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# Nanometer Scale Patterning and Processing

Spring 2016

## Lecture 40

### Nanoimprint Lithography (NIL) – Other NIL Approaches

- 
- Section 9

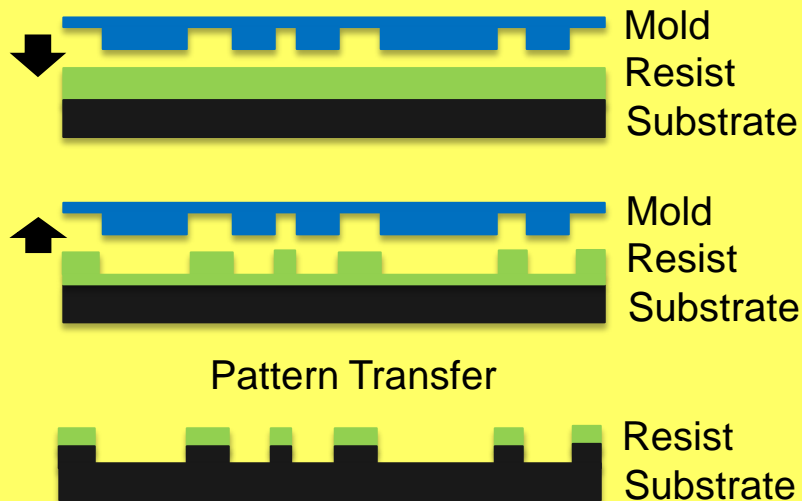
# OTHER NIL APPROACHES

# Emboss Metal at Nano-scale

- Nanoimprint Lithography
  - Transfers mold patterns onto the sample by mechanical deformation
  - Cost effective, repeatable and has high resolution
- Imprint metal films at nano-scale ???

## Macroscale

### Conventional Nanoimprint Process



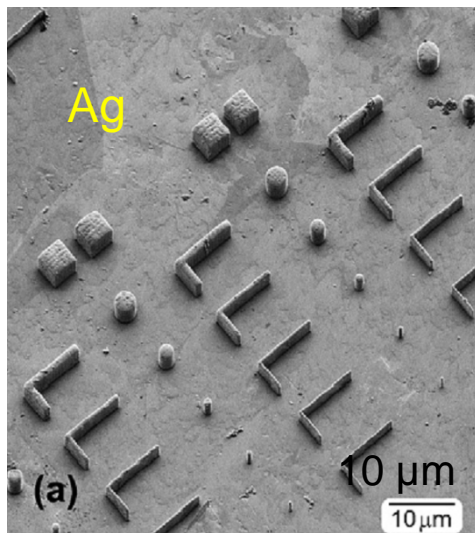
Metal Embossing:  
Use high pressure and temperature



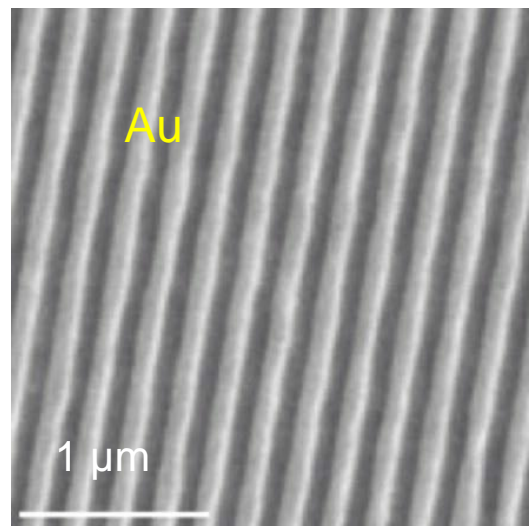
# Alternative Direct Metal Patterning Methods

- Emboss metal at high temperature and high pressure
  - Temperature ( $\geq 400$  °C). Pressure ( $\geq 300$  MPa)
- Melt metal by laser irradiation and then form in quartz mold
- Direct imprint porous films or metallic nanoparticles
  - Not continuous film

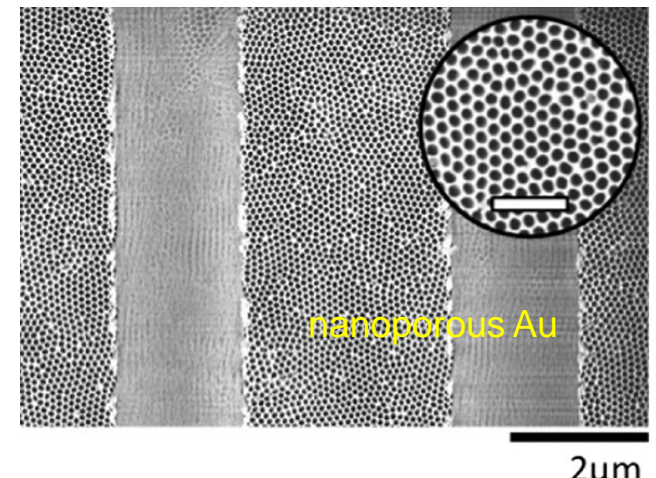
*We want to develop a method that uses only conventional conditions (tools), yields high quality pattern and can be widely applicable in research*



Buzzi, S. et al. *Appl. Phys. Lett.* 2009, 94.

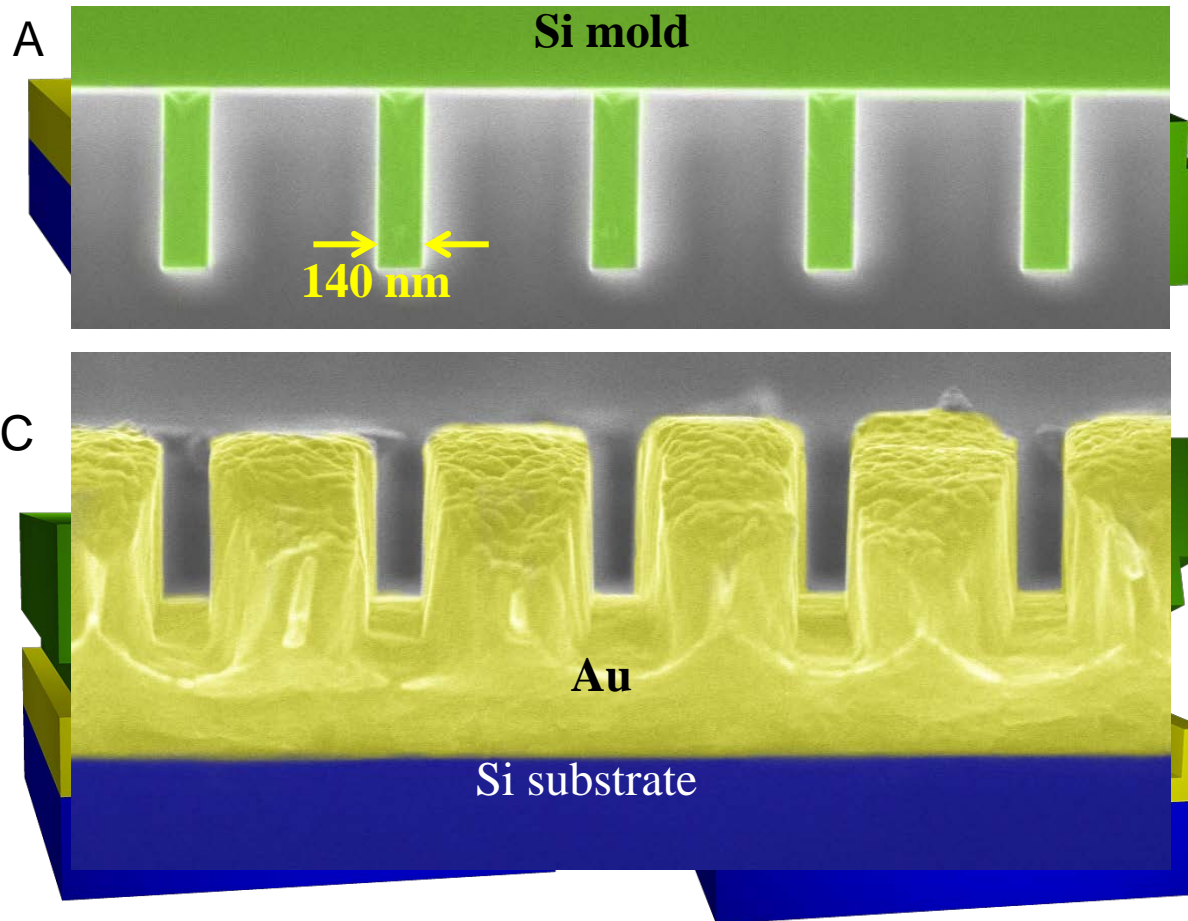


Cui, B. et al, *Nanotechnology* 2010, 21.



Ryckman, J. D. et al, *Nano Lett.* 2011, 11, 1857

# Resistless Nanoimprint In Metal (RNIM)



Process flow:

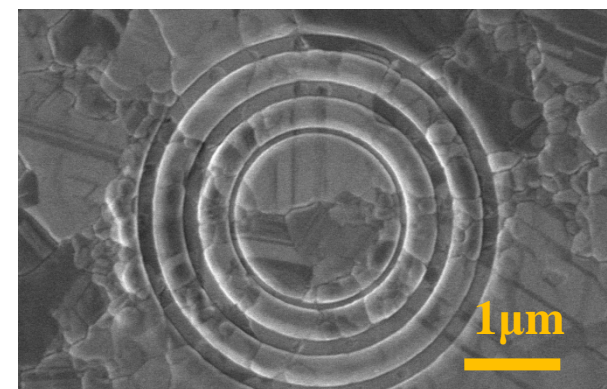
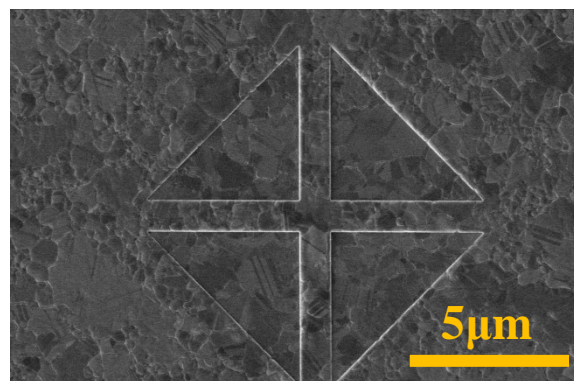
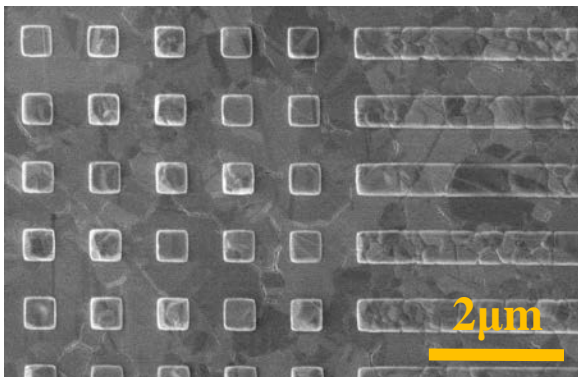
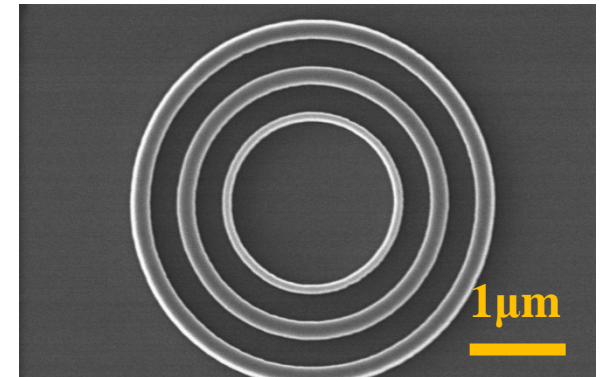
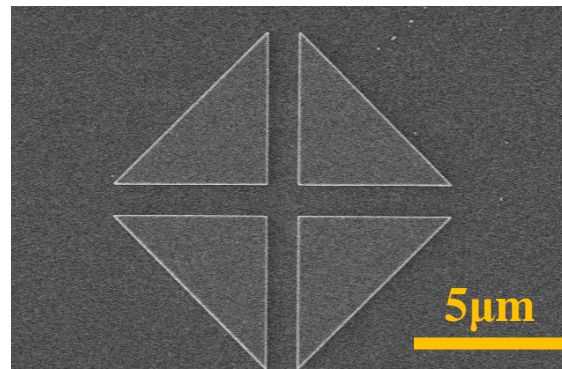
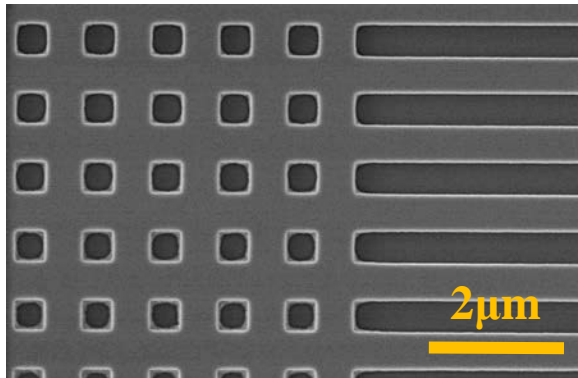
- A. Metal evaporation
- B. Pattern the mold
- C. Nanoimprint
  - Low Temperature 25-150 C
  - Low Pressure < 4 MPa
- D. Remove the mold

Varghese, L. T.<sup>†</sup>, Fan, L.<sup>†</sup>, et al (2013), *Resistless Nanoimprinting in Metal for Plasmonic Nanostructures*. *Small*. doi: 10.1002/smll.201300168

# RNIM of Different Shapes

- Silver (Ag) and gold (Au) are ideal candidates
  - Ductile and malleable → Easy to deform
  - High conductivity and plasmonic resonance lies in visible wavelengths

Si mold



Ag film

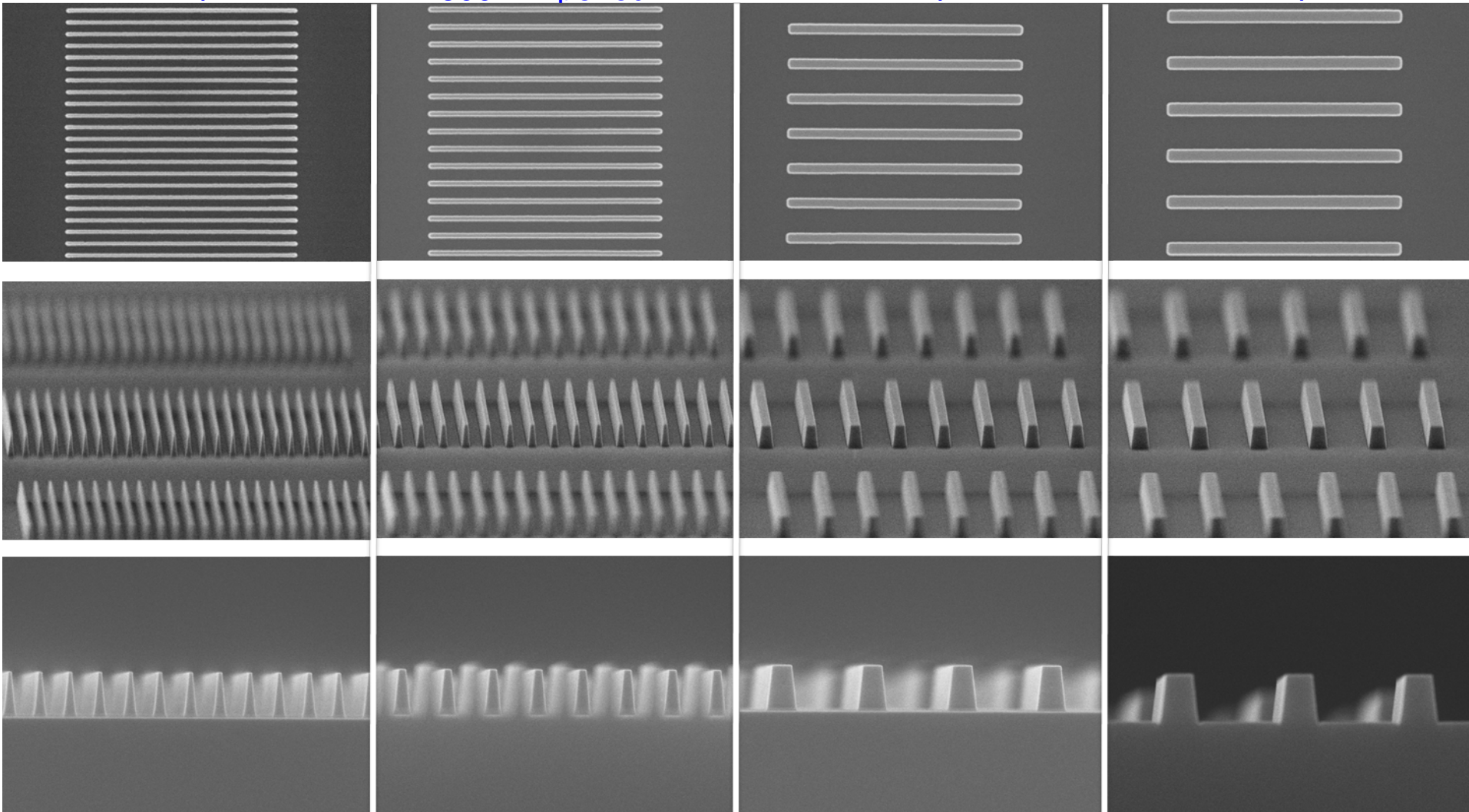
# Gratings of Different Sizes: Mold

40 nm grating  
200 nm period

75 nm grating  
300 nm period

150 nm grating  
600 nm period

200 nm grating  
800 nm period



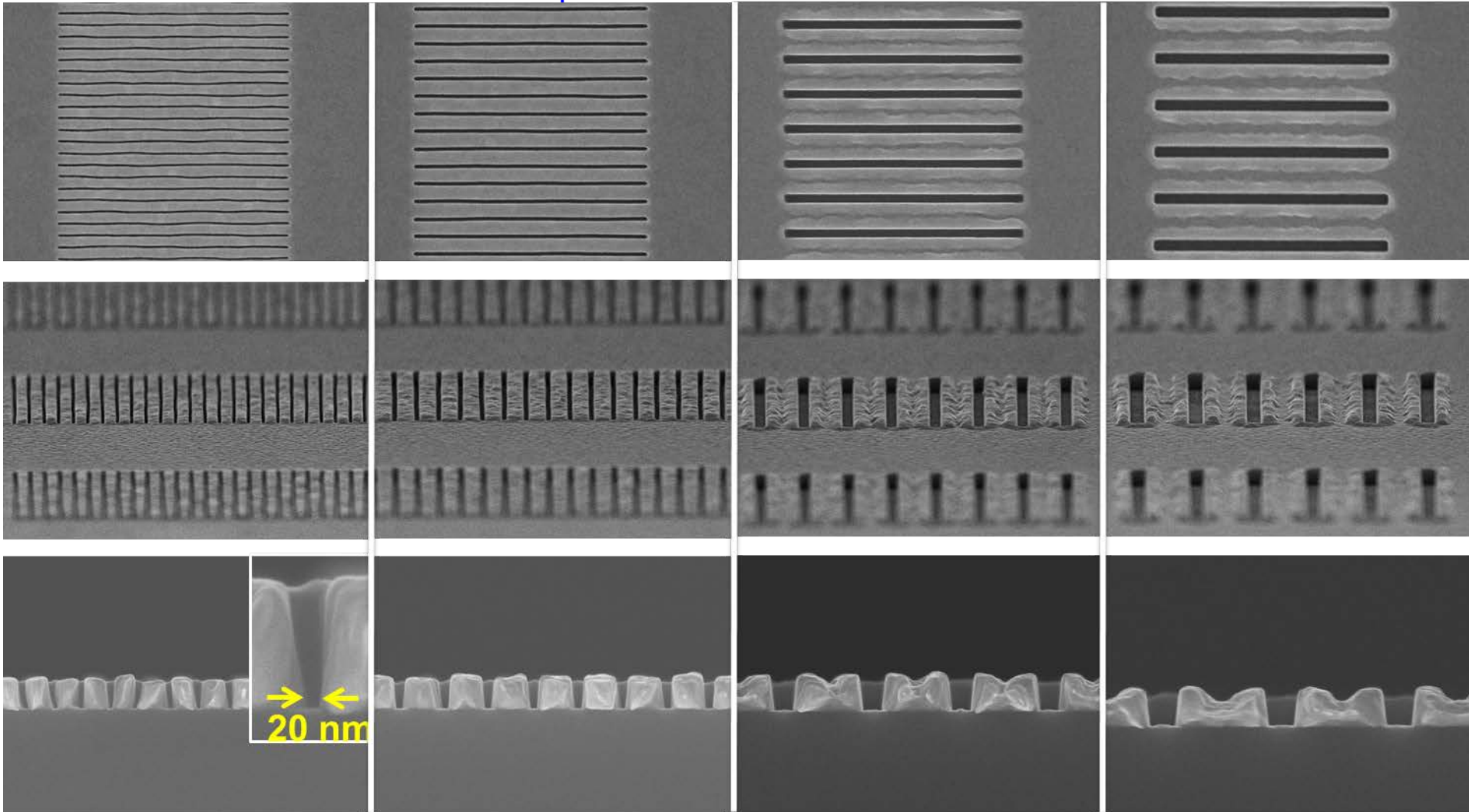
# Gratings of Different Sizes: Ag

40 nm trench  
200 nm period

75 nm trench  
300 nm period

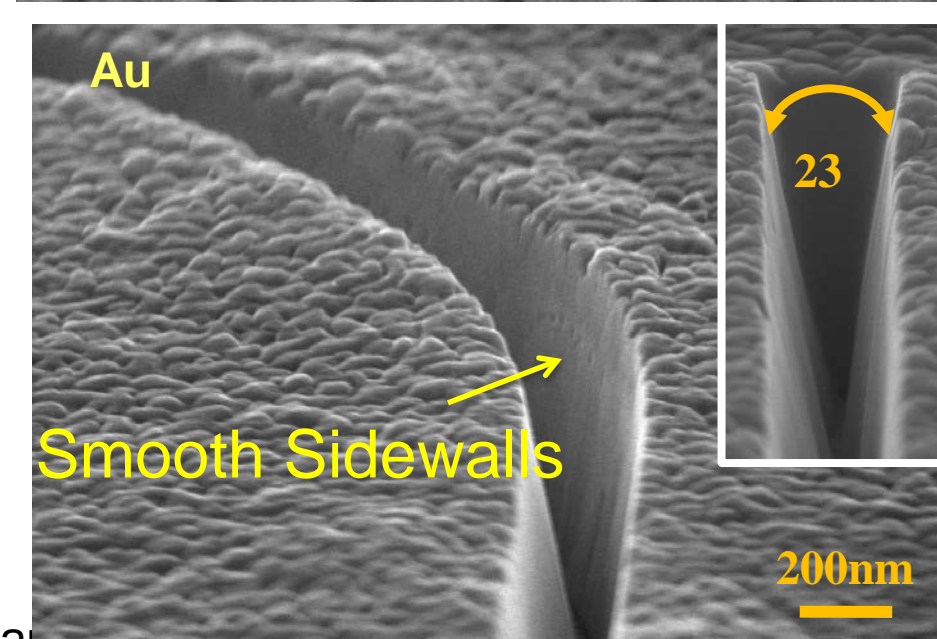
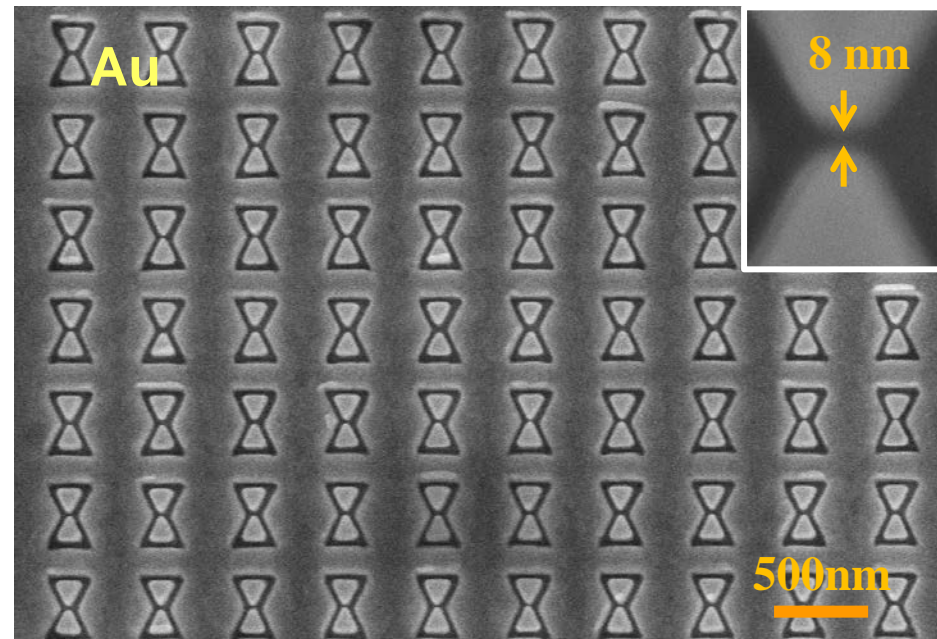
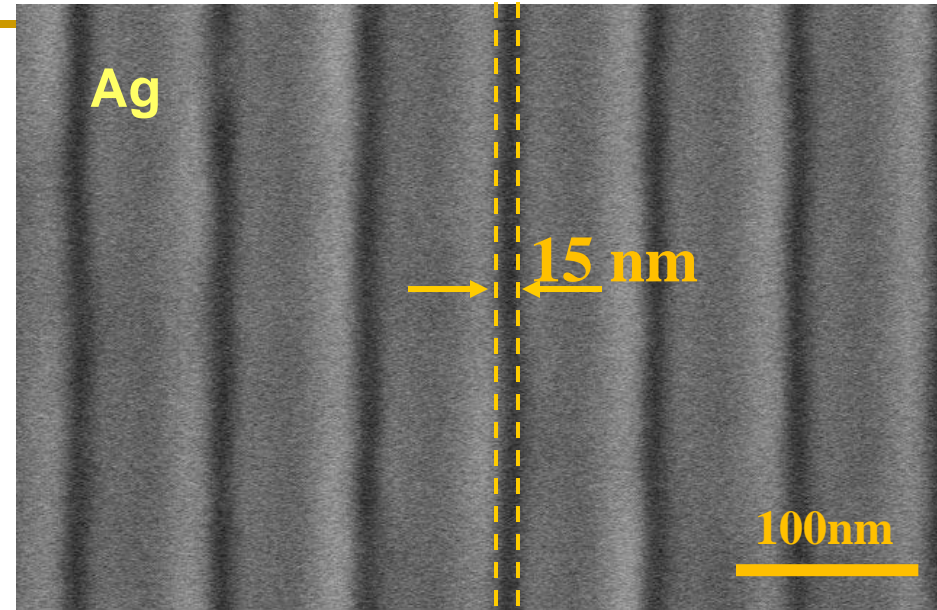
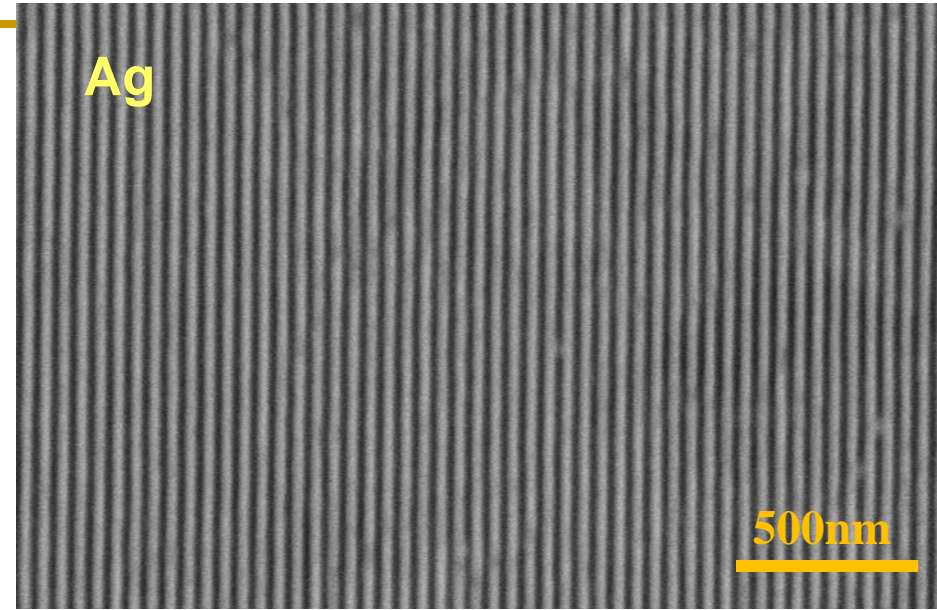
150 nm trench  
600 nm period

200 nm trench  
800 nm period



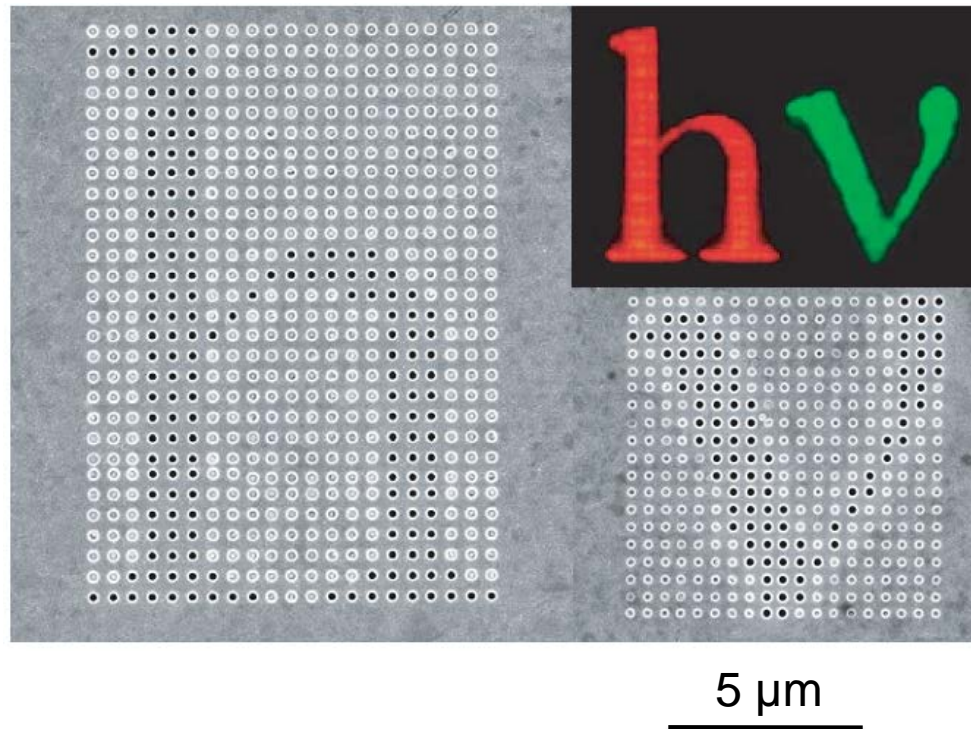


# Sub-20 nm & 3D Pattern



# Extraordinary Optical Transmission (EOT)

- EOT produces enhanced transmission of light through sub-wavelength metal apertures via surface plasmon interaction

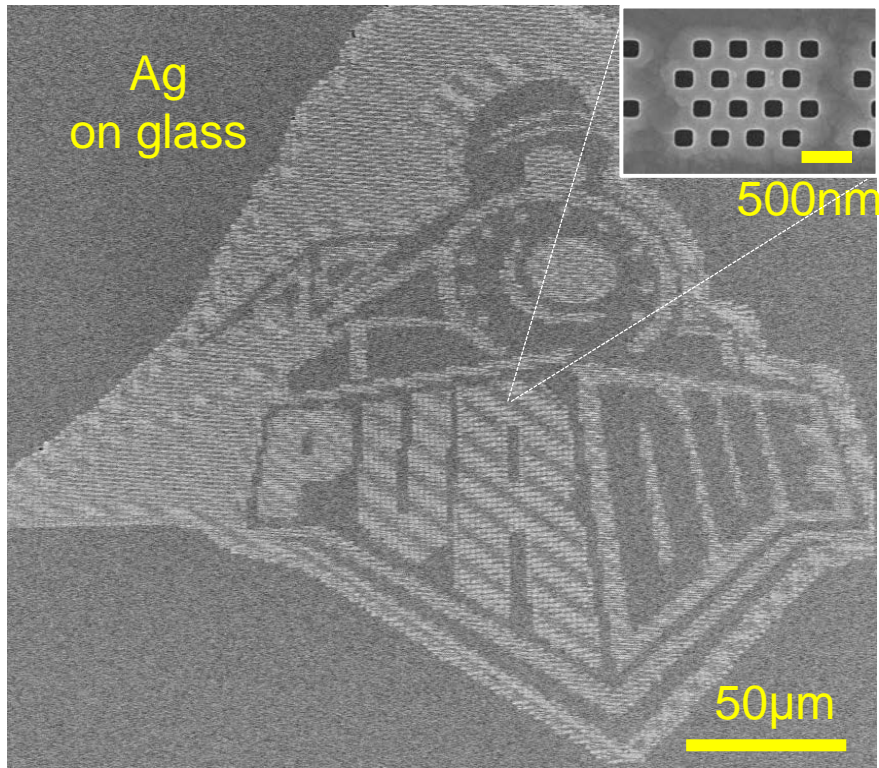


C. Genet and T. W. Ebbesen, "Light in tiny holes," *Nature* 445, 39(2007).

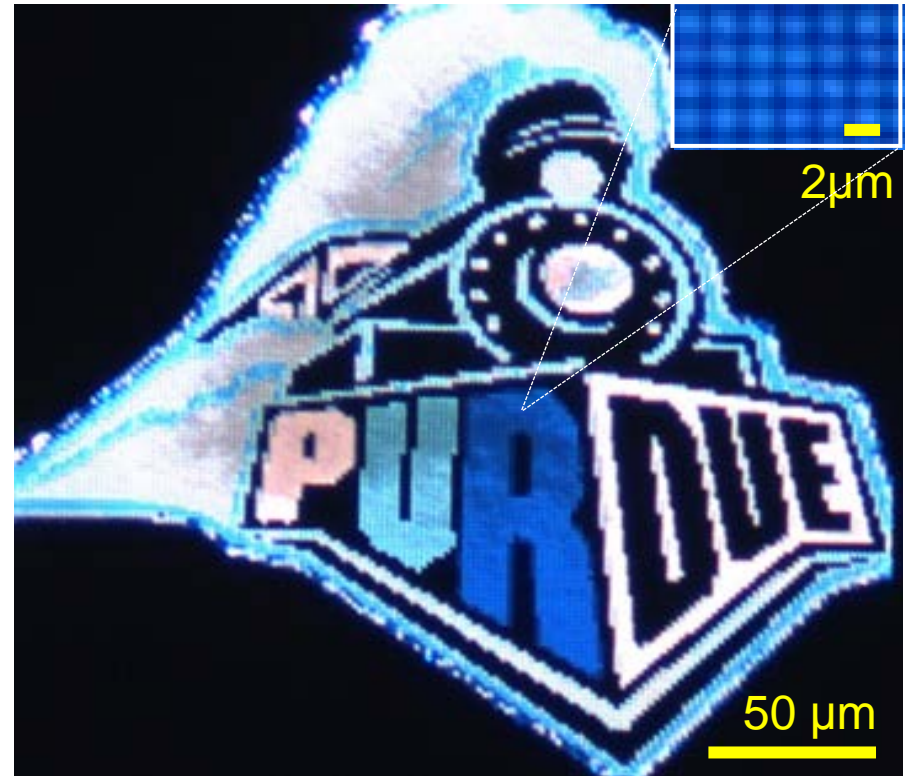
# RNIM Application: EOT

- Our RNIM process can pattern metal directly on insulating substrates
  - No charging issues
- Large area patterns can be obtained in one step

SEM

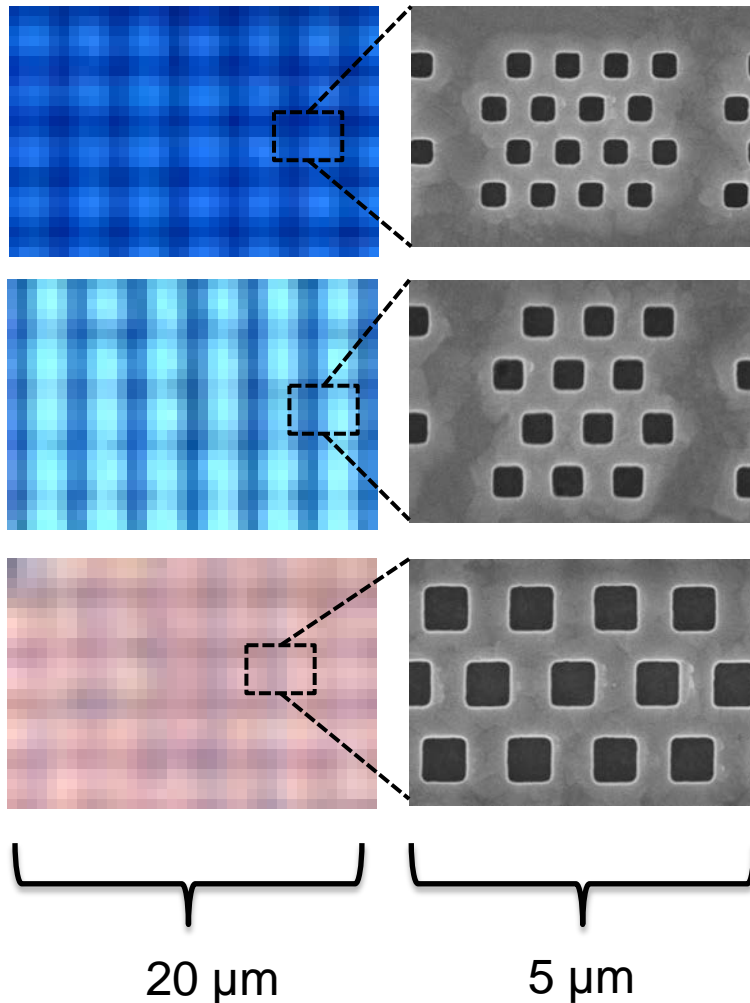


Optical Transmission Microscope

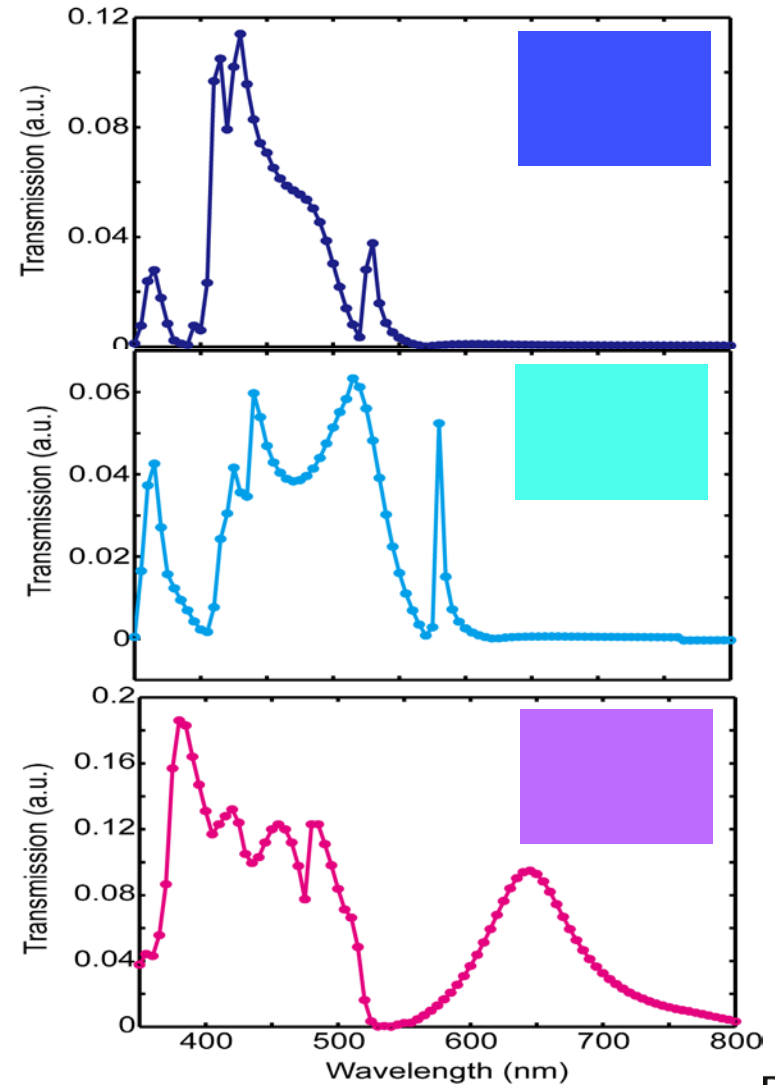


# Each pixel

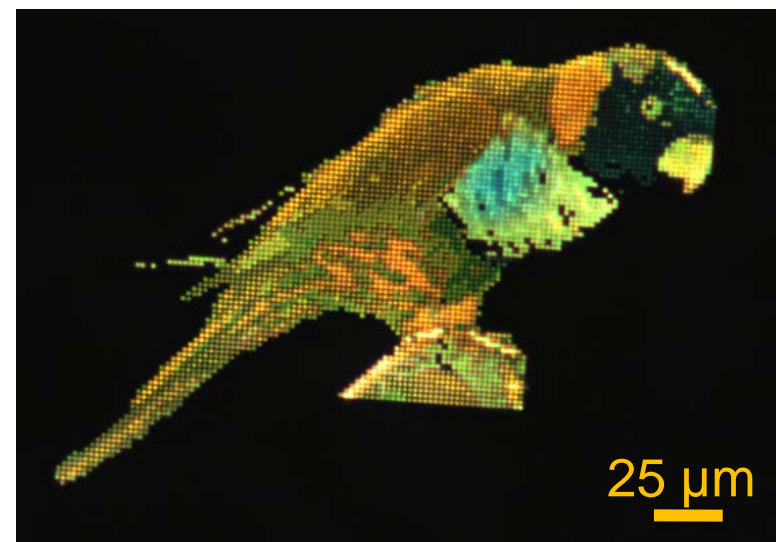
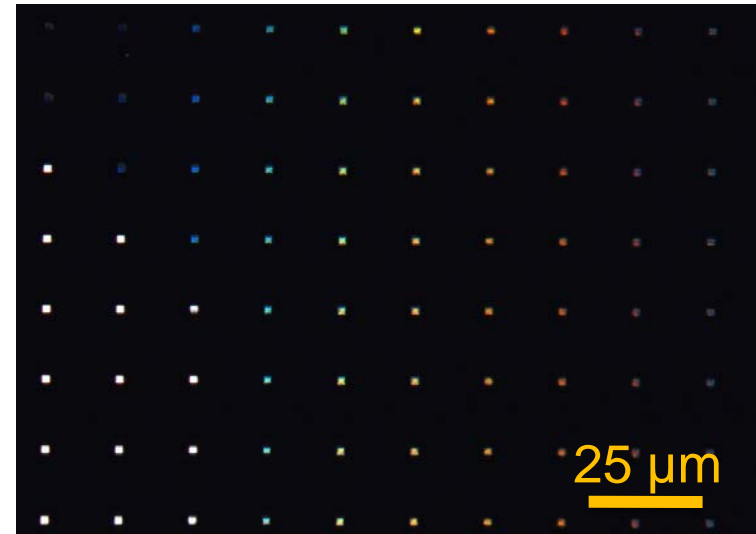
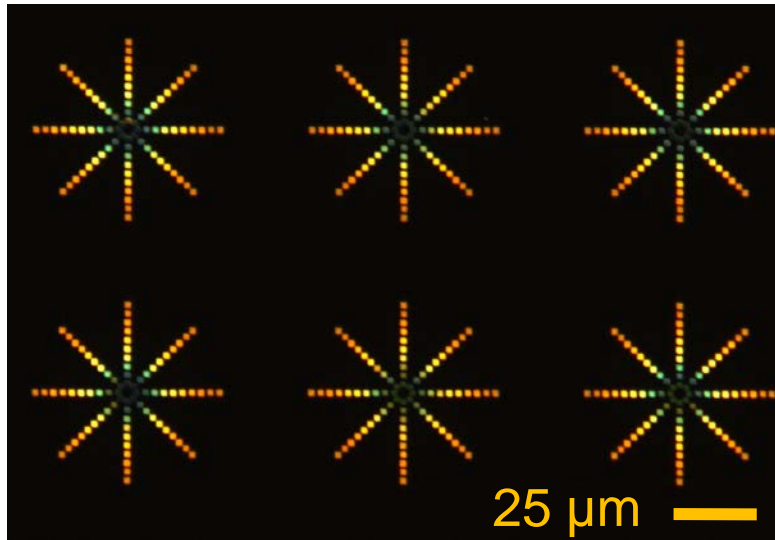
## ■ Experiment



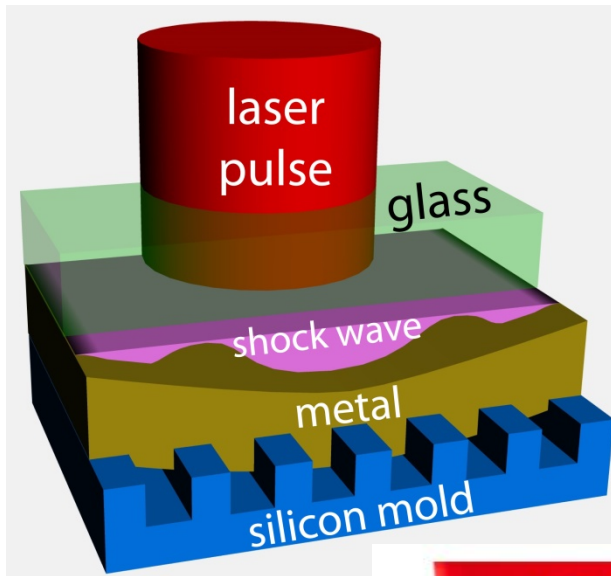
## ■ 3D Simulation



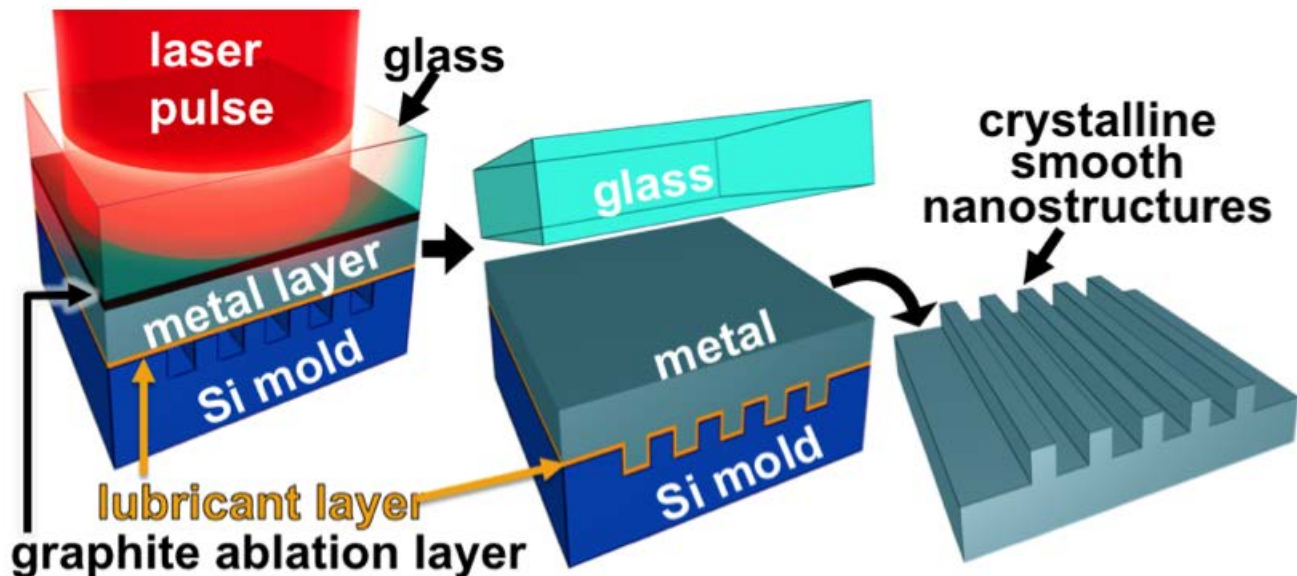
# Images Through Tiny Holes



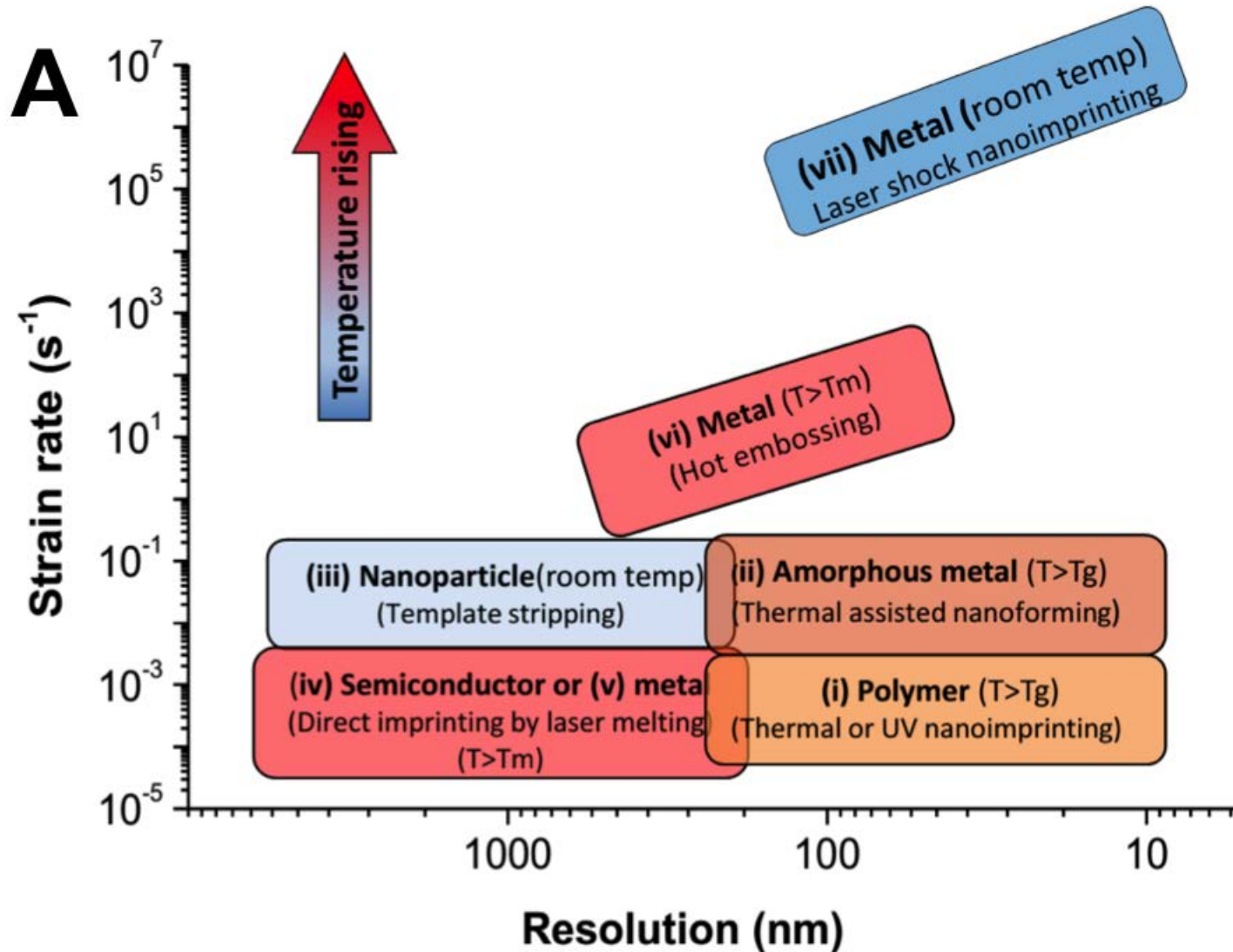
# Laser Shock Imprinting (LSI)



- Shock wave is generated between the glass cover and the metal film, creating a high-speed strain to press the metal into the silicon mold

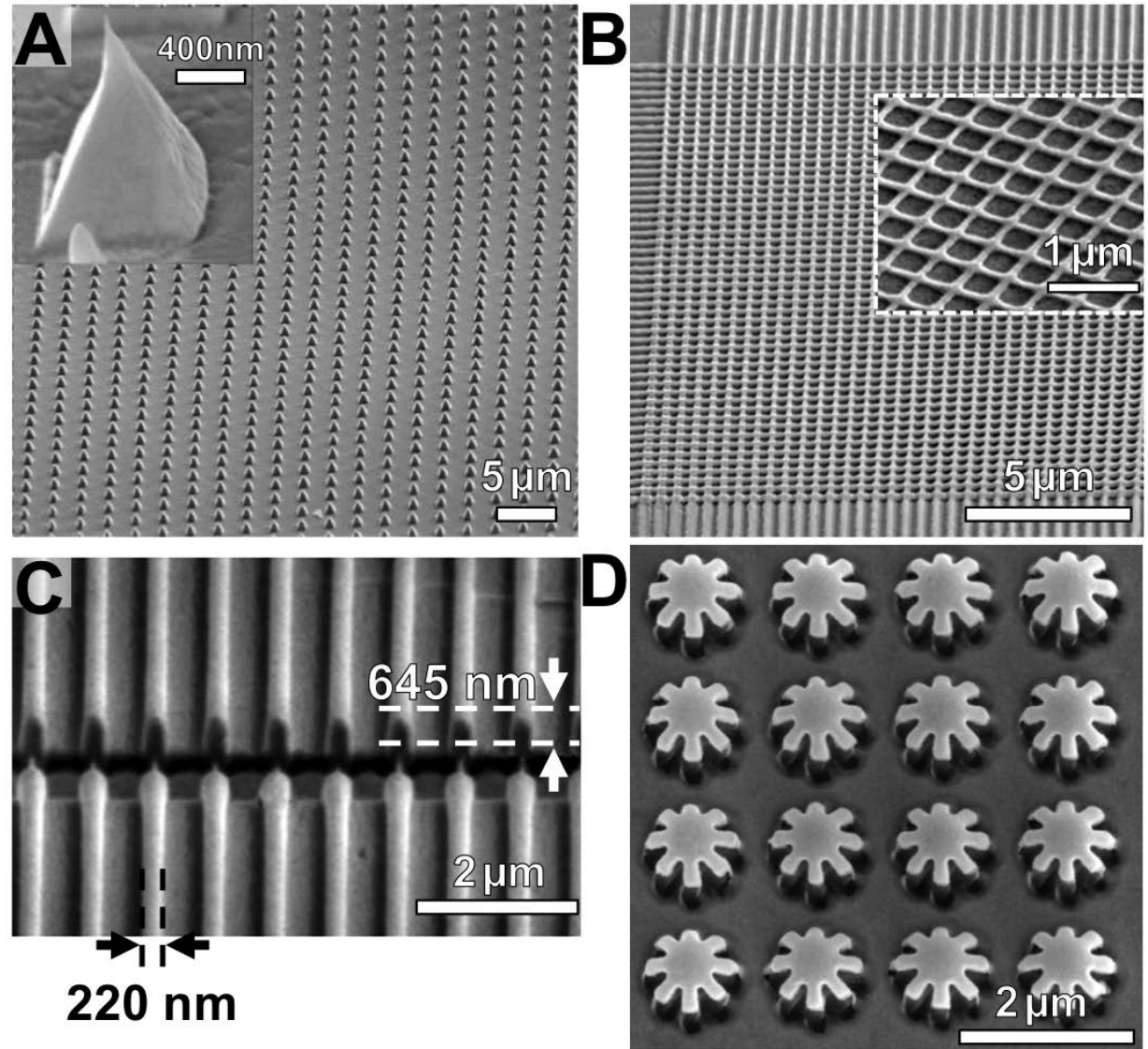


# Importance of Strain Rate



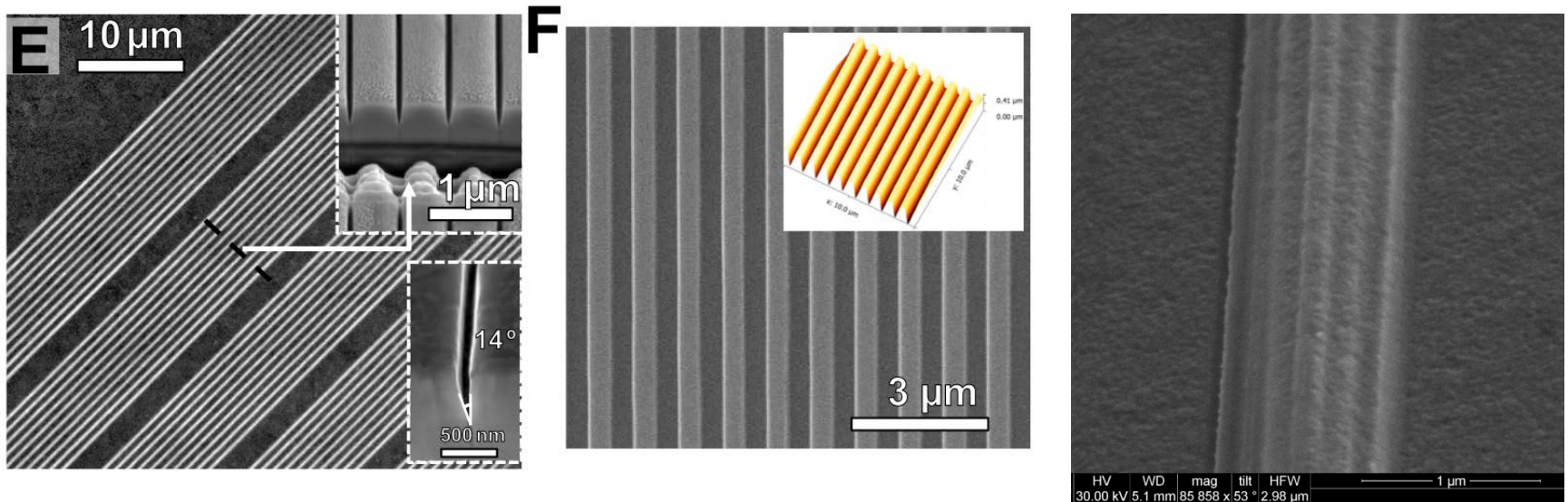
# Unique Results

- A: Solid pyramids with sharp tips
- B: “Fish-net” structures for metamaterials
- C: High-aspect-ratio (height:width ~3) metal grating
- D: 3D “nano-gears”
- All are difficult (or impossible) to achieve with other methods



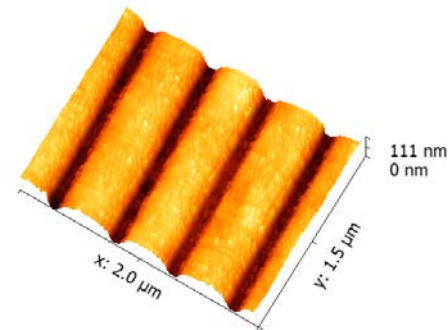
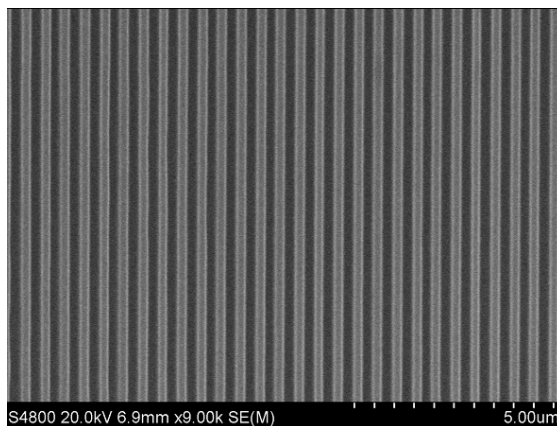
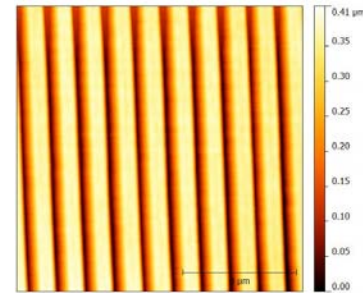
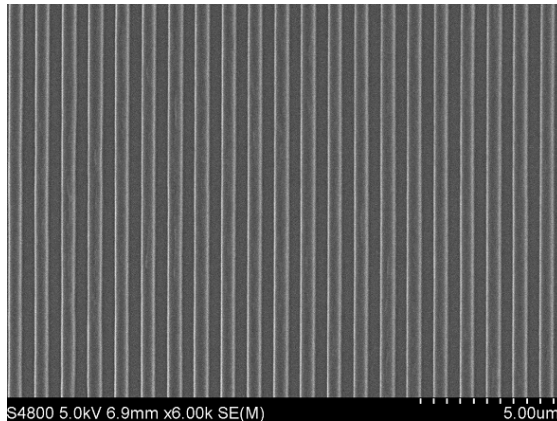


# V-grooves and Smooth Edges



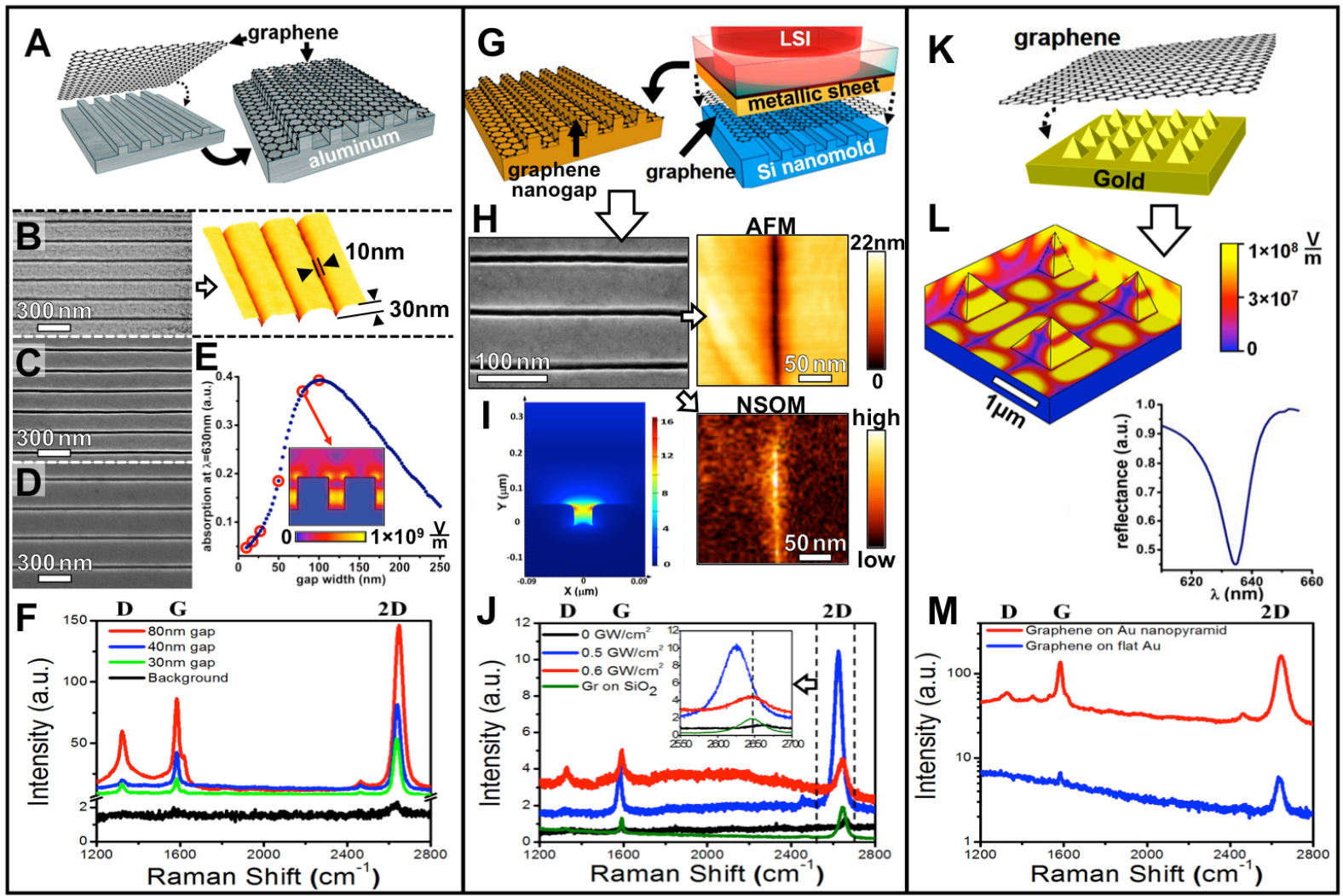
- V-grooves: much faster than using FIB
- No metal pile-up
- 3D patterning in one-step

# Patterning of Hard Metal (Titanium)



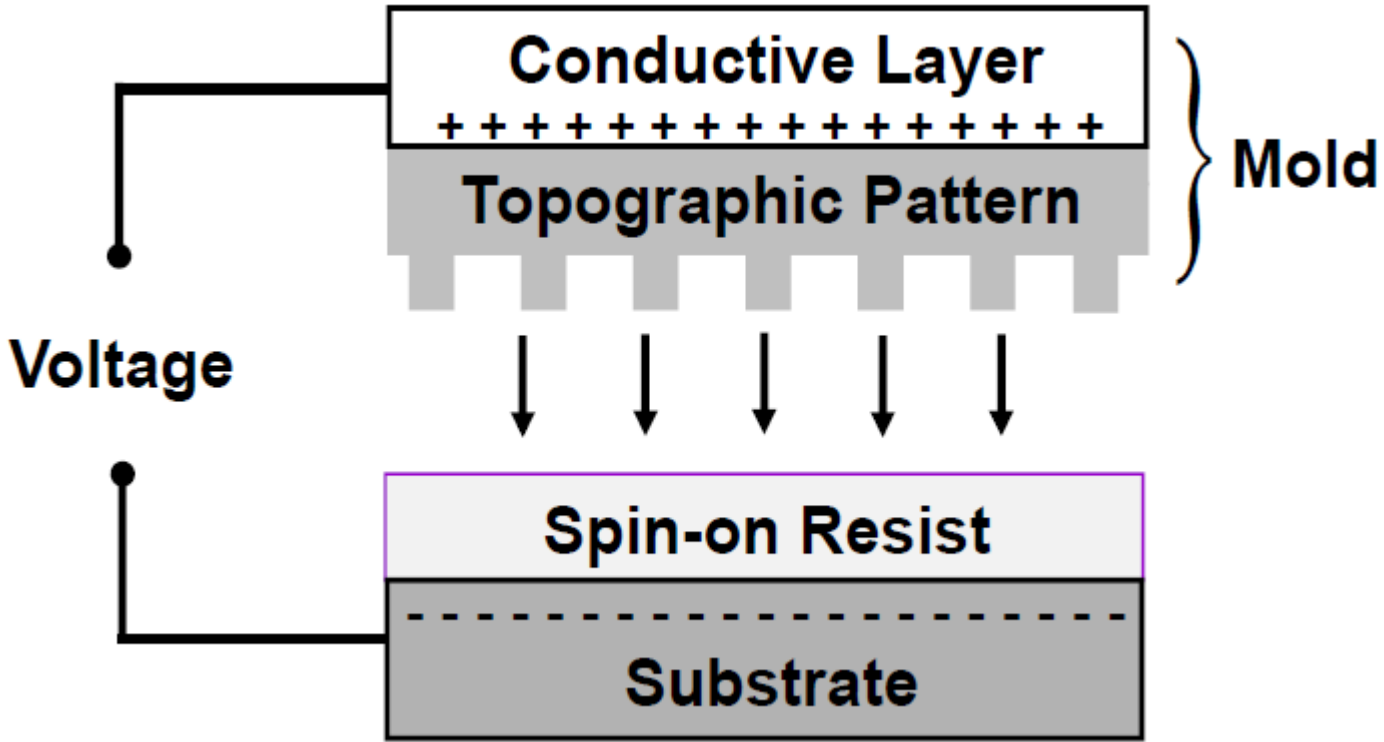
- Mohs Hardness: 6.0
  - Gold, Silver and Copper: 2-3
- Still smaller than Si hardness (7.0)

# Application to Graphene/Plasmonic Structures



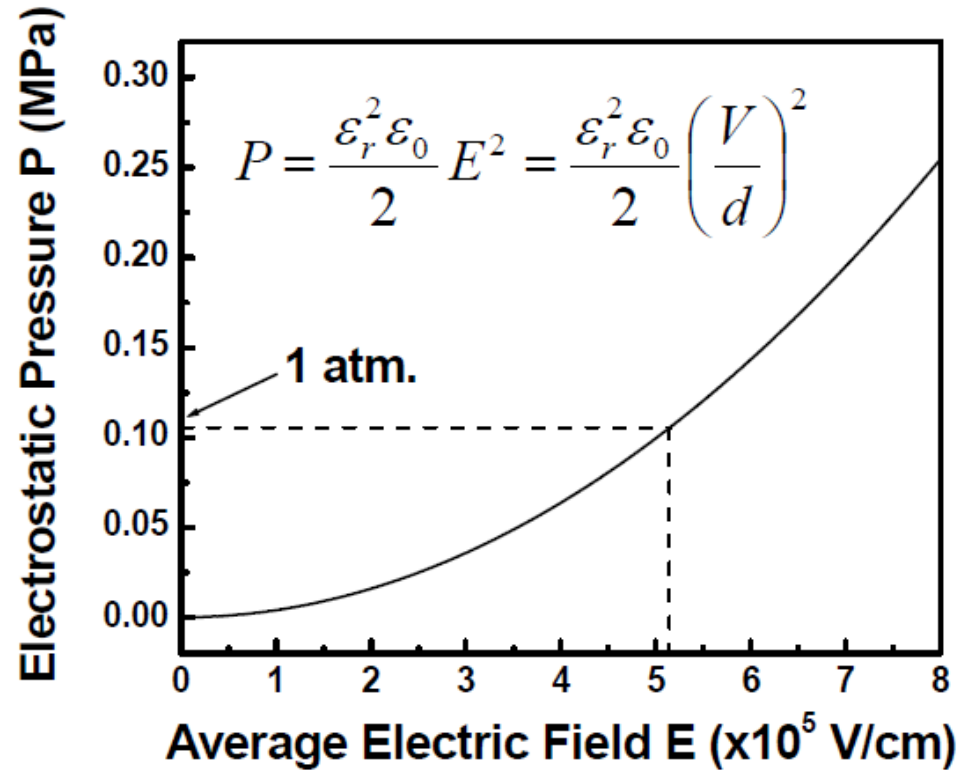
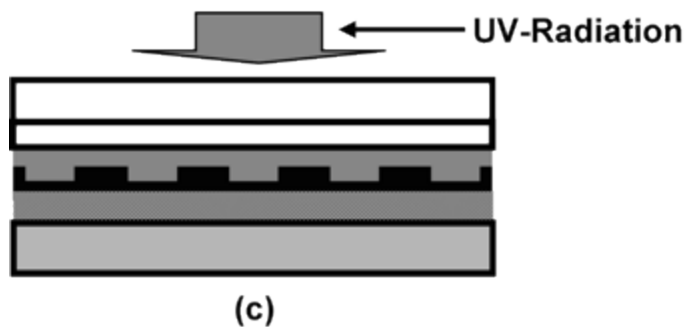
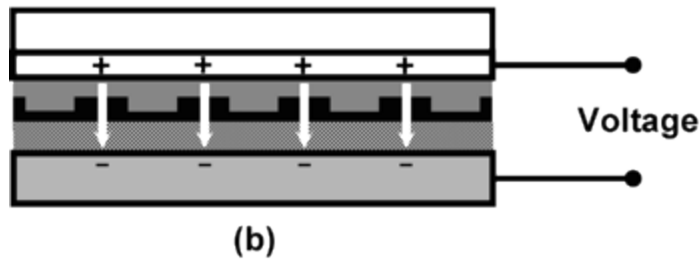
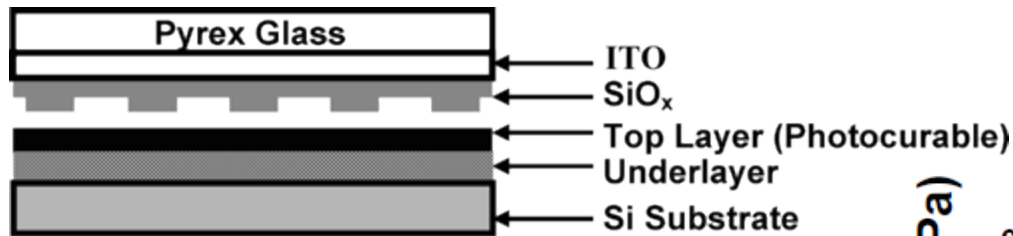
H. Gao *et. al*, *Science*, vol. 346, pp. 1352-1356,

# Electric field assisted NIL (EFAN)



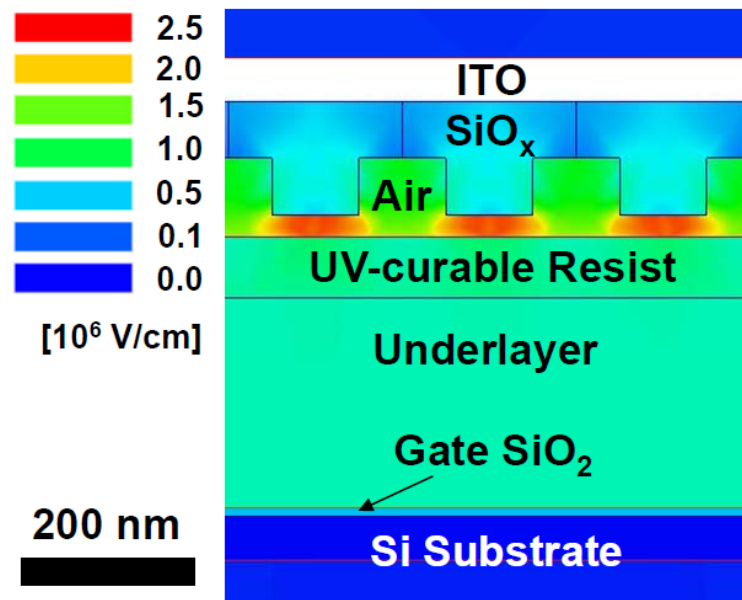
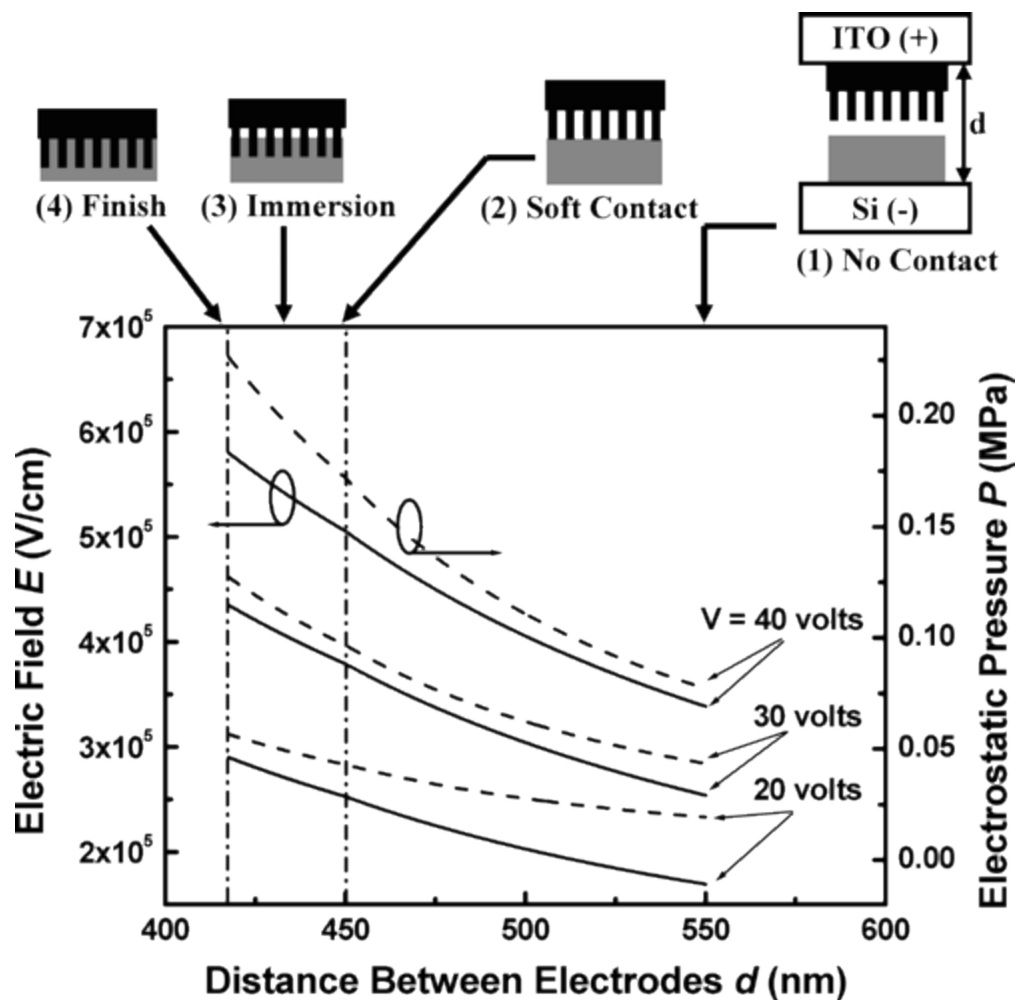
**Principle of EFAN:** a voltage is applied between the conductive layers on the mold and the substrate, generating an electrostatic force to press the mold into the resist layer.

# EFAN process flow



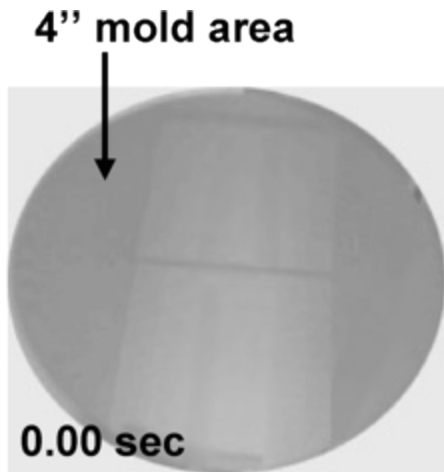
Calculated effective electrostatic pressure ( $P$ ) versus the required strength of the electric field.

# A more accurate calculation

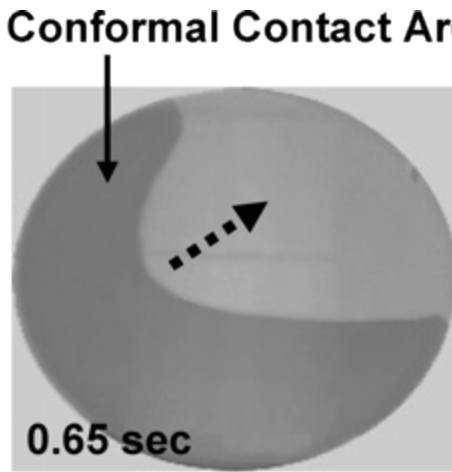


A 2D simulation of EFAN, which adds complete details of dielectric structures into the calculation of electric field.

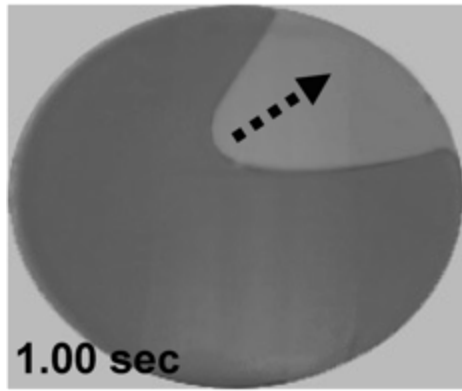
# Propagation of contact area and imprint results



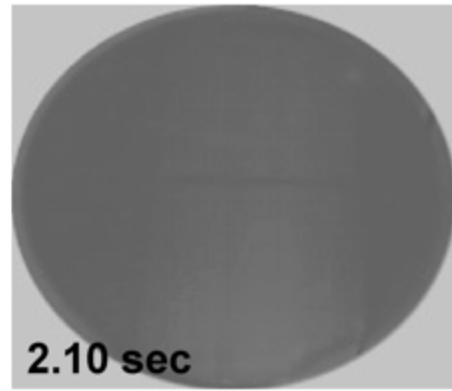
No conformal contact



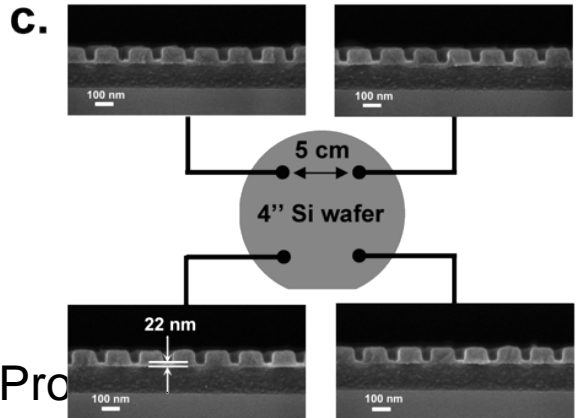
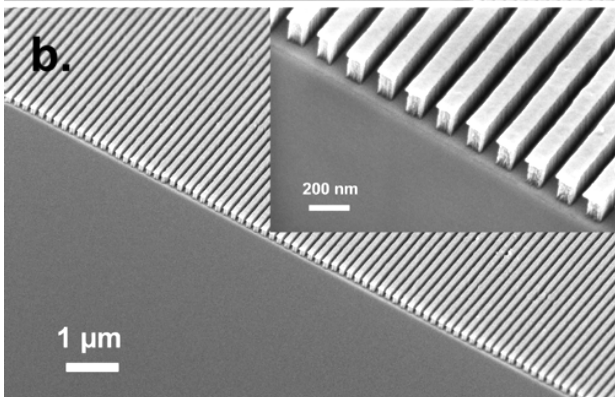
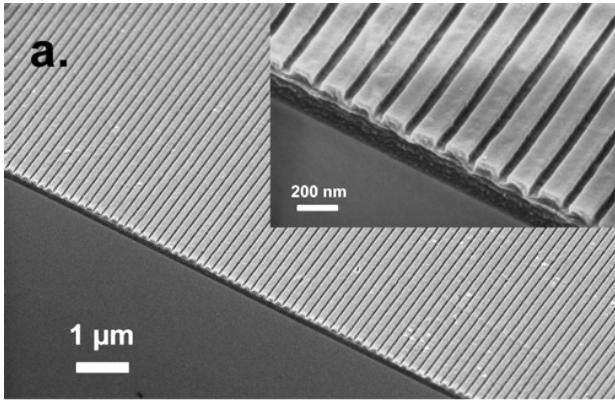
Propagation of the conformal contact area



Propagation at t = 1 sec



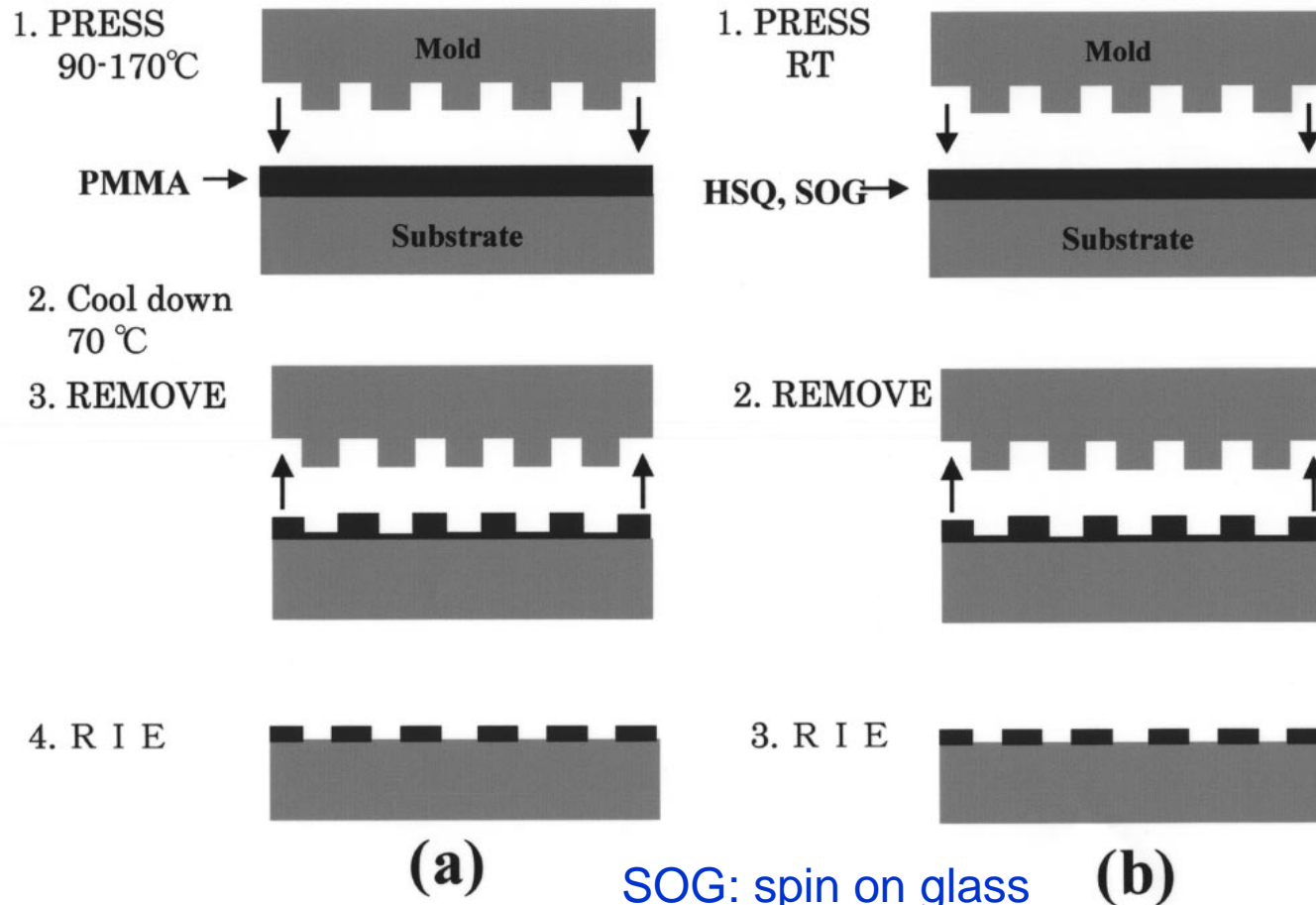
Fully imprinted wafer at t = 2.1 sec



# Room temperature (“thermal”) NIL

RT-NIL process does not require a resist thermal cycle when pressing a mold onto the resist.

Use special material, such as hydrogen silsequioxane (HSQ), or ultrahigh pressure.



Matsui, “Room-temperature nanoimprint and nanotransfer printing using hydrogen silsequioxane”, JVST B, 2003



# Room temperature (“thermal”) NIL

## Pre-baking is important:

- HSQ has a high viscosity without prebaking.
- The effect of prebaking HSQ is to remove the solvent init.
- The hardness of HSQ increases at around 150°C (so don't bake at higher T).

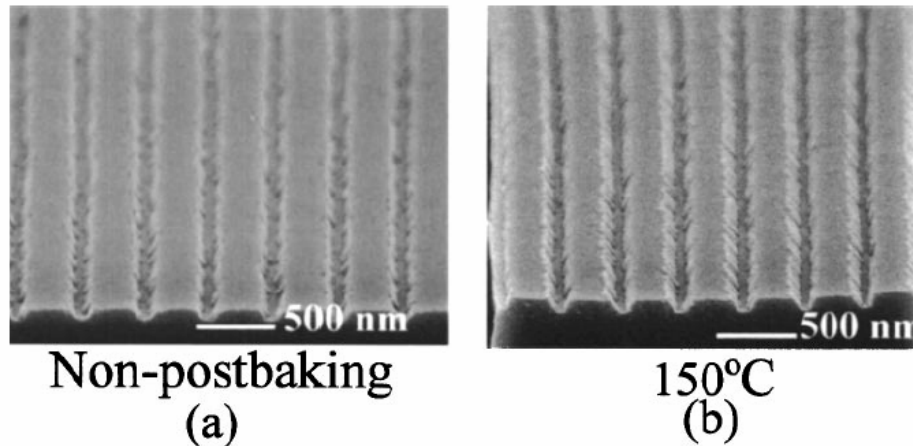
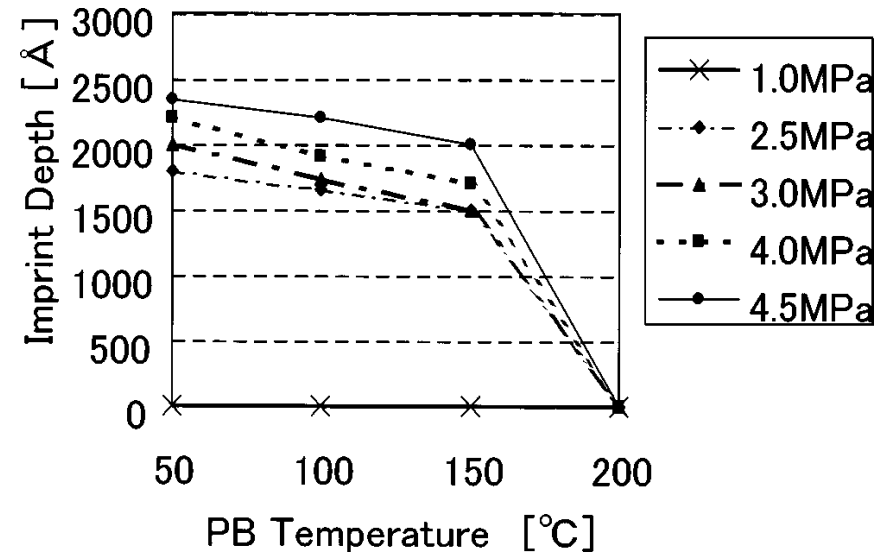


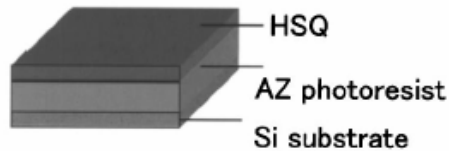
FIG. 5. HSQ replicated patterns with 100 nm linewidth after postbaking. (a) No postbaking and (b) baking temperature of 150 °C.

“Nanoimprint and nanocontact technologies using hydrogen silsequioxane”, JVST B, 2005

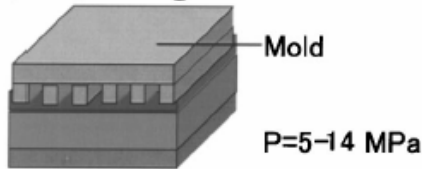
# NIL results into HSQ at RT

1 min press time by RT-NIL at 40atm pressure.

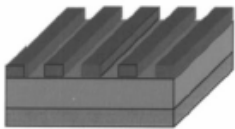
## (1) Resist spin-coating



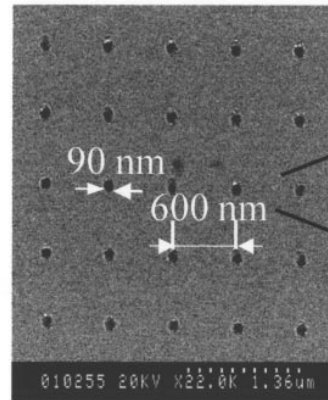
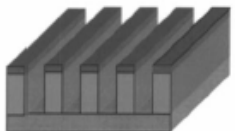
## (2) Imprinting



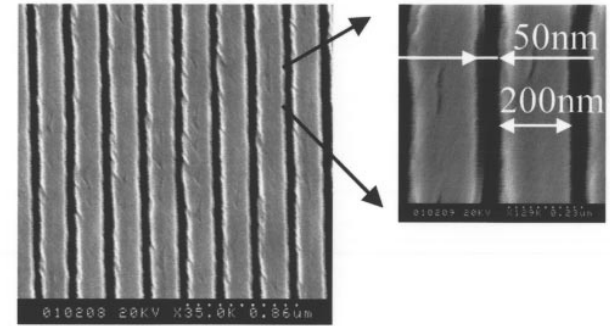
## (3) RIE(CHF<sub>3</sub>) of the HSQ recessed area



## (4) RIE(O<sub>2</sub>) of the AZ bottom layer



(a)



(b)

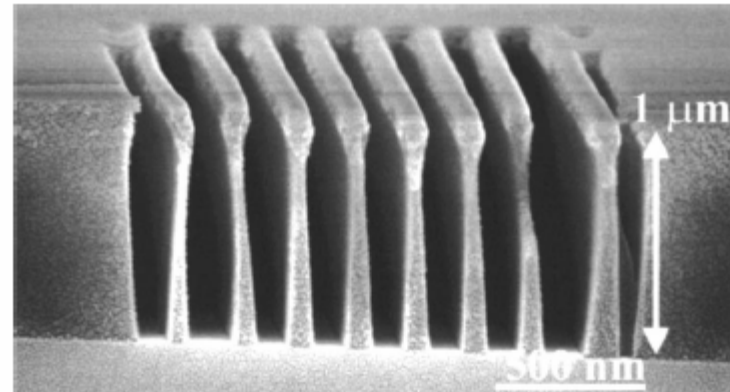


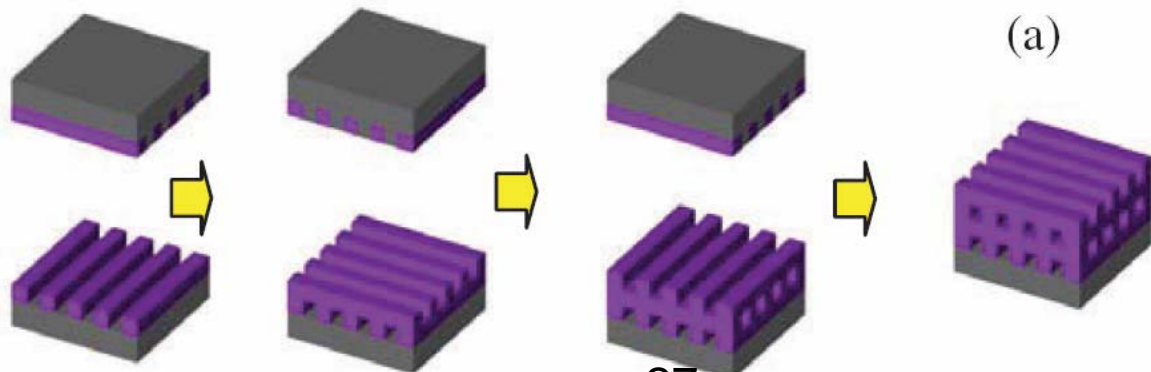
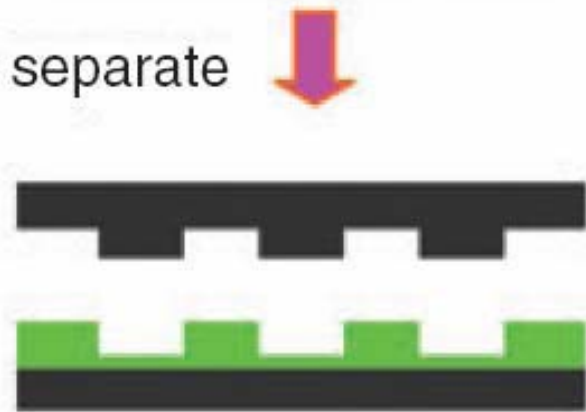
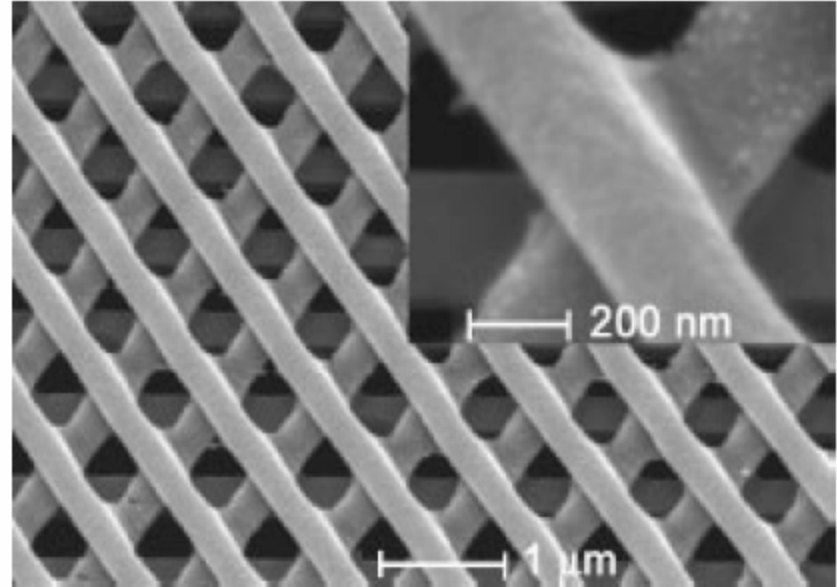
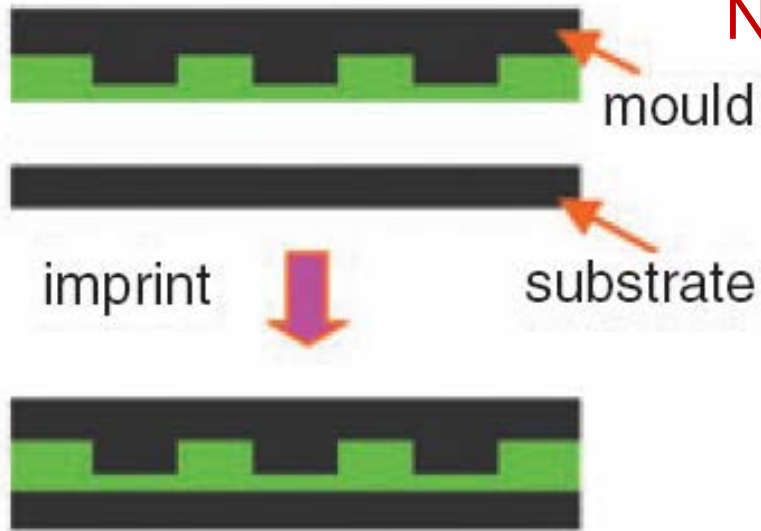
FIG. 8. SEM micrograph of a 100 nm linewidth, 1- $\mu$ m-high bilayer structure after O<sub>2</sub> RIE.

Etch rate ratio for AZ photoresist to HSQ (like SiO<sub>2</sub>) is >100.

ning and Processing

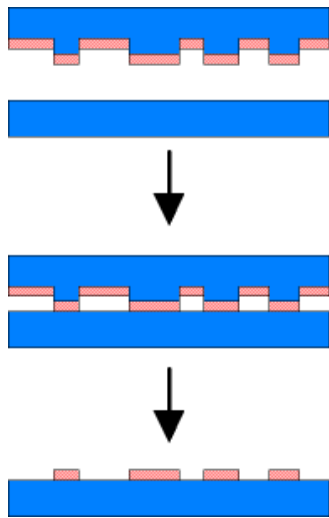
# Reverse NIL and multi-dimensional patterning of polymer nanostructures

## Layer-by-layer NIL (repeated reverse NIL)

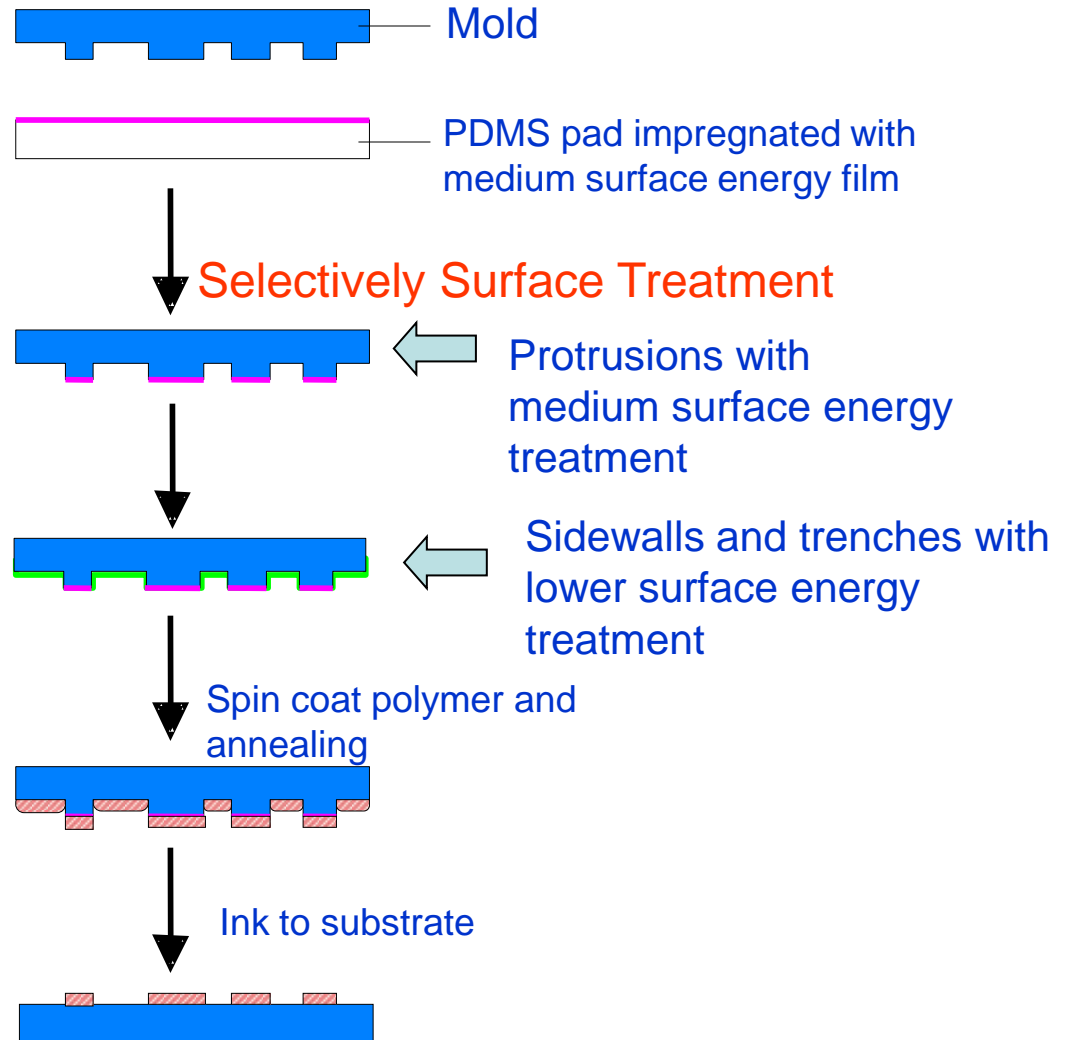


# Imprint by inking

## Inking process



$T \sim T_g$



Bao...Guo, "Polymer inking as a micro- and nanopatterning technique", J. Vac. Sci. Tech. B, 2003, 21, 2749