
Nanometer Scale Patterning and Processing

Spring 2016

Lecture 7

Optical Lithography – Lithography System

Importance of Lithography

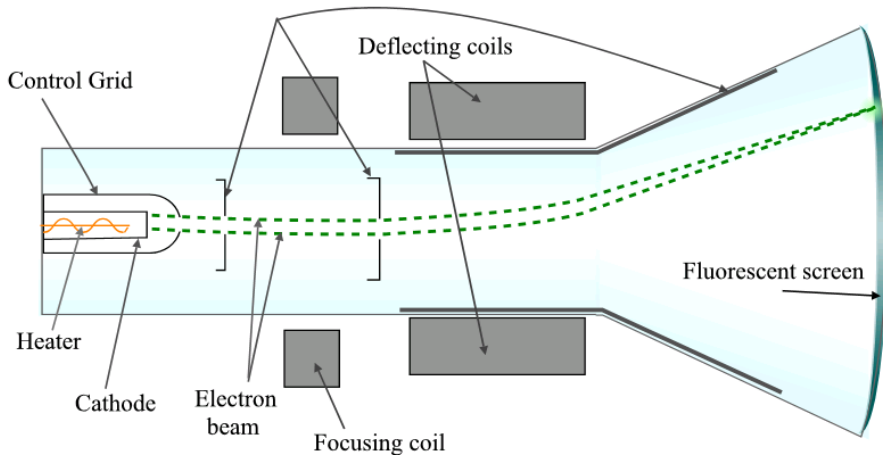
- It is the most critical process in the Integrated Circuit manufacturing
 - It **defines** technology generation
 - It determines the **throughput**
- *It encodes information, or human engineering effort, to the substrate*
- It will play an important role in the emerging nanotechnology

Two Aspects of Lithography:

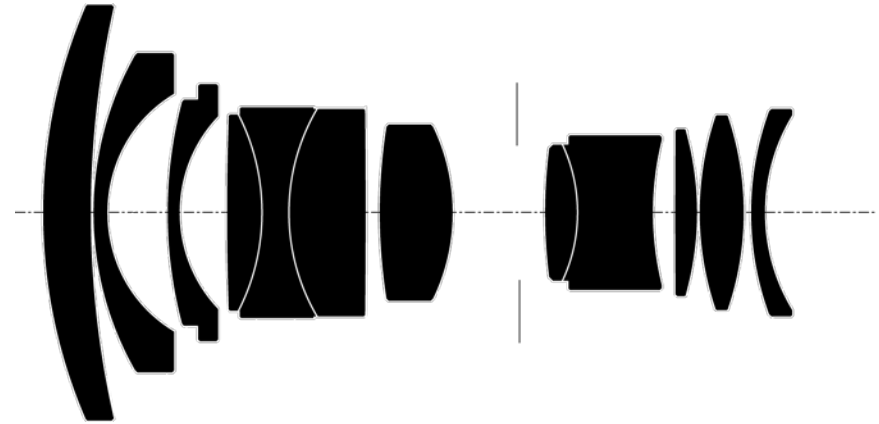
Pattern Generation



Anode

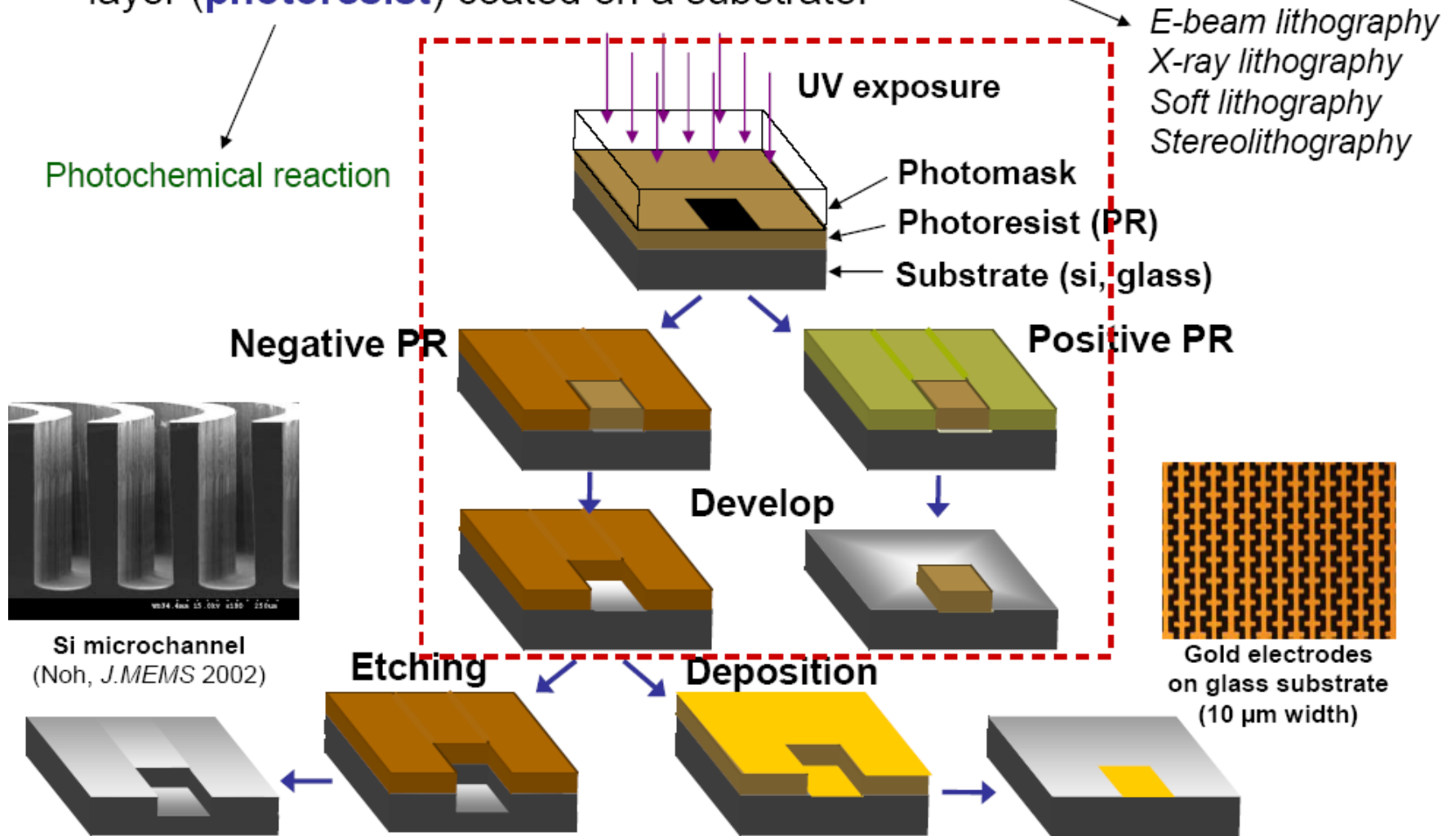


Pattern Replication



Resolution, uniformity, overlay, throughput

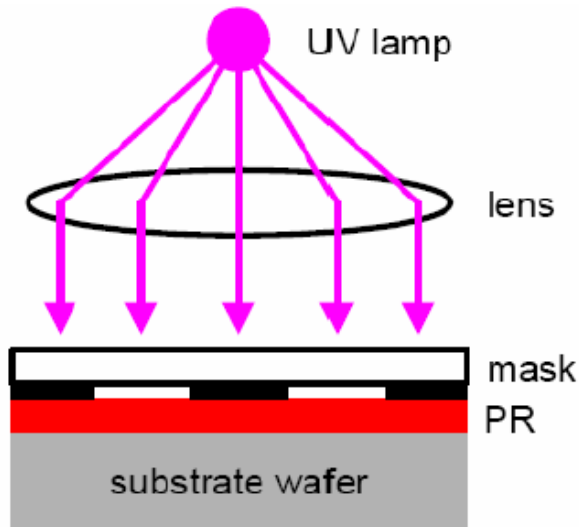
- **Photolithography:** Optical pattern transfer technique in which micro-pattern is transferred from a **photomask** to a **UV**-sensitive polymer layer (**photoresist**) coated on a substrate.



Patterned PR is used as a masking material in the following process.
 Pattern may be transferred to a coating layer instead of the substrate.

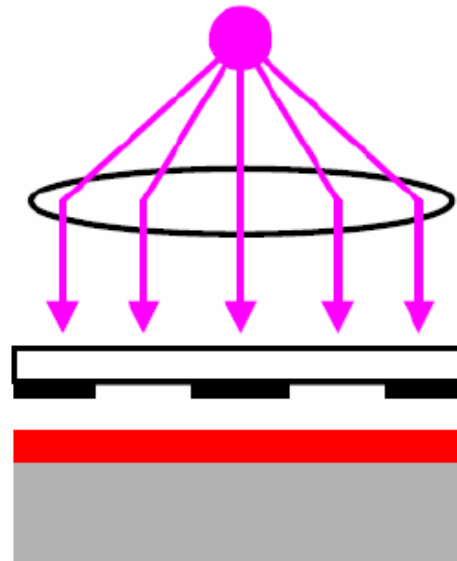
Three Major types of Optical Lithography

Contact Printing



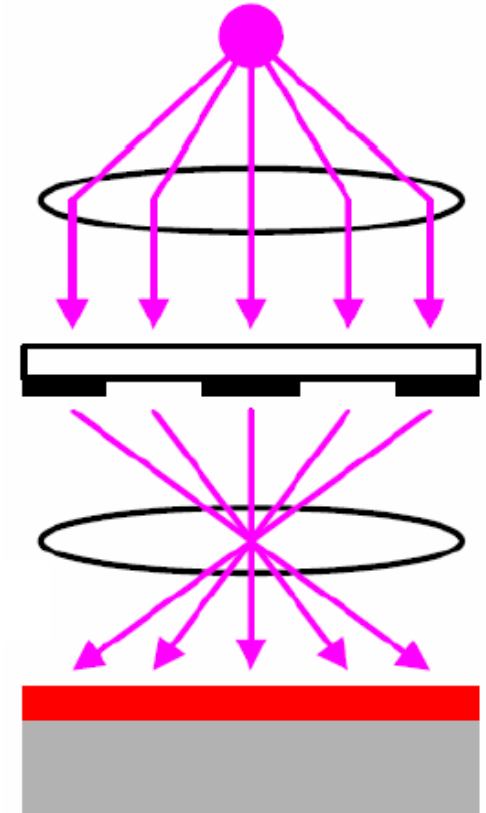
- Good resolution
- Degradation of mask
- Particulate contamination
- Standard mask aligners

Proximity Printing



- Eliminate mask damage and contamination
- Poor resolution due to light diffraction
- Standard mask aligners

Projection Printing



- Feature reduction
- Fast and expensive
- Pattern generators
- Used in IC industry

$$\text{Resolution: } \frac{3}{2} \sqrt{\lambda \left(g + \frac{t}{2} \right)}$$

(g=gap, t=resist thickness)

Some Contact Lithography Tools



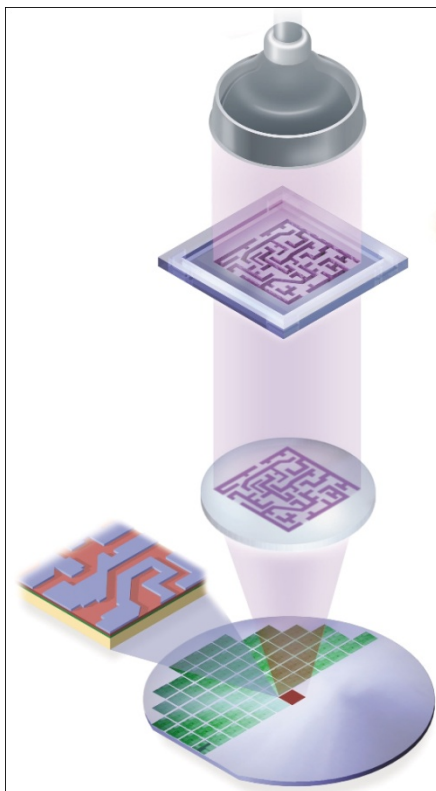
Karluss MA6 Mask Aligner



MJB3 Mask Aligner

Exposure dose control

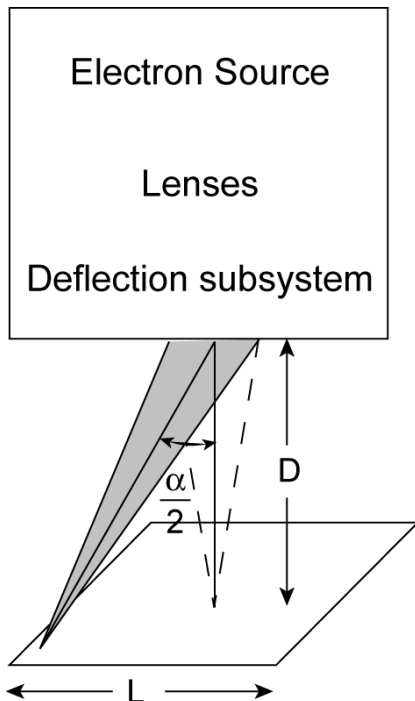
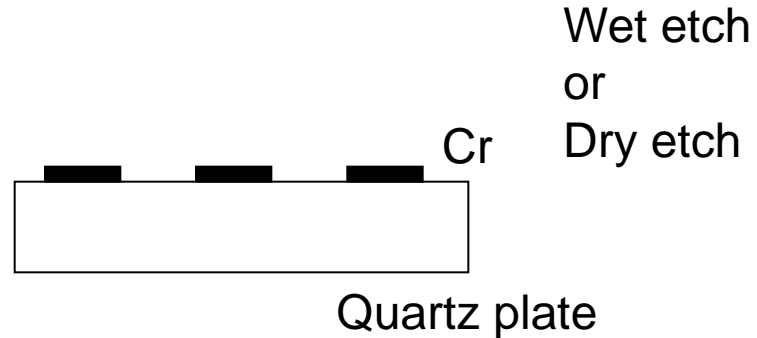
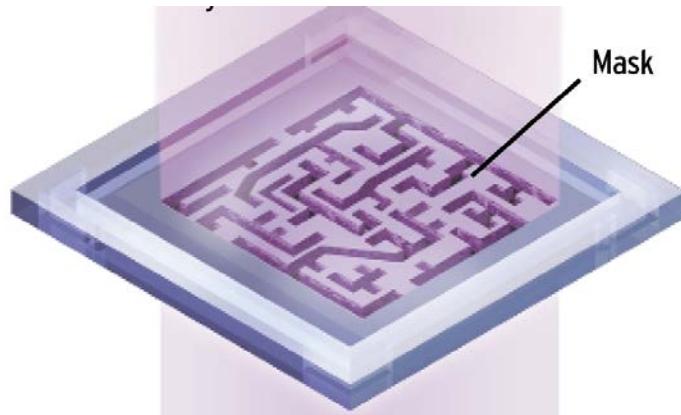
Dominant Player for Pattern Replication: Optical projection lithography (stepper/scanner)



- High cost: ~\$50M
- High throughput (~90 300mm wafers per hour)
- Pattern is reduced by a factor of 4
- Mask not in contact with wafer

Resolution limited by the wavelength of the exposing light

Masks and Mask-making



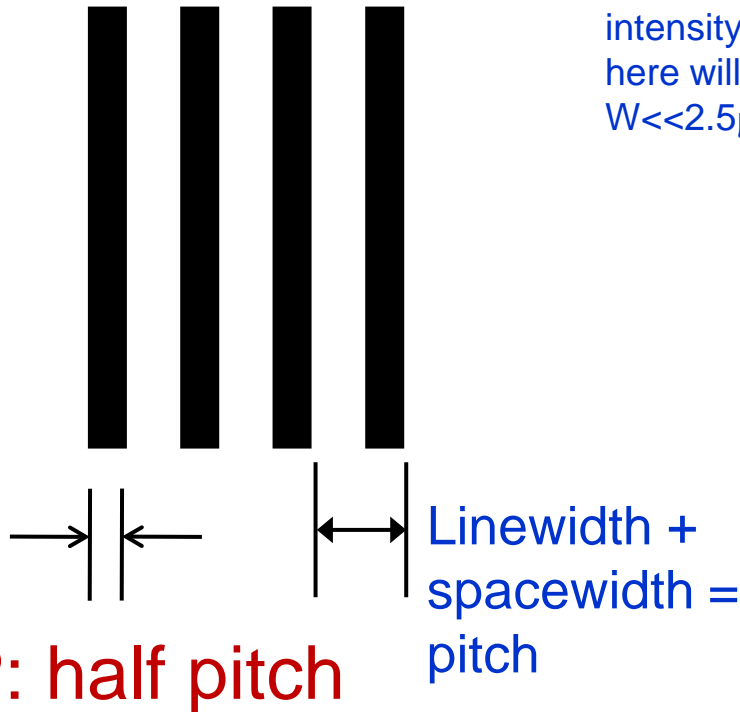
- Enables high-throughput replication
- Long turn-around time
- High-cost
- Placement accuracy
 - Environmental control
 - Frequent calibration
 - Spatial Phase-Locked E-beam litho
- Mask-writing:
 - Verification
 - Defect inspection
 - Repair

More on Photomasks

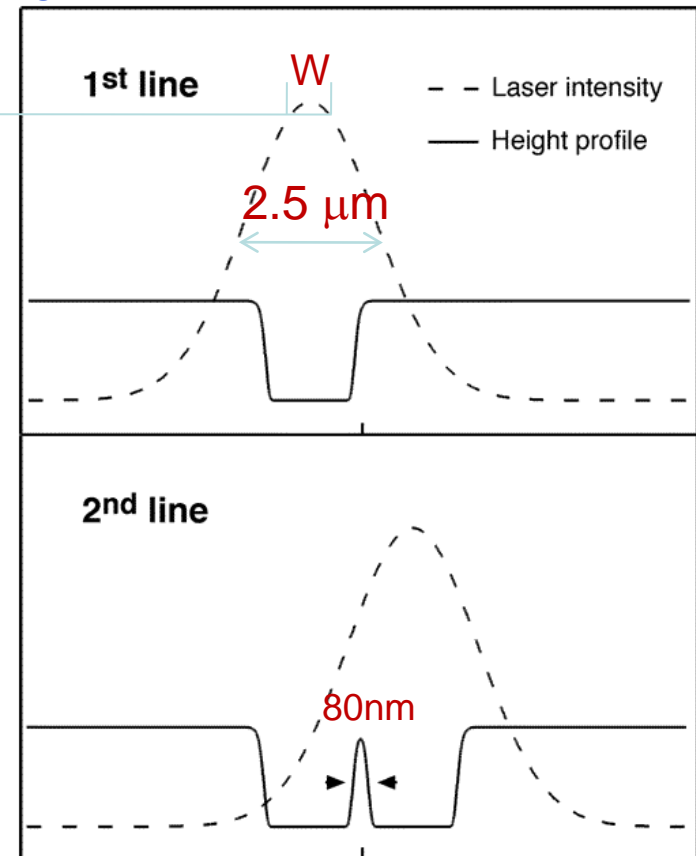
- **Types:**
 - Cr on soda lime glass (most common)
 - Cr on quartz glass (transparent to deep UV, expensive)
 - Photographic emulsion on soda lime glass (less expensive)
 - Fe_2O_3 on soda lime glass (semi-transparent to visible light)
 - High resolution laser printing on transparency
- Dimensions: 4" x 4" for 3 inch wafers, 5" x 5" for 4 inch wafers
- Polarity:
 - “light-field” = mostly clear, drawn feature = opaque
 - “dark-field” = mostly opaque, drawn feature = clear

Definition of Lithography Resolution

- To put a lot of transistors on a chip, they need to be close to each other.
- Pitches are limited by physics – minimum line-widths are not!
- The IC term for lithography is “half pitch”, though “resolution” is still used.



Threshold intensity here will give $W \ll 2.5 \mu\text{m}$

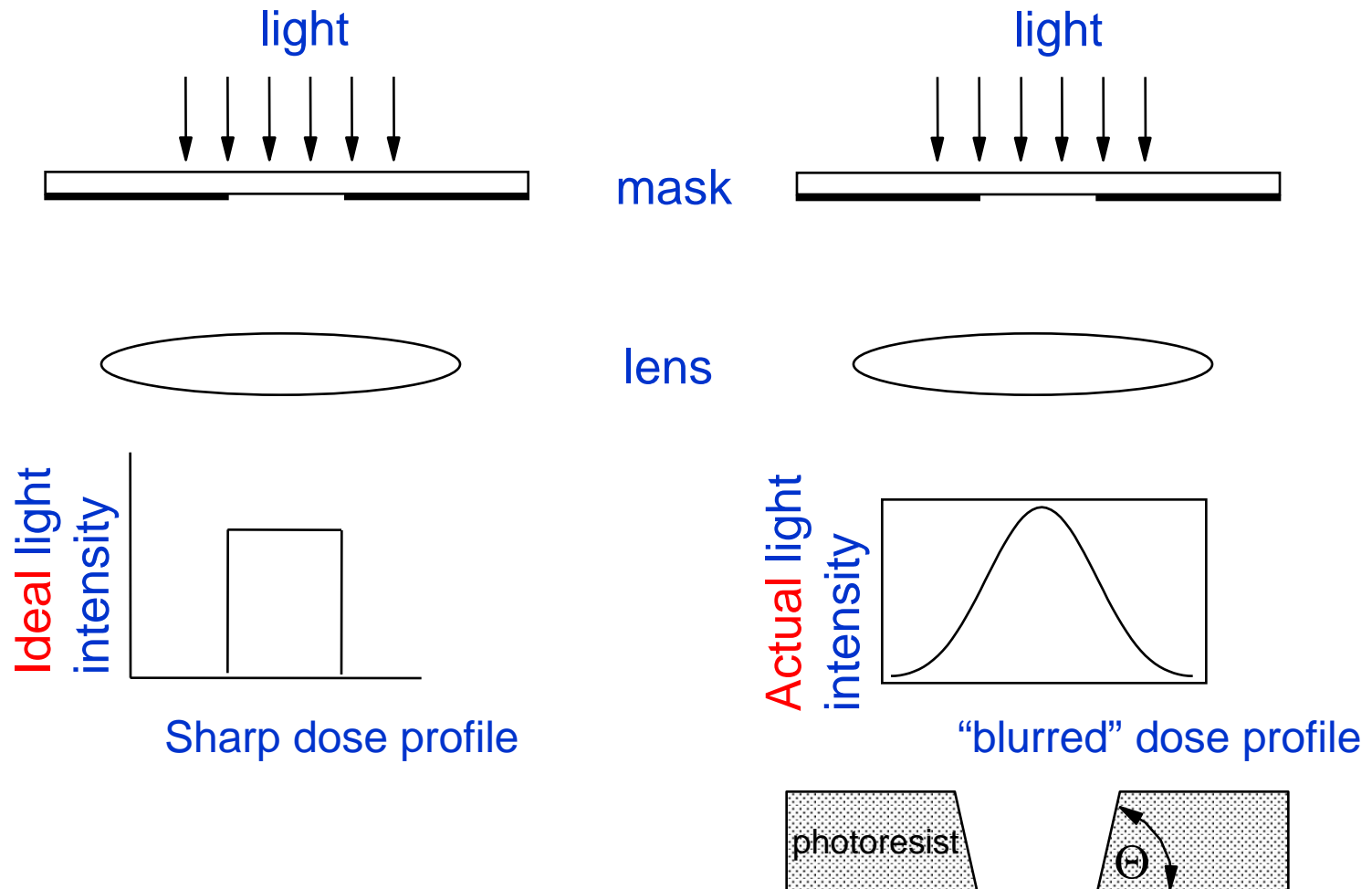


2.5 μm laser beam can pattern 80nm line-width!

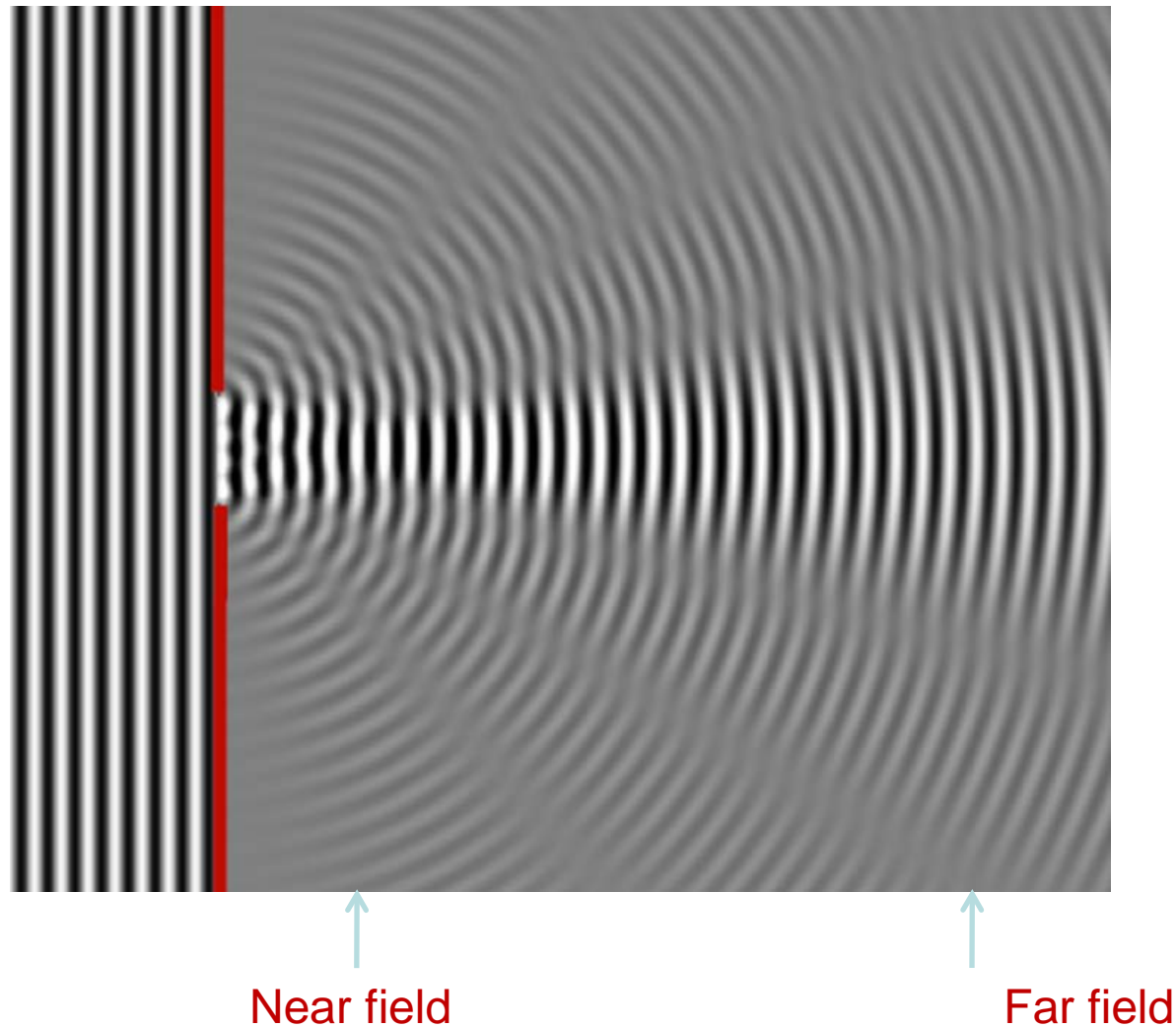
But it won't be able to pattern a grating with pitch $< 2.5 \mu\text{m}$.

Nano. Lett. 6(10), 2358(2006)

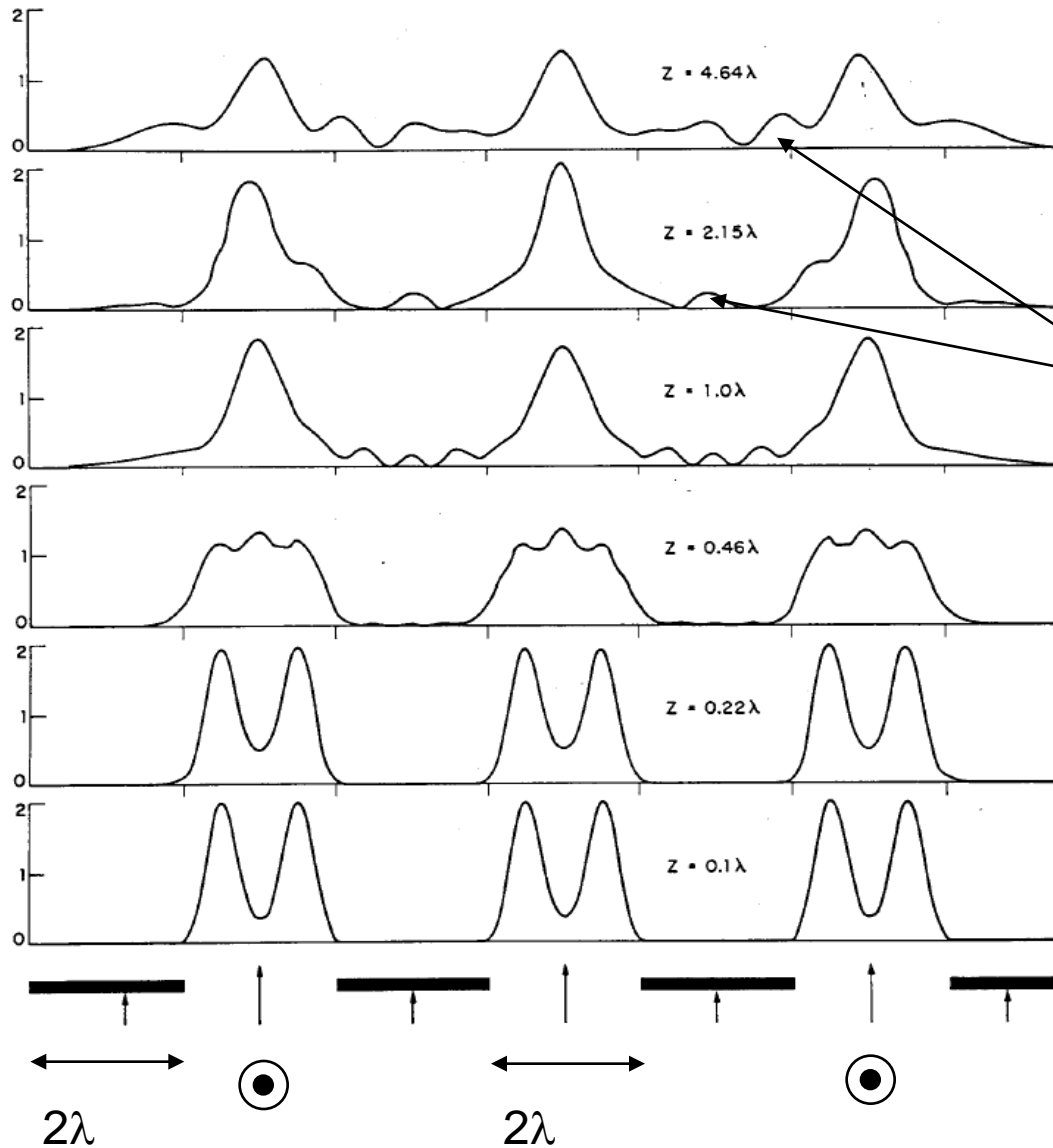
Non-ideality in Lithographic Image Transfer



Fresnel & Fraunhofer diffraction (from an aperture)



Diffraction effect in Grating Patterns



Optical fields in the shadowed areas

Light diffraction through a small circular aperture (Airy disk)

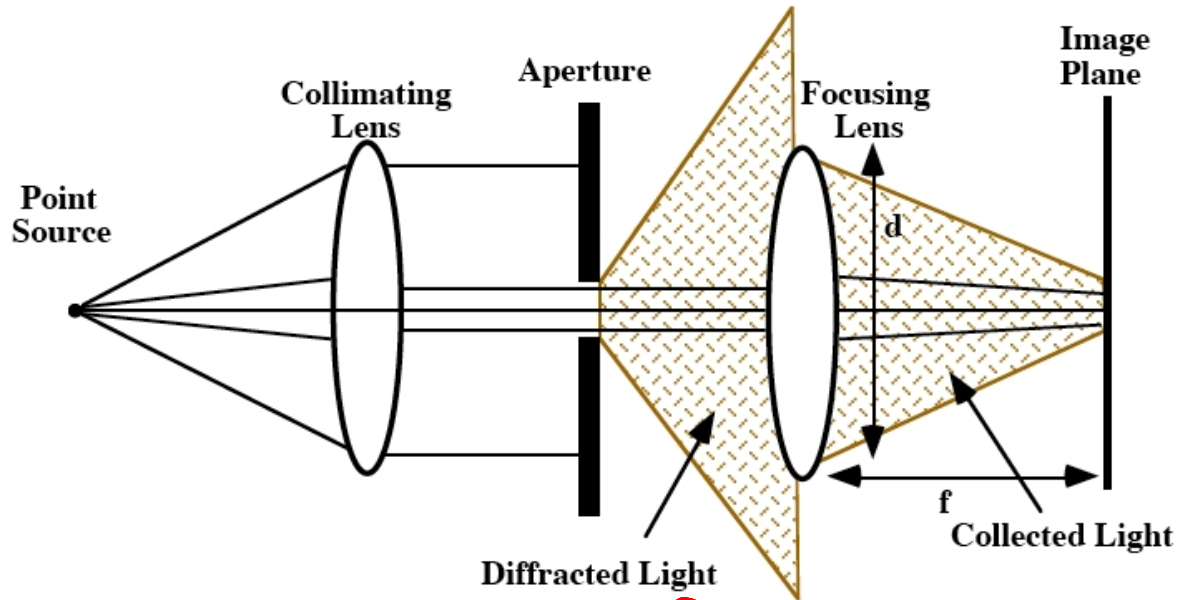
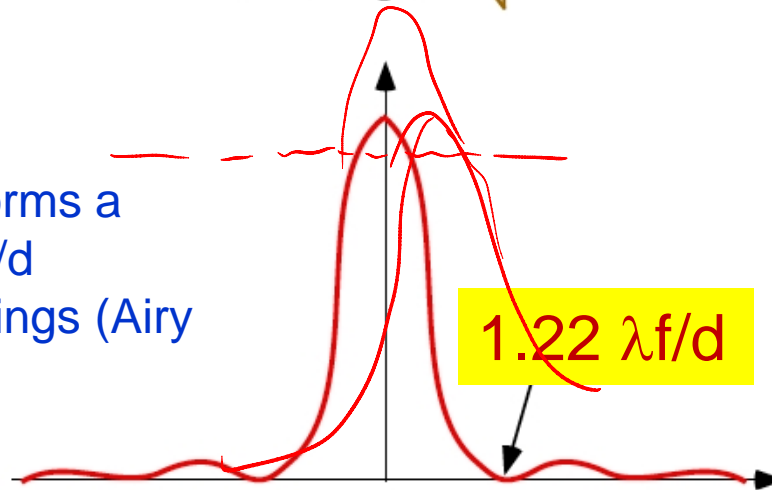
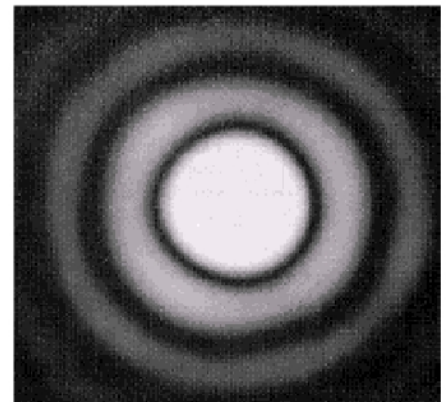


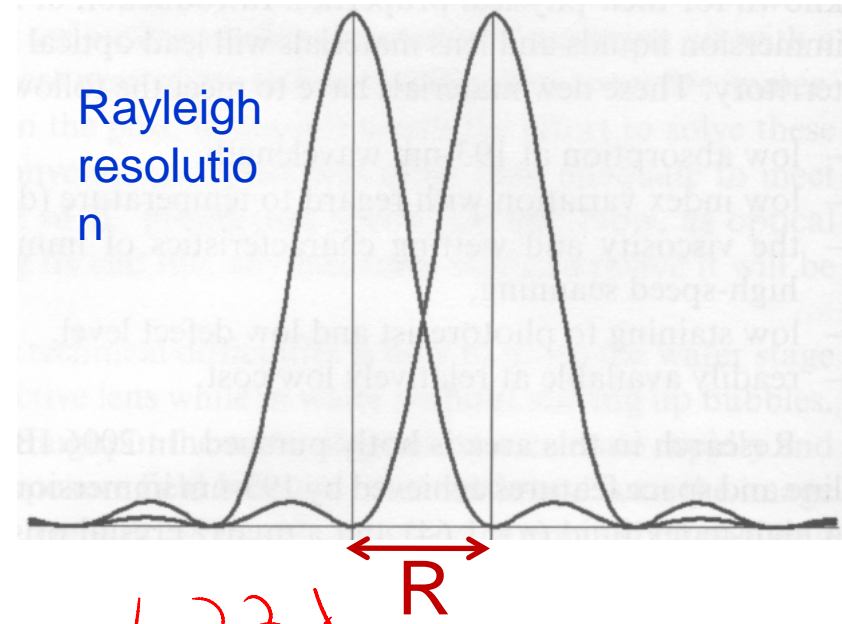
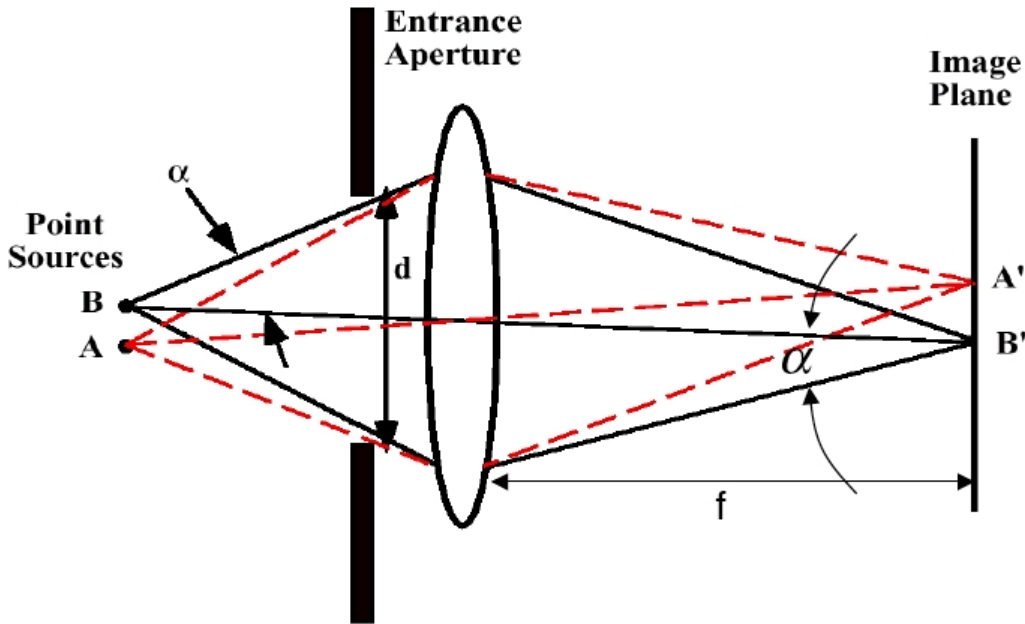
Image by a point source forms a circle with diameter $1.22\lambda f/d$ surrounded by diffraction rings (Airy pattern).



Airy pattern



Rayleigh resolution criteria (for circular aperture)



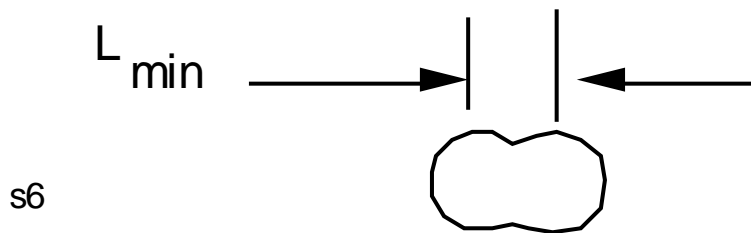
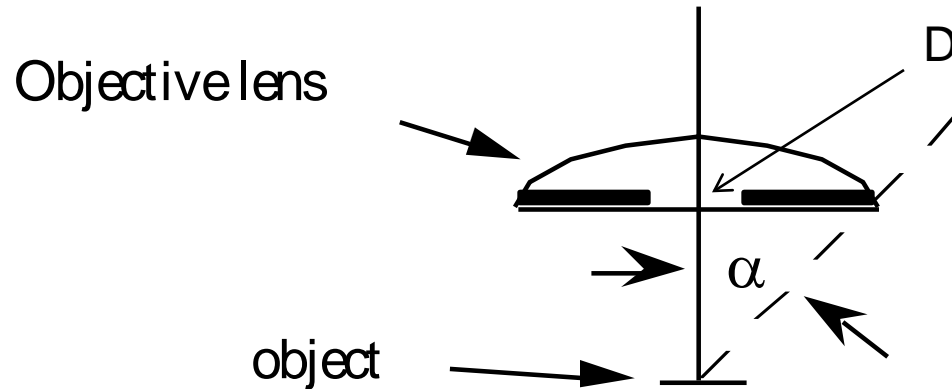
$$R = \frac{1.22 \lambda f}{d} = \frac{1.22 \lambda f}{n(2f \sin \alpha)} = \frac{0.61 \lambda}{n \sin \alpha} = \frac{0.61 \lambda}{NA}$$

$$= \frac{1.22 \lambda}{2NA}$$

NA = $n \cdot \sin \alpha$, numerical aperture

NA: the ability of the lens to collect diffracted light

Numerical aperture: $NA = n \sin \alpha$



$$f \text{ stop number: } N = \frac{f}{D}$$

where D is the diameter of the pupil
 f is the focal length

$$\text{Aperture area} = \pi \left(\frac{f}{2N} \right)^2$$

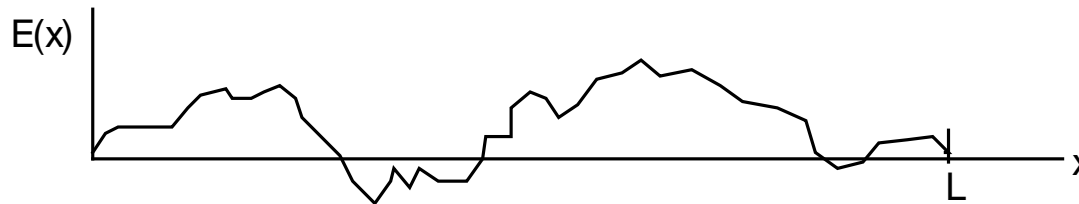
Determines resolution!

$$L_{\min} = \frac{1.22\lambda}{2n \sin \alpha} = \frac{1.22\lambda}{2NA}$$

Rayleigh Criterion

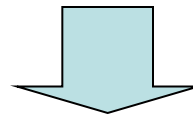
Use grating as the resolution standard

Electric field distribution on a sample (illustrated in 1D)



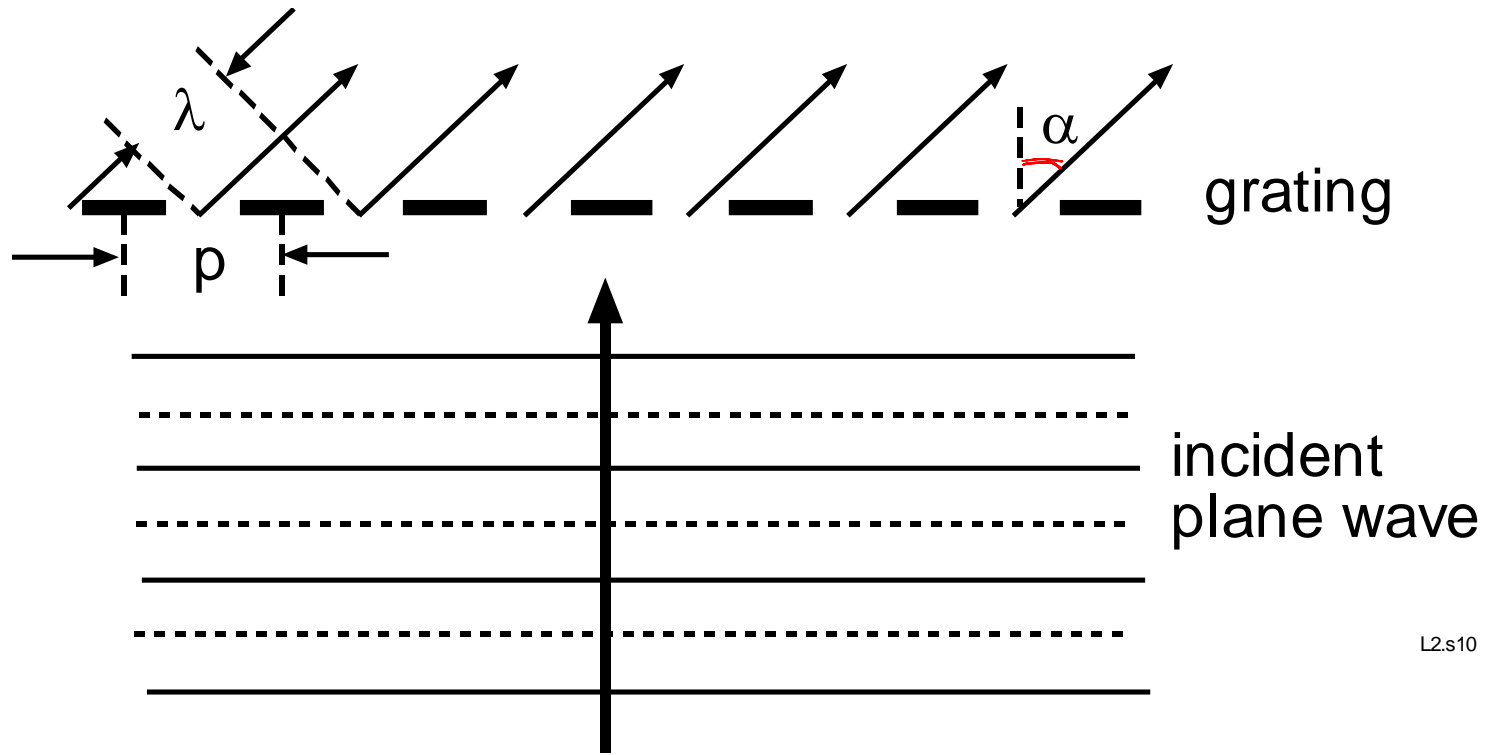
s15

Fourier Transform



- Must be able to resolve the smallest period of grating.

Diffraction of a grating pattern

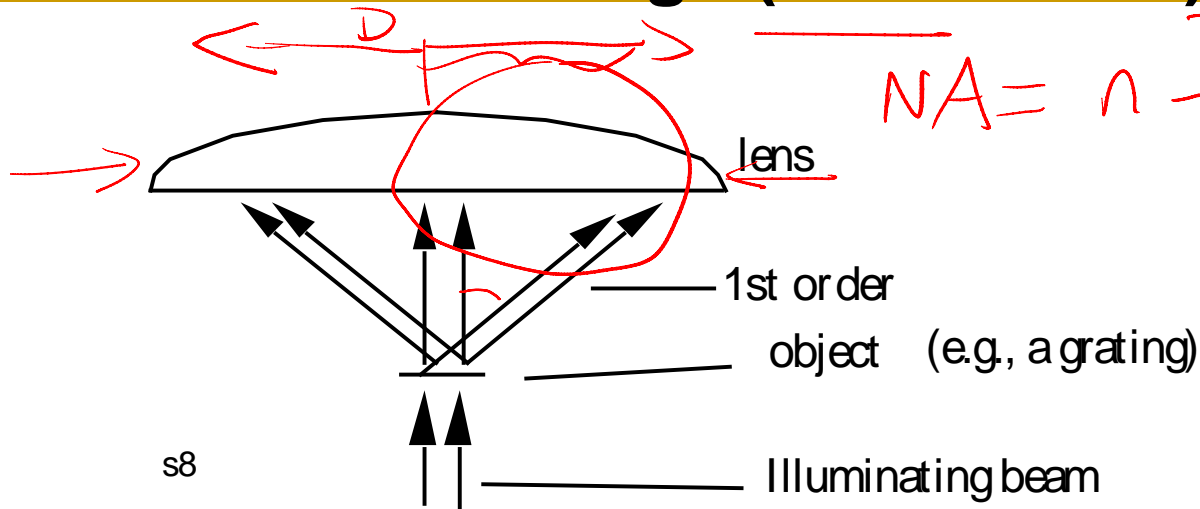


L2.s10

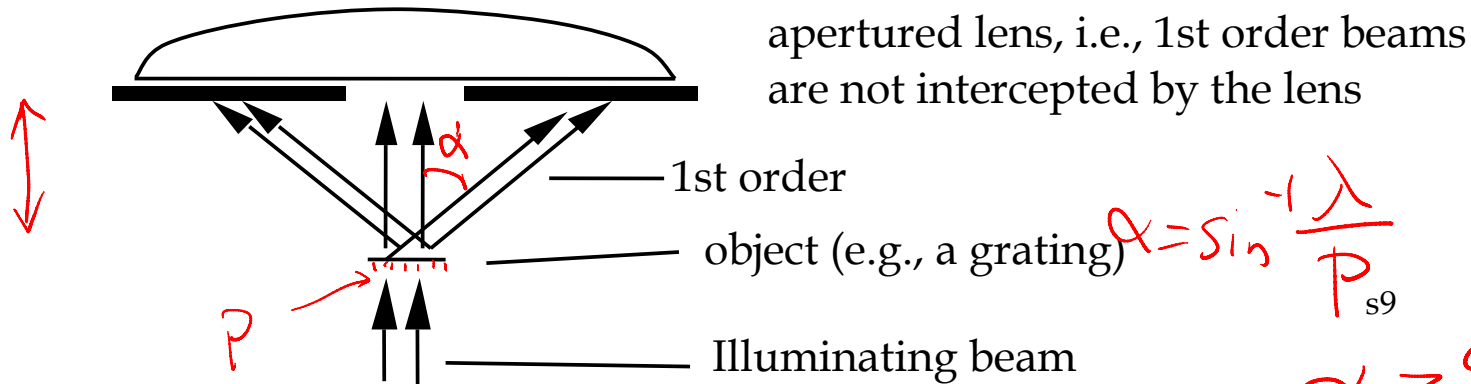
$$\sin \alpha = \lambda/p, \text{ or } p = \lambda/\sin \alpha$$

p \downarrow $\alpha \uparrow$ lens size \uparrow

At least two orders of diffraction is required to form an image (Abbe's rule)



$$NA = n \frac{D}{2f} = n \sin \alpha$$



apertured lens, i.e., 1st order beams are not intercepted by the lens

$$\alpha = \sin^{-1} \frac{\lambda}{p} \quad p < \lambda$$

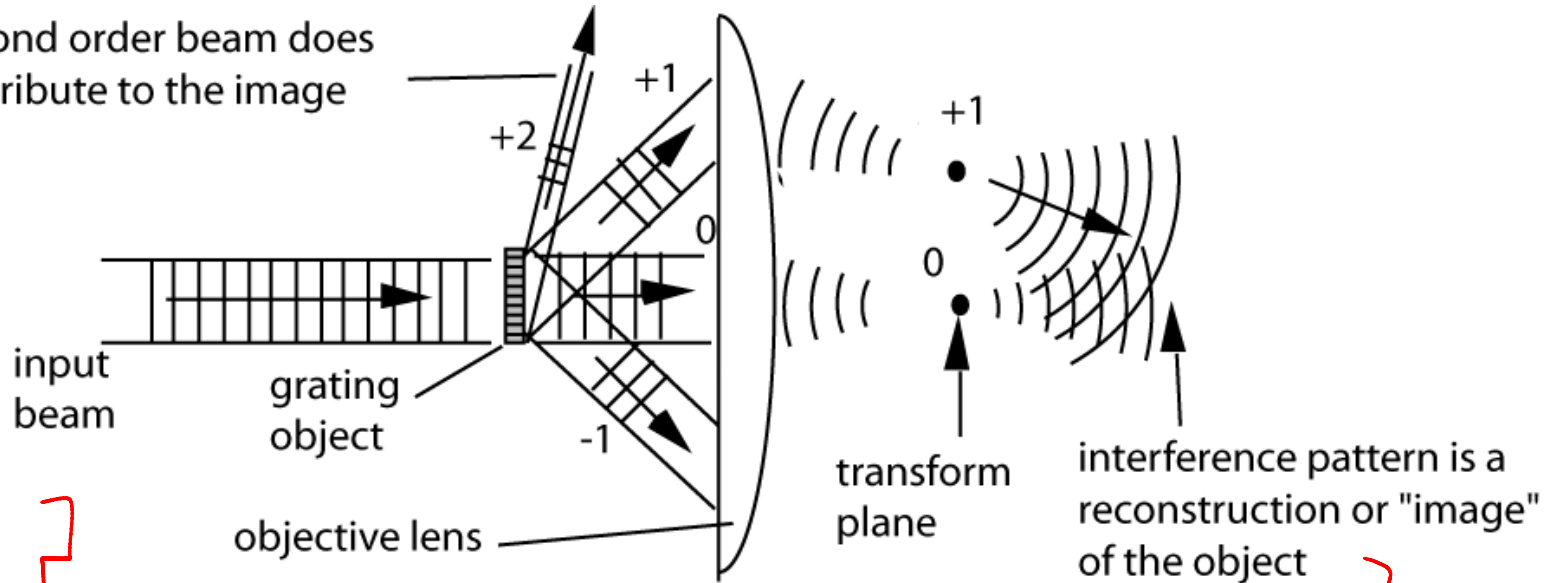
$$\alpha > 90^\circ$$

Cannot tell if the bright spot at the focal plane is due to a true plane wave or the 0th order diffraction of a grating.

Diffraction Analysis of Imaging

Image contrast may be low

This second order beam does not contribute to the image



s11



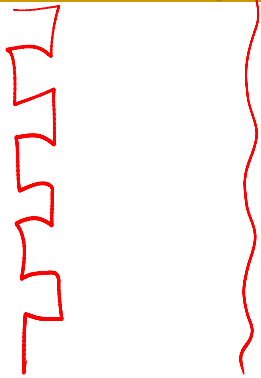
k_x k_y



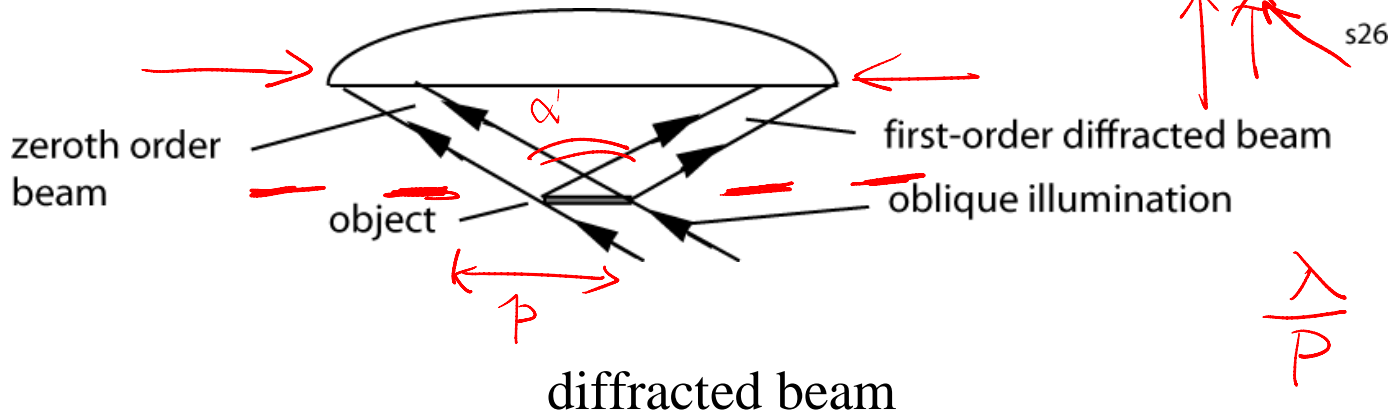
The Abbé Formulation

(E. Abbé, 1840-1905)

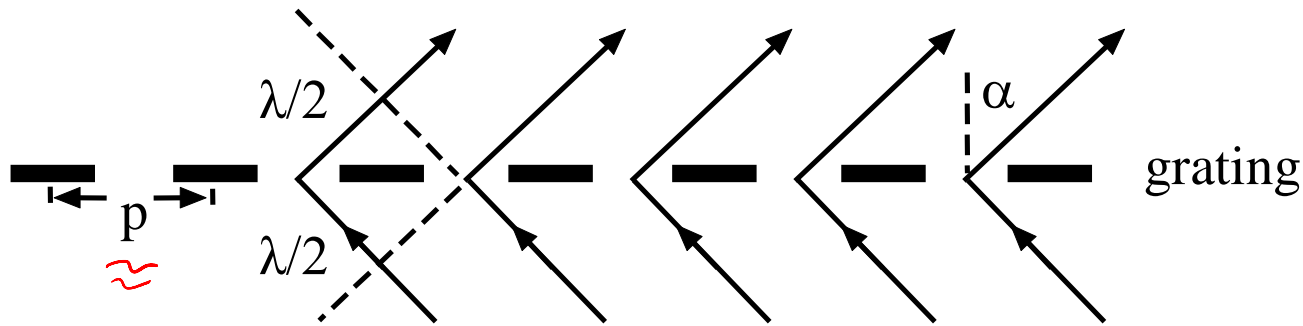
$P/2 = 32\text{nm}$ 193nm
 64 λ



$$\sin \alpha = \frac{\lambda/2}{p}$$



$$\frac{\lambda/2}{p} < 1$$



Oblique illumination

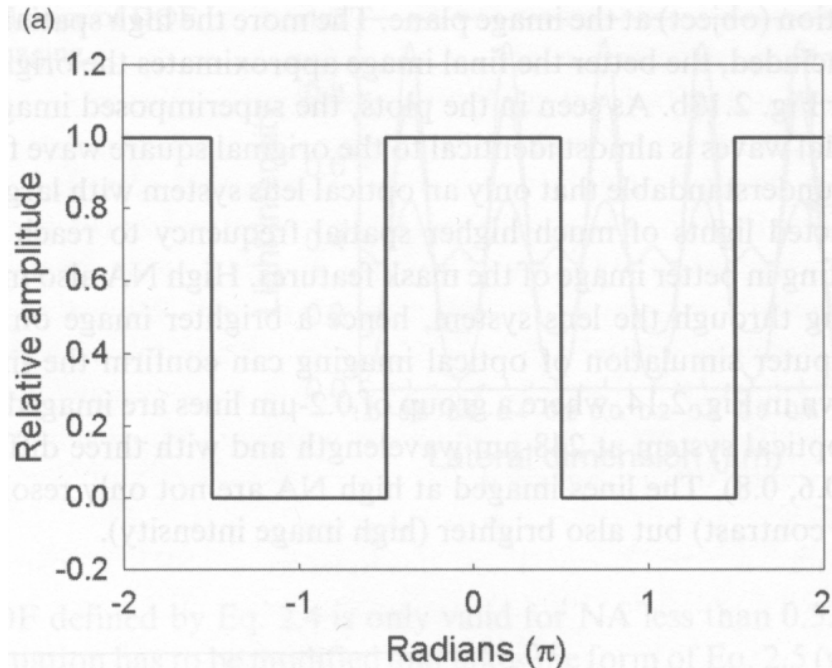
L2.s27

$$P_{\min} = \frac{\lambda}{2n \sin \alpha} = \frac{\lambda}{2NA}$$

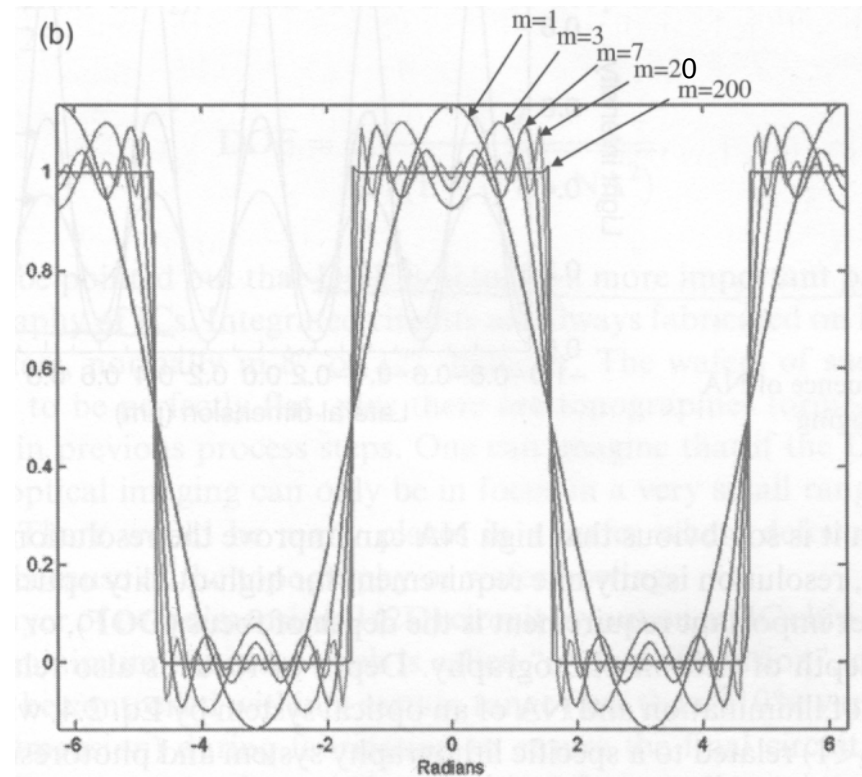
$p = \frac{\lambda}{2n}$ for infinitely large lens

NA: effect of including increasing numbers of diffracted orders on the image of a slit

Mask image without diffraction

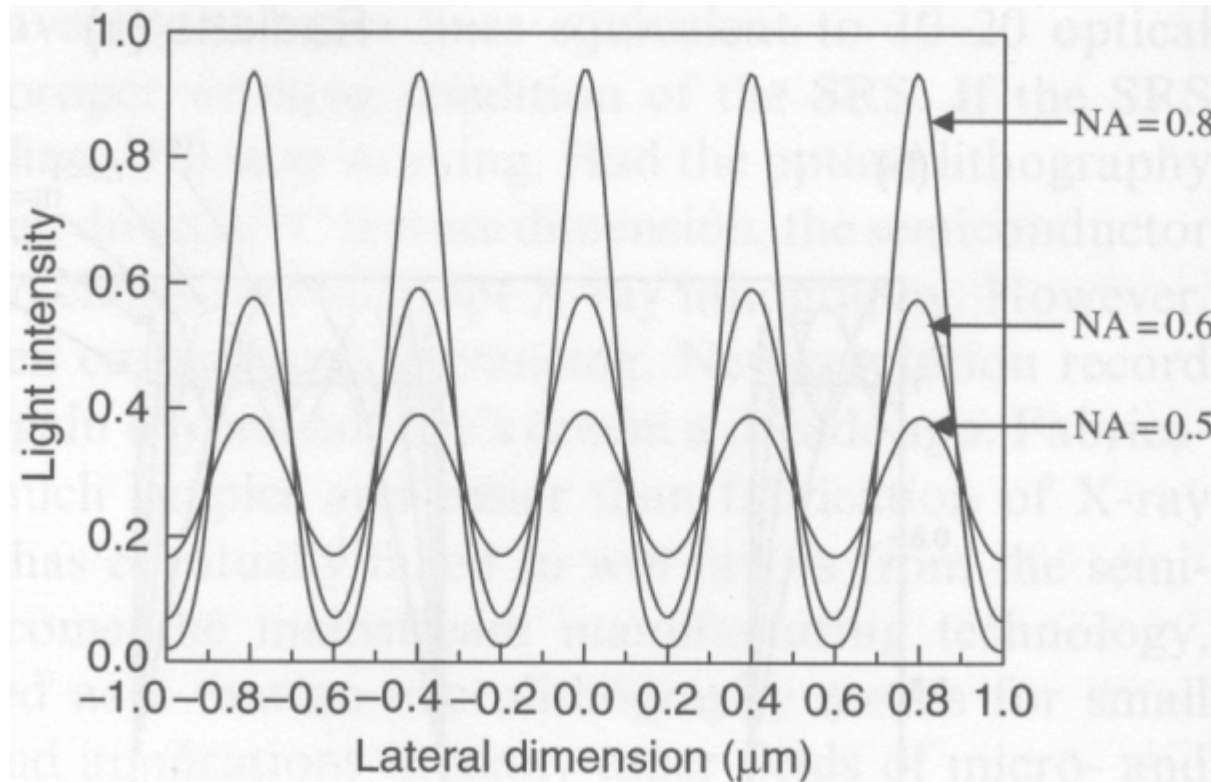


Mask image with diffraction



- With the help from photoresist, $m=1$ is sufficient to make good lithography!

NA: its influence on optical imaging by numerical simulation



0.2 μm pitch grating imaged through a projection optical system at $\lambda=248\text{nm}$.

Increase NA:

- Resolve better the line image (higher image contrast)
- Gives brighter image (higher image intensity).

Generalized resolution (half pitch)

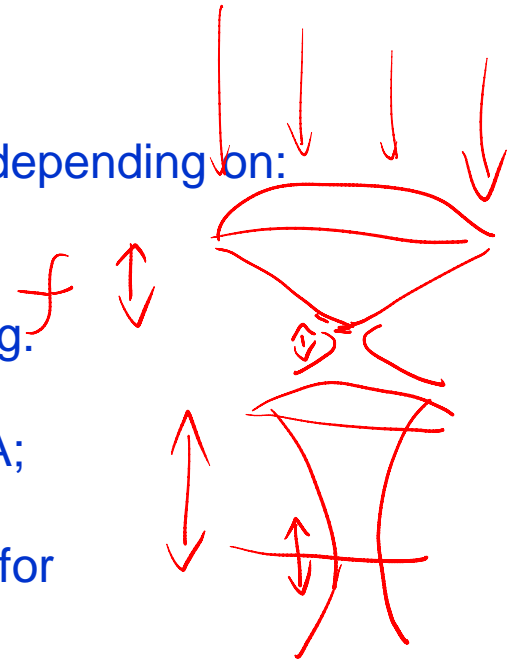
$$R = k_1 \frac{\lambda}{NA}$$

k_1 represents the ability to approach physical limits depending on:

- Lenses: aberrations.
- Resists: contrast.
- Equipment and process control in manufacturing.

To increase resolution: reduce λ and k_1 , increase NA;

But this also reduces depth of focus (a bigger issue for lithography).



Depth of Focus or Depth of Field
(DOF):

$$DOF = k_2 \frac{\lambda}{(NA)^2} \quad \text{For } NA \leq 0.5$$

$$DOF = k_3 \frac{\lambda}{2(1 - \sqrt{1 - NA^2})} \quad \text{For } NA > 0.5$$

Resolution of high NA lenses

NA	Theory, $p = \lambda/2NA$		Experimental Result (nm)
	@ $\lambda = 400$ nm	@ $\lambda = 550$ nm	
0.9	222 nm	306 nm	258
0.95	211 nm	289 nm	258
1.4	143 nm	196 nm	155

Lines down to 85 nm can be experimentally resolved with 400 nm light!

Is NA=1.4 relevant to lithography?

Yes! Immersion projection lithography at 193 nm

Increase resolution by reducing λ

Mercury arc lamps

Excimer lasers (nanosecond pulse)



g-line → i-line → KrF → ArF → F₂

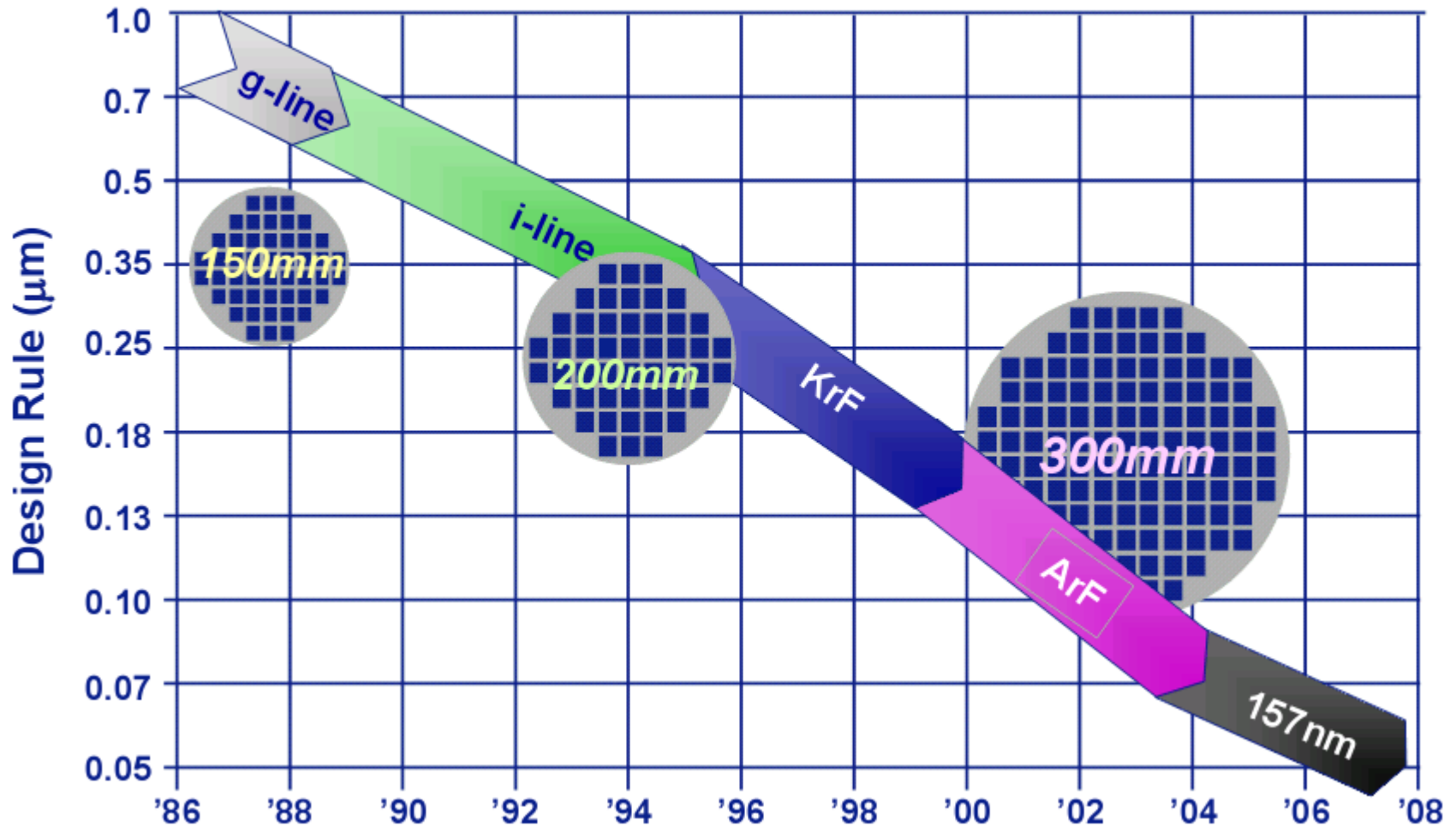
436 nm → 365 nm → 248 nm → 193 nm → 157 nm
(damaging the mask)

1 → 0.44x

	$R \gg \lambda$	$R > \lambda$	$R \sim \lambda$	$R \ll \lambda$
Minimum feature size (nm)	7000–1000	1000–350	350–180	180–32
Lithography wavelength (nm)	436 (G-line)	365 (I-line)	248 (DUV)	193 (DUV)

Wavelength Reduction is Slow

Evolution of Leading Edge Lithography & Wafer Size –



g-line: 436 nm; i-line 365 nm; Deep UV (KrF): 248 nm; ArF: 193 nm

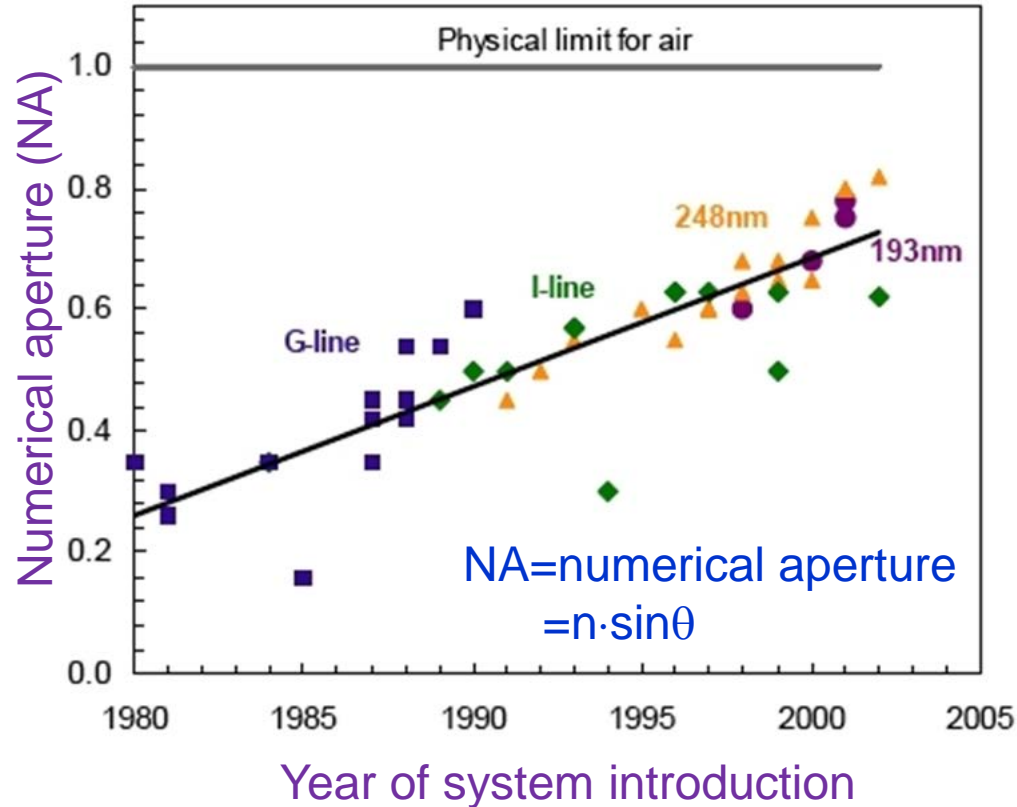
Increase resolution by increasing numerical aperture NA to approach 1

- The physical limit to NA for exposure systems using air as a medium between the lens and the wafer is 1.
- The practical limit is somewhere ~0.93 (collecting angle $\alpha=68^\circ$, huge lens ~1000kg).
- Therefore, the resolution limit for 193nm exposure systems.

$$R = \frac{k_1 \lambda}{NA} \leq \frac{0.25 \times 193}{0.93} = 52 \text{ nm}$$

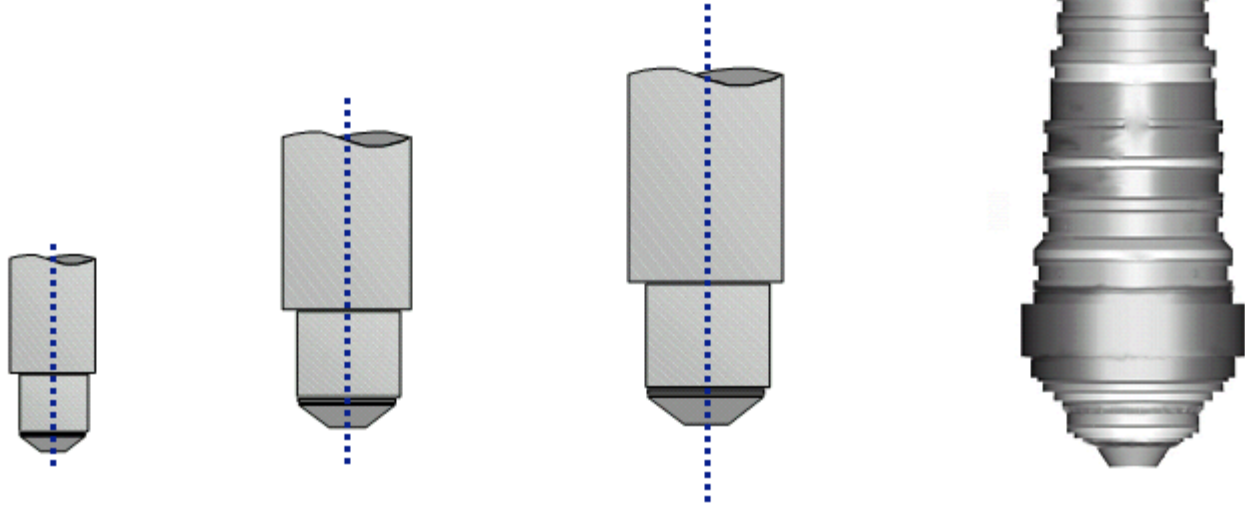
$$P_{min} = \frac{\lambda}{2 NA}$$

$$k_1 \text{ for } R = \frac{P_{min}}{2} \text{ is } 0.25$$



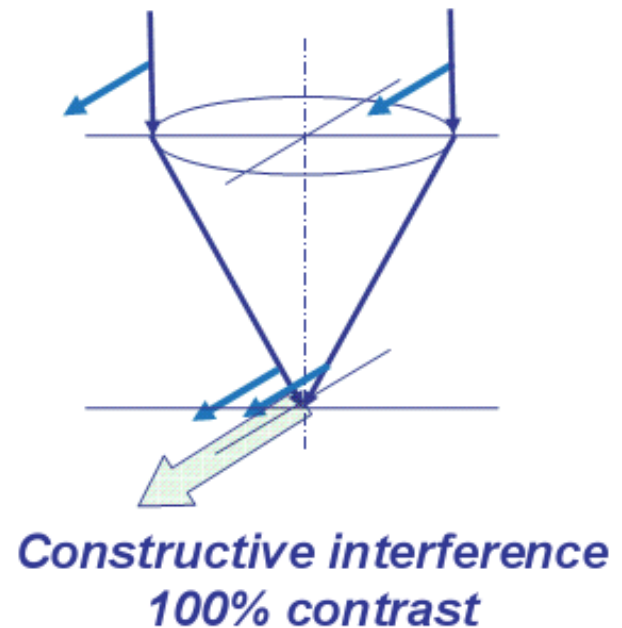
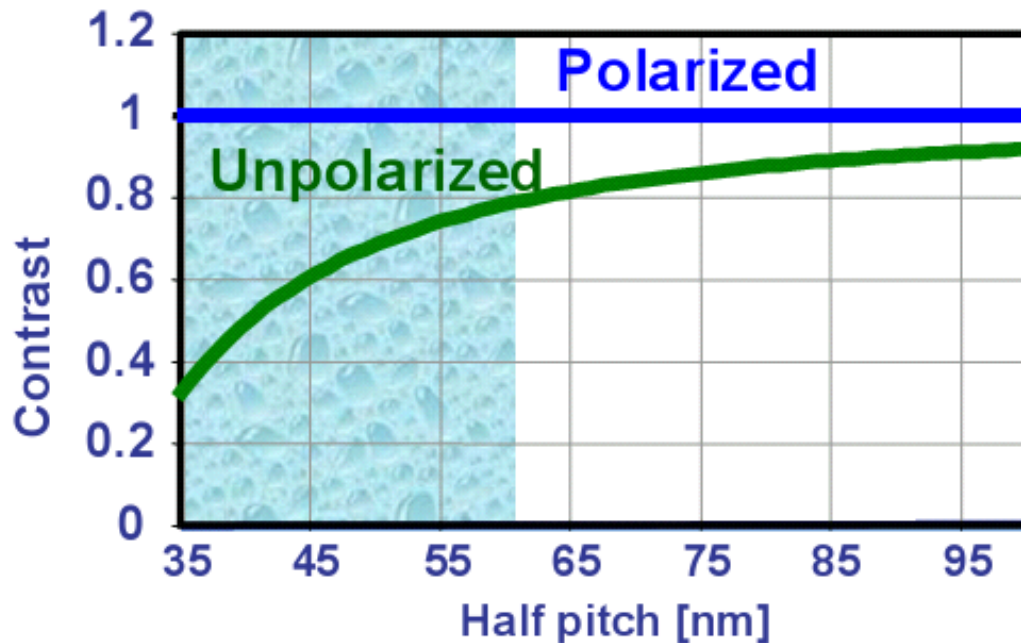
Bigger and Heavier Lens

Milestones of Progress in Lens Technology

ASML Model #	-	PAS 2500/40	PAS 5500/300	<i>TWINSCAN AT:1100</i>
Wavelength	g-Line	I-Line	KrF	ArF
# Pixels (*E+6)	40	320	10.000 - 25.000	80.000
Pixel Factor	1	8	250 - 625	2000
Price Factor	1	10	80	100
Weight (kg)	2	20	250	500
Yr of First Proto	1975	1987	1995	2001

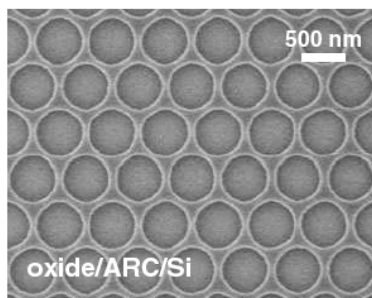
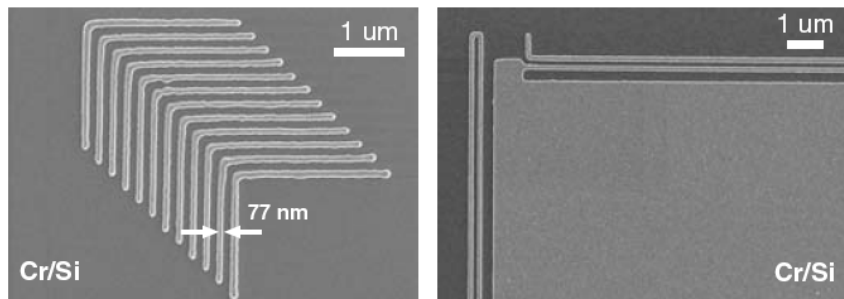
Hyper NA lens: Polarization to maximize contrast



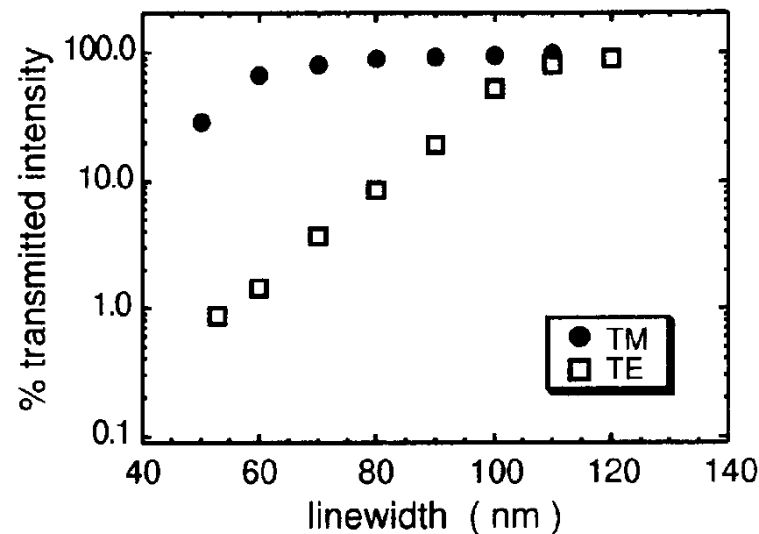
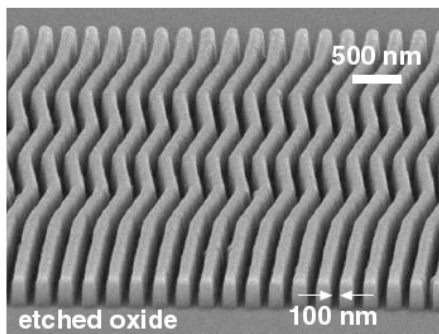
Polarization

- improves image contrast and exposure latitude
- enhances resolution with 5 nm

Resolution limit of $p=\lambda/2NA$ can be overcome with contact printing



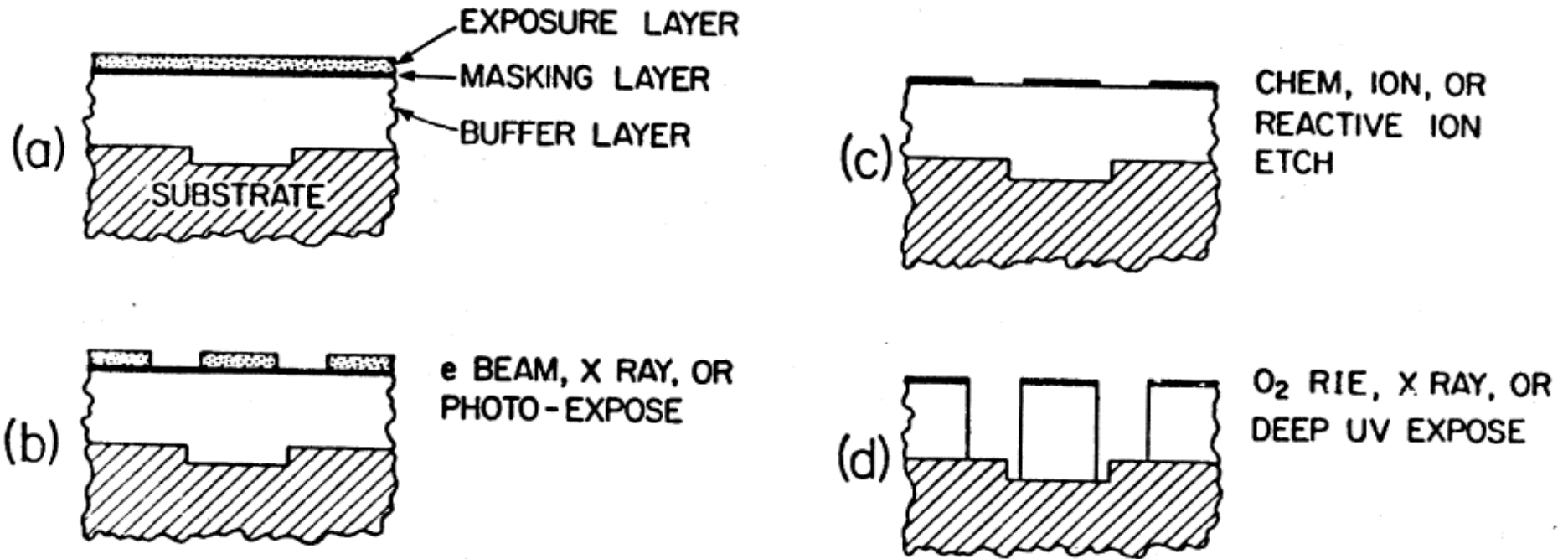
$\lambda=220\text{nm}$



- Key is to make the mask flexible so that true contact can be maintained.
- Resolution is polarization dependent

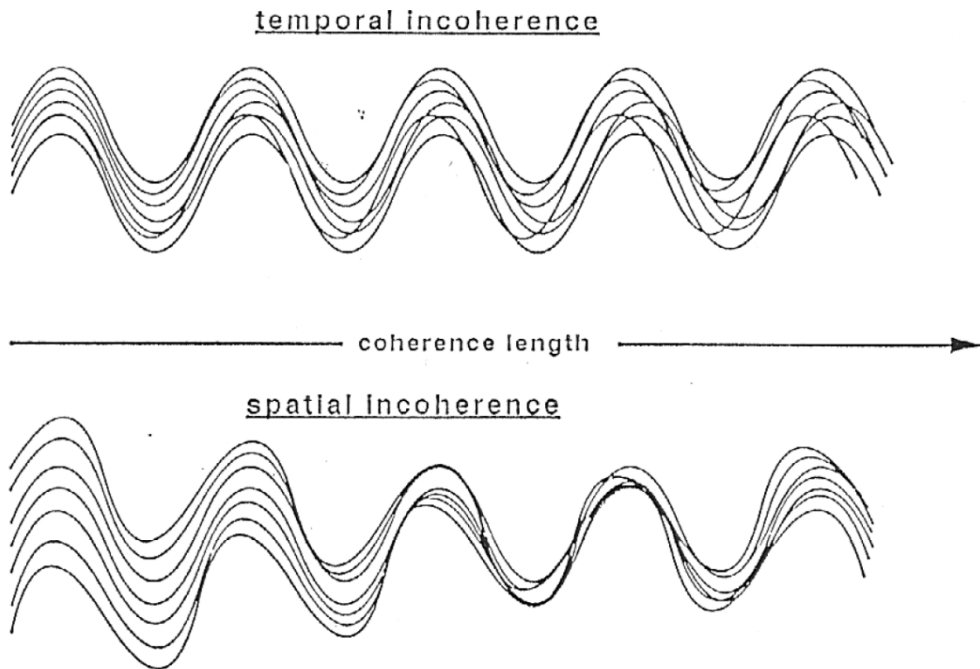
Separate the functions of Image Recording and “Resistance”

MULTILAYER RESIST TECHNIQUE

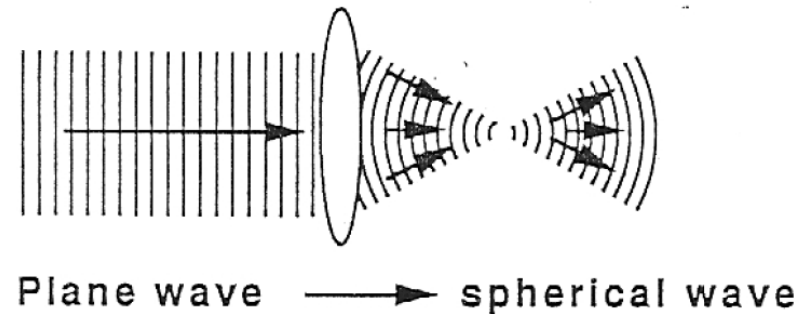


Can also perform the function of planarization, i.e. the substrate topology won't affect the linewidth

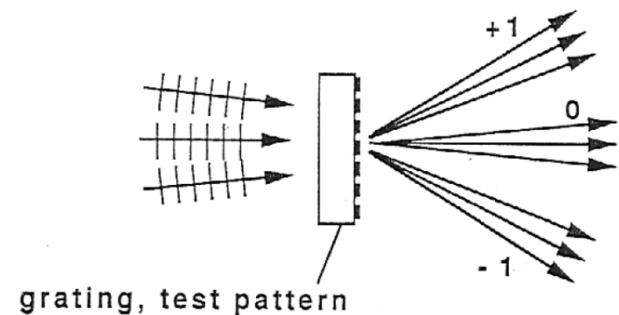
Coherence of illumination



Perfectly coherent illumination



Partially Coherent Illumination



Overview of Projection Lithography

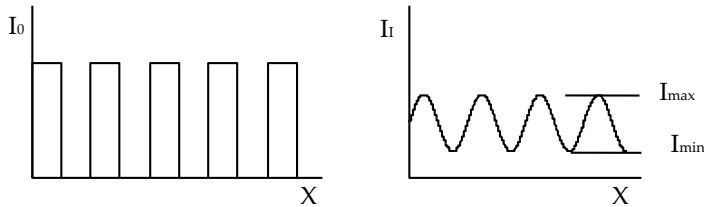
- Minimum linewidth ($0.5p$)

$$W_{min} = k_1 \lambda / NA$$

- Depth of focus

$$DOF = k_2 \frac{\lambda}{(NA)^2} \text{ for } NA \leq 0.5$$

$$DOF = k_3 \frac{\lambda}{2(1 - \sqrt{1 - NA^2})} \text{ for } NA > 0.5$$



$$K = \frac{I_{max} - I_{min}}{I_{max} + I_{min}}$$

Optical Projection Lithography

