
Nanometer Scale Patterning and Processing

Spring 2016

Lecture 10

Optical Lithography - Resolution Enhancement Techniques (RETs) in Optical Project Lithography

Minimum Linewidth ($0.5p$)

Optical Projection Lithography

$$W_{min} = k_1 \lambda / NA$$

Process Window:

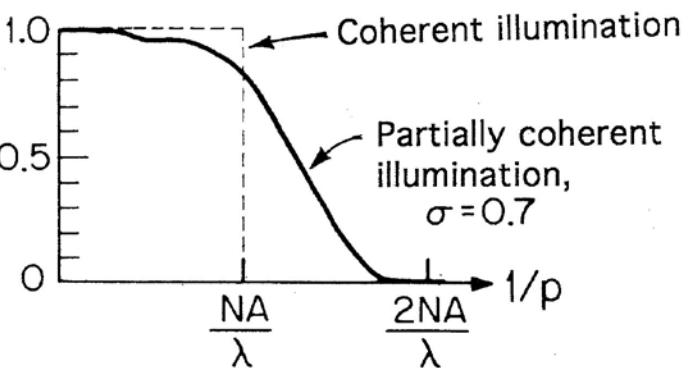
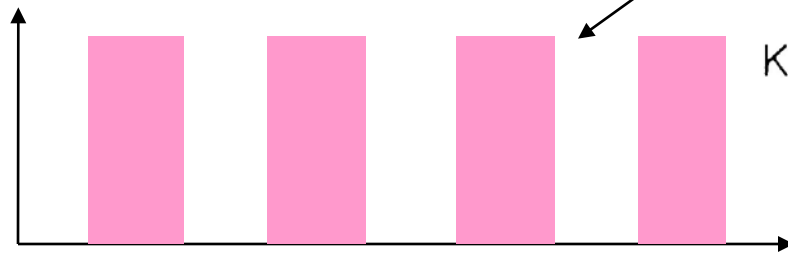
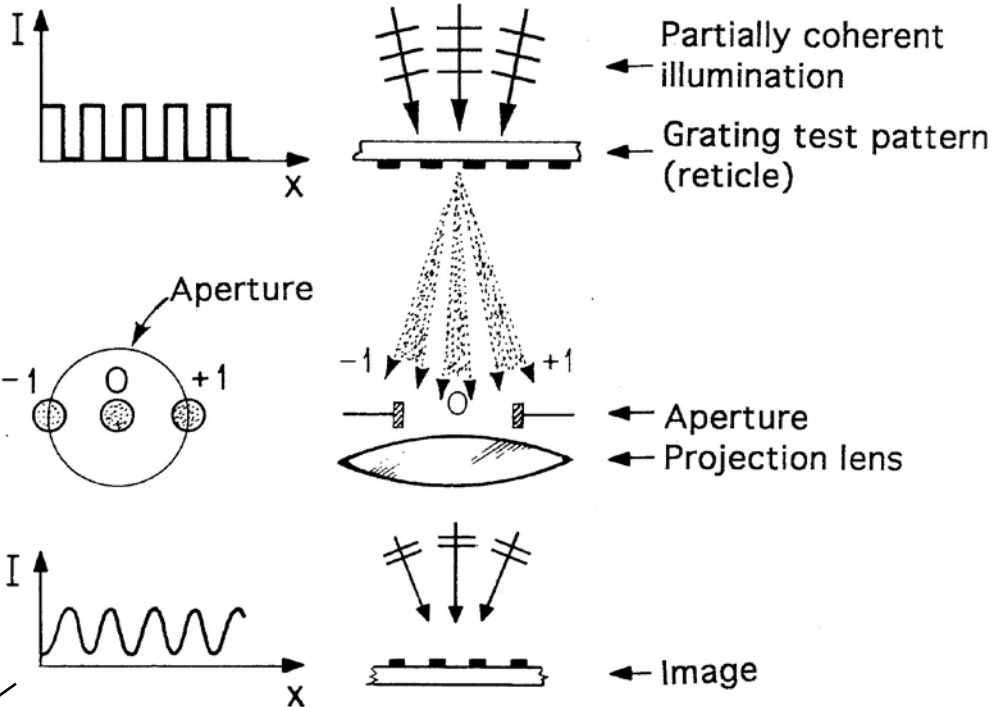
Depth of focus

$$R = 2 \lambda / 4(NA)^2$$

$$= \lambda / 2(NA)^2$$

Contrast

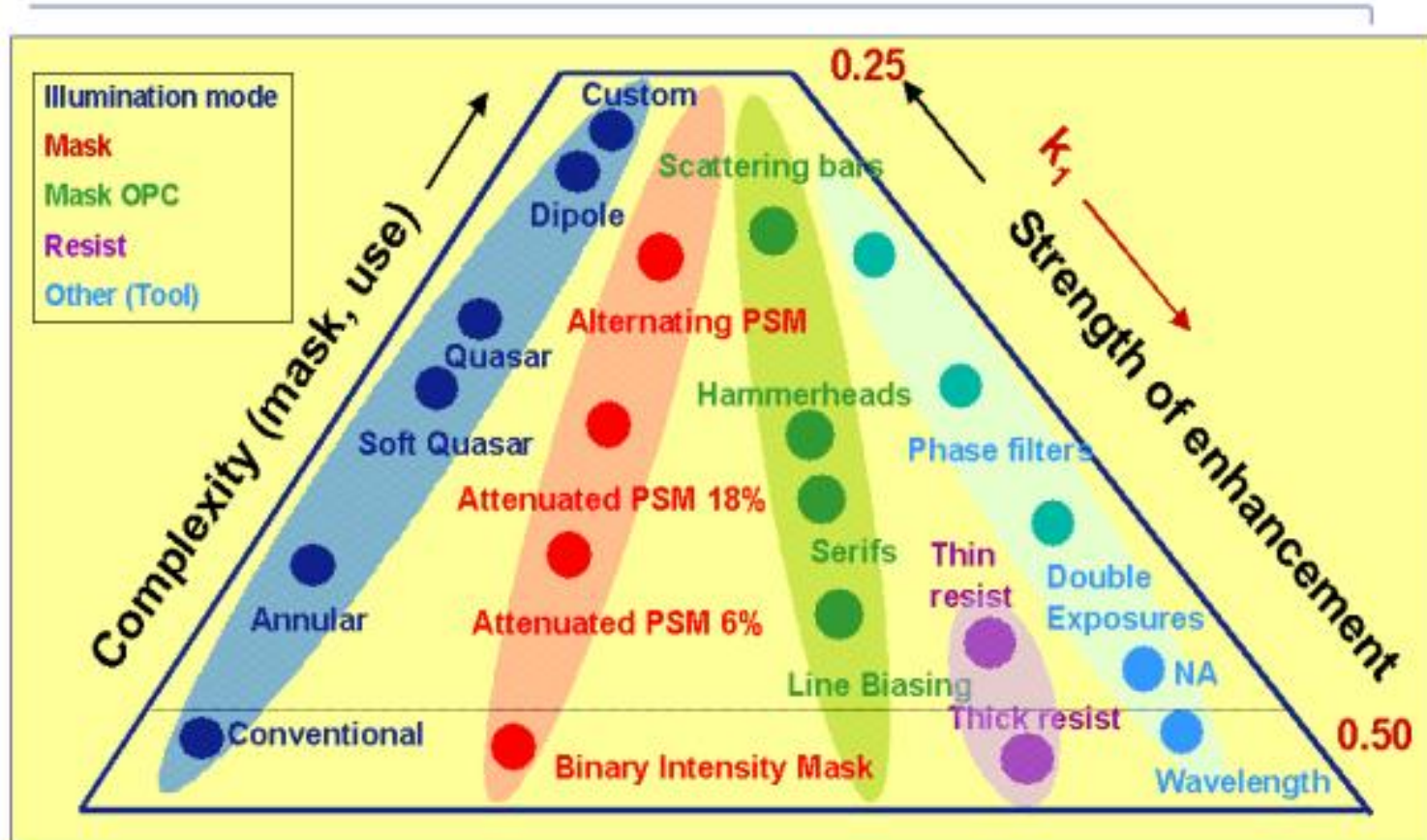
$$K = \frac{I_{max} - I_{min}}{I_{max} + I_{min}}$$



Developed resist profile

Meet Lithography Requirements without Drastic Infrastructure Changes

Imaging Enhancement Techniques



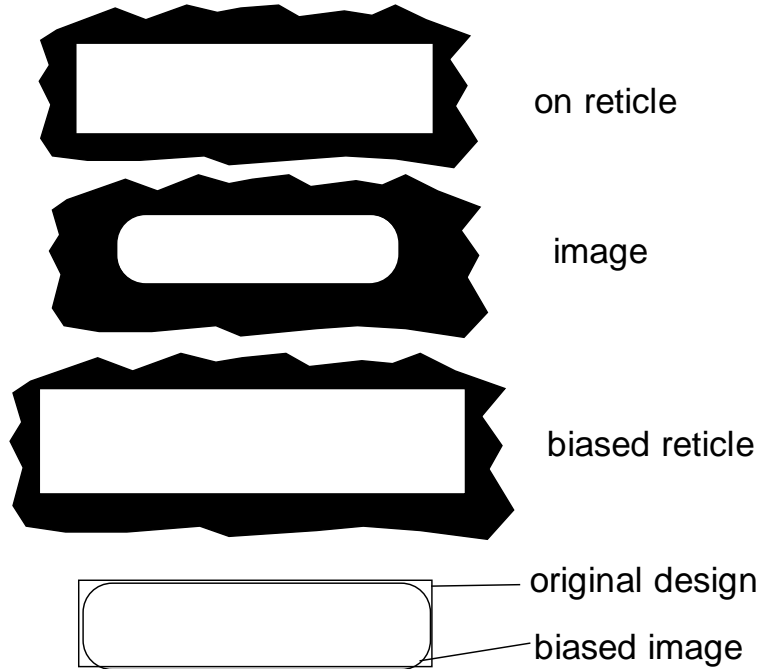
The lower k_1 , the more complex the Process

PAS5500/600 Introduction
January 2001



Resolution Enhancement Techniques (RETs)

Linewidth biasing

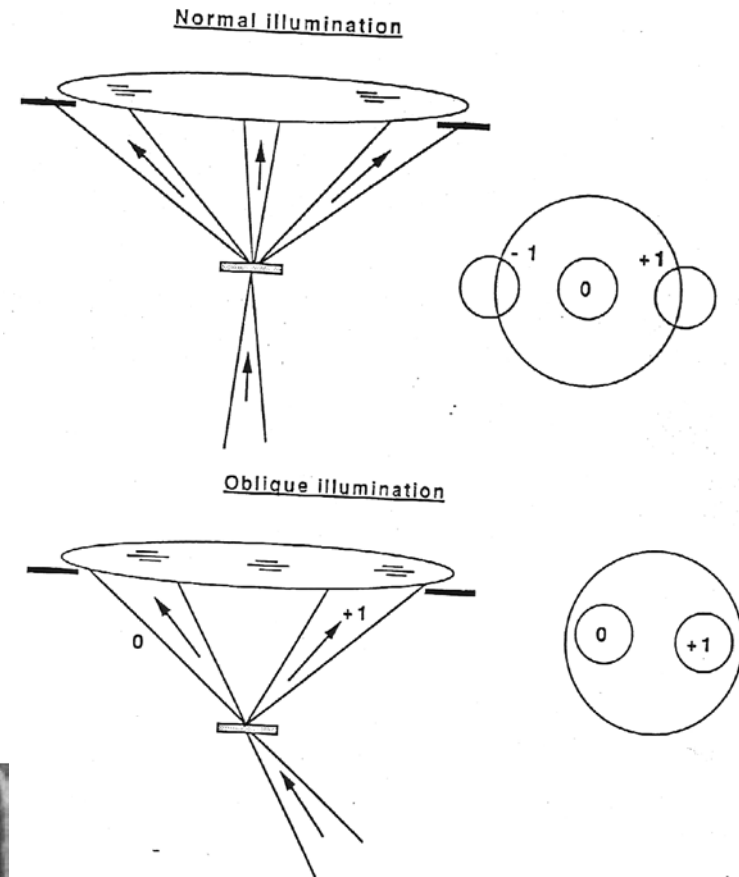
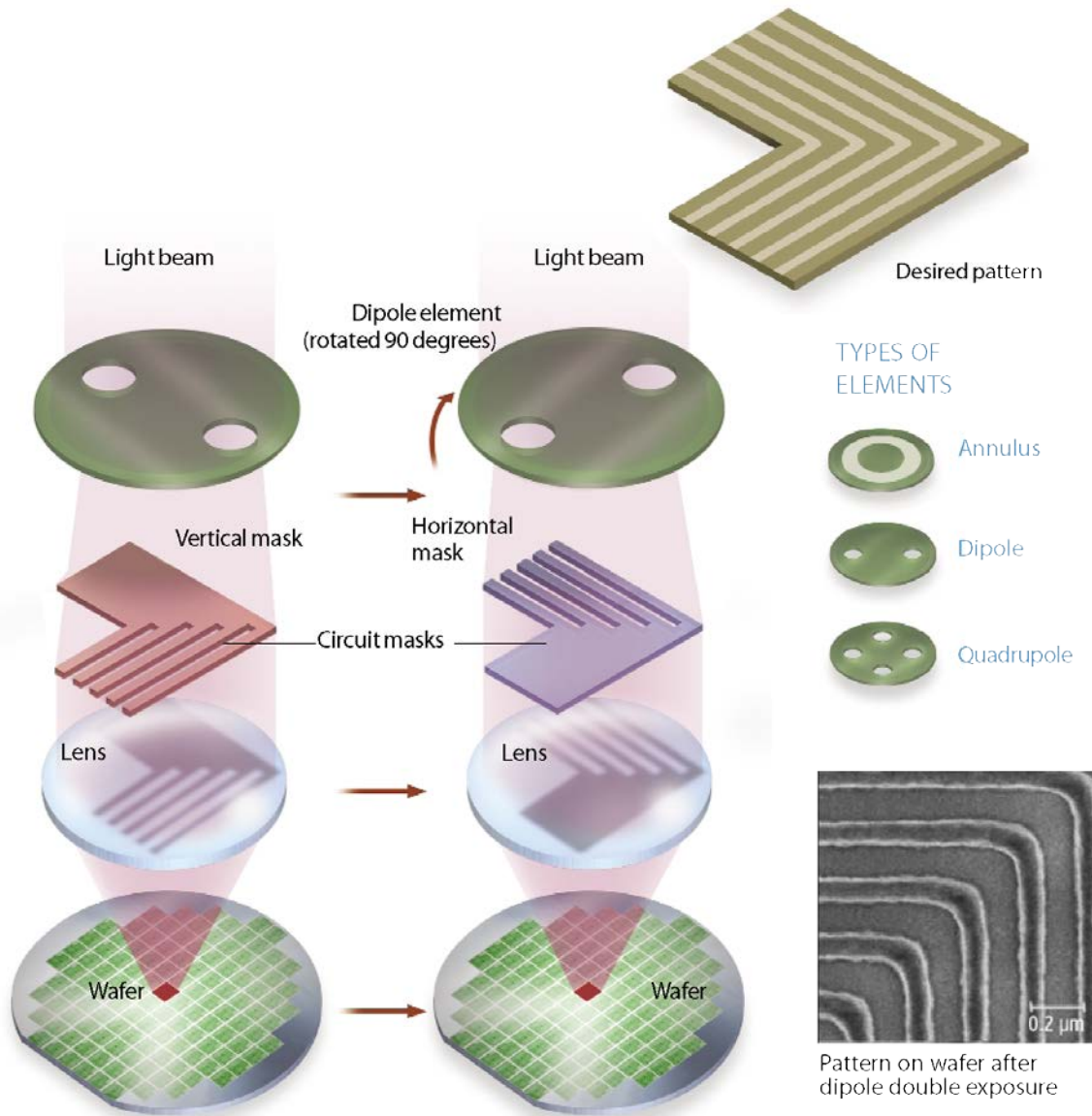


Earliest form of optical proximity compensation,

Improves **pattern fidelity**, but not increasing **resolution** or **contrast**

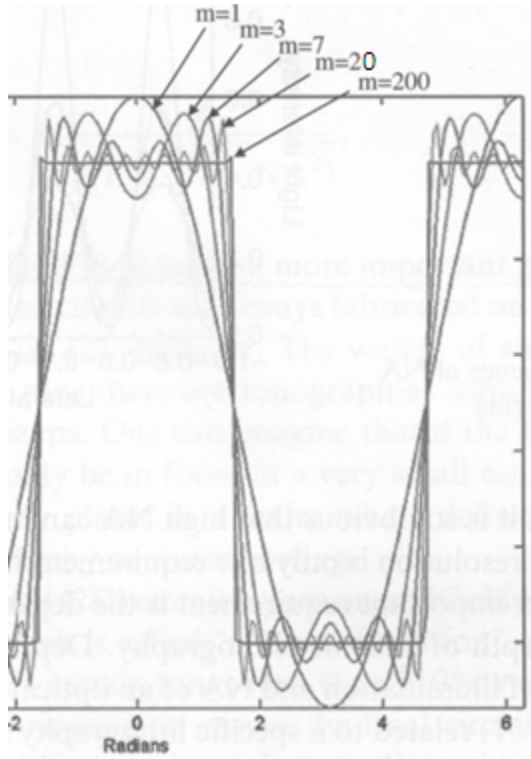
Should use *Imaging Enhancement Techniques* rather than RETs

RET 1: Partially Coherent Illumination



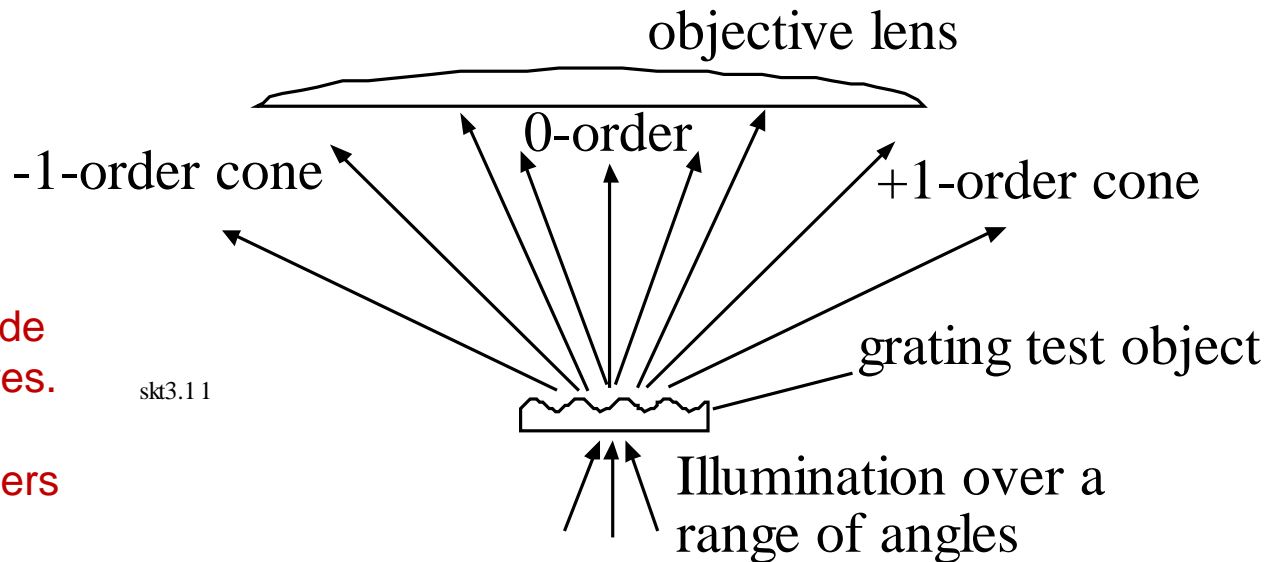
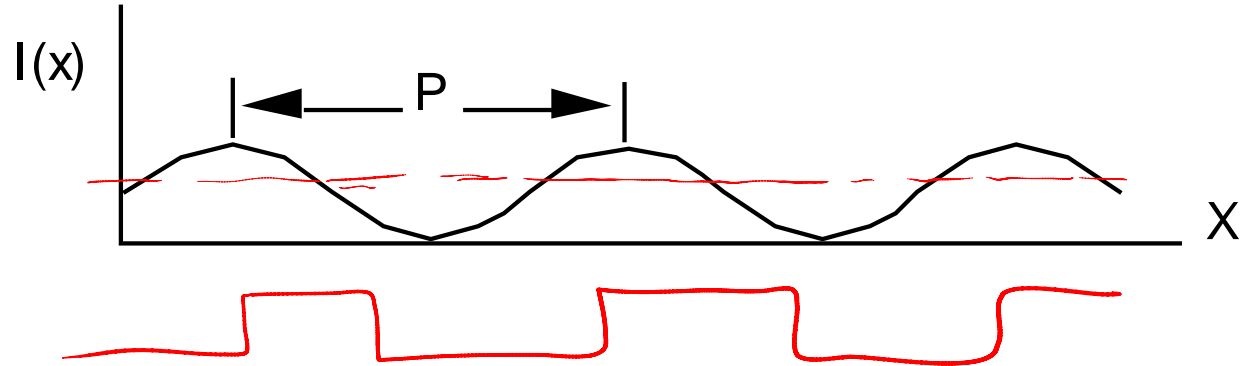
- More order of diffraction
- Reduces contrast
- Pattern dependent
- Best for Manhattan Structures

Image contrast



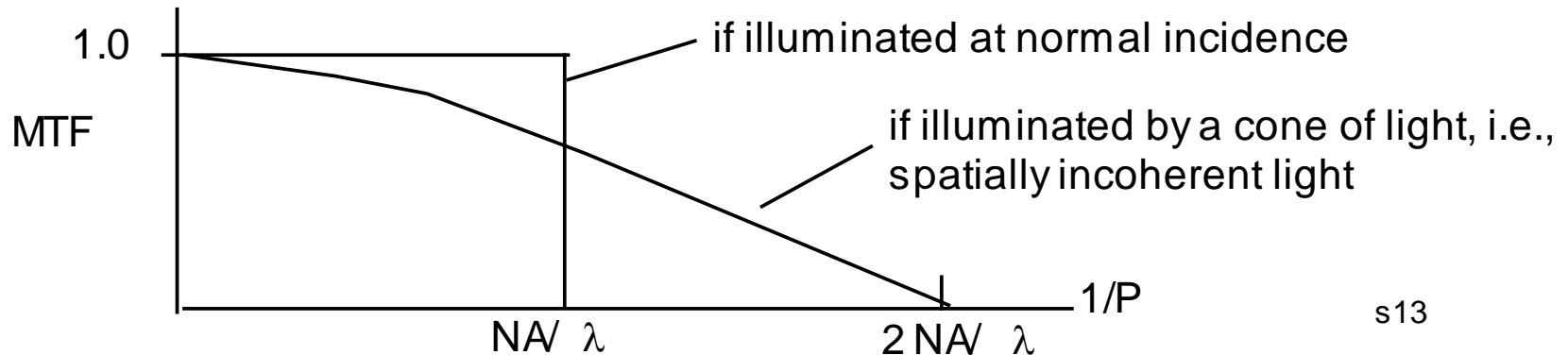
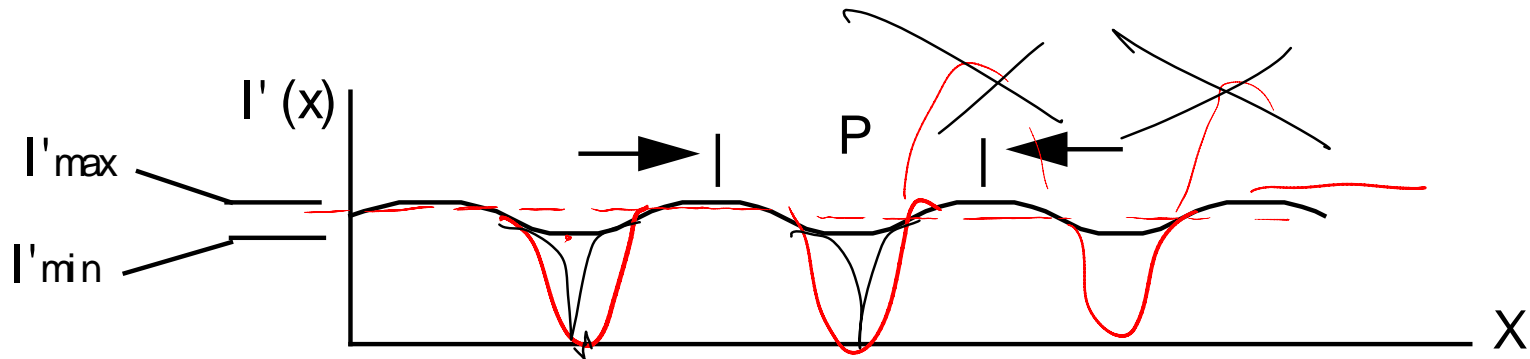
Higher order diffractions provide
Additional details of the features.

But at least two diffraction orders
need to be captured



Modulation-Transfer Function (MTF)

s12

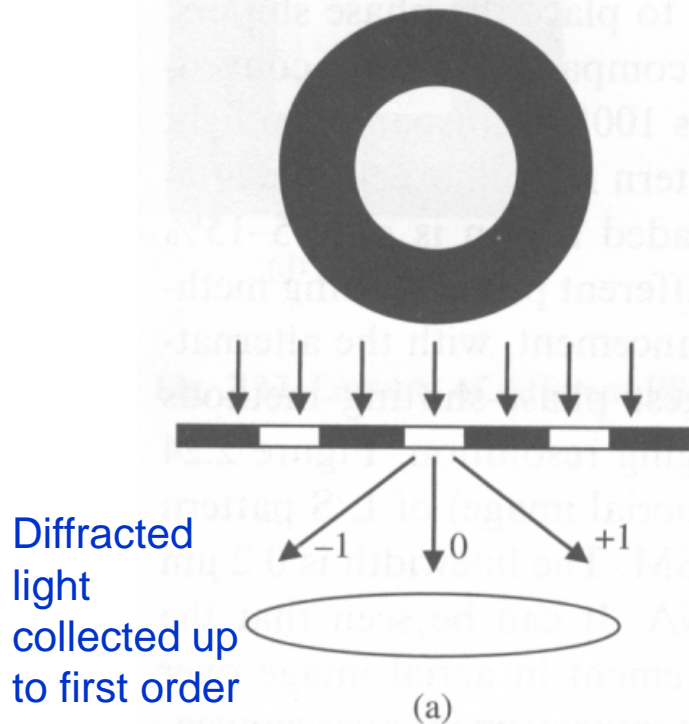


s13

$$MTF = \frac{I'(\max) - I'(\min)}{I(\max) - I(\min)}$$

Types of Off Axis Illumination (OAI)

Conventional illumination



Off-axis illumination

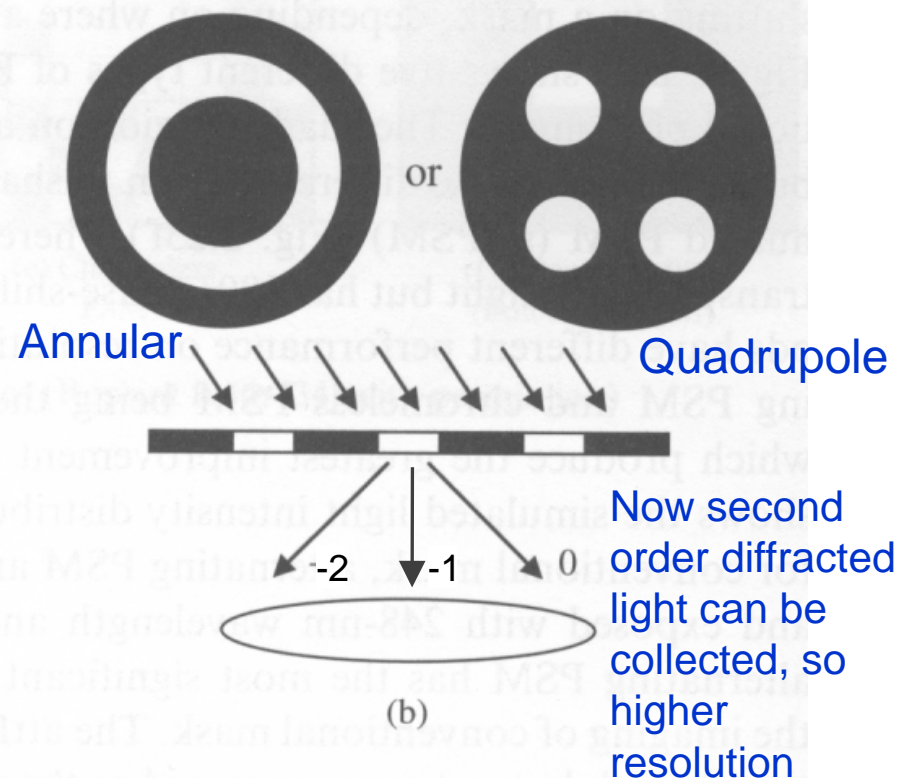


Fig. 2.21 Comparison of conventional and off-axis illumination schemes: (a) conventional aperture (b) off-axis apertures (annular or quadrupole)

Quadrupole: most effective for line/space pattern (depends on line orientation, best for vertical or horizontal line/space pattern), less for isolated features.

Annular OAI: less resolution enhancement, but orientation independent.

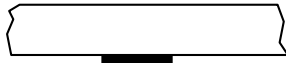
Easiest and cheapest RET, state-of-art OAI apparatus are programmable for each set of masks.

RET 2: Phase-Shifting Masks (PSM)

binary mask



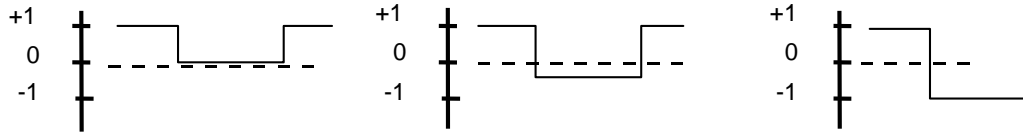
attenuating phase-shift mask



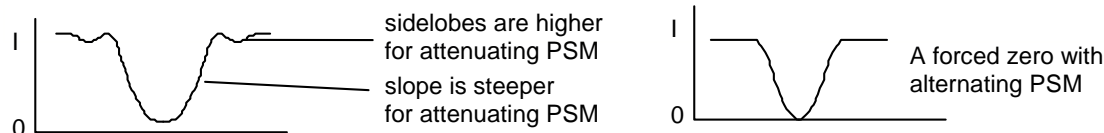
alternating phase-shift mask



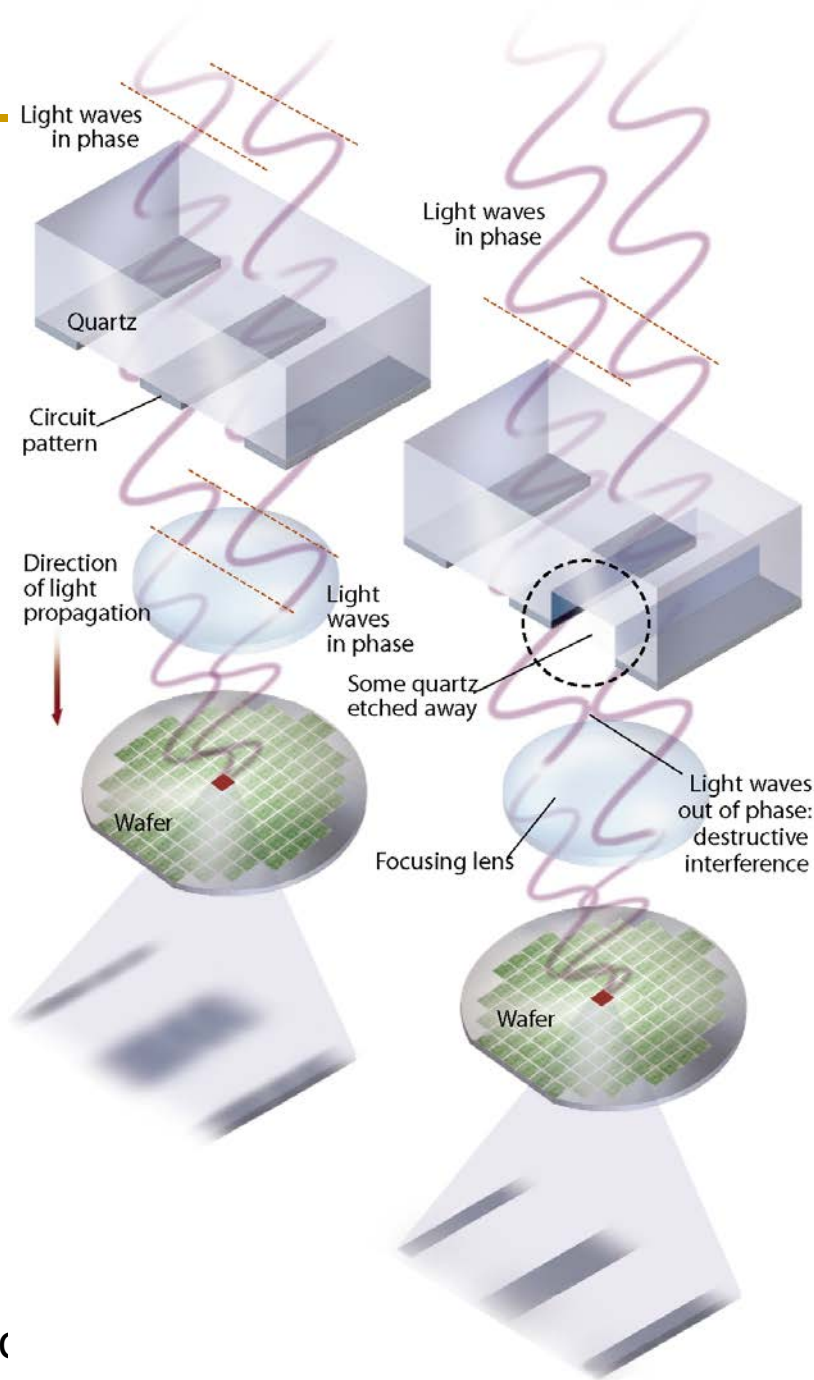
Electric field at mask plane



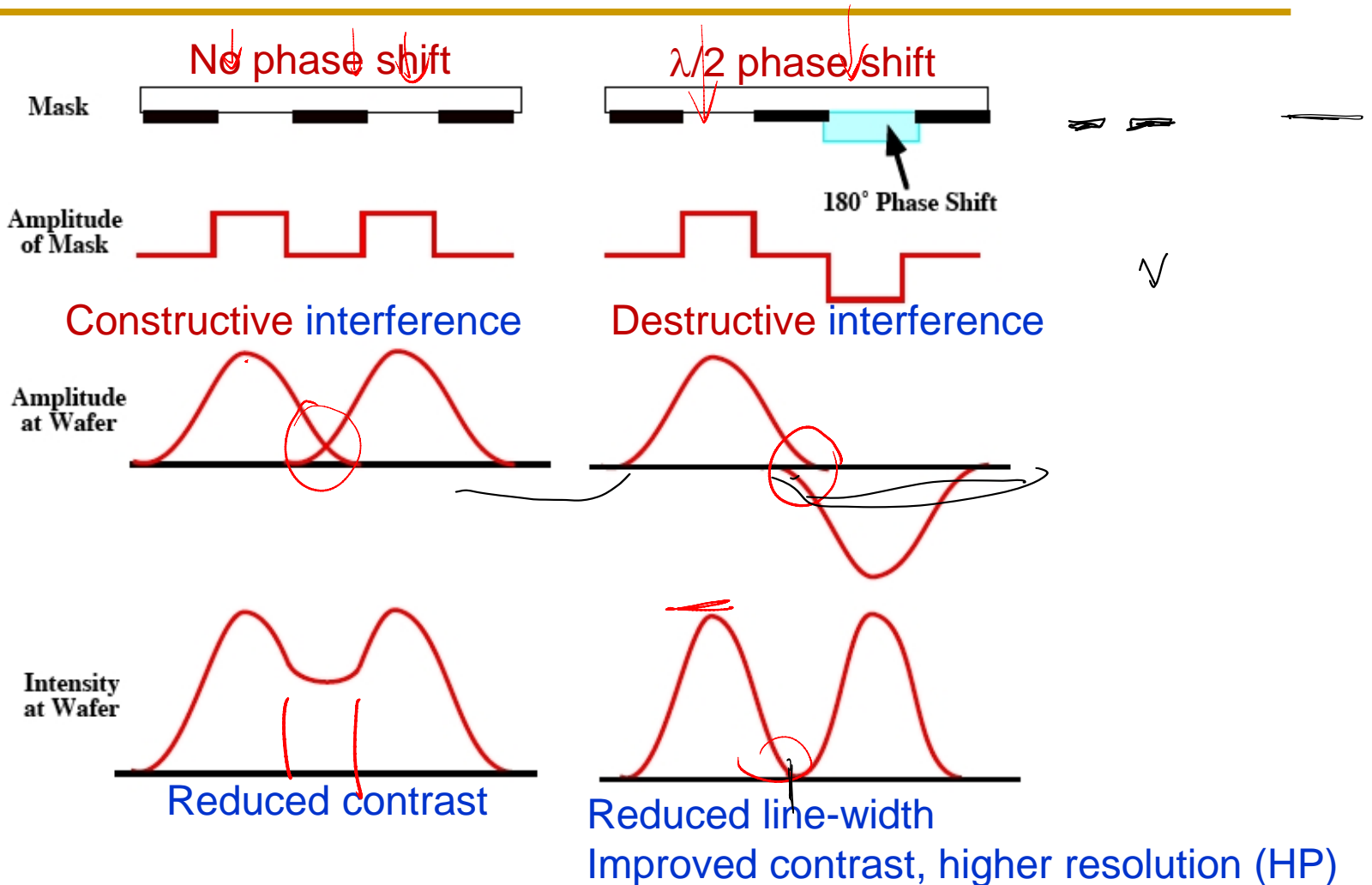
Intensity at substrate plane



- Increases the contrast, not resolution
- Extensive calculation and pre-compensation
- Increases mask cost



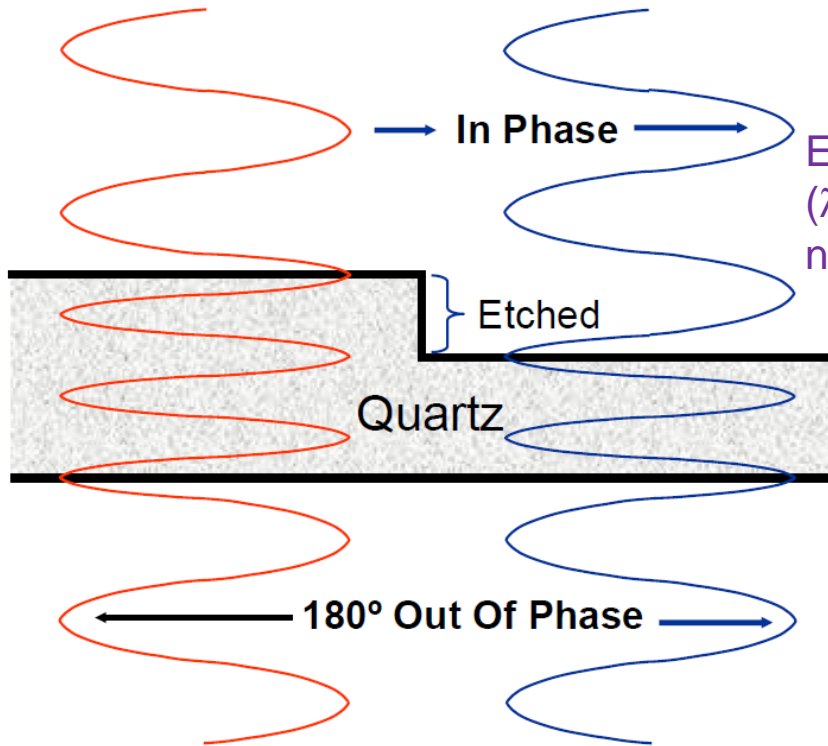
Alternating Phase Shift Mask



- PSM changes the phase of light by 180° in adjacent patterns, leading to destructive interference rather than constructive interference.
- Improves contrast of aerial image on wafer. Making k_1 smaller.

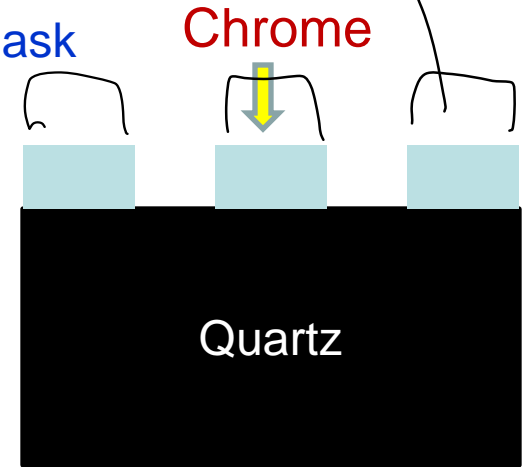
Fabrication of Alternating PSMs

e-beam resist

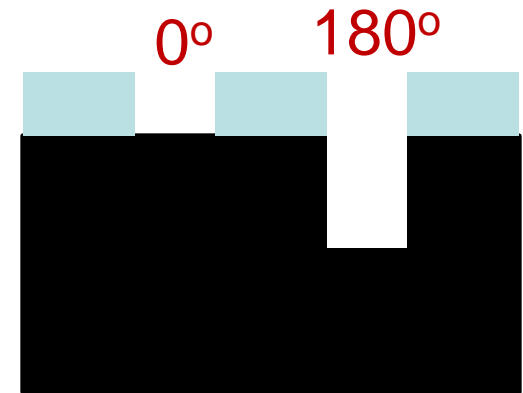


Etch depth:
 $(\lambda/2)/(1.56-1)=172\text{nm}$
 $n(\text{quartz})=1.56$

Standard mask



Phase-shift mask

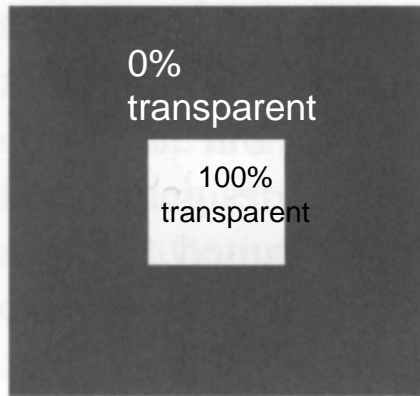


Quartz etched to induce shift in phase

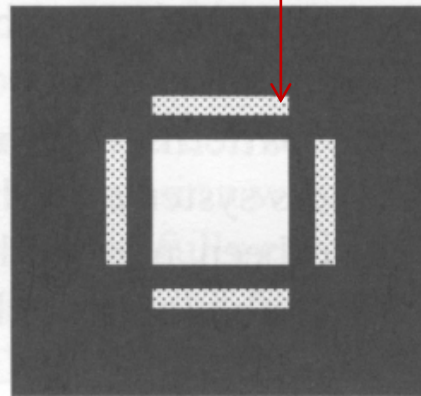
- Need two patterning steps with accurate alignment.
- Fabrication cost 10× that of binary mask.

Different phase-shift mask schemes

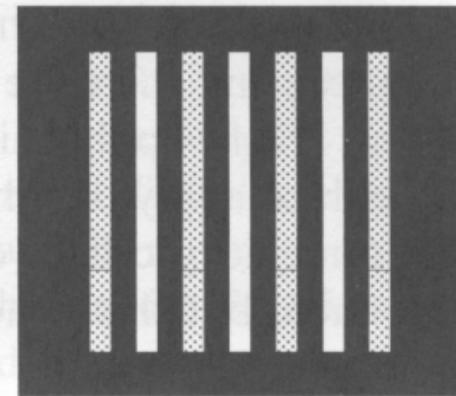
Shaded region: 100% transparent, but 180° phase shift



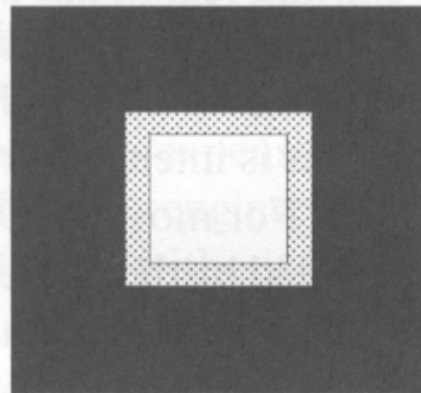
(a) Binary mask
(conventional)



(b) Auxiliary PSM
(scattering bars PSM)

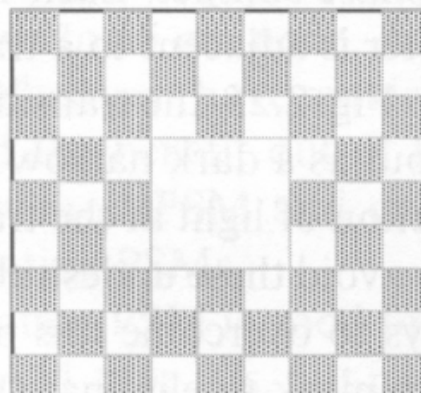


(c) Alternating



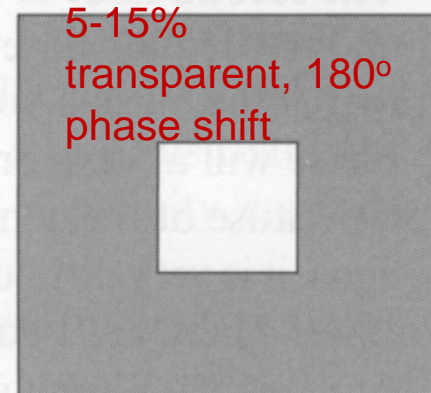
(d) Rim PSM

similar to (b) (auxiliary PSM)



(e) Chromeless
PSM

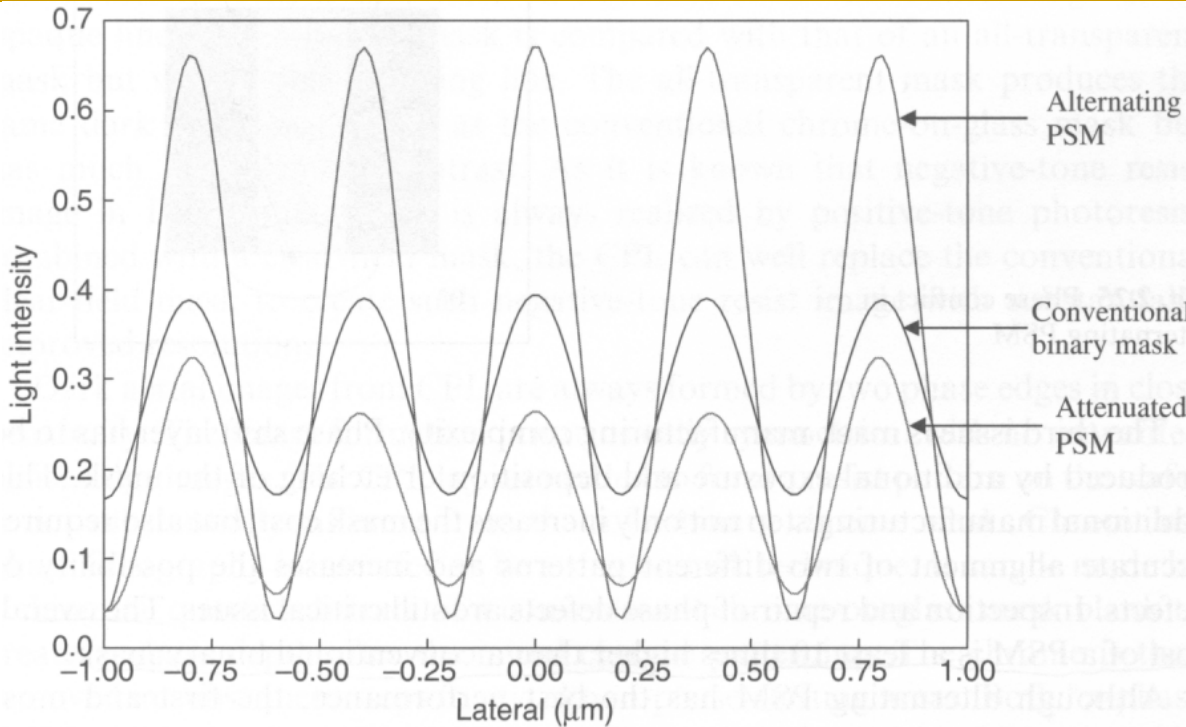
No Cr metal: 100% transparent everywhere



5-15%
transparent, 180°
phase shift

(f) Attenuated PSM
(Embedded PSM)

Comparison of binary, alternating and attenuated PSM

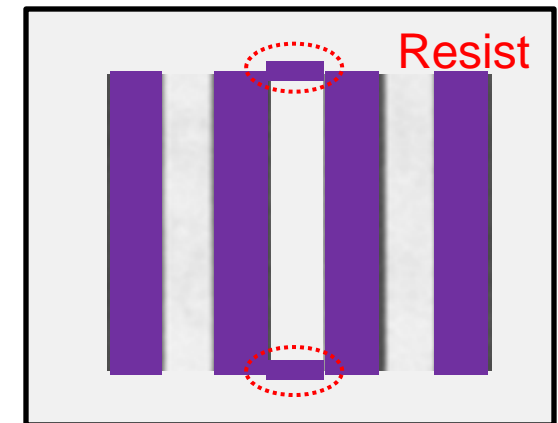
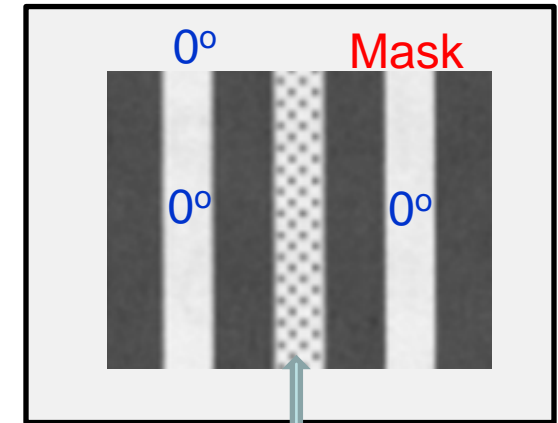


Alternating PSM gives highest contrast, but

- It is good only for periodic structures (memory cell), not for random patterns (CMOS).
- Phase conflict may happen \rightarrow undesired dark regions at the boundary of 0° and 180° phase.

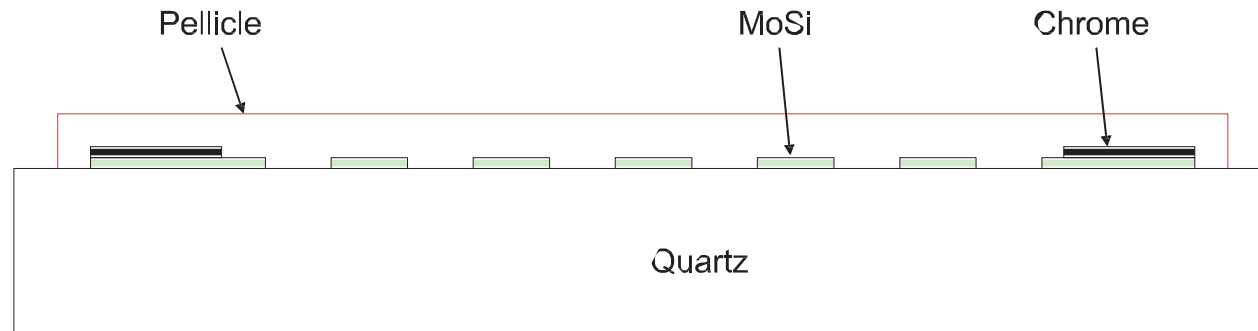
In reality, the attenuated PSM is most widely used.

Phase conflict

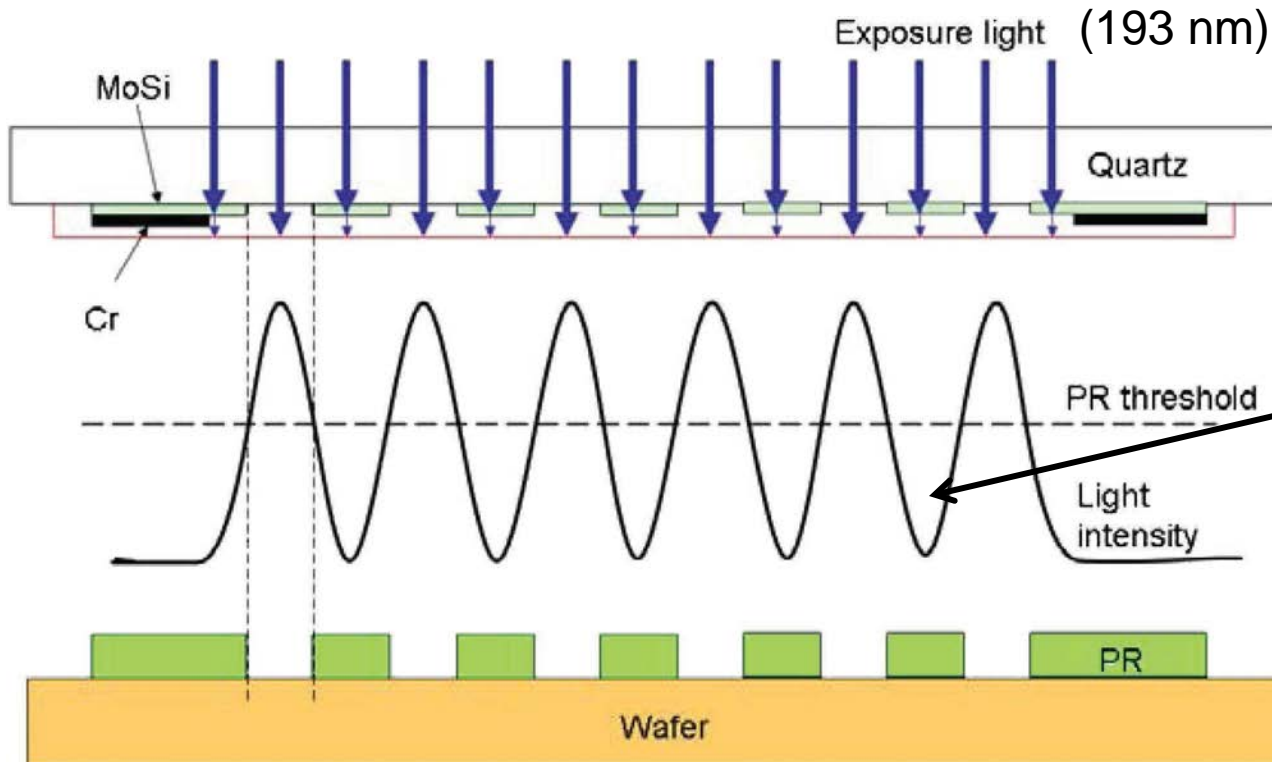


Positive resist after development

Attenuated Phase Shift Mask (AttPSM)



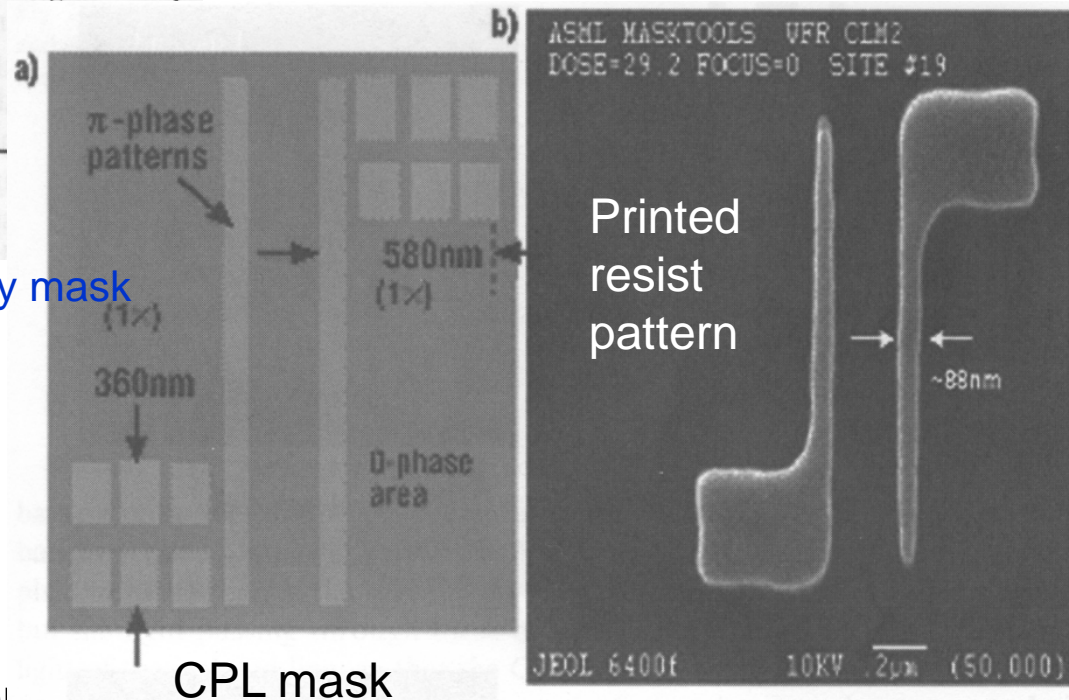
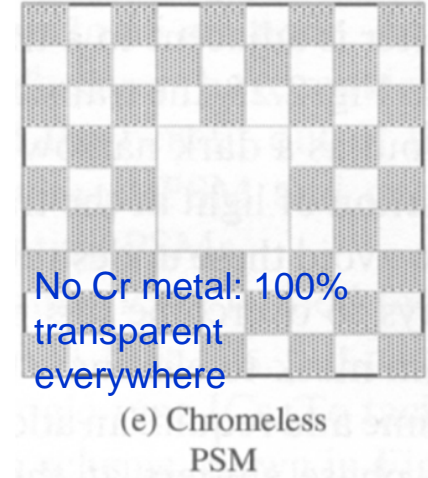
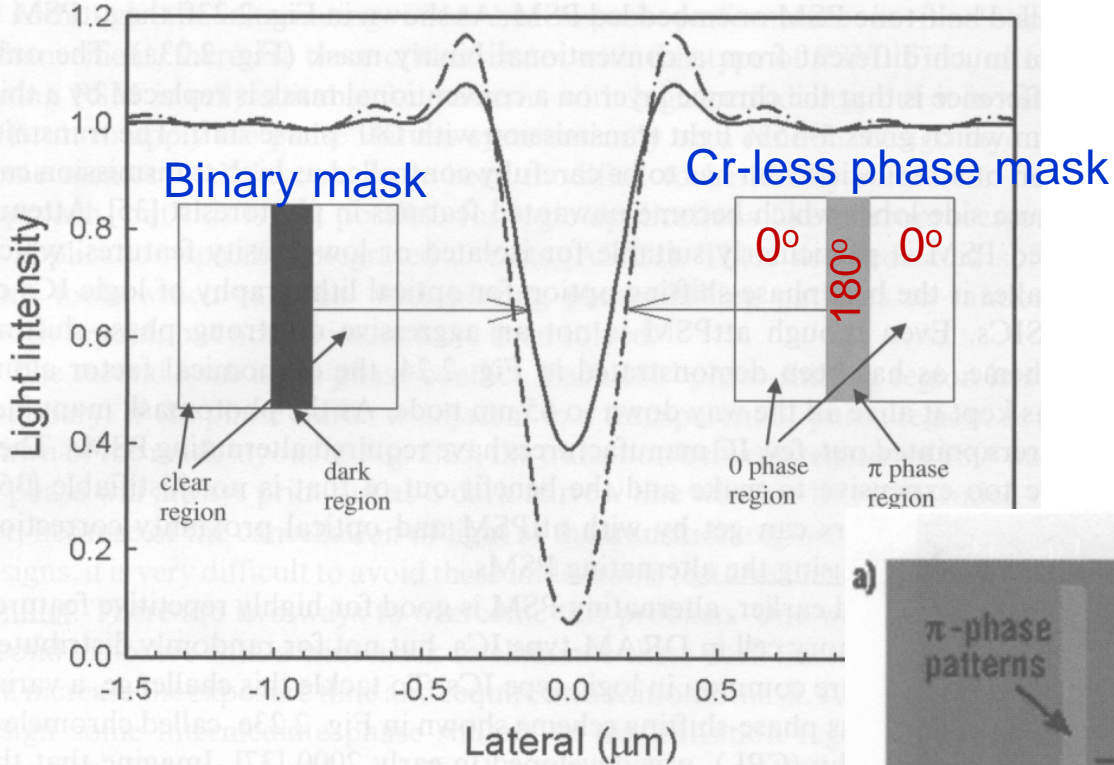
MoSi: 6-20% transmission, but induces a 180° phase shift for 193 nm light



Transmitted light cancels out the diffracted light from the clear apertures

The dominant technology today

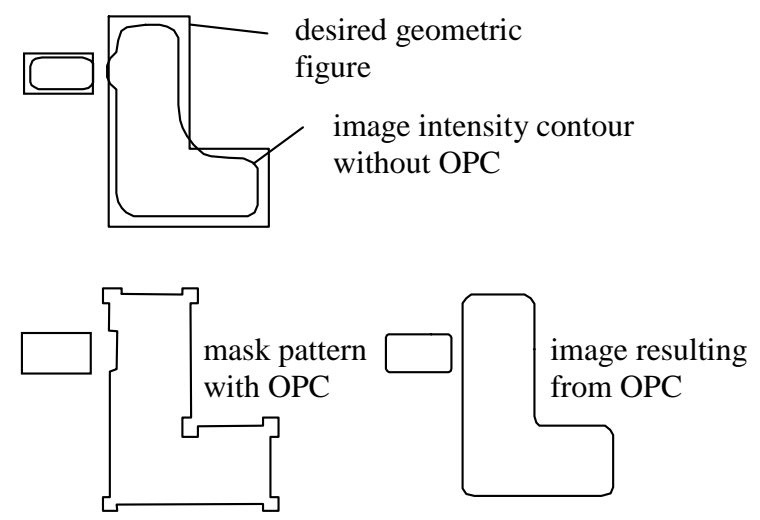
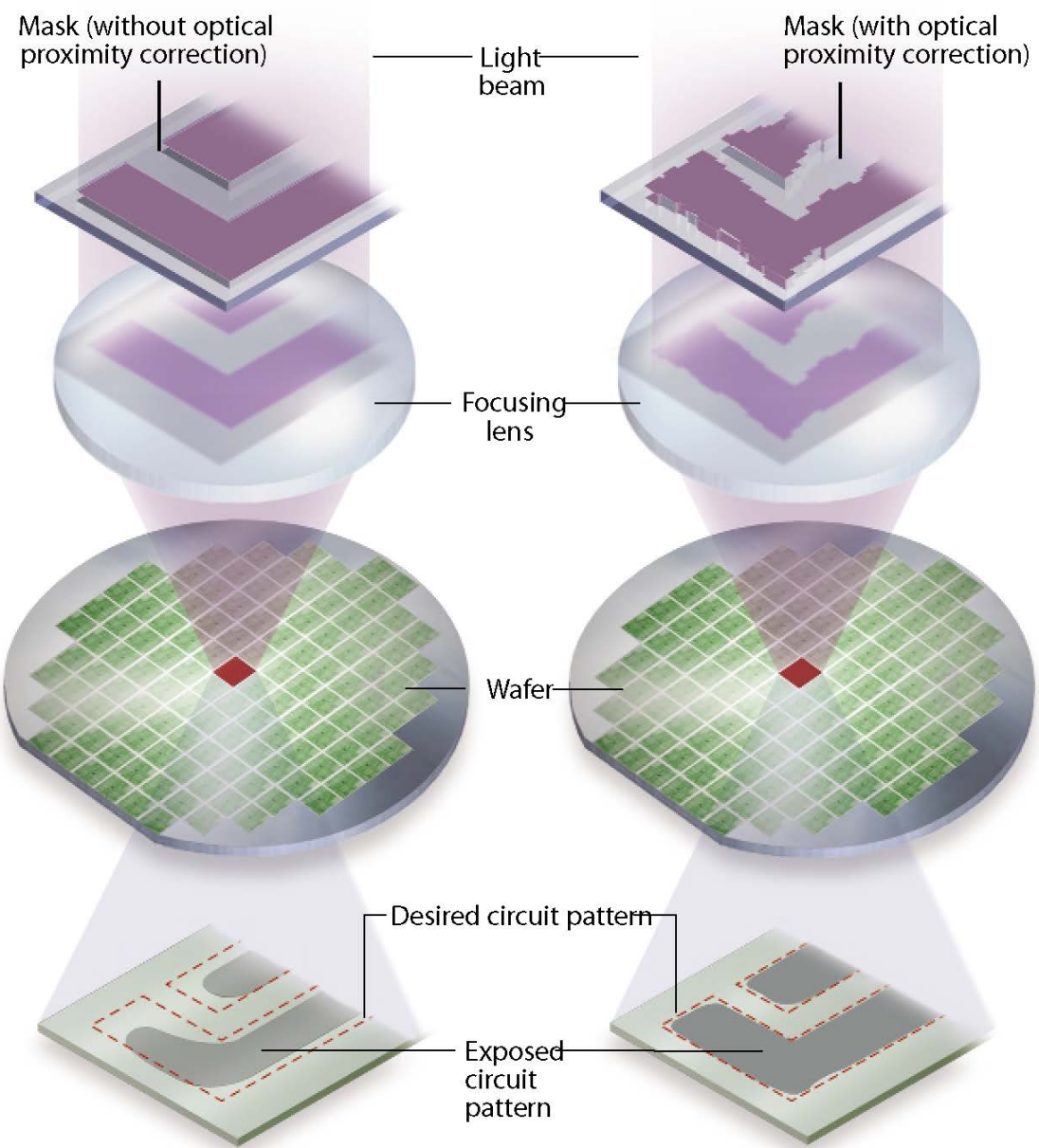
Chromeless phase lithography (CPL)



Comparison of CPL with conventional binary mask

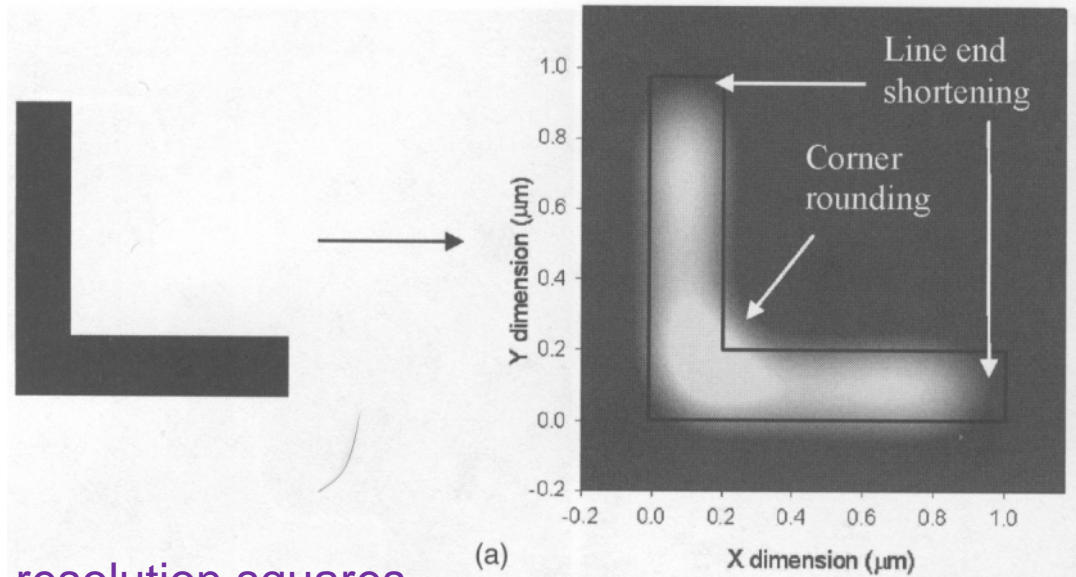
CPL has better image contrast, thus higher process window.

RET 3: Optical Proximity Correction (OPC)



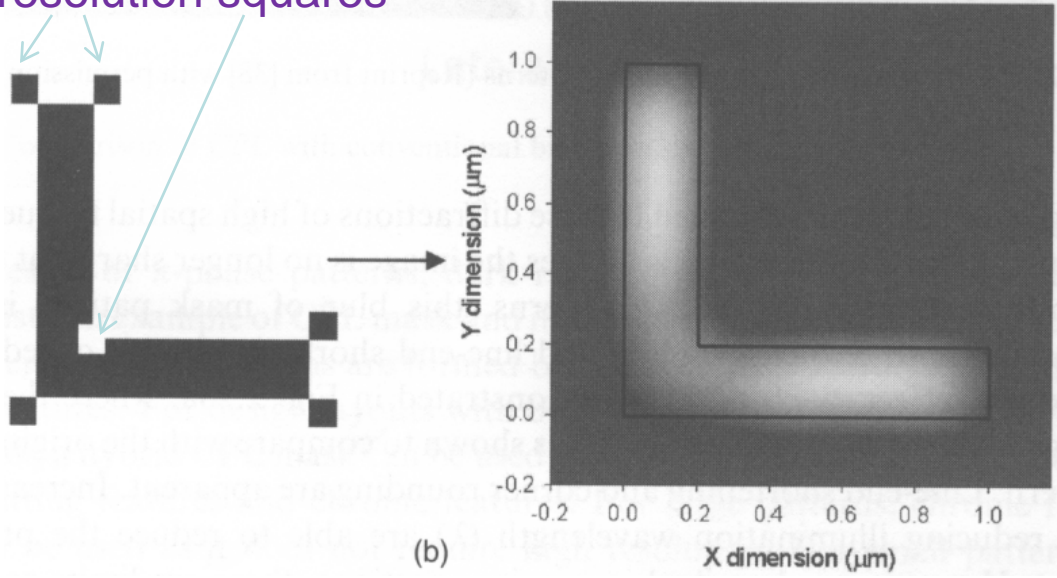
- Improves pattern fidelity
- Requires extremely high computation power (OPC correction is pattern dependent)
- Increases mask cost (defeats the advantages of 4x pattern reduction)

OPC by adding/taking away **sub-resolution** features



Optical proximity effect result in corner rounding and line-end shortening.

Sub-resolution squares

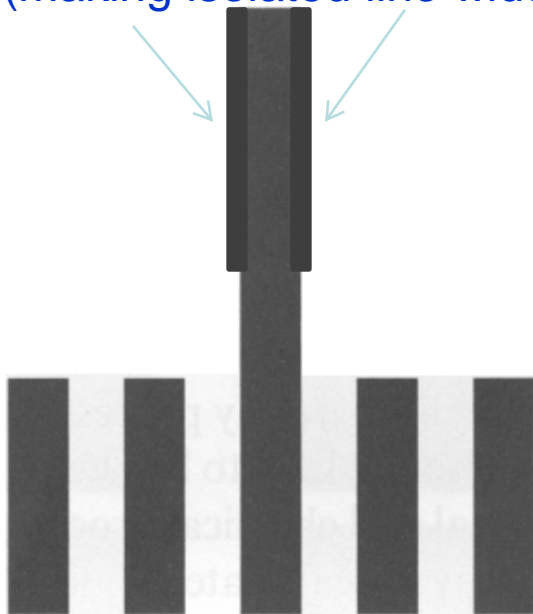


Optical proximity correction: modifies the mask design to restore the desired pattern.

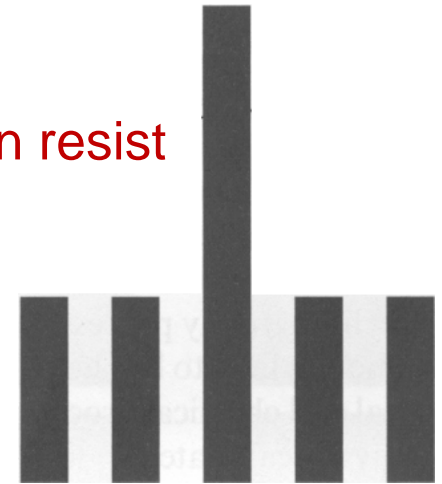
The sub-resolution features won't appear in the resist.

OPC: biasing or adding scattering bars

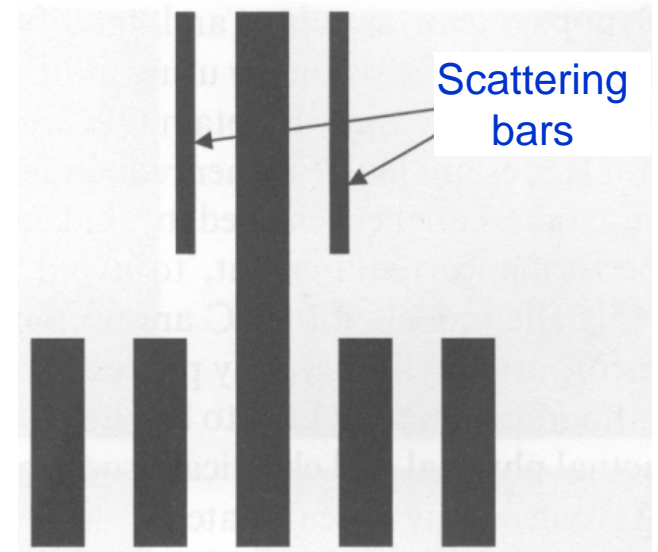
Biasing (making isolated line wider)



Desired dose in resist



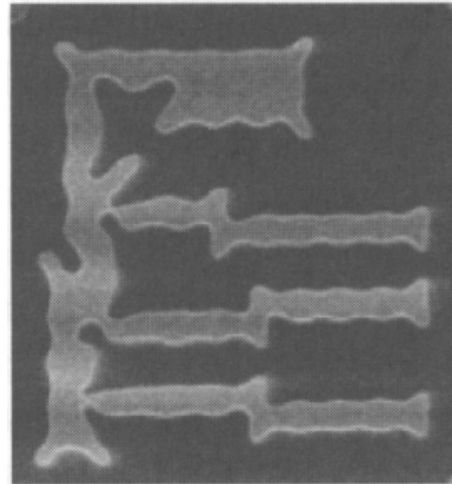
Adding (sub-resolution) scattering bars



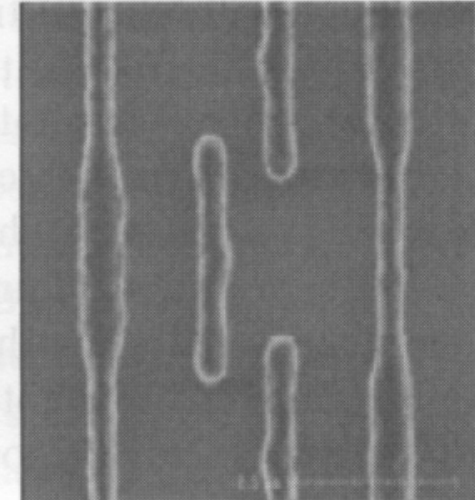
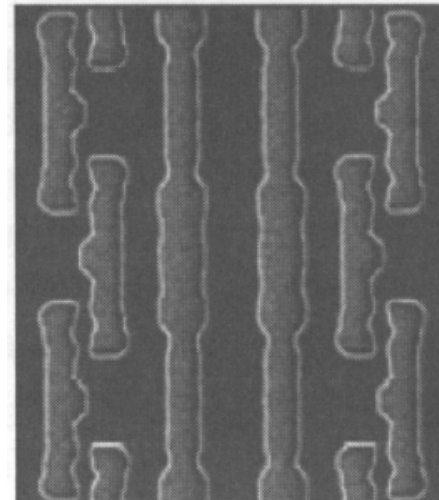
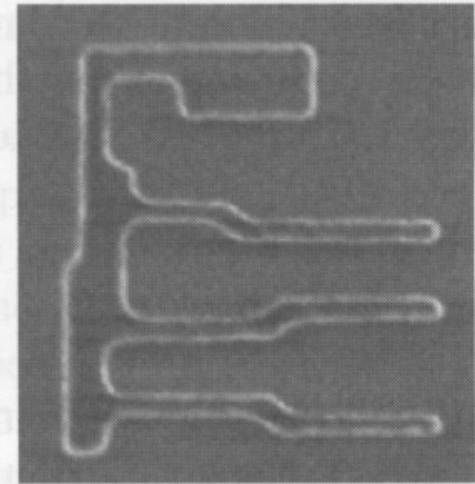
Inverse lithography technology (ILT) (ultimate solution for OPC)

- Design the photomask by working out how an ideal image is generated. I.e. working backwards to find the “perfect” mask that can generate the ideal image.
- Very complicated math, data file for such a mask ~1000GB.
- Still limited by the resolution (pixel size) of the lithography used to make the mask.

Mask



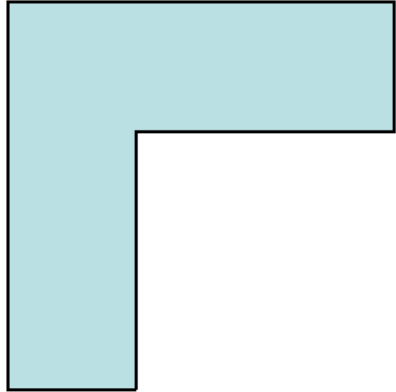
Resist pattern



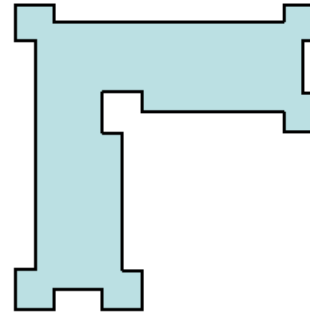
Top: aggressive ILT mask.
Bottom: non-aggressive OPC mask.

OPC Enables Resolution Enhancement

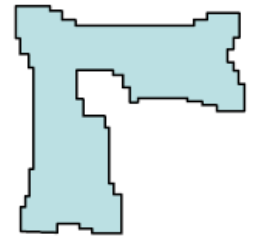
180nm
Conventional mask



Rule-based
OPC

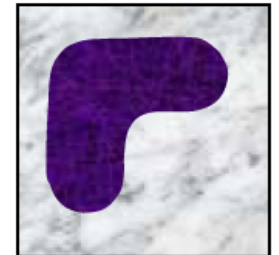
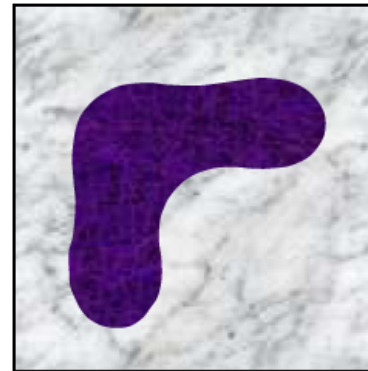
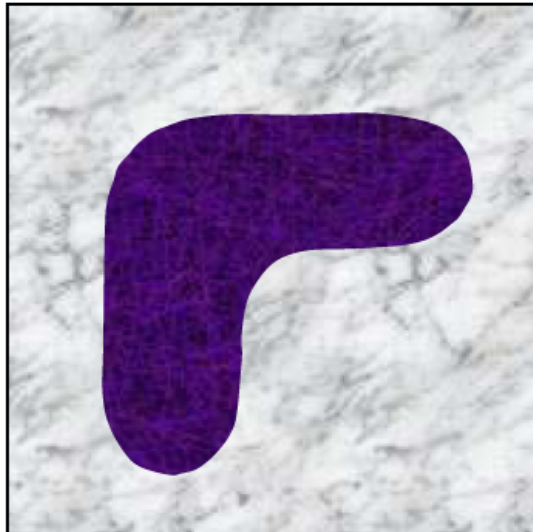


*Model-based
OPC*



Mask

Wafer

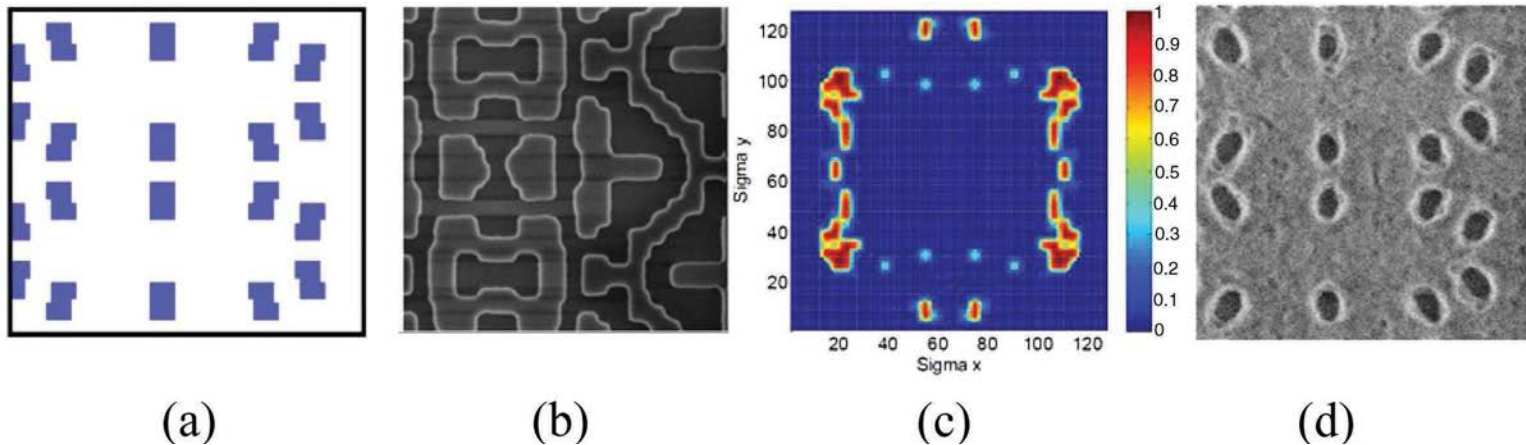


Rule-based OPC
improves 130nm

*Model-based
OPC enables
100nm*

Source Mask Optimization (SMO)

- Optimize Simultaneously the aperture features and mask patterns
- Does not require Phase Shift Masks

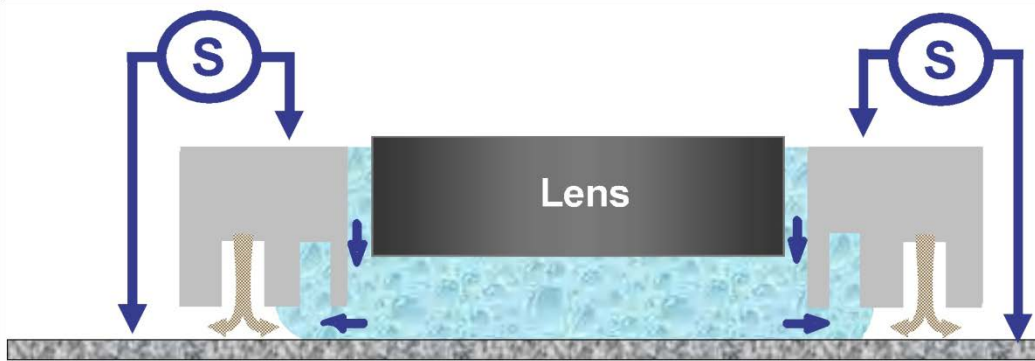


Xiao Figure 6.56 Example of SMO of contact photolithography: (a) design layout, (b) mask (binary, no PSM), (c) designed source, and (d) photoresist pattern on the wafer (K. Lai, et al., *Proc. of SPIE*).

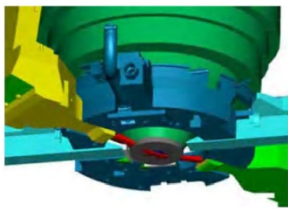
OPC vs PPC (process proximity correction)

- Other processes (like etch) also have *proximity* effect.
- Presence or absence of neighbor will affect how a process behaves for a particular feature
 - Lithography 'neighborhood' is about $1\mu\text{m}$.
 - Etch neighborhood is probably few microns (free radical diffusion length).
 - CMP (chemical mechanical polishing) neighborhood can be few mm.
- Correcting for optical (lithography) and few other processes is called *process proximity correction* (PPC).
- Typically lithography + etch corrections are used for PPC.
- CMP corrections are at a different (larger) scale: dummy features added to make the feature distribution more even across the wafer.

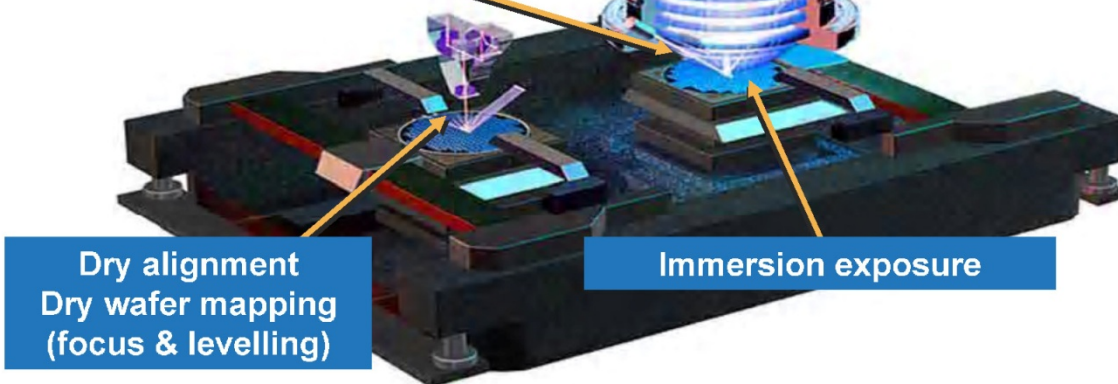
RET 4: Liquid Immersion



- Increases n , thus NA
- Currently the liquid is water
- New liquid under development
- Limited by indices of immersion liquid or final lens



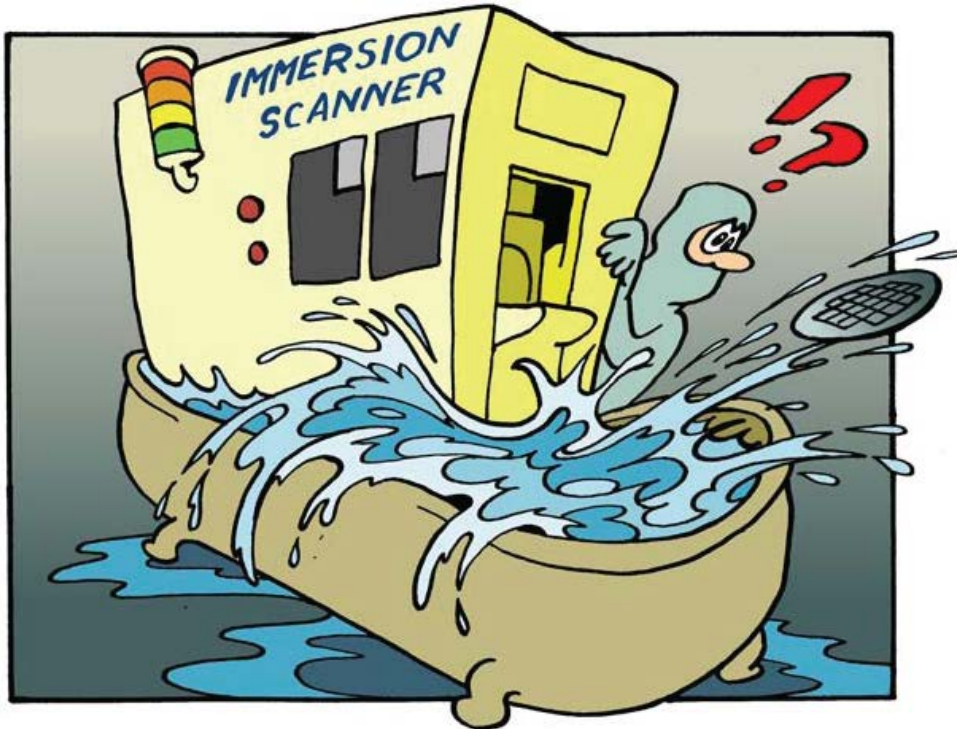
Immersion shower head



Immersion: Increase NA to > 1

- Very simple idea. Indeed, immersion is NOT new for optical imaging: oil immersion in optical microscope has been used for a century.
- But Immersion lithography is highly complex, and was adopted by semiconductor industry only recently (since 2004).

From research idea



to development

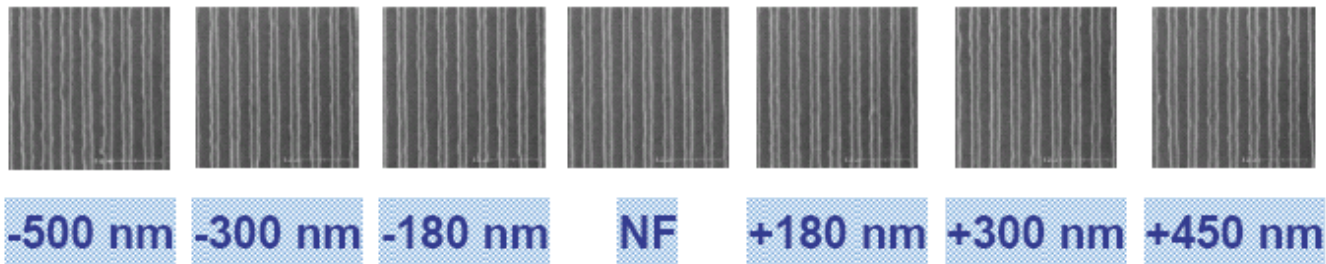


Like chemical mechanical polishing (CMP) used for IC interconnection, immersion lithography has been considered as impractical at the beginning.

Lithography Example

TWINSKAN XT:1700i: 42-nm images

- 1.2 NA: highest in the industry
- Catadioptric lens design
- 30% increase in resolution
- Volume production at 45 nm



42 nm HP, 84 nm pitch

NA = 1.2, $\sigma=0.89/0.98$ dipole X-35, polarized

Resist: 120 nm FFEM RK 2101

BARC: 42 nm 1C5D

Top coat: 140 nm TILC019

Tool: XT:1700Fi

What to be Immersed?

$$R = k_1 \frac{\lambda}{NA} = k_1 \frac{\lambda}{n \sin \theta} = k_1 \frac{\lambda / n}{\sin \theta} = k_1 \frac{\lambda'}{\sin \theta}$$

In principle, one can put the entire exposure system inside water and use lens having n multiplied by n_{water} .

This is equivalent to use a light source having λ reduced by a factor n_{water} .

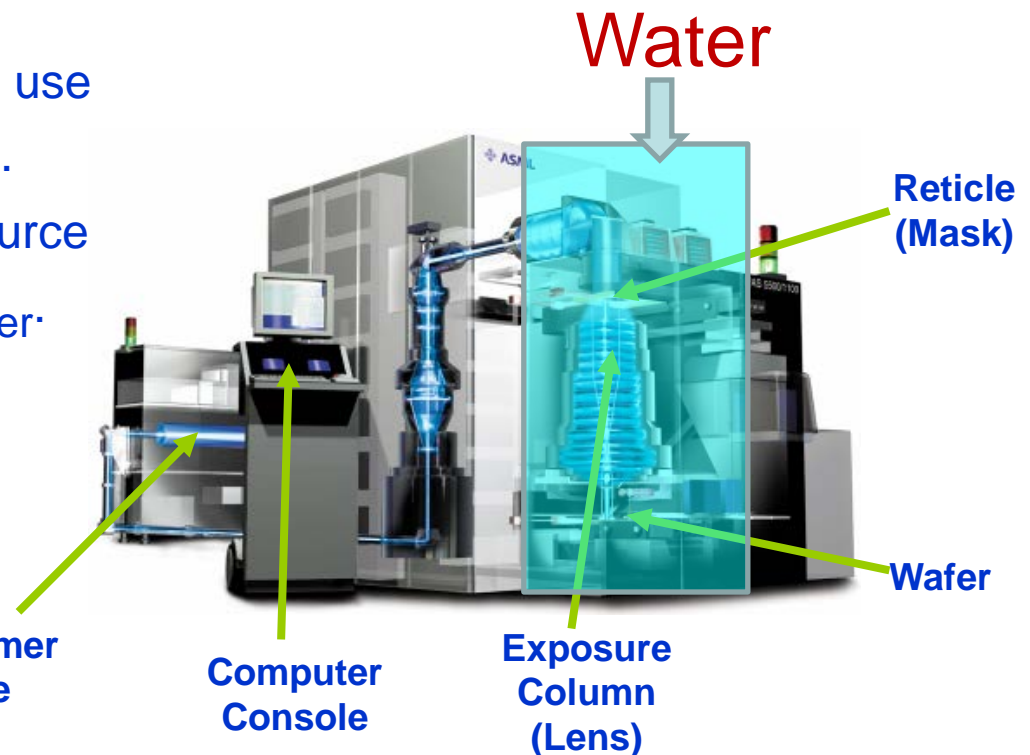
$n_{\text{water}} = 1.44$ at 193nm.

So λ : 193 \rightarrow 134nm.

193 nm Excimer
Laser Source

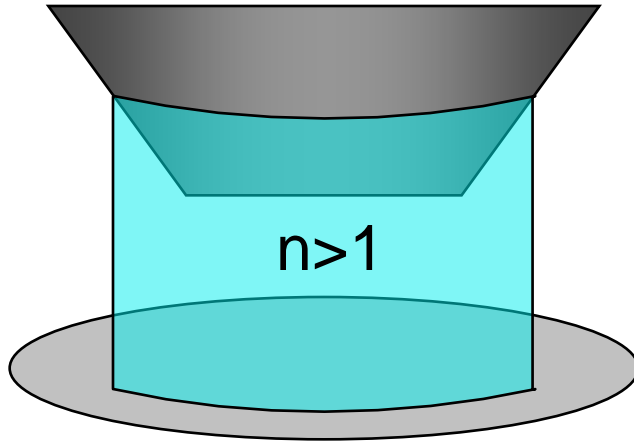
Computer
Console

Exposure
Column
(Lens)

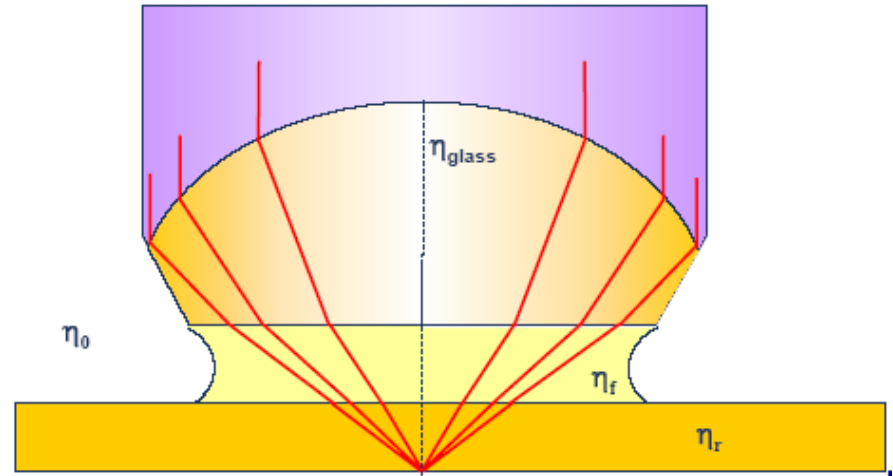


However, total immersion is not practical, and is not necessary.

Immersion between projection lens and wafer



Snell's law : $NA = \eta_0 \sin \theta_0 = \eta_f \sin \theta_f = \eta_r \sin \theta_r$



The medium between the lens and the wafer must:

- Have an index of refraction > 1
- Have low optical absorption at 193 nm
- Be compatible with photoresist and the lens material
- Be uniform and non-contaminating

$$NA = n \times \sin \alpha \propto n$$

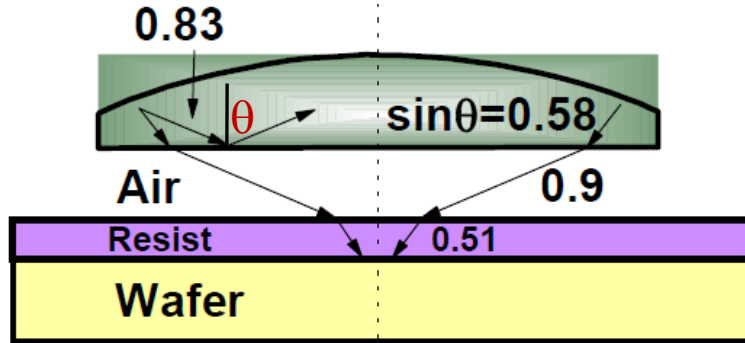
Can be > 1 for $n > 1$

Surprisingly, ultrapure water meets all of these requirements:

$n = 1.44$, absorption of $< 5\%$ at working distances of up to 6mm, compatible with photoresist and lens, non-contaminating in its ultrapure form.

Mechanism for Resolution Enhancement

Air

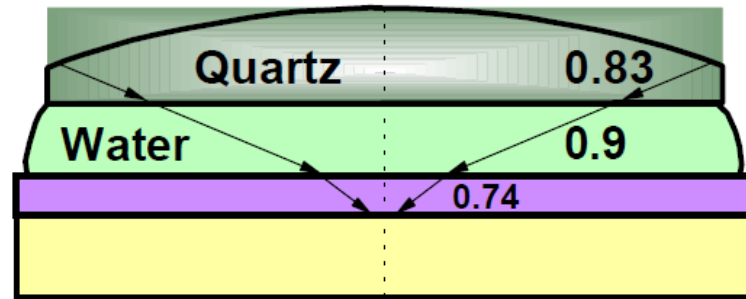


For $NA=0.9$, inside lens
 $\sin\theta=0.9/1.56=0.58$
 $(\theta=35.5^\circ)$

Total internal reflection for
 $\sin\theta > 1/1.56=0.64$ ($\theta=39.8^\circ$)

Collect light up to 35.5° ;

Water immersion



$n_{\text{quartz}}=1.56$
 $n_{\text{water}}=1.44$
 $n_{\text{resist}}=1.75$

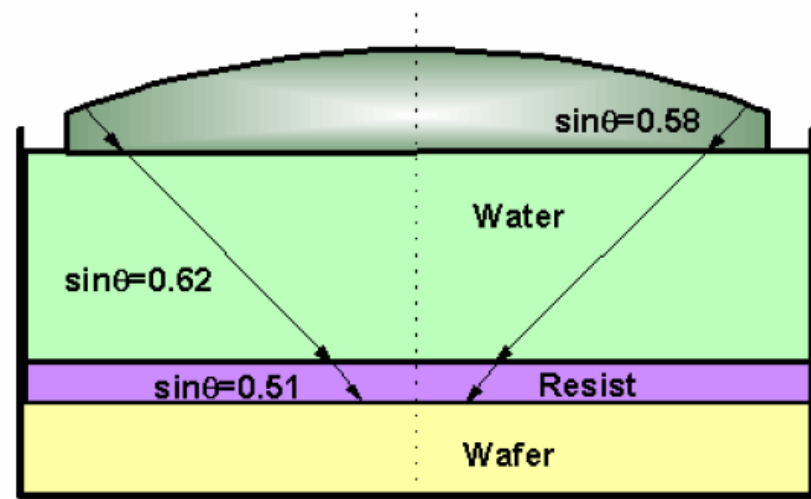
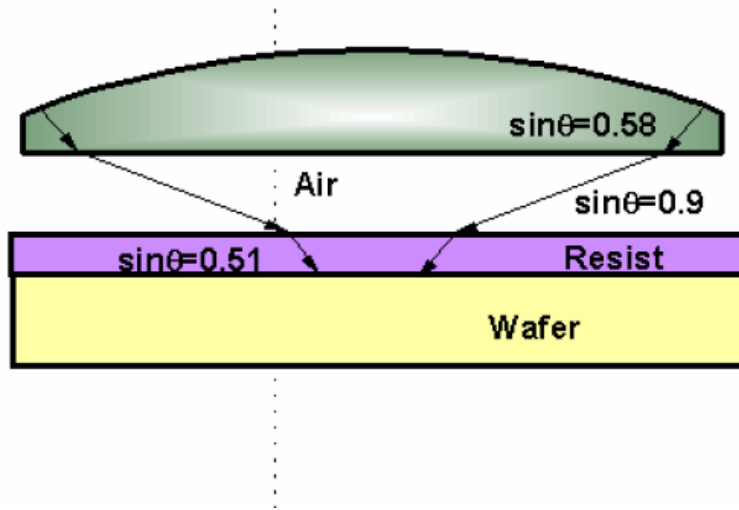
Inside lens $\sin\theta=1.44 \times 0.9/1.56=0.83$
 $(\theta=56^\circ)$

So light, which is internally total-reflected for air, can now be collected to form image in the resist.

Collect light up to 56° (angle inside lens)

$NA=0.9$ in air; $NA=1.3$ in water

For the same resolution, immersion also increases depth of focus (DOF)



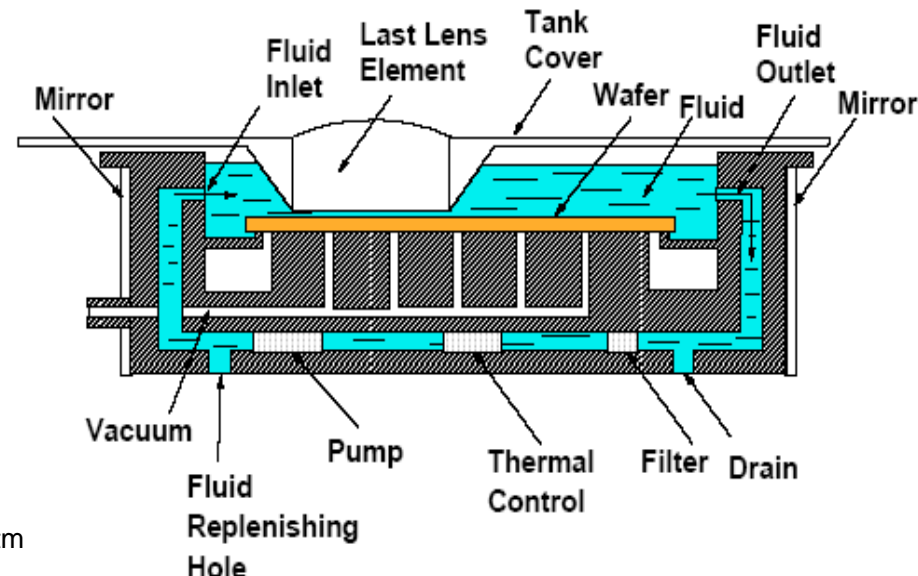
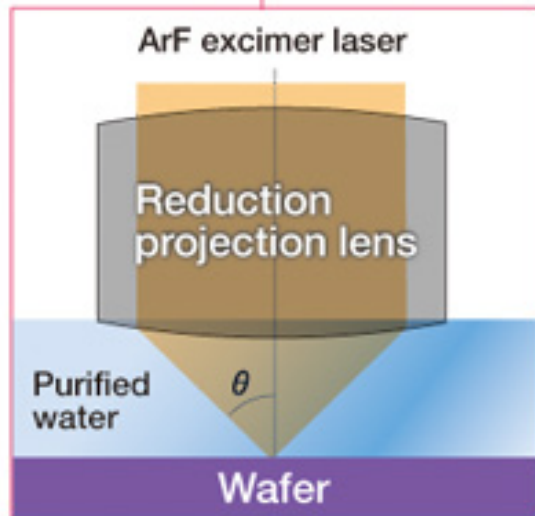
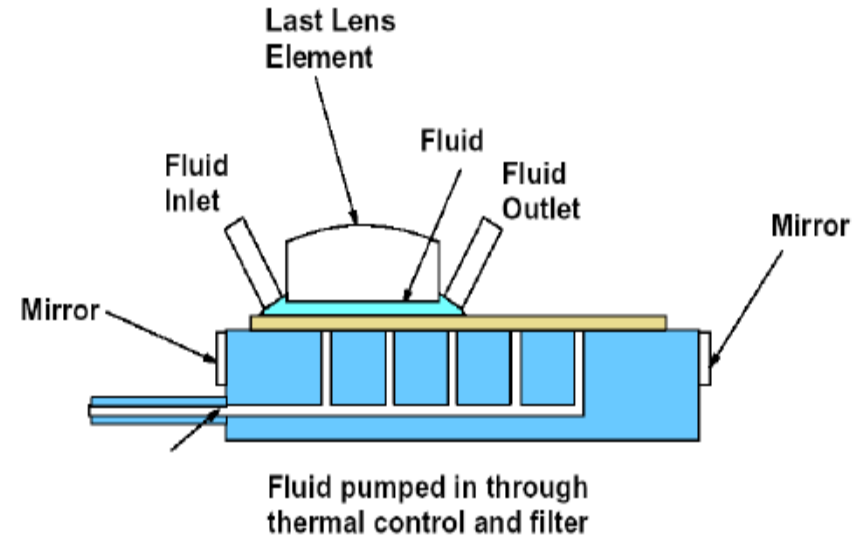
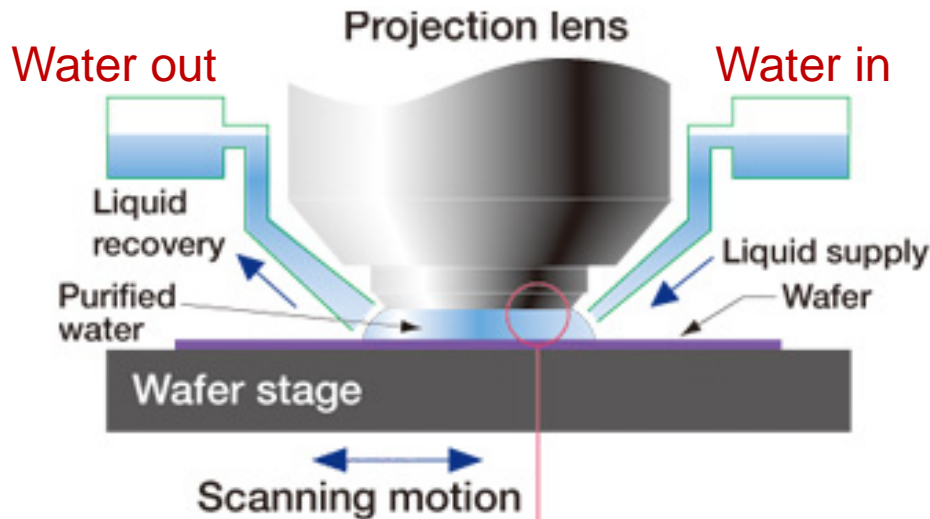
Depth of Focus or Depth of Field (DOF):

$$DOF = k_2 \frac{\lambda}{(NA)^2} \quad \text{For } NA \leq 0.5$$

$$DOF = k_3 \frac{\lambda}{2(1 - \sqrt{1 - NA^2})} \quad \text{For } NA > 0.5$$

But when at higher resolution by $n\times$, depth of focus will become an issue.

Immersion lithography system: >\$50M



http://www.nikon.com/about/technology/rd/core/optics/immersion_e/index.htm

ArF Immersion extension with High Index?

Fluid	Water	Available	Available	New
Refractive Index	1.44	1.65	1.65	>1.8
Glass	Current	Current	New Material	New Material
Refractive Index	1.57	1.57	1.9	1.9
Max NA ($0.95 * RI_{min}$)	1.35	1.4	1.55	1.65
Minimum Resolution, $k_1=0.28$	40	39	35	33
Shrink		4%	13%	18%
Estimated Timing	2007	2009	>2010	>>2010

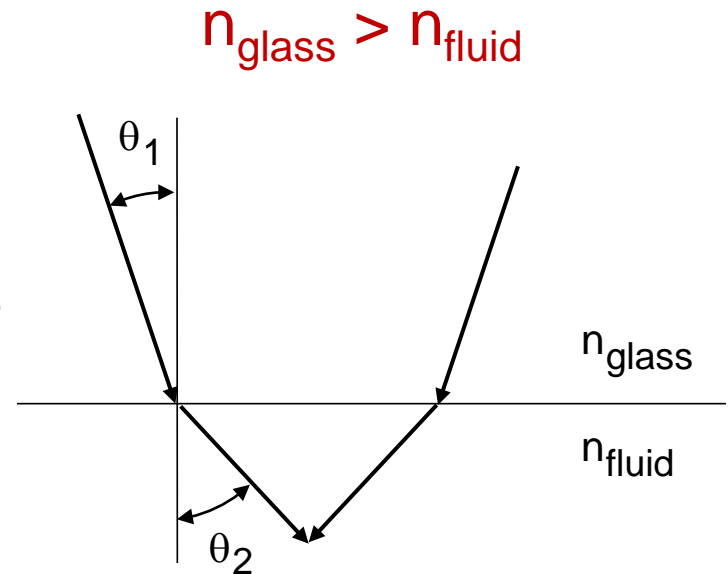
Immersion at higher refractive indices

Limitations of water immersion:

- $n \sin \theta \leq 0.93 \times \min(n_{\text{glass}}, n_{\text{fluid}}, n_{\text{resist}})$
- Indices of refraction for water immersion.
 - SiO₂: 1.56
 - Water: 1.435
 - Resists ~ 1.70

$$0.93 \times 1.435 = 1.33$$

$NA \leq 1.33$ for water



High-index fluid needs high-index lens material.

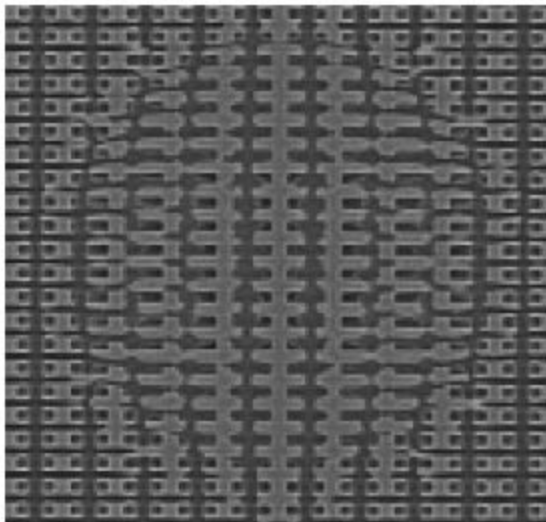
Options for high index immersion lithography

- Glass.
 - BaLiF₃: 1.64
 - Lutetium aluminum garnet (Lu₃Al₅O₁₂, LuAG): 2.1
 - Pyrope (Mg₃Al₂Si₃O₁₂): 2.0
- Fluid: cyclic organics, such as decalene: 1.64 - 1.65

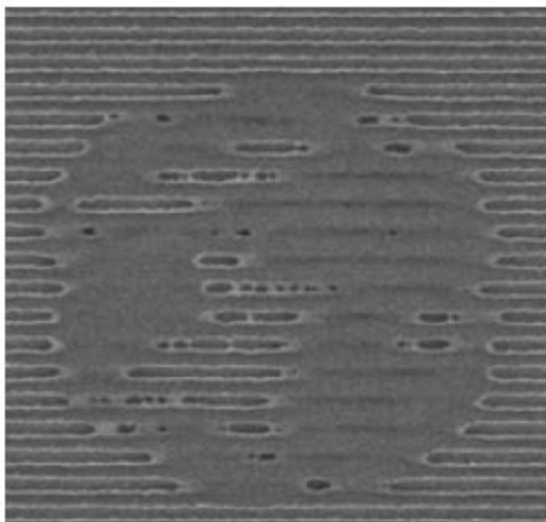
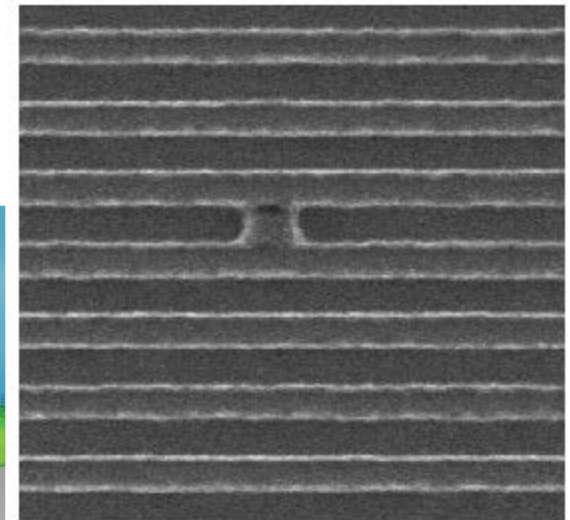
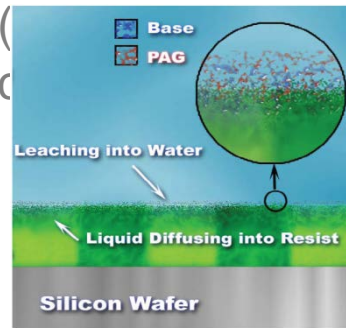
Issues with immersion lithography

- Mechanical issues and hydrodynamics
Throughput 100 wafer/hour, order of 50 dies each wafer, so 5000 exposures/hour, or <1sec for each exposure. Therefore, water in, expose, water out, stage move, all within 1sec.
- Bubble formation disturbing the image (defect)
- Stage vibrations transferred to lens
- Heating of immersion liquid upon exposure
- New defect mechanisms at wafer level
- Interaction of photoresist with immersion liquid
- Fluid contamination (defect)
- Polarization effects degrading contrast

Major challenge: defect in immersion lithography

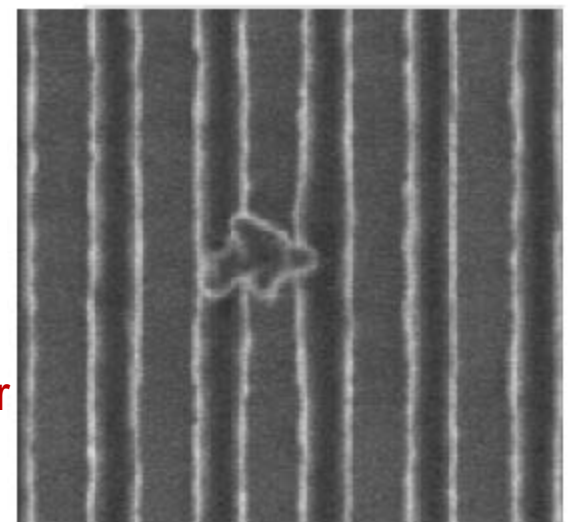


Air bubbles
Resist/TC –
water
interaction

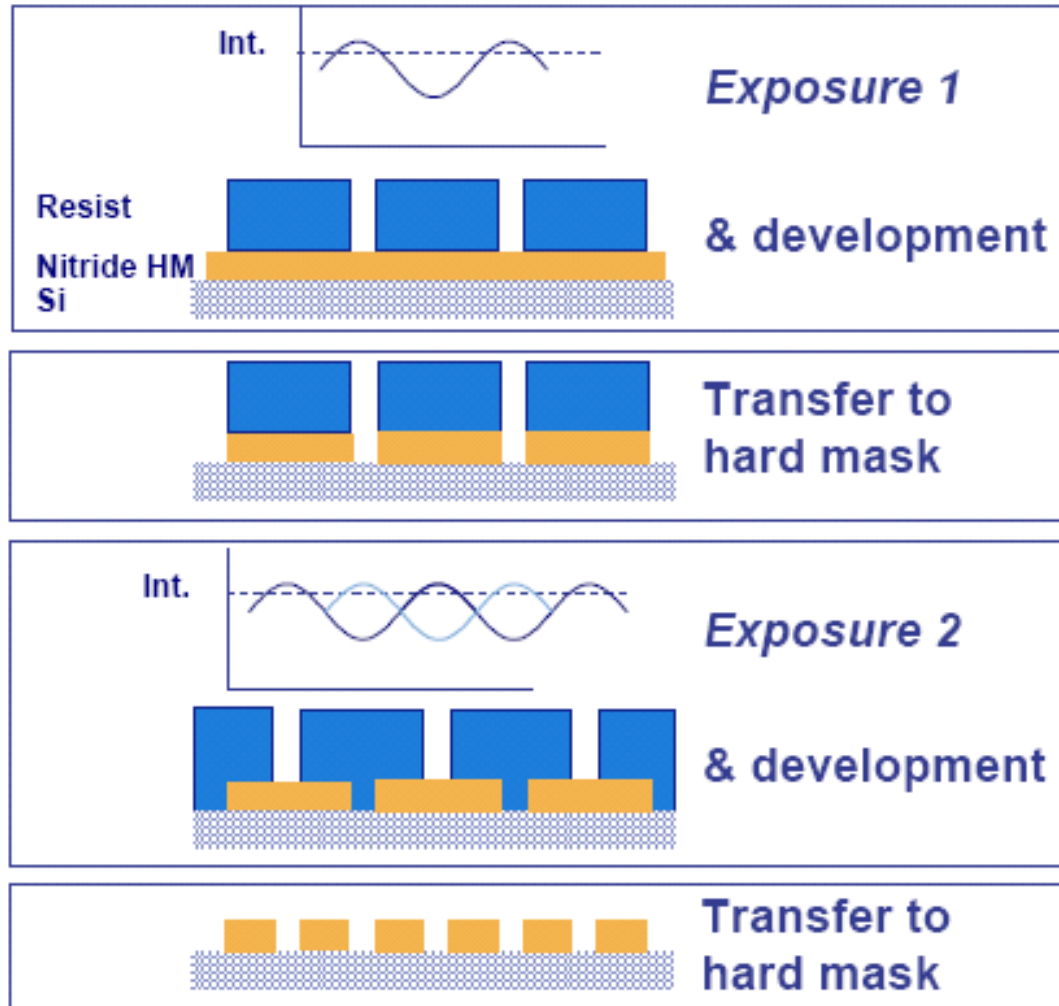


Water
marks and
drying
stains
(Try to make
super-
hydrophilic
surface)

Particles
from water



RET 5: Double Patterning Technology (DPT)



80-nm pitch
 $k_1=0.2$, ArF, 0.93 NA

