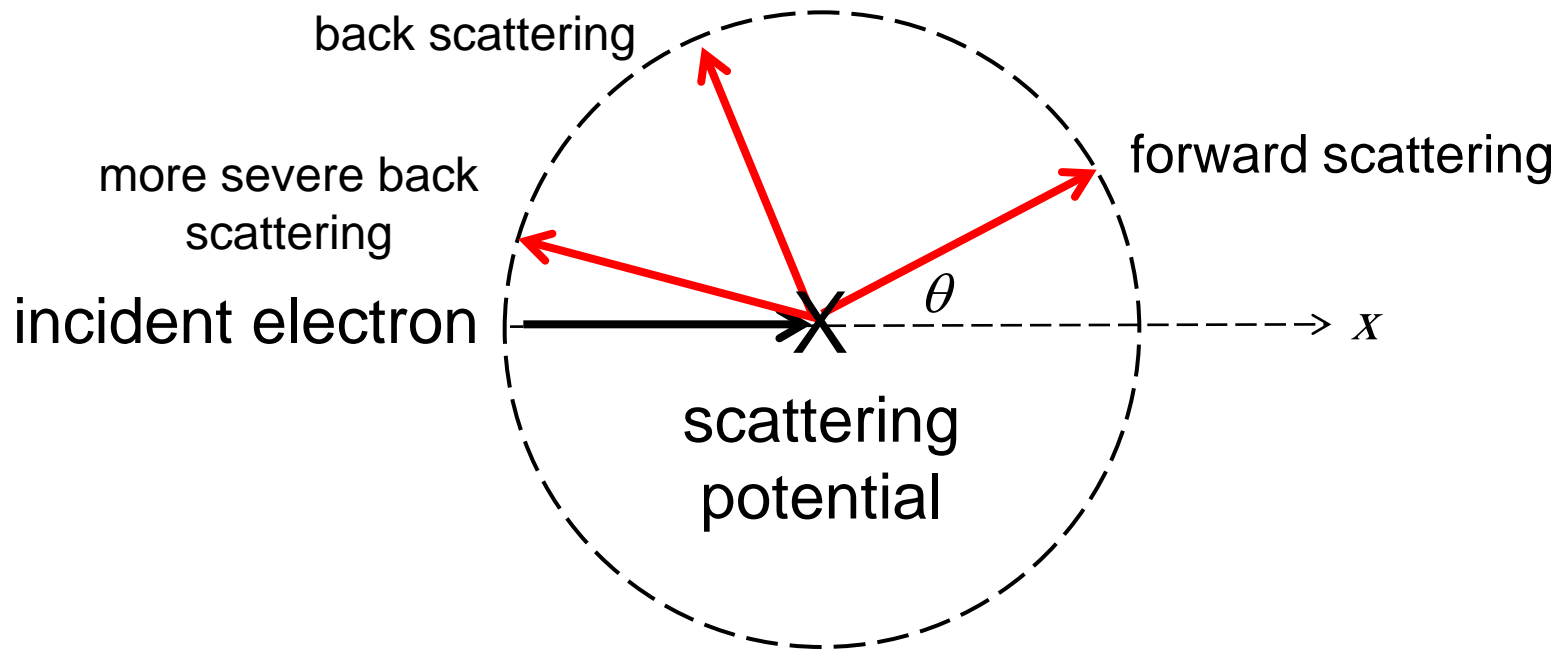
# backscattering in 1D



If we assume that the scattering is **isotropic** (equal probability of scattering forward or back) then average time between backscattering events is  $2\tau_m$ .

$$\lambda(E) = 2v(E)\tau_m(E) = 2\Lambda(E)$$

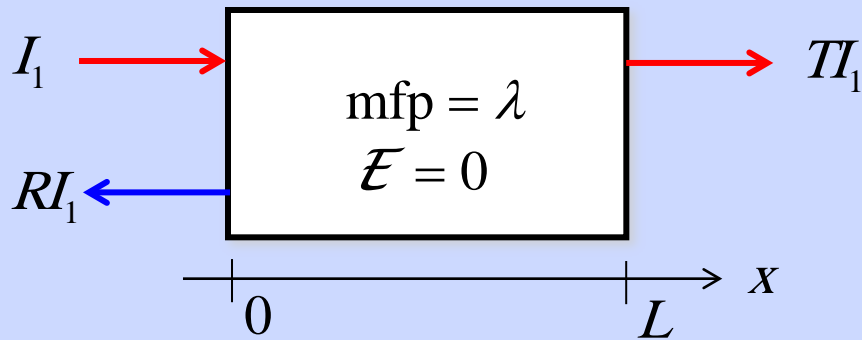
# backscattering in 2D



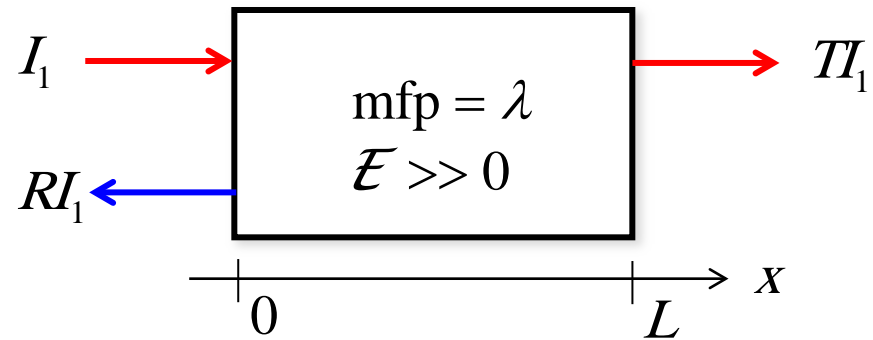
If we assume that the scattering is ***isotropic***:

$$\lambda(E) = \frac{\pi}{2} \nu(E) \tau_m(E)$$

# transmission across a slab with an electric field



$$T(E) = \frac{\lambda(E)}{\lambda(E) + L}$$

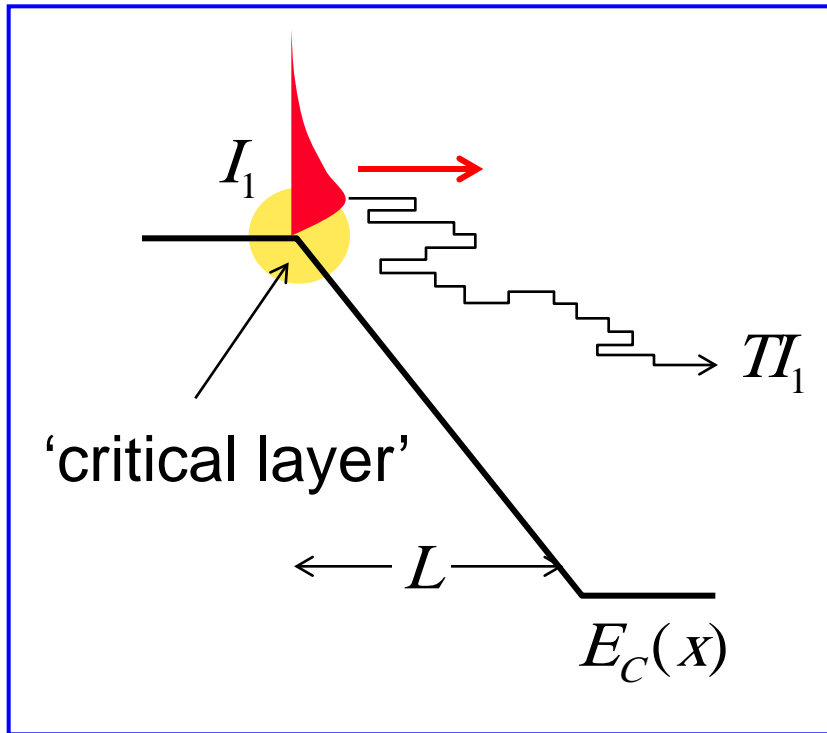


$$T(E) = ?$$

This turns out to be a difficult problem.

How can we understand the essential physics?

# transport “downhill”



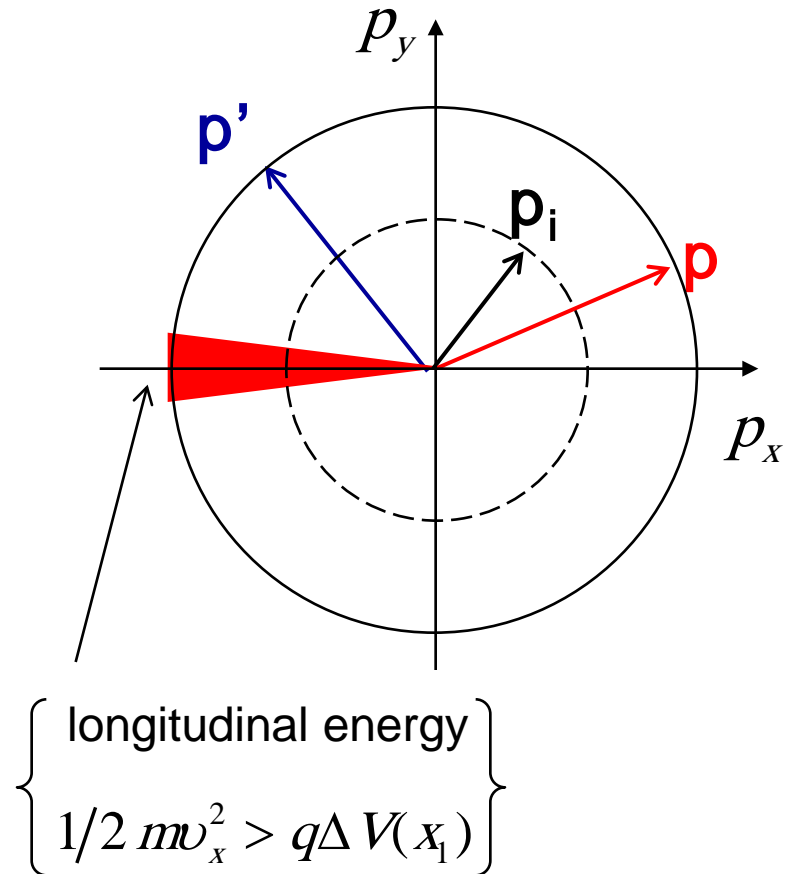
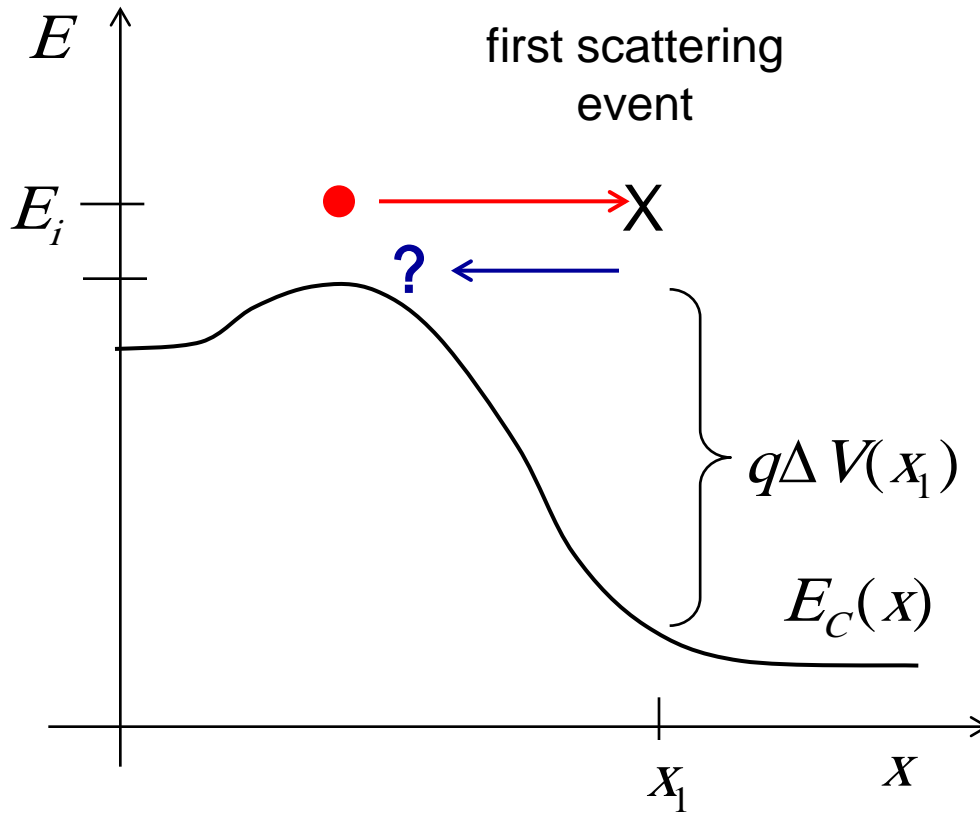
$$\ell \ll L$$

$$T \approx 1:$$

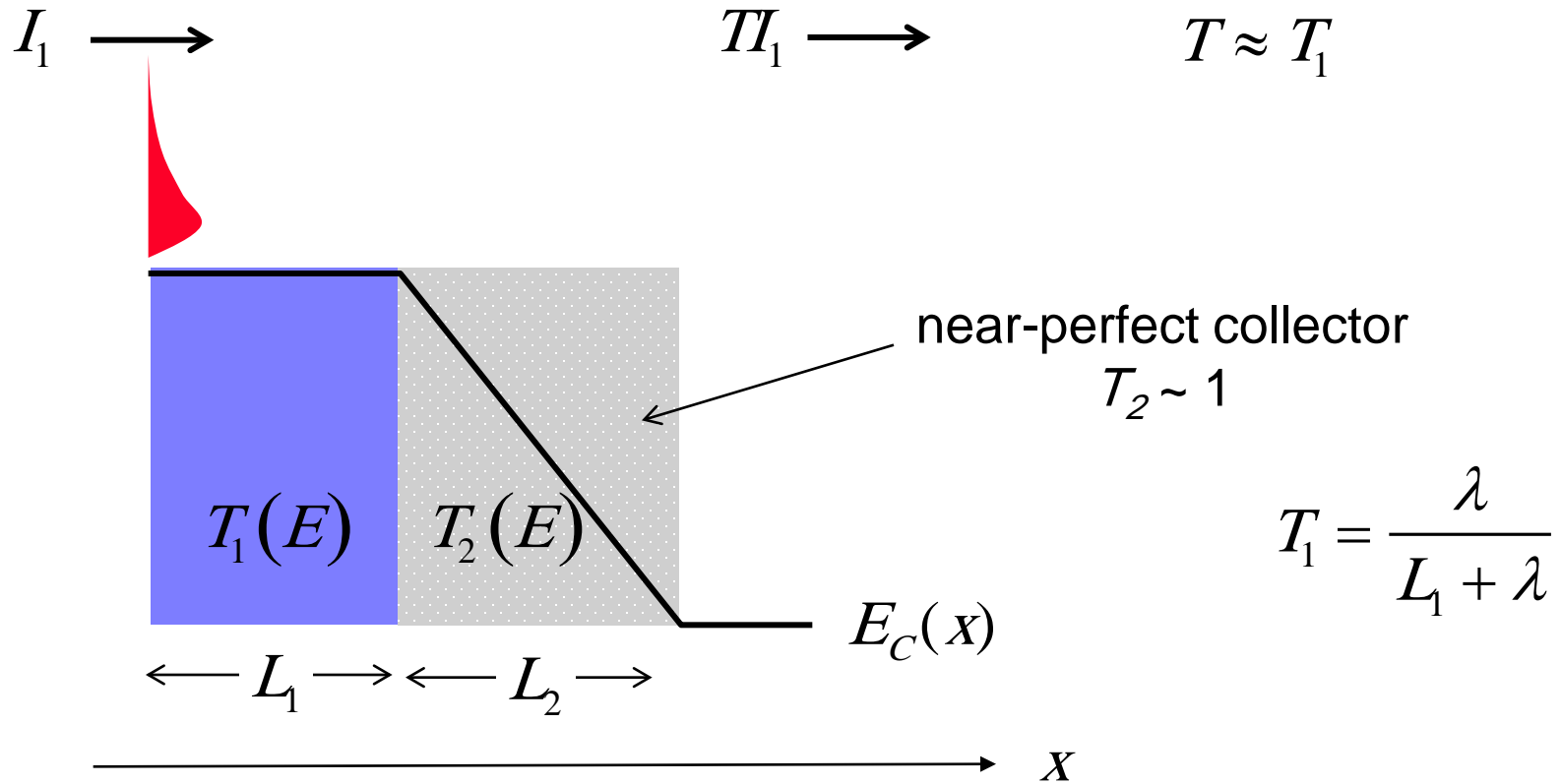
High field regions are good carrier collectors.

Peter J, Price, “Monte Carlo calculation of electron transport in solids,”  
*Semiconductors and Semimetals*, **14**, pp.  
249-334, 1979

# physics of elastic back-scattering



# field-free region followed by high-field region



**Transmission is controlled by the low-field region.**



## wrap-up

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1) Transmission is related to the MFP for backscattering

$$T = \frac{\lambda}{L + \lambda}$$

2) Ballistic transport:  $L \ll \lambda$   $T \rightarrow 1$

3) Diffusive transport:  $L \gg \lambda$   $T \rightarrow \frac{\lambda}{L} \ll 1$

4) High-field regions are good collectors (  $T \sim 1$  )

# references

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For a more in-depth treatment of carrier scattering, see:

M.S. Lundstrom, ECE-656: “Carrier Transport in Semiconductors,” Lectures 19-28, Fall, 2011. <https://nanohub.org/resources/11872>.

Mark Lundstrom, *Fundamentals of Carrier Transport*, Chapter 2, Cambridge Univ. Press, 2000.