

# ***Overview of the MOOSE Framework and Applications to Materials Science***



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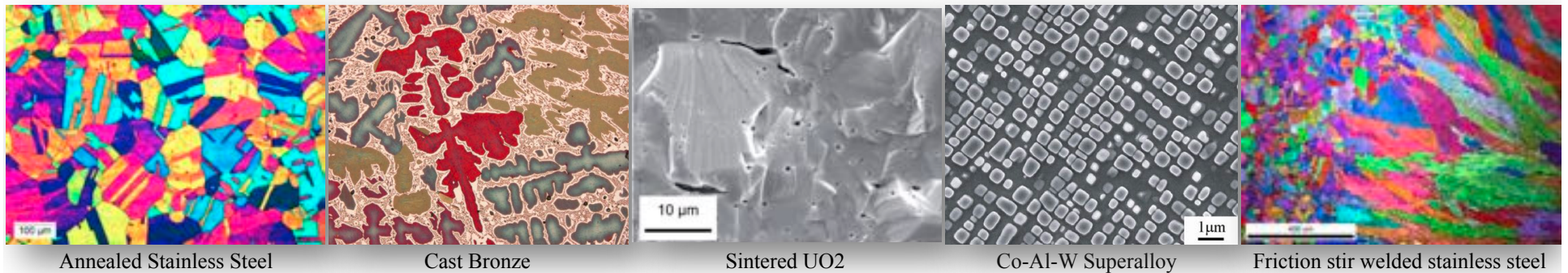


## Overview

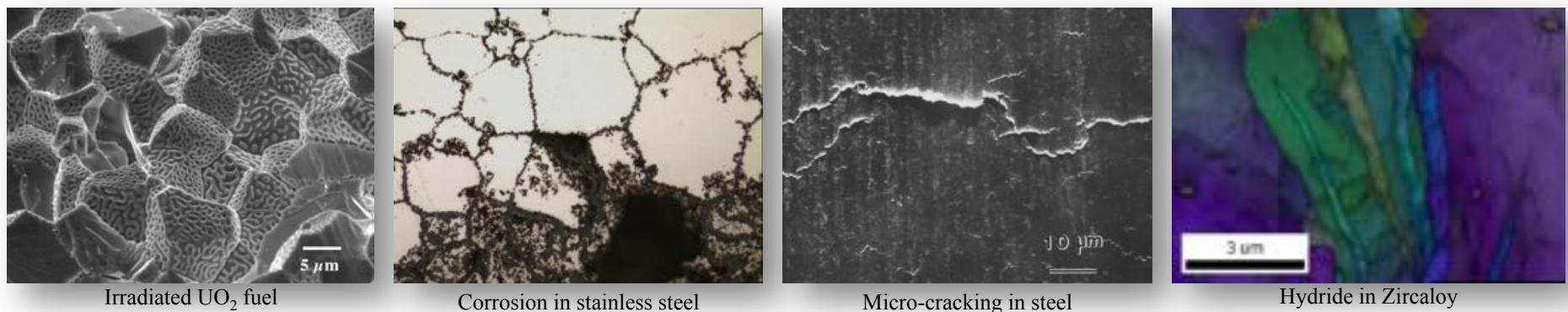
- General overview of the MOOSE framework
- MOOSE tools for meso-scale modeling
- Finite Element Method (FEM) and its implementation
- Phase-field modeling
- Examples and applications

# Material Behavior

- A key objective of materials science is to understand the impact of microstructure on macroscale material behavior.



- An essential part of that is predicting the impact of microstructure evolution.

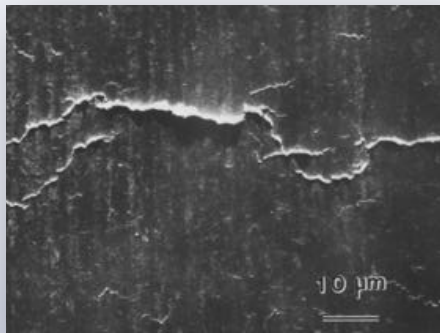


## ***Material Behavior is Multiphysics***

- Material behavior is influenced by many different physics, for example:

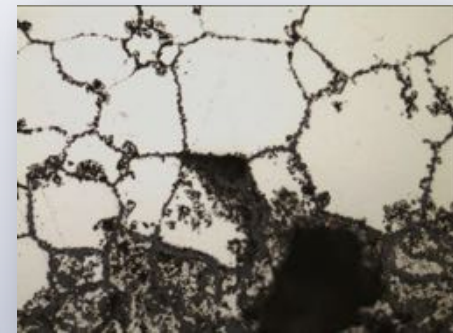
### **Mechanics**

- Dislocations
- Cracking
- Stress-driven Diffusion



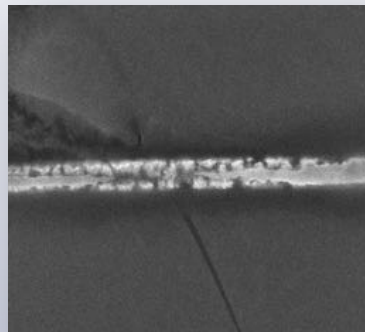
### **Chemistry**

- Corrosion
- Oxidation
- Reactive transport



### **Electricity/Magnetism**

- Electromigration
- Ferroelectricity
- Ferromagnetism



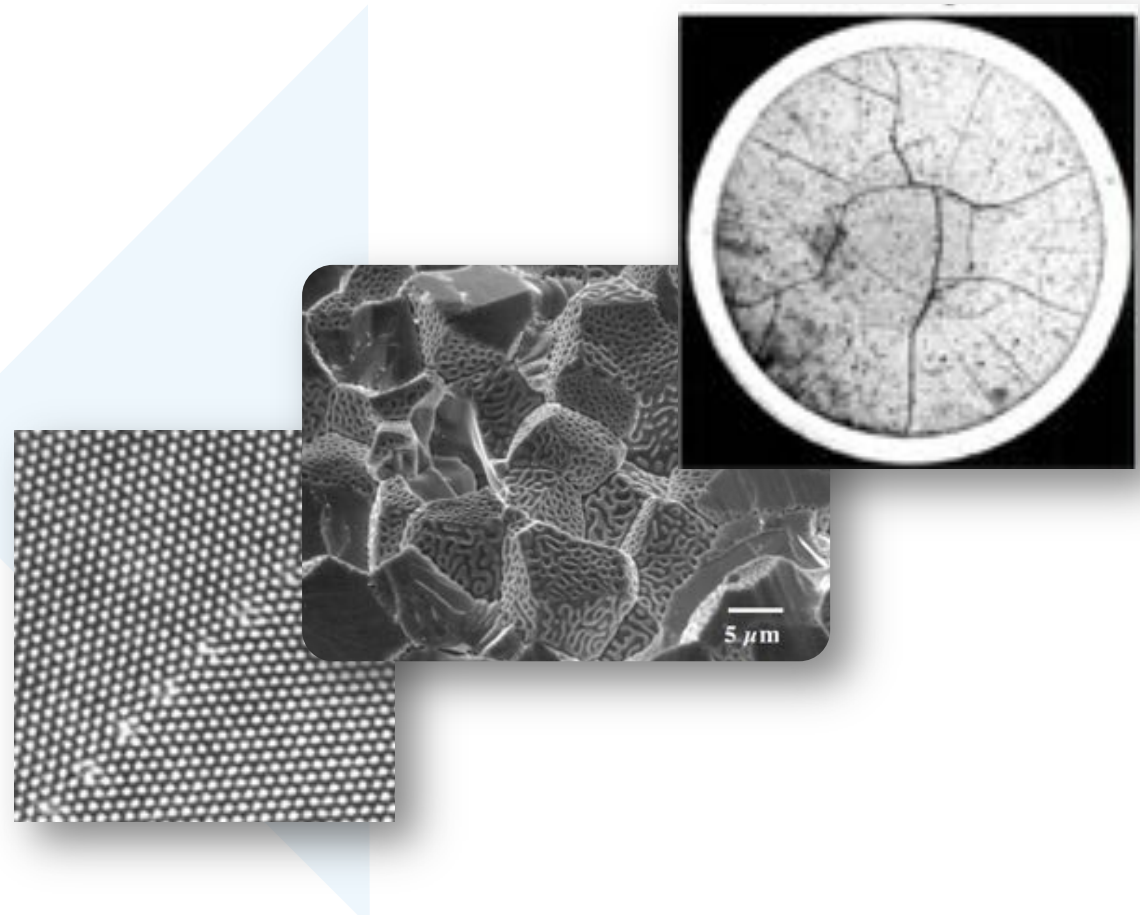
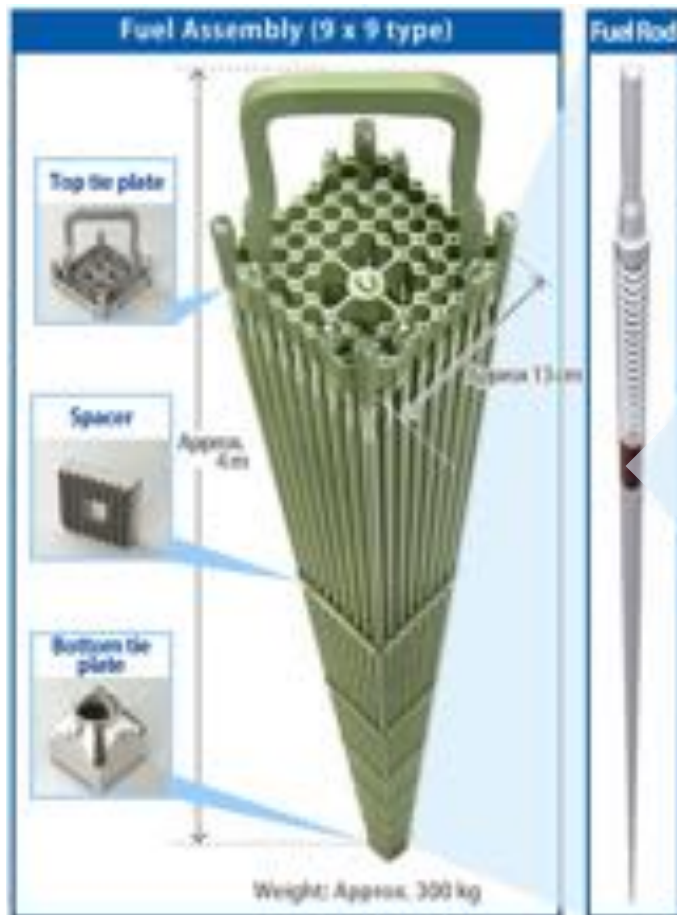
### **Heat Conduction**

- Species transport
- Melting
- Precipitation



## ***Material Behavior is Multiscale***

- Material behavior at the atomistic and microscales drives macroscale response.

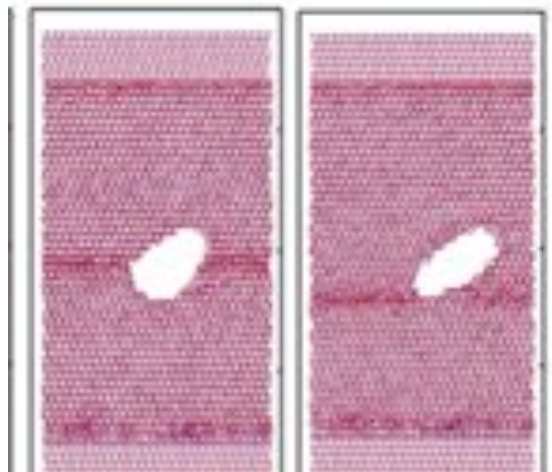
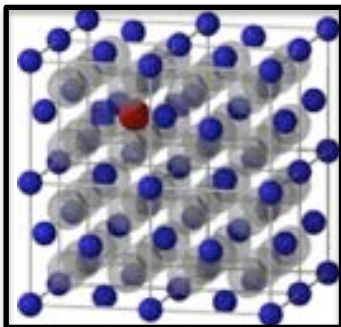


# Multiscale Modeling Approach

- Simulations at smaller scales inform the models at increasing length scales

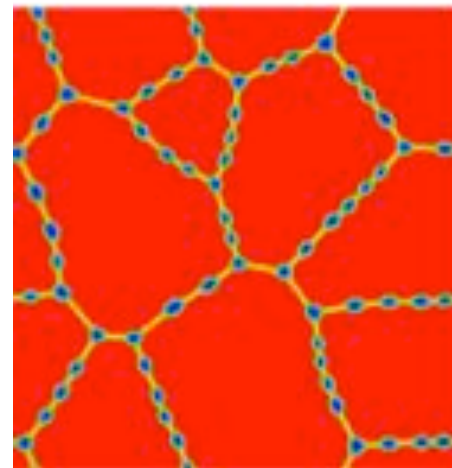
## Atomic scale bulk DFT + MD

- Identify important bulk mechanisms
- Determine bulk material parameters



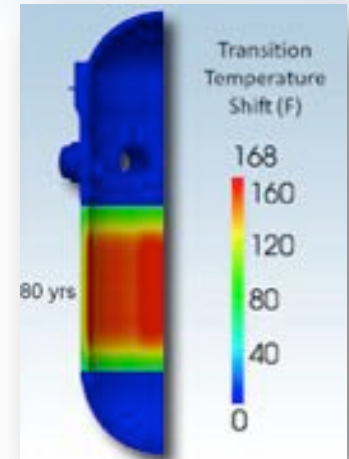
## Atomic scale microstructure MD

- Investigate role of idealized interfaces
- Determine interfacial properties



## Mesoscale models

- Predict and define microstructure evolution
- Determine effect of evolution on material properties



## Engineering scale simulation

- Predictive modeling at the engineering scale

nm

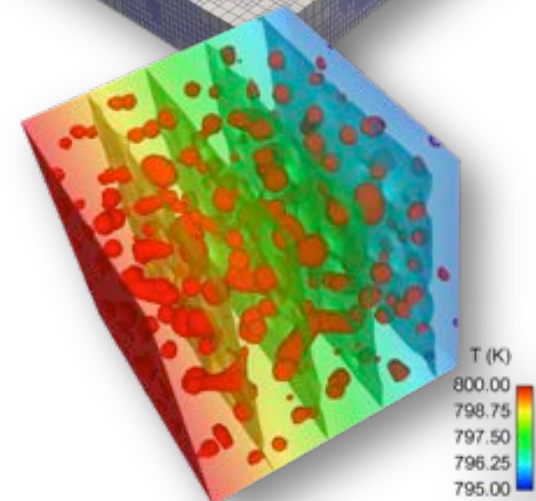
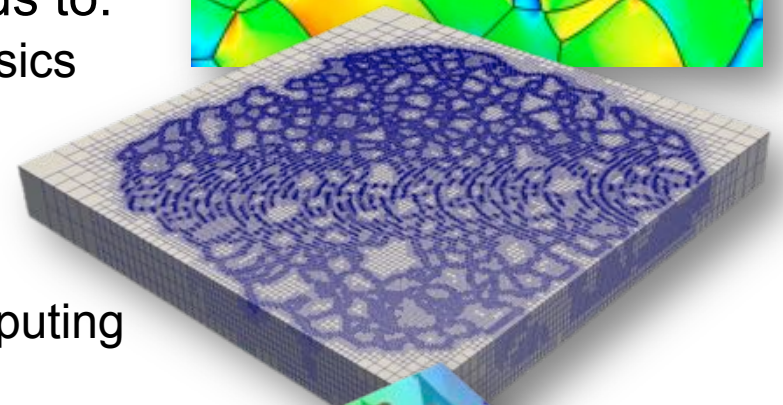
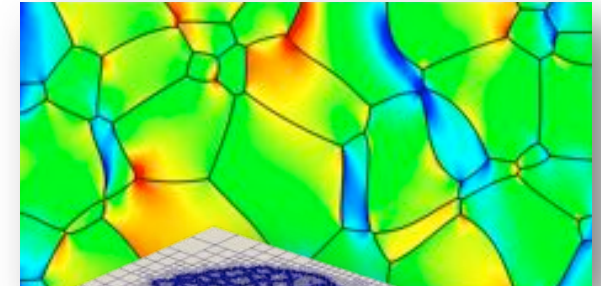
μm

mm

Lengthscale

## Materials Modeling Requirements

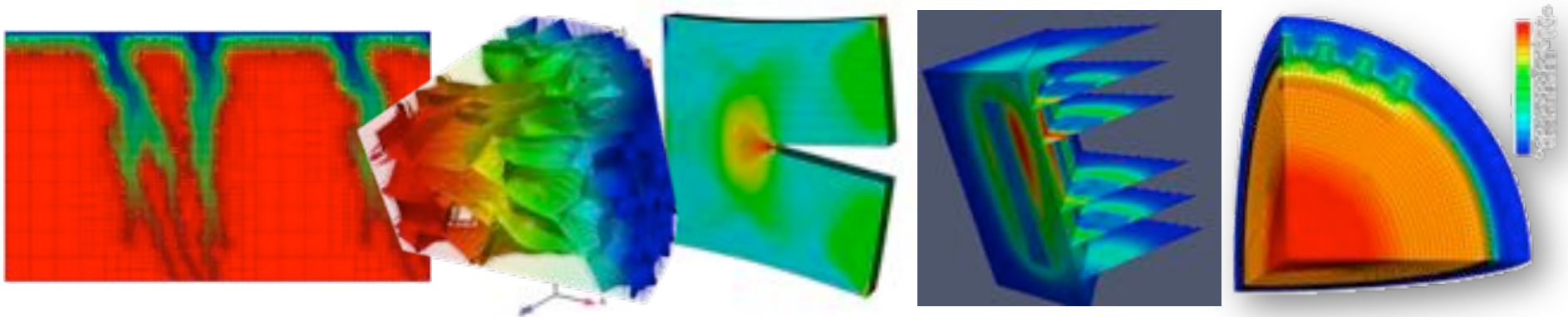
- To model material behavior at the meso- and macroscales requires that we deal with its inherent complexity.
- A tool for modeling material behavior needs to:
  - Easily handle multiple, tightly coupled physics
  - Have tools for multiscale modeling
- It would also be nice if it
  - Were simple to use and develop
  - Took advantage of high performance computing
  - Were free and open source
  - Had a team of full time staff for development and support
  - Had a strong user community



# MOOSE

## *Multiphysics Object Oriented Simulation Environment*

- MOOSE is a finite-element, multiphysics framework that simplifies the development of advanced numerical applications.
- It provides a high-level interface to sophisticated nonlinear solvers and massively parallel computational capability.

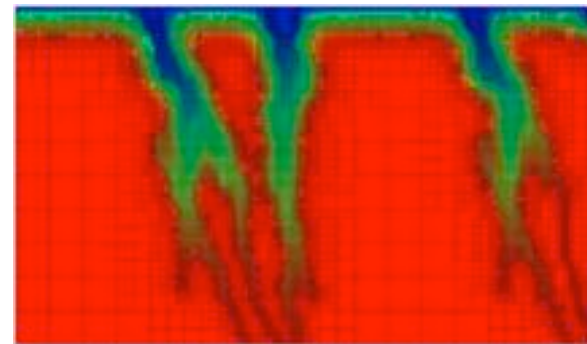
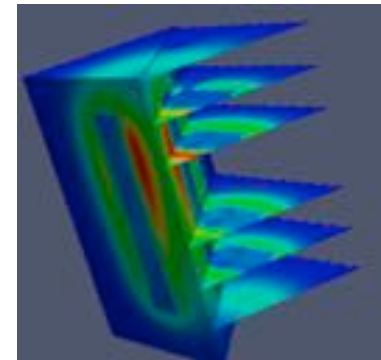


- MOOSE has been used to model thermomechanics, neutronics, geomechanics, reactive transport, microstructure modeling, computational fluid dynamics, and more every day!
- It is open source and freely available at [mooseframework.org](http://mooseframework.org)



# MOOSE

- Tool for develop simulation tools that solve PDEs using FEM
- Spatial discretization with finite elements, where each variable can use a different element type, i.e. different shape functions
  - Easy to couple multiple PDE
  - Implicit or explicit time integration is available
  - Dimension agnostic, same code can be used in 1- to 3-D
  - Inherently parallel, solved with one to >10000 processors
  - Provides access to mesh and time step adaptivity
  - Easy simulation tool development
  - Can read and write various mesh formats
  - Strong user community
  - Newton or Jacobian free solvers.

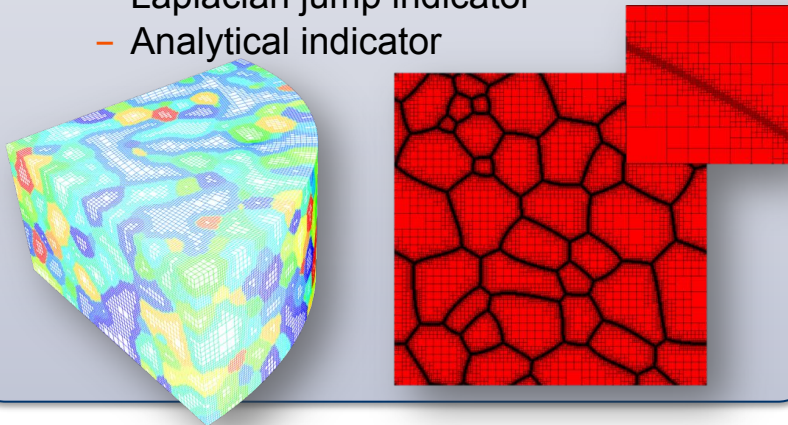


# Mesh and Time Step Adaptivity

- Any model implemented with MOOSE has access to mesh and time step adaptivity

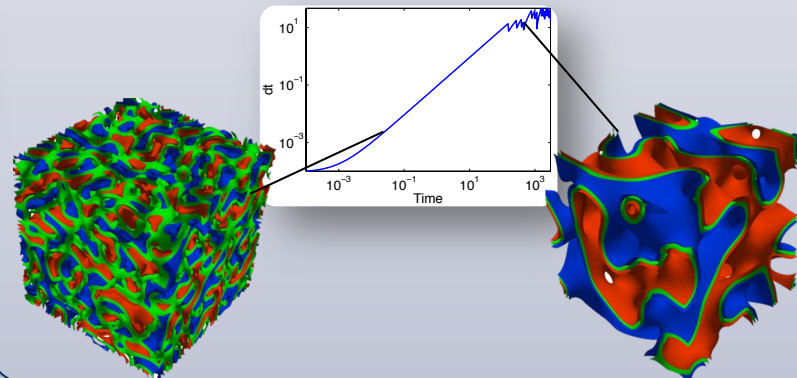
## Mesh Adaptivity

- Requires no code development
- Refinement or coarsening is defined by a marker that be related to
  - An error estimator
  - Variable values
  - Stipulated by some other model
- Error indicators include the
  - Gradient jump indicator
  - Flux jump indicator
  - Laplacian jump indicator
  - Analytical indicator



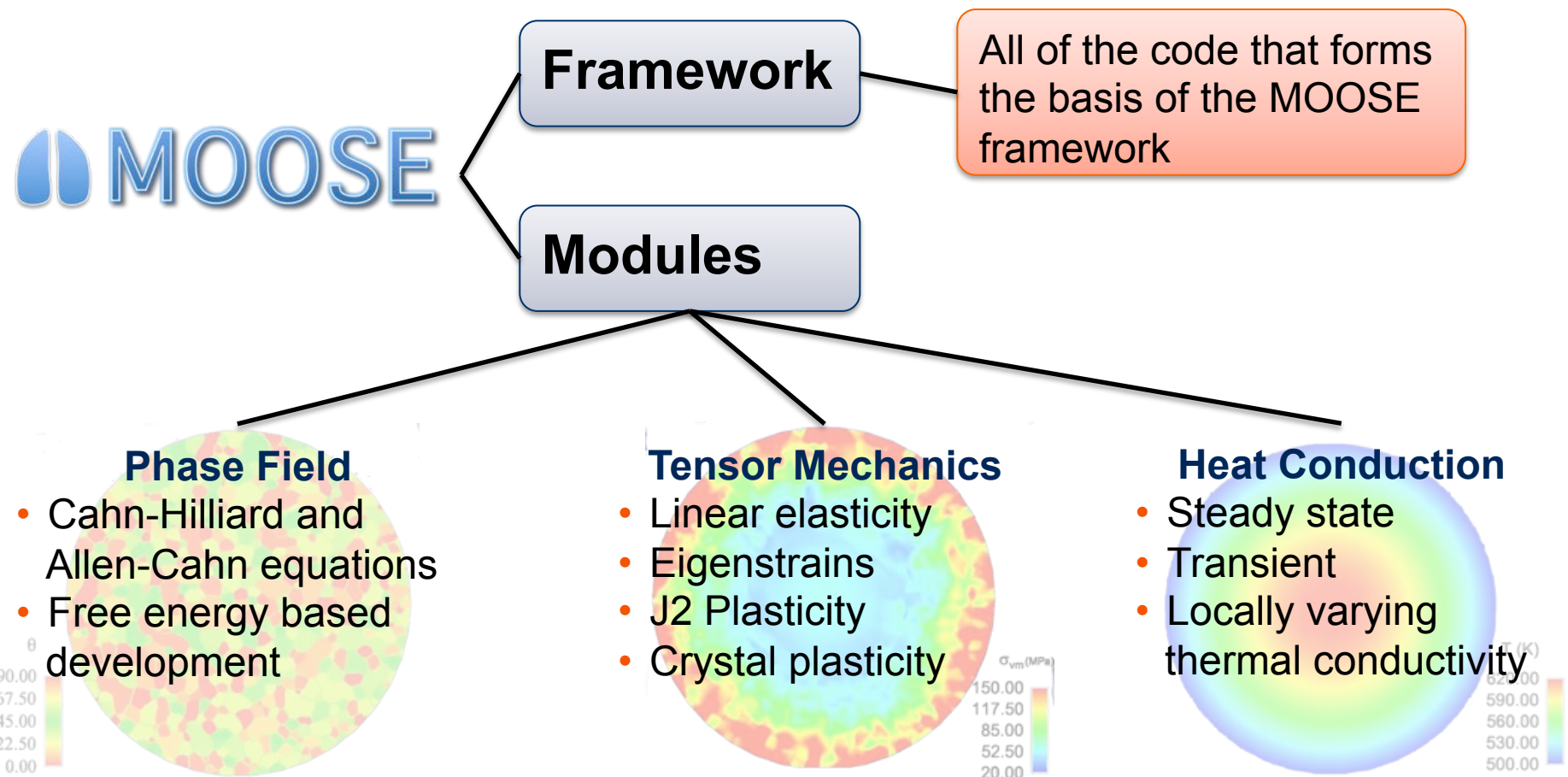
## Transient Time Step Adaptivity

- The time step in transient simulations can change with time
- Various time steppers exist to define  $dt$ :
  - Defined by a function
  - Adapts to maintain consistent solution behavior
  - Adapts to maintain consistent solution time
- Users can write new time steppers



# Mesoscale Modeling with the MOOSE framework

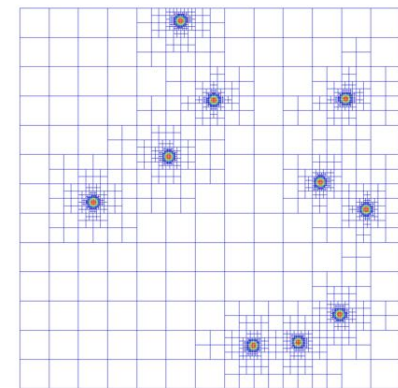
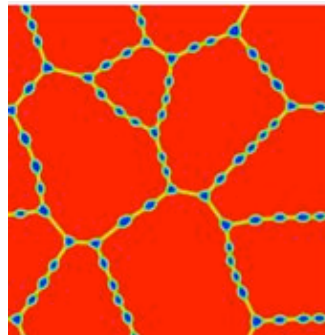
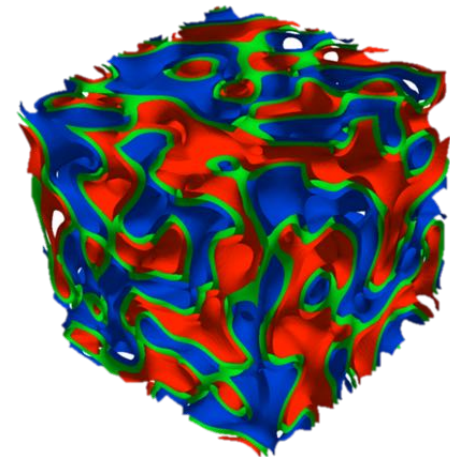
- All of the code required to easily create your own phase field application is in the open source MOOSE modules (MOOSE-PF).



# MOOSE-PF *Generic Phase Field Library*

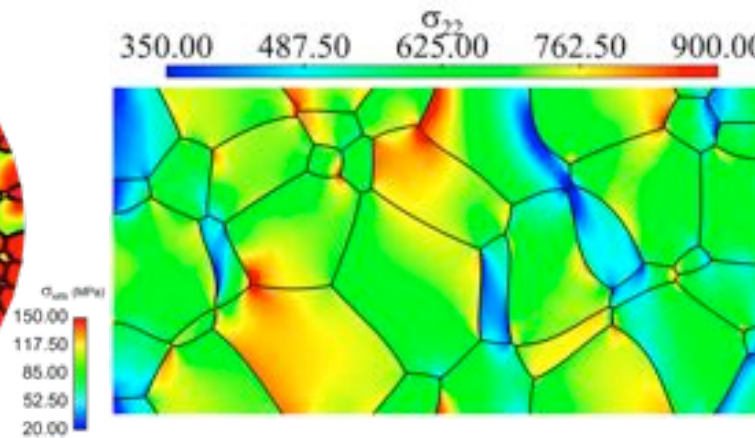
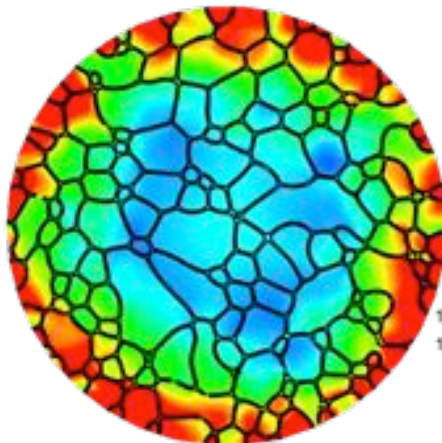
- Provides the tools necessary to develop phase field models using FEM.

- Base classes for solving Cahn Hilliard equations
  - Direct solution
  - Split solution
- Base classes for Allen-Cahn equations
- Grain growth model
- Grain remapping algorithm for improved efficiency
- Initial conditions
- Postprocessors for characterizing microstructure



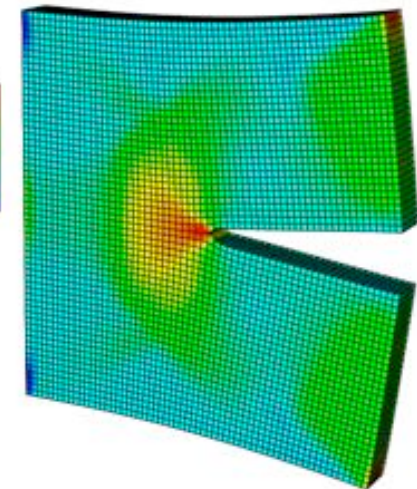
# MOOSE-Tensor Mechanics

- Provides the tools necessary for modeling mechanical deformation and stress at the mesoscale.
  - Anisotropic elasticity tensors that can change spatially
  - Linear elasticity
  - Eigen strains
  - Finite strain mechanics
    - J2 plasticity
    - Crystal plasticity



Stress YY (MPa)

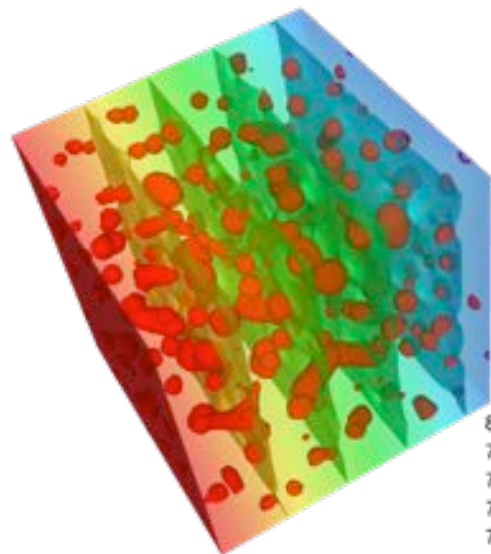
438.00  
290.05  
142.10  
-5.85  
-153.80



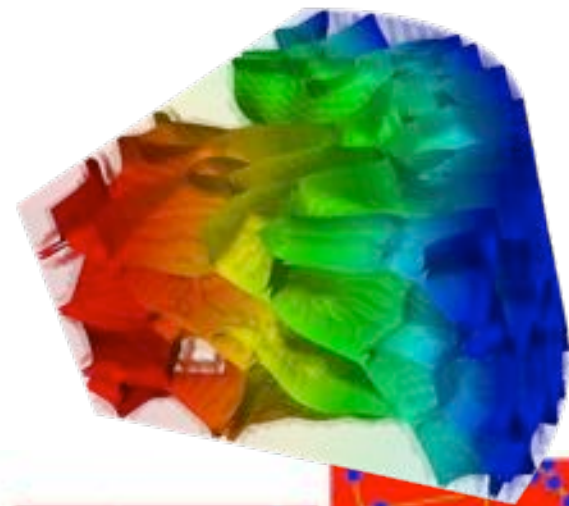
$\sigma_{yy}$   
350.00 487.50 625.00 762.50 900.00

# MOOSE-Heat Conduction

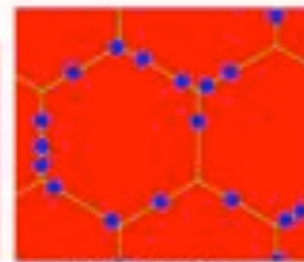
- Provides the tools necessary for modeling heat conduction and temperature gradients at the mesoscale.
- Steady state heat conduction
- Transient term
- Effective thermal conductivity calculation
- Spatially varying thermal conductivity



T (K)  
 800.00  
 798.75  
 797.50  
 796.25  
 795.00

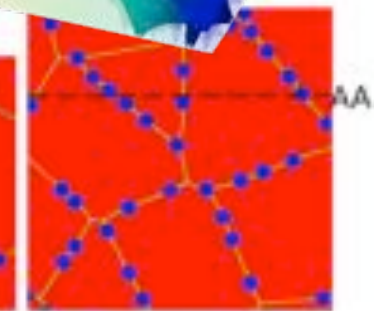


5.650e-12



k (W/(mK))  
 2.378e-09

1.192e-09



4.750e-09

3.564e-09

# MARMOT

- Models the coevolution of microstructure and properties in reactor materials



Phase Field   Tensor Mechanics   Heat Conduction



*No physics*

*Applicable to all materials*

*Specifically for reactor materials*

- MARMOT is in use by researchers at laboratories and universities:



# ***Overview of the Finite Element Method and Implementation***

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# Polynomial Fitting

- To introduce the idea of finding coefficients to functions, let's consider simple polynomial fitting.
- In polynomial fitting (or interpolation) you have a set of points and you are looking for the coefficients to a function that has the form:

$$f(x) = a + bx + cx^2 + \dots$$

- Where  $a$ ,  $b$  and  $c$  are scalar coefficients and  $1, x, x^2$  are "basis functions".
- Find  $a, b, c$ , etc. such that  $f(x)$  passes through the points you are given.
- More generally you are looking for:

$$f(x) = \sum_{i=0}^d c_i x^i$$

where the  $c_i$  are coefficients to be determined.

- $f(x)$  is unique and interpolatory if  $d + 1$  is the same as the number of points you need to fit.
- Need to solve a linear system to find the coefficients.

# Example

1. Define a set of points:

- $x = 1, 3, 4$
- $y = 4, 1, 2$

2. Create the linear system:

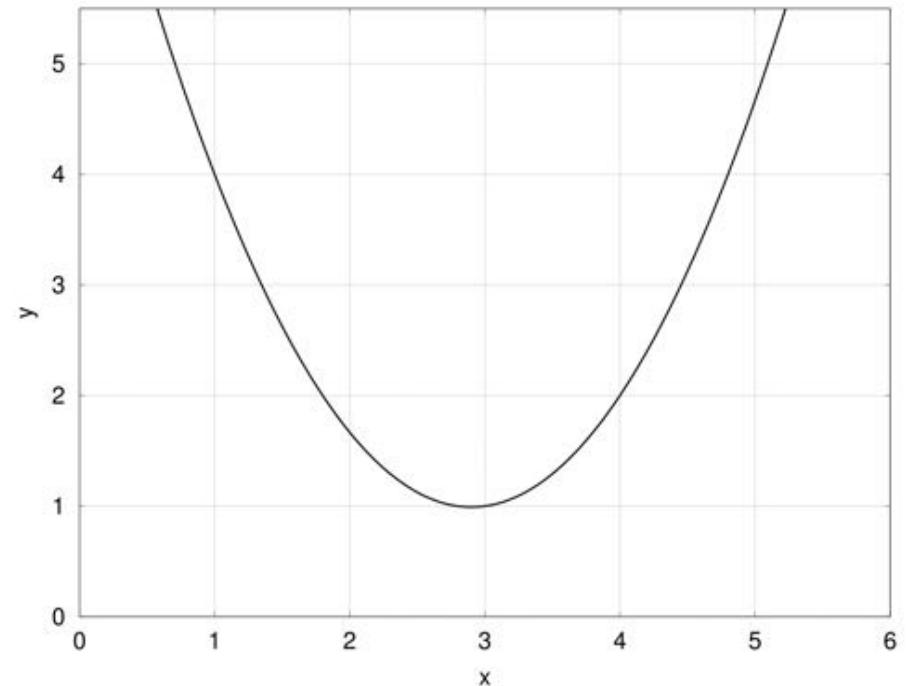
$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 9 \\ 1 & 4 & 16 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix} = \begin{bmatrix} 4 \\ 1 \\ 2 \end{bmatrix}$$

3. Solve for the coefficients:

- $a = 8, b = \frac{29}{6}, c = \frac{5}{6}$

4. Define the complete solution function:

$$f(x) = 8 - \frac{29}{6}x + \frac{5}{6}x^2$$



# Example (cont.)

- The coefficients themselves don't mean anything, by themselves they are just numbers.
- The solution is *not* the coefficients, but rather the *function* they create when they are multiplied by their respective basis functions and summed.
- The function  $f(x)$  does go through the points we were given, *but it is also defined everywhere in between*.
- We can evaluate  $f(x)$  at the point  $x = 2$ , for example, by computing:

$$f(2) = \sum_{i=0}^2 c_i 2^i, \text{ or more generically: } f(2) = \sum_{i=0}^2 c_i g_i(2),$$

where the  $c_i$  correspond to the coefficients in the solution vector, and the  $g_i$  are the respective functions.

- Finally, note that the matrix consists of evaluating the functions at the points.

# Finite Elements Simplified

- A method for numerically approximating the solution to Partial Differential Equations (PDEs).
- Works by finding a solution function that is made up of "shape functions" multiplied by coefficients and added together.
- Just like in polynomial fitting, except the functions aren't typically as simple as  $x^i$  (although they can be).
- The Galerkin Finite Element method is different from finite difference and finite volume methods because it finds a piecewise continuous function which is an approximate solution to the governing PDE.
- Just as in polynomial fitting you can evaluate a finite element solution anywhere in the domain.
- You do it the same way: by adding up "shape functions" evaluated at the point and multiplied by their coefficient.
- FEM is widely applicable for a large range of PDEs and domains.
- It is supported by a rich mathematical theory with proofs about accuracy, stability, convergence and solution uniqueness.

# Weak Form

- Using FE to find the solution to a PDE starts with forming a "weighted residual" or "variational statement" or "weak form".
  - We typically refer to this process as generating a Weak Form.
- The idea behind generating a weak form is to give us some flexibility, both mathematically and numerically.
- A weak form is what you need to input into in order to solve a new problem.
- Generating a weak form generally involves these steps:
  1. Write down strong form of PDE.
  2. Rearrange terms so that zero is on the right of the equals sign.
  3. Multiply the whole equation by a "test" function  $\psi$ .
  4. Integrate the whole equation over the domain  $\Omega$ .
  5. Integrate by parts (use the divergence theorem) to get the desired derivative order on your functions and simultaneously generate boundary integrals.

# Refresher: The divergence theorem

- Transforms a volume integral into a surface integral:

$$\int_{\Omega} \nabla \cdot \vec{g} \, dx = \int_{\partial\Omega} \vec{g} \cdot \hat{n} \, ds$$

- In finite element calculations, for example with  $\vec{g} = -k(x)\nabla u$ , the divergence theorem implies:

$$-\int_{\Omega} \psi (\nabla \cdot k(x)\nabla u) \, dx = \int_{\Omega} \nabla\psi \cdot k(x)\nabla u \, dx - \int_{\partial\Omega} \psi (k(x)\nabla u \cdot \hat{n}) \, ds$$

- We often use the following inner product notation to represent integrals since it is more compact:

$$-(\psi, \nabla \cdot k(x)\nabla u) = (\nabla\psi, k(x)\nabla u) - \langle \psi, k(x)\nabla u \cdot \hat{n} \rangle$$

- [http://en.wikipedia.org/wiki/Divergence\\_theorem](http://en.wikipedia.org/wiki/Divergence_theorem)

# Example: Convection Diffusion

- Write the strong form of the equation:

$$-\nabla \cdot k \nabla u + \vec{\beta} \cdot \nabla u = f$$

- Rearrange to zero is on the right-hand side:

$$-\nabla \cdot k \nabla u + \vec{\beta} \cdot \nabla u - f = 0$$

- Multiply by the test function  $\psi$ :

$$-\psi (\nabla \cdot k \nabla u) + \psi (\vec{\beta} \cdot \nabla u) - \psi f = 0$$

- Integrate over the domain  $\Omega$ :

$$-\int_{\Omega} \psi (\nabla \cdot k \nabla u) + \int_{\Omega} \psi (\vec{\beta} \cdot \nabla u) - \int_{\Omega} \psi f = 0$$

# Example: Convection Diffusion (cont.)

- Apply the divergence theorem to the diffusion term:

$$\int_{\Omega} \nabla \psi \cdot k \nabla u - \int_{\partial \Omega} \psi (k \nabla u \cdot \hat{n}) + \int_{\Omega} \psi (\vec{\beta} \cdot \nabla u) - \int_{\Omega} \psi f = 0$$

- Write in inner product notation, from which C++ code will be based. Each portion of the equation will inherit from an existing MOOSE type and the unique aspects of your equations defined.

$$\underbrace{(\nabla \psi, k \nabla u)}_{\text{Kernel}} - \underbrace{\langle \psi, k \nabla u \cdot \hat{n} \rangle}_{\text{BoundaryCondition}} + \underbrace{(\psi, \vec{\beta} \cdot \nabla u)}_{\text{Kernel}} - \underbrace{(\psi, f)}_{\text{Kernel}} = 0$$



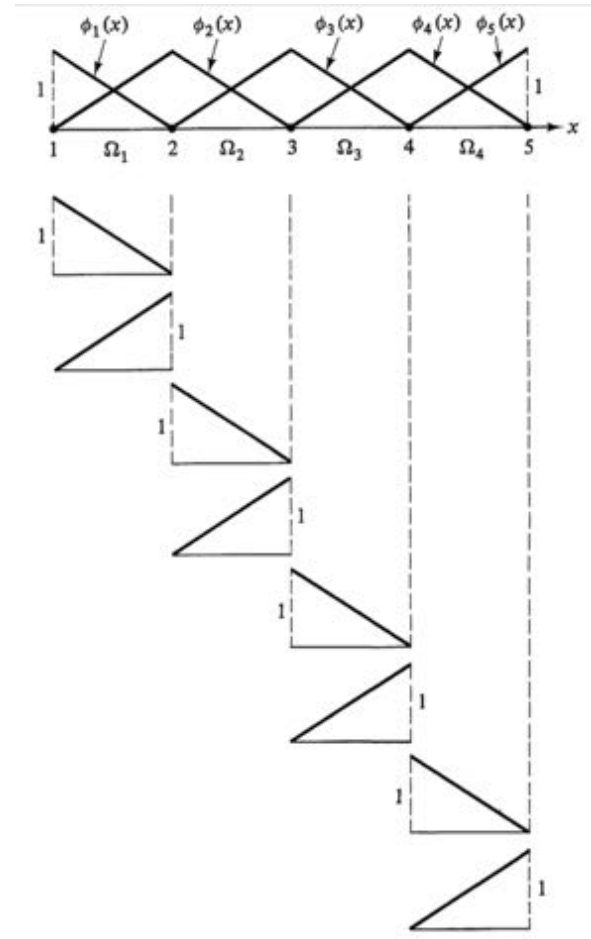
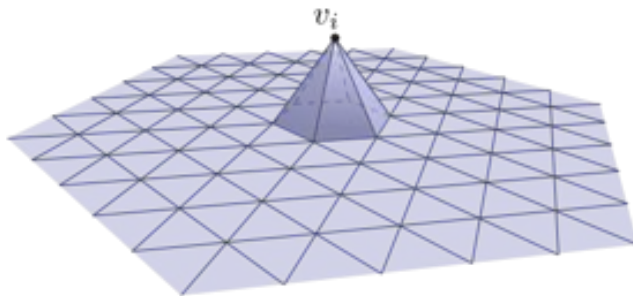
# Finite Element Shape Functions

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# Basis Functions and Shape Functions

- While the weak form is essentially what you need for adding physics to MOOSE, in traditional finite element software more work is necessary.
- We need to discretize our weak form and select a set of simple "basis functions" amenable for manipulation by a computer.



# Shape Functions

- Our discretized expansion of  $u$  takes on the following form:

$$u \approx u_h = \sum_{j=1}^N u_j \phi_j$$

- The  $\phi_j$  here are called "basis functions"
- These  $\phi_j$  form the basis for the "trial function",  $u_h$
- Analogous to the  $x^n$  we used earlier
- The gradient of  $u$  can be expanded similarly:

$$\nabla u \approx \nabla u_h = \sum_{j=1}^N u_j \nabla \phi_j$$

# Shape Functions (cont.)

- In the Galerkin finite element method, the same basis functions are used for both the trial and test functions:

$$\psi = \{\phi_i\}_{i=1}^N$$

- Substituting these expansions back into our weak form, we get:

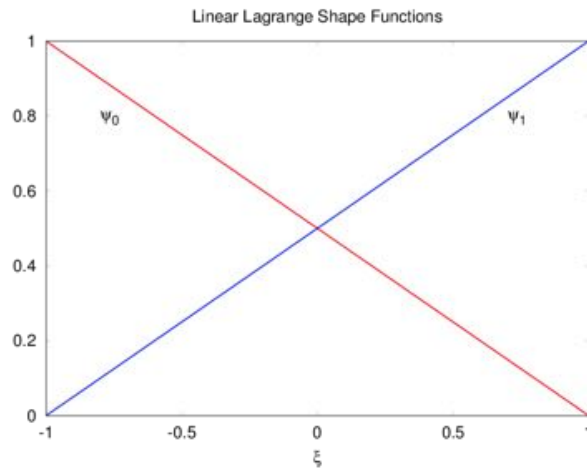
$$(\nabla\psi_i, k\nabla u_h) - \langle \psi_i, k\nabla u_h \cdot \hat{n} \rangle + \left( \psi_i, \vec{\beta} \cdot \nabla u_h \right) - (\psi_i, f) = 0, \quad i = 1, \dots, N$$

- The left-hand side of the equation above is what we generally refer to as the  $i^{th}$  component of our "Residual Vector" and write as  $R_i(u_h)$ .

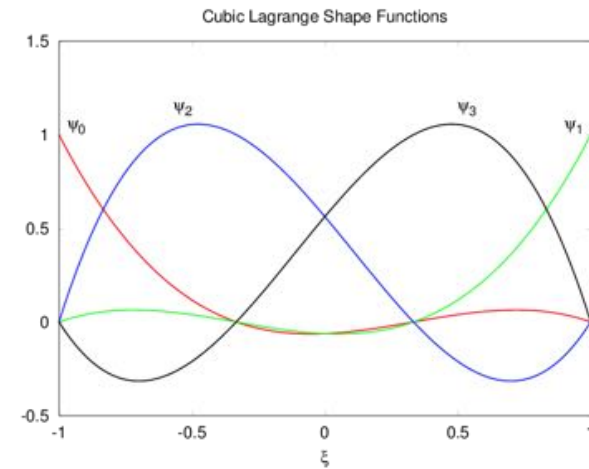
# Shape Functions (cont.)

- Shape Functions are the functions that get multiplied by coefficients and summed to form the solution.
- Individual shape functions are finite pieces of the global basis functions.
- They are analogous to the  $x^n$  functions from polynomial fitting (in fact, you can use those as shape functions).
- Typical shape function families: Lagrange, Hermite, Hierarchic, Monomial, Clough-Toucher
  - MOOSE has support for all of these.
- Lagrange shape functions are the most common.
  - They are interpolary at the nodes, i.e., the coefficients correspond to the values of the functions at the nodes.

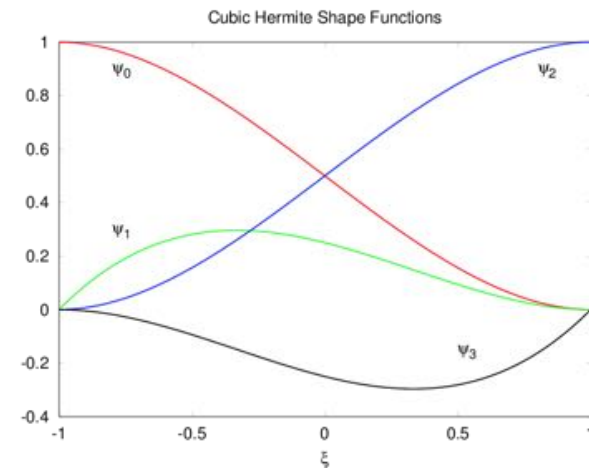
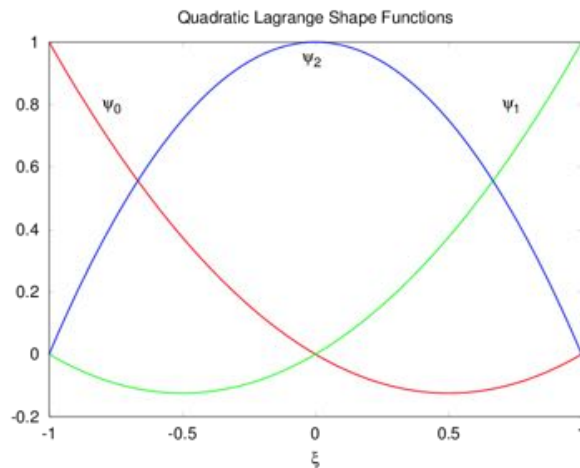
# Example 1D Shape Functions



Linear Lagrange



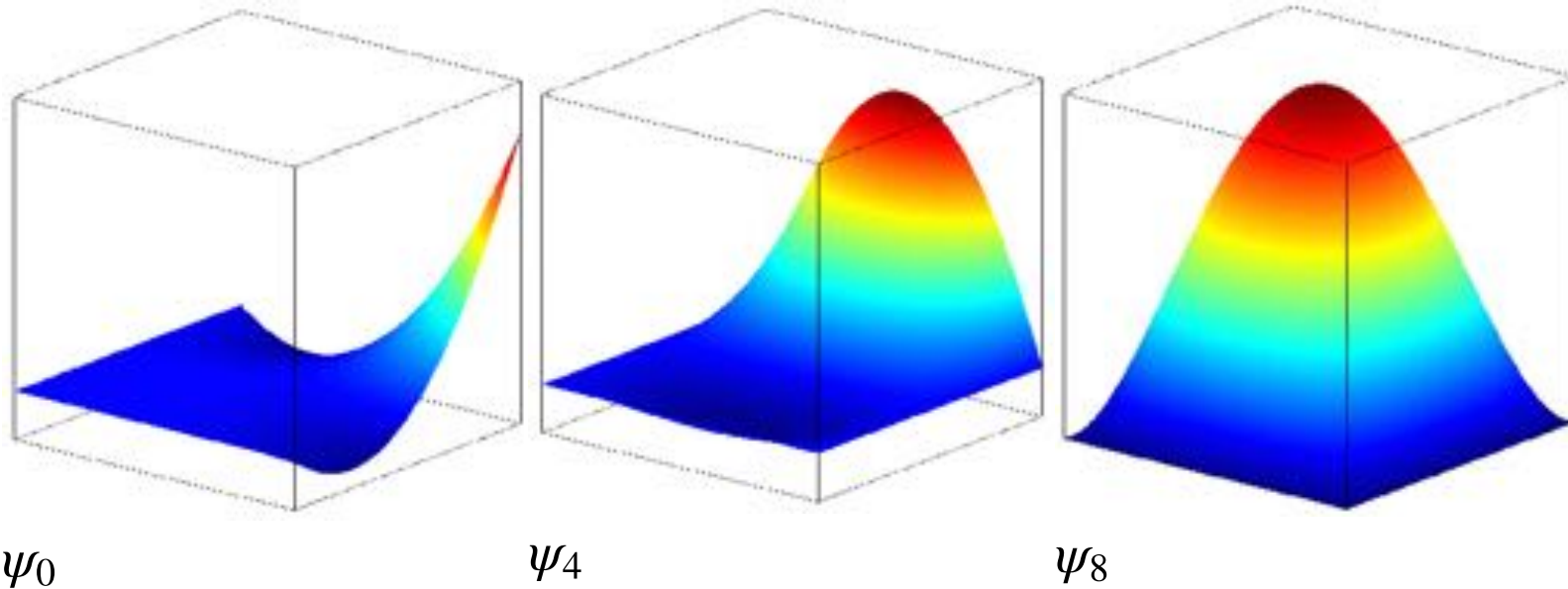
Cubic Lagrange



# 2D Lagrange Shape Functions

Example bi-quadratic basis functions defined on the Quad9 element:

- $\psi_0$  is associated to a "corner" node, it is zero on the opposite edges.
- $\psi_4$  is associated to a "mid-edge" node, it is zero on all other edges.
- $\psi_8$  is associated to the "center" node, it is symmetric and  $\geq 0$  on the element.



# Numerical Implementation

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# Numerical Integration

- The only remaining non-discretized parts of the weak form are the integrals.
- We split the domain integral into a sum of integrals over elements:

$$\int_{\Omega} f(\vec{x}) \, d\vec{x} = \sum_e \int_{\Omega_e} f(\vec{x}) \, d\vec{x}$$

- Through a change of variables, the element integrals are mapped to integrals over the "reference" elements  $\hat{\Omega}_e$ .

$$\sum_e \int_{\Omega_e} f(\vec{x}) \, d\vec{x} = \sum_e \int_{\hat{\Omega}_e} f(\vec{\xi}) |\mathcal{J}_e| \, d\vec{\xi}$$

- $\mathcal{J}_e$  is the Jacobian of the map from the physical element to the reference element.

# Numerical Integration (cont.)

- To approximate the reference element integrals numerically, we use quadrature (typically "Gaussian Quadrature"):

$$\sum_e \int_{\hat{\Omega}_e} f(\vec{\xi}) |\mathcal{J}_e| d\vec{\xi} \approx \sum_e \sum_{qp} w_{qp} f(\vec{x}_{qp}) |\mathcal{J}_e(\vec{x}_{qp})|$$

- $\vec{x}_{qp}$  is the spatial location of the  $qp$ th quadrature point and  $w_{qp}$  is its associated associated weight.
- MOOSE handles multiplication by the Jacobian and the weight automatically, thus your kernel is only responsible for computing the  $f(\vec{x}_{qp})$  part of the integrand.
- Under certain common situations, the quadrature approximation is exact!
  - For example, in 1 dimension, Gaussian Quadrature can exactly integrate polynomials of order  $2n - 1$  with  $n$  quadrature points.

# Numerical Integration (cont.)

- Note that sampling  $u_h$  at the quadrature points yields:

$$u(\vec{x}_{qp}) \approx u_h(\vec{x}_{qp}) = \sum u_j \phi_j(\vec{x}_{qp})$$

$$\nabla u(\vec{x}_{qp}) \approx \nabla u_h(\vec{x}_{qp}) = \sum u_j \nabla \phi_j(\vec{x}_{qp})$$

- And our weak form becomes:

$$R_i(u_h) = \sum_e \sum_{qp} w_{qp} |\mathcal{J}_e| \left[ \nabla \psi_i \cdot k \nabla u_h + \psi_i \left( \vec{\beta} \cdot \nabla u_h \right) - \psi_i f \right] (\vec{x}_{qp})$$

$$- \sum_f \sum_{qp_{face}} w_{qp_{face}} |\mathcal{J}_f| \left[ \psi_i k \nabla u_h \cdot \vec{n} \right] (\vec{x}_{qp_{face}})$$

- The second sum is over boundary faces,  $f$ .
- MOOSE Kernels must provide each of the terms in square brackets (evaluated at  $\vec{x}_{qp}$  or  $\vec{x}_{qp_{face}}$  as necessary).

# Newton's Method

- We now have a nonlinear system of equations,

$$R_i(u_h) = 0, \quad i = 1, \dots, N$$

to solve for the coefficients  $u_j, j = 1, \dots, N$ .

- Newton's method has good convergence properties, we use it to solve this system of nonlinear equations.
- Newton's method is a "root finding" method: it finds zeros of nonlinear equations.
- Newton's Method in "Update Form" for finding roots of the scalar equation  $f(x) = 0, f(x) : \mathbb{R} \rightarrow \mathbb{R}$  is given by

$$\begin{aligned} f'(x_n)\delta x_{n+1} &= -f(x_n) \\ x_{n+1} &= x_n + \delta x_{n+1} \end{aligned}$$

# Newton's Method (cont.)

- We don't have just one scalar equation: we have a system of nonlinear equations.
- This leads to the following form of Newton's Method:

$$\mathbf{J}(\vec{u}_n)\delta\vec{u}_{n+1} = -\vec{R}(\vec{u}_n)$$

$$\vec{u}_{n+1} = \vec{u}_n + \delta\vec{u}_{n+1}$$

- Where  $\mathbf{J}(\vec{u}_n)$  is the Jacobian matrix evaluated at the current iterate:

$$J_{ij}(\vec{u}_n) = \frac{\partial R_i(\vec{u}_n)}{\partial u_j}$$

- Note that:

$$\frac{\partial u_h}{\partial u_j} = \sum_k \frac{\partial}{\partial u_j}(u_k \phi_k) = \phi_j \quad \frac{\partial (\nabla u_h)}{\partial u_j} = \sum_k \frac{\partial}{\partial u_j}(u_k \nabla \phi_k) = \nabla \phi_j$$

# Newton for a Simple Equation

- Consider the convection-diffusion equation with nonlinear  $k$ ,  $\vec{\beta}$ , and  $f$ :

$$-\nabla \cdot k \nabla u + \vec{\beta} \cdot \nabla u = f$$

- The  $i^{th}$  component of the residual vector is:

$$R_i(u_h) = (\nabla \psi_i, k \nabla u_h) - \langle \psi_i, k \nabla u_h \cdot \hat{n} \rangle + \left( \psi_i, \vec{\beta} \cdot \nabla u_h \right) - (\psi_i, f)$$

# Newton for a Simple Equation (cont.)

- Using the previously-defined rules for  $\frac{\partial u_h}{\partial u_j}$  and  $\frac{\partial(\nabla u_h)}{\partial u_j}$ , the  $(i, j)$  entry of the Jacobian is then:

$$\begin{aligned}
 J_{ij}(u_h) = & \left( \nabla \psi_i, \frac{\partial k}{\partial u_j} \nabla u_h \right) + \left( \nabla \psi_i, k \nabla \phi_j \right) - \left\langle \psi_i, \frac{\partial k}{\partial u_j} \nabla u_h \cdot \hat{n} \right\rangle \\
 & - \left\langle \psi_i, k \nabla \phi_j \cdot \hat{n} \right\rangle + \left( \psi_i, \frac{\partial \vec{\beta}}{\partial u_j} \cdot \nabla u_h \right) + \left( \psi_i, \vec{\beta} \cdot \nabla \phi_j \right) - \left( \psi_i, \frac{\partial f}{\partial u_j} \right)
 \end{aligned}$$

- Note that even for this "simple" equation, the Jacobian entries are nontrivial: they depend on the partial derivatives of  $k$ ,  $\vec{\beta}$ , and  $f$ , which may be difficult or time-consuming to compute analytically.
- In a multiphysics setting with many coupled equations and complicated material properties, the Jacobian might be extremely difficult to determine.

# Chain Rule

- On the previous slide, the term  $\frac{\partial f}{\partial u_j}$  was used, where  $f$  was a nonlinear forcing function.
- The chain rule allows us to write this term as

$$\begin{aligned} \frac{\partial f}{\partial u_j} &= \frac{\partial f}{\partial u_h} \frac{\partial u_h}{\partial u_j} \\ &= \frac{\partial f}{\partial u_h} \phi_j \end{aligned}$$

- If a functional form of  $f$  is known, e.g.  $f(u) = \sin(u)$ , this formula implies that its Jacobian contribution is given by

$$\frac{\partial f}{\partial u_j} = \cos(u_h) \phi_j$$



# Jacobian Free Newton Krylov

- $\mathbf{J}(\vec{u}_n)\delta\vec{u}_{n+1} = -\vec{R}(\vec{u}_n)$  is a linear system solved during each Newton step.
- For simplicity, we can write this linear system as  $\mathbf{A}\vec{x} = \vec{b}$ , where:
  - $\mathbf{A} \equiv \mathbf{J}(\vec{u}_n)$
  - $\vec{x} \equiv \delta\vec{u}_{n+1}$
  - $\vec{b} \equiv -\vec{R}(\vec{u}_n)$
- We employ an iterative Krylov method (e.g. GMRES) to produce a sequence of iterates  $\vec{x}_k \rightarrow \vec{x}, k = 1, 2, \dots$
- $\mathbf{A}$  and  $\vec{b}$  remain *fixed* during the iterative process.
- The "linear residual" at step  $k$  is defined as

$$\rho_k \equiv \mathbf{A}\vec{x}_k - \vec{b}$$

- MOOSE prints the norm of this vector,  $\|\rho_k\|$ , at each iteration, if you set `print_linear_residuals = true` in the `Outputs` block.
- The "nonlinear residual" printed by MOOSE is  $\|\vec{R}(\vec{u}_n)\|$ .

# Jacobian Free Newton Krylov (cont.)

- By iterate  $k$ , the Krylov method has constructed the subspace

$$\mathcal{K}_k = \text{span}\{\vec{b}, \mathbf{A}\vec{b}, \mathbf{A}^2\vec{b}, \dots, \mathbf{A}^{k-1}\vec{b}\}$$

- Different Krylov methods produce the  $\vec{x}_k$  iterates in different ways:
  - Conjugate Gradients:  $\vec{\rho}_k$  orthogonal to  $\mathcal{K}_k$ .
  - GMRES/MINRES:  $\vec{\rho}_k$  has minimum norm for  $\vec{x}_k$  in  $\mathcal{K}_k$ .
  - Biconjugate Gradients:  $\vec{\rho}_k$  is orthogonal to  $\mathcal{K}_k(\mathbf{A}^T)$
- $\mathbf{J}$  is never explicitly needed to construct the subspace, only the action of  $\mathbf{J}$  on a vector is required.

# Jacobian Free Newton Krylov (cont.)

- This action can be approximated by:

$$\mathbf{J}\vec{v} \approx \frac{\vec{R}(\vec{u} + \epsilon\vec{v}) - \vec{R}(\vec{u})}{\epsilon}$$

- This form has many advantages:
  - No need to do analytic derivatives to form  $\mathbf{J}$
  - No time needed to compute  $\mathbf{J}$  (just residual computations)
  - No space needed to store  $\mathbf{J}$

# Wrap Up

- The Finite Element Method is a way of numerically approximating the solution of PDEs.
- Just like polynomial fitting, FEM finds coefficients for basis functions.
- The "solution" is the combination of the coefficients and the basis functions, and the solution can be sampled anywhere in the domain.
- We compute integrals numerically using quadrature.
- Newton's Method provides a mechanism for solving a system of nonlinear equations.
- The Jacobian Free Newton Krylov (JFNK) method allows us to avoid explicitly forming the Jacobian matrix while still computing its "action".

## Code Implementation

- FEM can be implemented by hand, but can be fairly complicated.
- Many commercial FEM codes exist, but they are expensive and are often not very flexible for solving multiphysics problems.
- Open source options for FEM exist
- Will demonstrate solving this thermo/mechanical system in MOOSE

### Equations

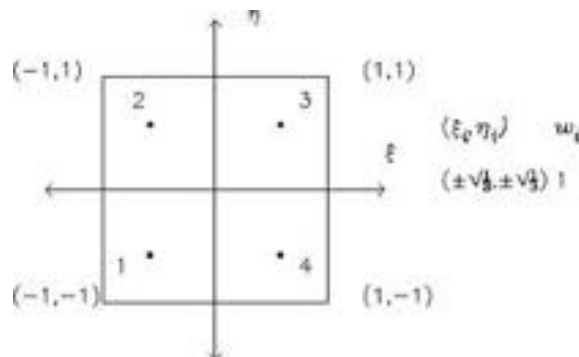
$$\mathcal{R}_T = \int_{\Omega} k \nabla T \cdot \nabla \phi_i dV$$

$$\mathcal{R}_u = \int_{\Omega} \boldsymbol{\sigma} \cdot \nabla \phi_i dV$$

### Code

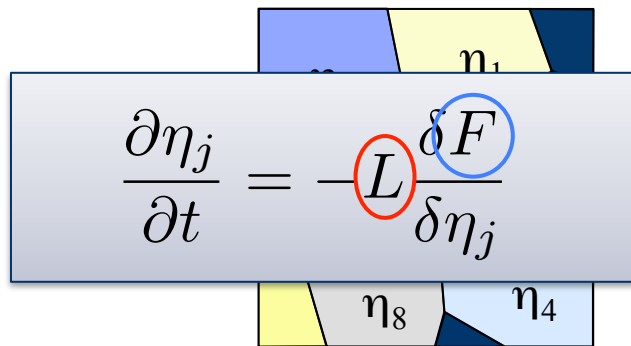
```
_k[_qp] * _grad_u[_qp] * _grad_phi[_qp][_j]
```

```
_stress[_qp] * _grad_phi[_qp][_j]
```

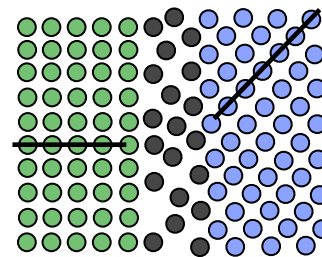


# The Phase Field Method

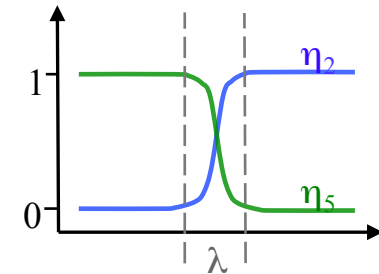
- Microstructure described by a set of continuous variables...
  - Non-Conserved Order Parameters



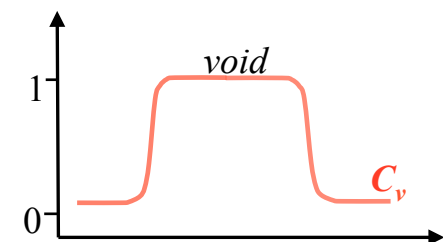
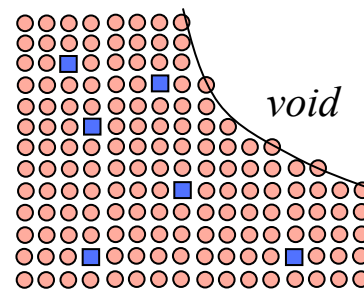
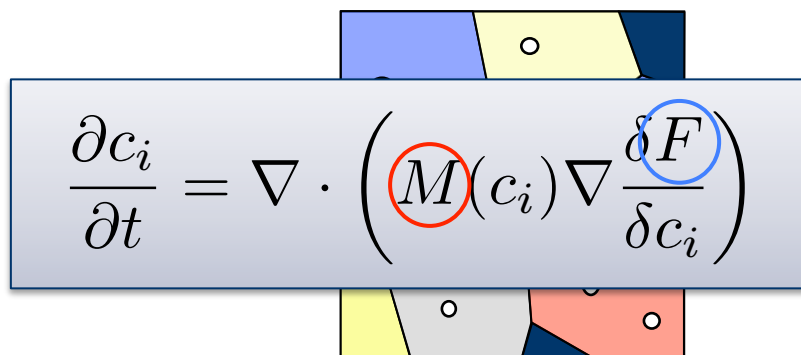
Atomic Scale



Meso Scale

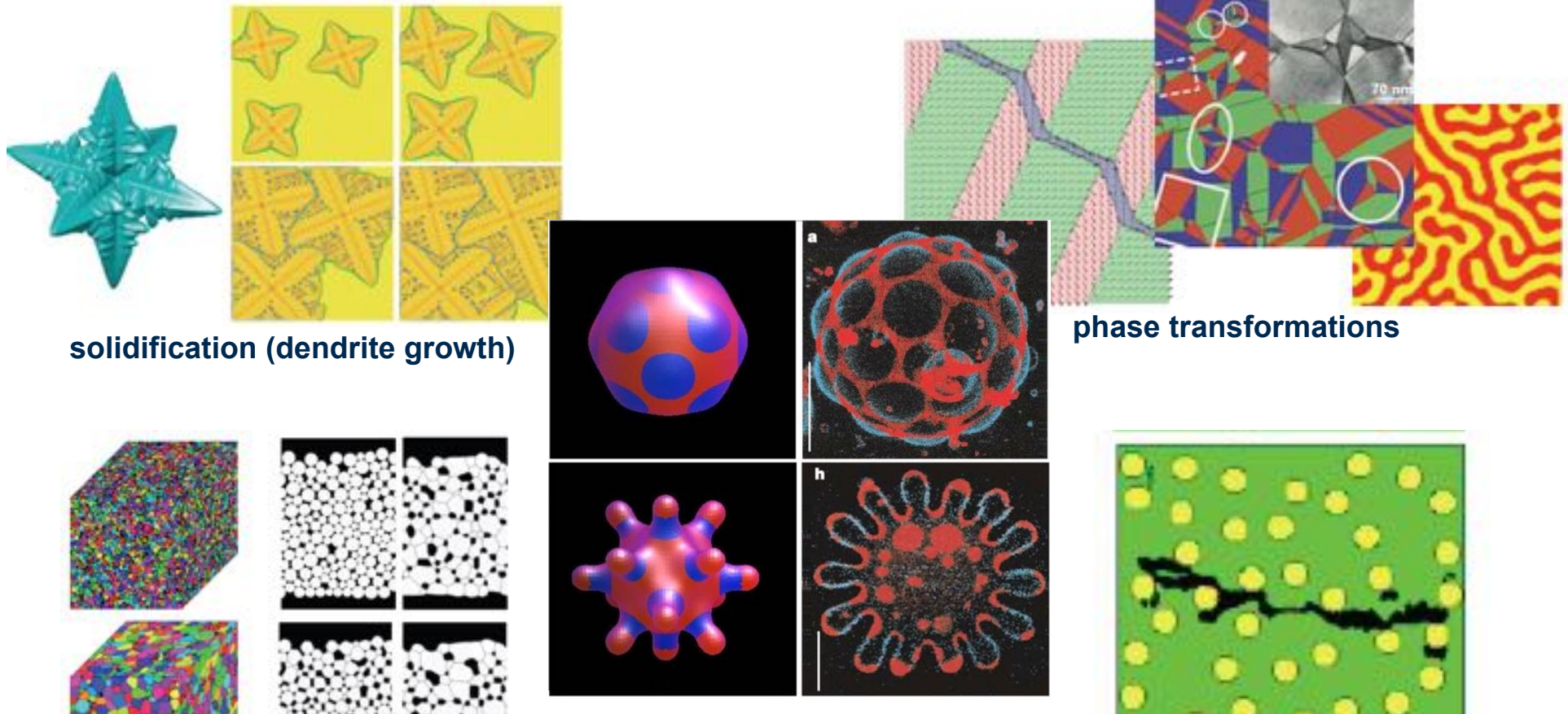


- Conserved Concentrations



- The variables evolve to minimize a functional defining the free energy

## *Phase Field Has Been Used in Many Areas*



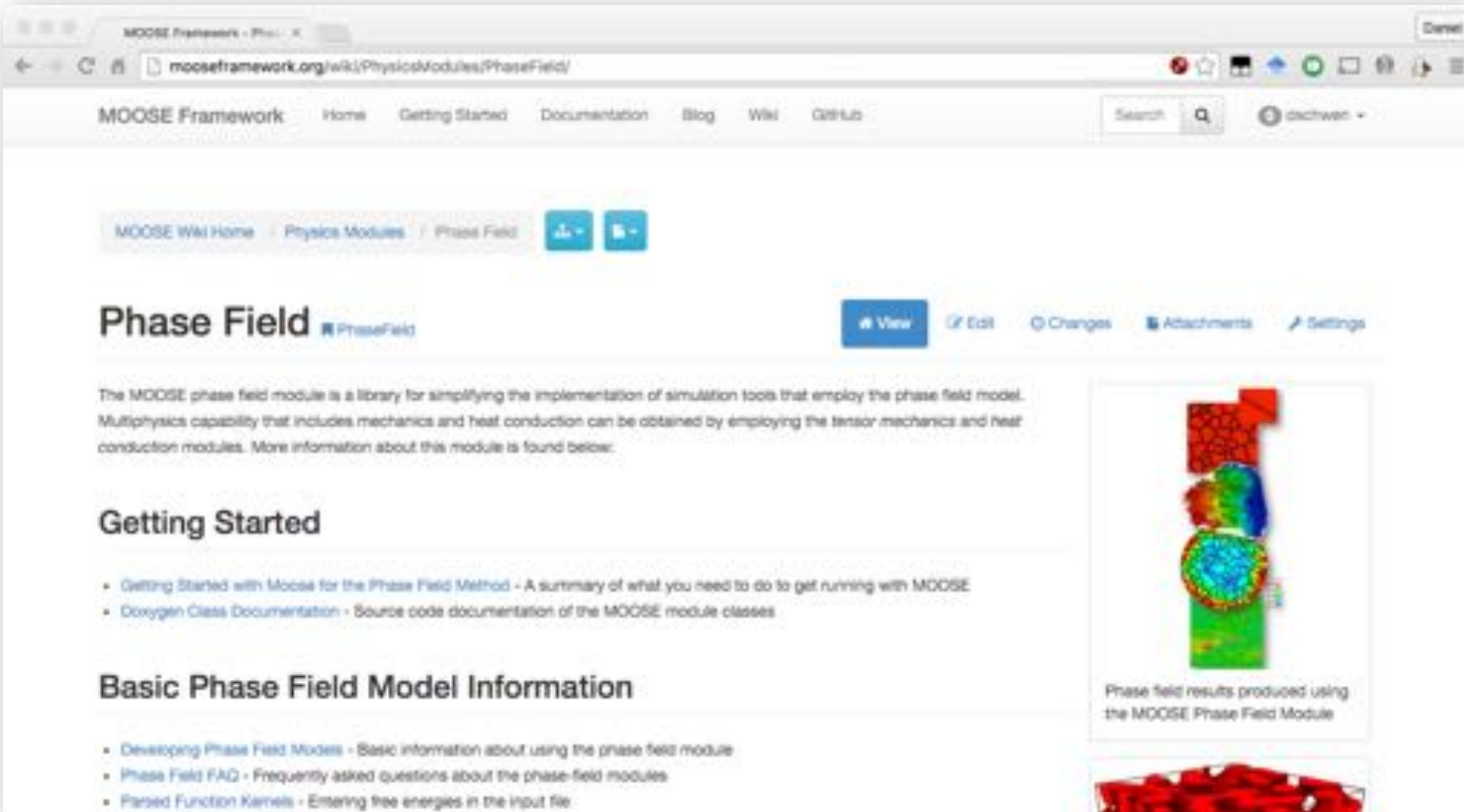
**solidification (dendrite growth)**

**phase transformations**

- The phase field method is our method of choice because it can be:
  - Easily coupled to other physics such as mechanics or heat conduction
  - Quantitative and can represent real materials

# Phase Field Documentation

- Documentation for the phase field module is found on the mooseframework.org wiki:
  - <http://mooseframework.org/wiki/PhysicsModules/PhaseField/>

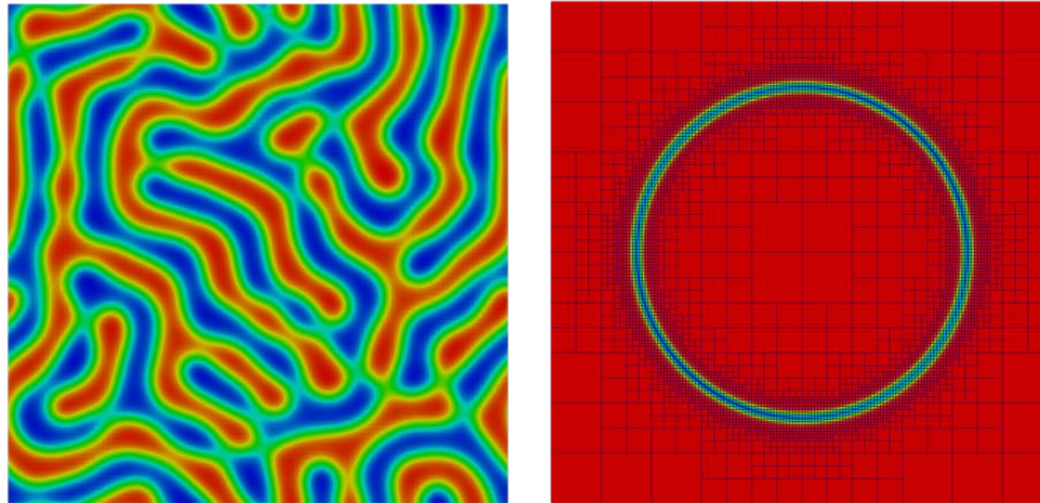


The screenshot shows a web browser window displaying the MOOSE Framework wiki page for the Phase Field module. The page title is "Phase Field" and it includes a description, a "Getting Started" section with links to "Getting Started with Moose for the Phase Field Method" and "Doxygen Class Documentation", and a "Basic Phase Field Model Information" section with links to "Developing Phase Field Models", "Phase Field FAQ", and "Parsed Function Kernels". On the right side, there is a vertical stack of three phase field simulation results: a red and white pattern, a colorful circular pattern, and a red and white pattern. Below the first two images is the caption "Phase field results produced using the MOOSE Phase Field Module".



## Examples

- Example input files for MOOSE-PF can be found in the examples directory in each project folder.
  - These are midsized 2D problems that run well on four processors



- The tests can serve as additional examples
  - There are many tests for the various components of MOOSE
  - Each test runs in less than 2 seconds on one processor

## The Phase Field Equations

- Non-conserved variables (phases, grains, etc.) are evolved using an **Allen-Cahn** (aka Ginzburg-Landau) type equation:

$$\frac{\partial \eta_j}{\partial t} = -L \frac{\delta F}{\delta \eta_j}$$

- Conserved variables are evolved using a **Cahn-Hilliard** type equation:

$$\frac{\partial c_i}{\partial t} = \nabla \cdot \left( M(c_i) \nabla \frac{\delta F}{\delta c_i} \right)$$

- Both equations are functions of *variational derivatives* of a functional defining the free energy of the system in terms of the variables

$$F = \int_V \left( \underbrace{f_{loc}(c_i, \eta_j, \dots, T)}_{\text{Local energy}} + E_d + \underbrace{\sum_i \frac{\kappa_i}{2} (\nabla c_i)^2 + \sum_j \frac{\kappa_j}{2} (\nabla \eta_j)^2}_{\text{Gradient energy}} \right) dV$$

# Variational Derivative

The functional derivative (or variational derivative) relates a change in a functional to a change in a function that the functional depends on.

Wikipedia, “Functional derivative”

$$F = \int f(r, c, \nabla c) dV$$

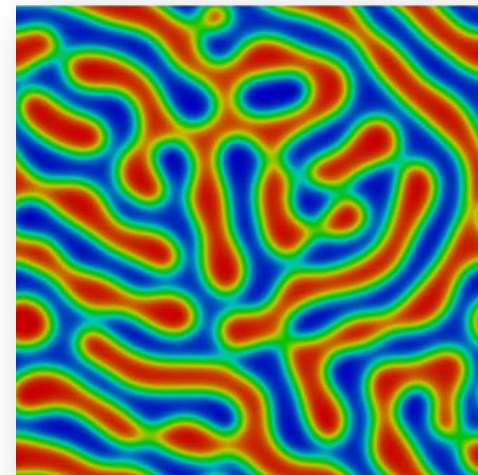
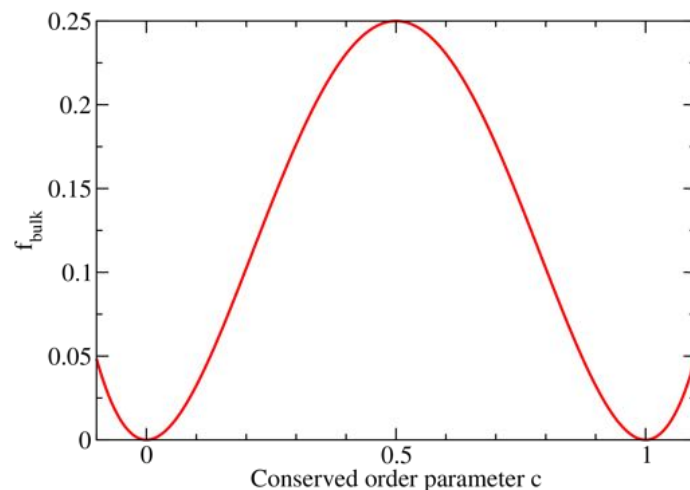
$$\frac{\delta F}{\delta c} = \frac{\partial f}{\partial c} + \nabla \cdot \frac{\partial f}{\partial \nabla c}$$

F      total free energy  
 f      free energy **density**

- Derivative with respect to the **gradient!**
- **Gradient energy** term in phase field (very few functional forms)
- **Bulk free energy** (contains the thermodynamics of the system)
  - Simple partial derivative

## Phase Field Implementation in MOOSE

- The kernels required to solve the phase field equations have been implemented in the phase field module
- In general, a developer will not need to change the kernels but simply use the kernels that have already been implemented
- New models are implemented by defining the free energy and mobility with their derivatives in *material* objects.



## *Derivative Function Materials*

- Each MOOSE Material class can provide **multiple Material Properties**
- A Derivative Function Material is a MOOSE Material class that provides a well defined set of Material Properties
  - A function value, stored in the material property  $F$  (the  $f\_name$  of the Material)
  - All derivatives of  $F$  up to a given order with respect to the non-linear variables  $F$  depends on
- The derivatives are regular Material Properties with an enforced naming convention
  - Example  $F$ ,  $dF/dc$ ,  $d^2F/dc^2$ ,  $dF/deta$ ,  $d^2F/dcdeta$  ...
  - You don't need to know the property names besides  $F$ , unless you want to look at them in the output!
- Recap:  
Each Derivative Function Material provides **one Function together** with its derivatives!
- That function can be a *Free Energy Density*, a *Mobility*, or whatever you may need.

## Solving the Allen-Cahn Equation

- After taking the variational derivative, the strong form of the Allen-Cahn residual equation is

$$\frac{\partial \eta_j}{\partial t} = -L \left( \frac{\partial F}{\partial \eta_j} + \frac{\partial E_d}{\partial \eta_j} - \kappa_j \nabla^2 \eta_j \right)$$

- Each piece of the weak form of the residual equation has been implemented in a kernel:

$$\mathcal{R}_{\eta_j} = \underbrace{\left( \frac{\partial \eta_j}{\partial t}, \psi_m \right)}_{\text{TimeDerivative}} + \underbrace{(L_j \kappa_j \nabla \eta_j, \nabla \psi_m)}_{\text{ACInterface}} + \underbrace{L_j \left( \frac{\partial f_{loc}}{\partial \eta_j} + \frac{\partial E_d}{\partial \eta_j}, \psi_m \right)}_{\text{AllenCahn}}$$

- Parameters must be defined in a *material* object
- The free energy density and its derivatives are defined in a Derivative Function Material

## Solving the Cahn-Hilliard Equation

- Due to the fourth-order derivative, solving the Cahn-Hilliard equation can be hard. In MOOSE there are two available approaches

- Residual:  $\mathcal{R}_{c_i} = \frac{\partial c_i}{\partial t} - \nabla \cdot M(c_i) \left( \nabla \frac{\partial f_{loc}}{\partial c_i} + \nabla \frac{\partial E_d}{\partial c_i} \right) + \nabla \cdot M(c_i) \nabla (\kappa_i \nabla^2 c_i)$

- We can put this in weak form:

$$\left( \frac{\partial c_i}{\partial t}, \psi_m \right) = -(\kappa_i \nabla^2 c_i \nabla \cdot (M_i \nabla \psi_m)) - \left( M_i \nabla \left( \frac{\partial f_{loc}}{\partial c_i} + \frac{\partial E_d}{\partial c_i} \right), \nabla \psi_m \right)$$

- But, solving this residual requires **higher order elements**

- Another option is to split the equation into two:

| Strong Form   | Weak Form  |
|---|--|
| $\frac{\partial c_i}{\partial t} = \nabla \cdot (M_i \nabla \mu_i)$   | $\left( \frac{\partial c_i}{\partial t}, \psi_m \right) = - (M_i \nabla \mu_i, \nabla \psi_m)$   |
| $\mu_i = \frac{\partial f_{loc}}{\partial c_i} - \kappa_i \nabla^2 c_i + \frac{\partial E_d}{\partial c_i}$ | $(\mu_i, \psi_m) = \left( \frac{\partial f_{loc}}{\partial c_i}, \psi_m \right) + (\kappa_i \nabla c_i, \nabla \psi_m) + \left( \frac{\partial E_d}{\partial c_i}, \psi_m \right)$ |

- The split form can be solved with **first-order elements**.

## The Direct Solution of the Cahn-Hilliard Equation

- Each piece of the weak form of the Cahn-Hilliard residual equation has been implemented in a kernel

$$\mathcal{R}_{c_i} = \left( \frac{\partial c_i}{\partial t}, \psi_m \right) + \left( \kappa_i \nabla^2 c_i, \nabla \cdot (M_i \nabla \psi_m) \right) + \left( M_i \nabla \left( \frac{\partial f_{loc}}{\partial c_i} + \frac{\partial E_d}{\partial c_i} \right), \nabla \psi_m \right)$$

TimeDerivative                      CHInterface                      CahnHilliard

- Parameters must be defined in a material object
- The free energy density and its derivatives are defined in an energy material object (e.g. `DerivativeParsedMaterial`)
- Mobilities can also depend on non-linear variables  $M(c)$  and can be supplied through `Derivative Function Materials`
- Due to the second order derivative, third order Hermite elements must be used to discretize the variables



## The Split Solution of the Cahn-Hilliard Equation

- The weak form of the split Cahn-Hilliard residual equation has also been implemented in kernels:

$$\mathcal{R}_{\mu_i} = \left( \frac{\partial c_i}{\partial t}, \psi_m \right) + (M_i \nabla \mu_i, \nabla \psi_m)$$

CoupledTimeDerivative      SplitCHWRes

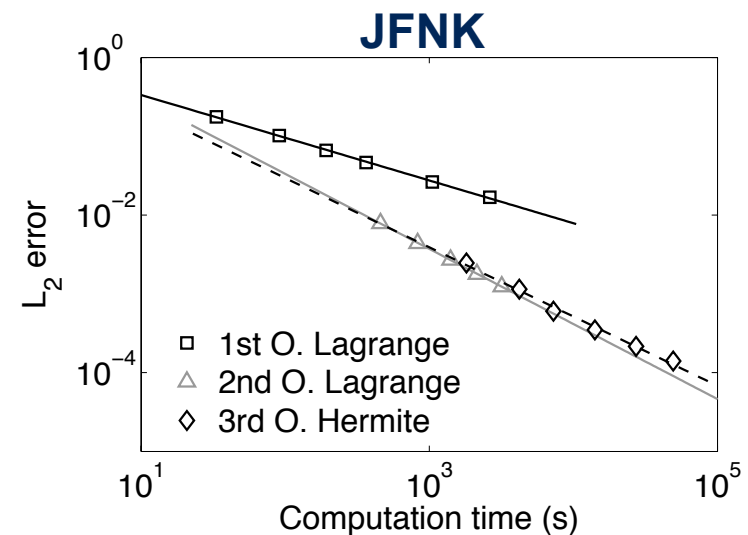
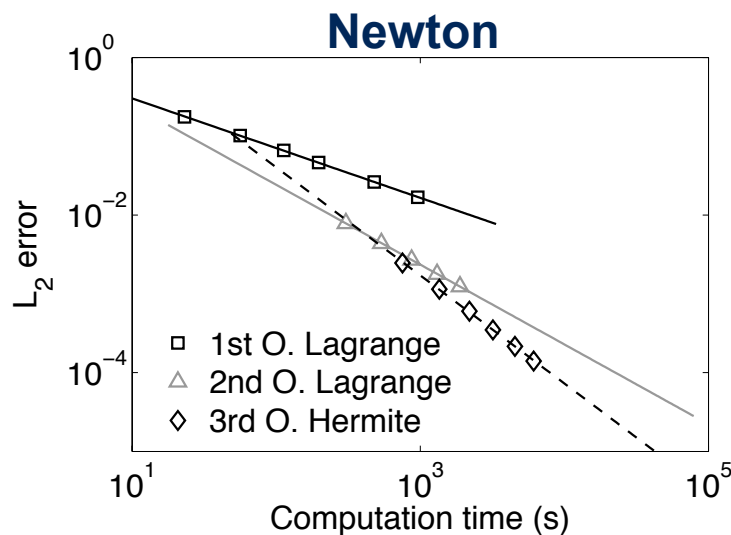
$$\mathcal{R}_{c_i} = (\kappa_i \nabla c_i, \nabla \psi_m) + \left( \left( \frac{\partial f_{loc}}{\partial c_i} + \frac{\partial E_d}{\partial c_i} - \mu_i \right), \psi_m \right)$$

SplitCHParsed

- Parameters must be defined in a material object
- The free energy density and its derivatives are defined in an energy material object (as with the direct solve, making it easy to switch between the two)
- Residuals are reversed to improve convergence (CoupledTimeDerivative)

# Cahn-Hilliard Solution

- We have done a quantitative comparison between the direct and the split solutions of the Cahn-Hilliard equation.
  - The split with 1<sup>st</sup> order elements is the most efficient.
  - The direct solution has the least error.



- However, practically speaking the split is often the best choice, since our simulations can be computationally expensive.

# Simple Phase Field Model Development

- As stated above, the microstructure evolves to minimize the free energy
- Thus, the free energy functional is the major piece of the model
- Phase field model development is modular, with all development focused around the free energy

**Free energy:** 
$$F = \int_V \left( f_{loc}(c_i, \eta_j, \dots, T) + E_d + \sum_i \frac{\kappa_i}{2} (\nabla c_i)^2 + \sum_j \frac{\kappa_j}{2} (\nabla \eta_j)^2 \right) dV$$

**Differential equations:** 
$$\left( M_i \nabla \left( \frac{\partial f_{loc}}{\partial c_i} + \frac{\partial E_d}{\partial c_i} \right), \nabla \psi_m \right) \quad (\kappa_i \nabla c_i, \nabla \psi_m) + \left( \left( \frac{\partial f_{loc}}{\partial c_i} + \frac{\partial E_d}{\partial c_i} + \mu_i \right), \psi_m \right)$$

CahnHilliard SplitCHParsed

### Free Energy Density Material

$$\begin{aligned} f_{bulk} &= 1/4 * (1 + c)^2 * (1 - c)^2 \\ df_{bulk}/dc &= c^3 - c \\ d^2 f_{bulk}/dc^2 &= 3 * c^2 - 1 \\ d^3 f_{bulk}/dc^3 &= 6 * c \end{aligned}$$

### Reminder:

$$\nabla f(c, \eta) = \nabla c \frac{\partial f}{\partial c} + \nabla \eta \frac{\partial f}{\partial \eta}$$

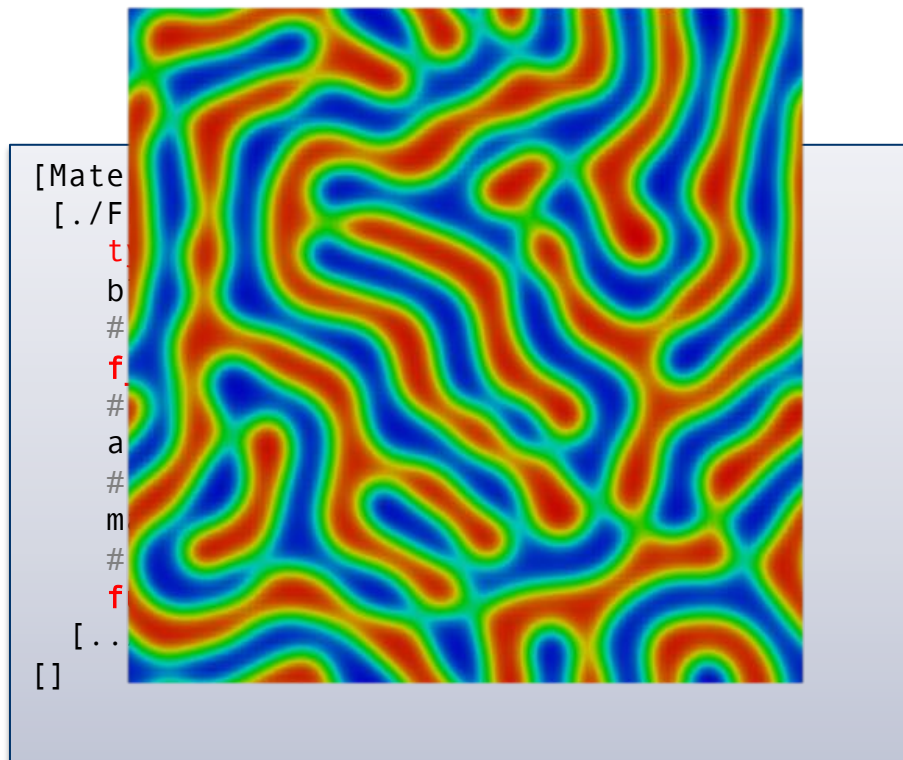
Phase field models that are not based on a free energy can be implemented using normal MOOSE syntax

## *Derivative Function Materials*

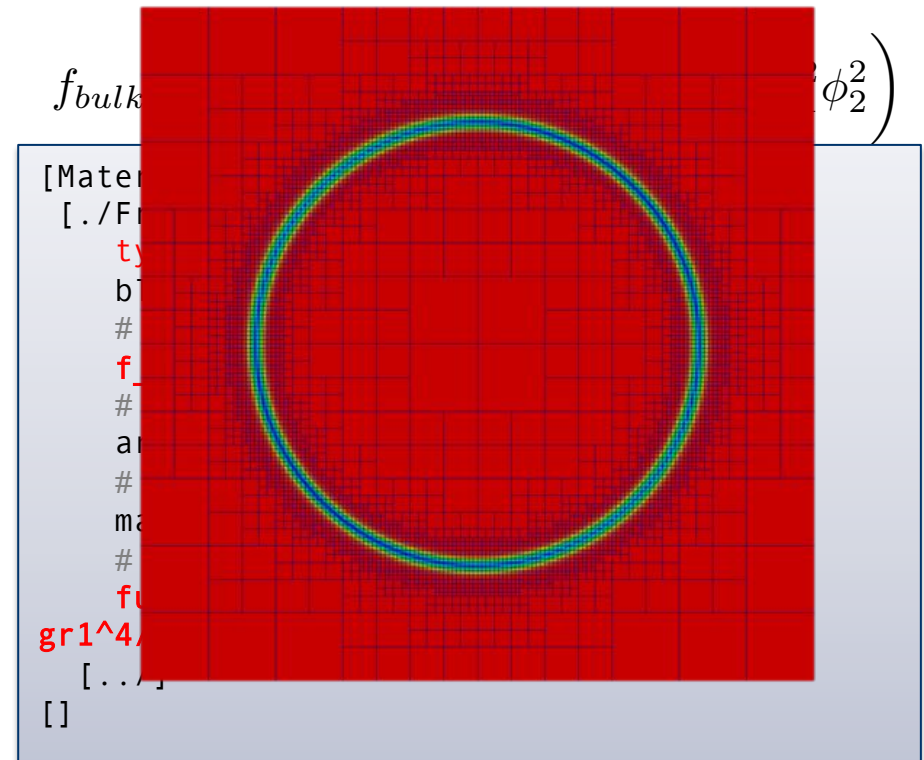
- The free energy and its derivatives can be defined in materials classes in four different ways:
  - The derivatives can be defined directly by the user, by inheriting from **DerivativeFunctionMaterialBase**
  - The derivatives can be calculated automatically, with the free energy defined in the input file using **DerivativeParsedMaterial**
  - The derivatives can be calculated automatically, with the free energy hard coded in a material object (**ExpressionBuilder**)
  - CALPHAD free energies (only for simple models now)
- A derivative material has an **f\_name** (the function name)
- Property names of the derivatives are constructed automatically (using the value of **f\_name** according to fixed rules set in the **DerivativeMaterialPropertyNameInterface** class)
- Add Derivative Function Materials using the **DerivativeSumMaterial** (sums function values and derivatives)

# Automatic Free Energy Differentiation

- To simplify development even more, you can only enter the free energy functional and all derivatives are automatically evaluated analytically



+ Cahn-Hilliard

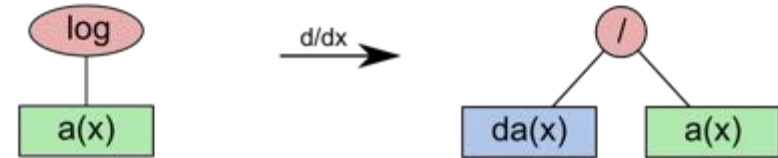
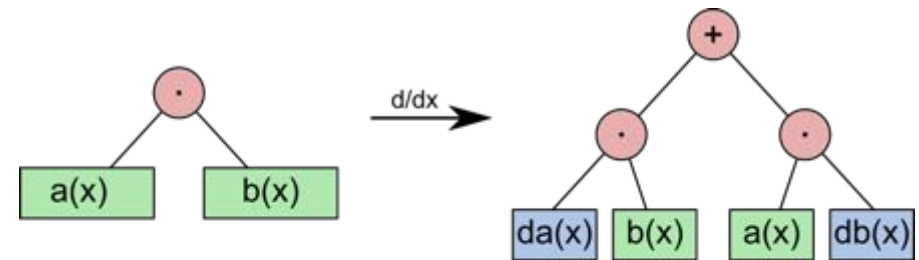


+ Allen-Cahn

# Automatic Differentiation

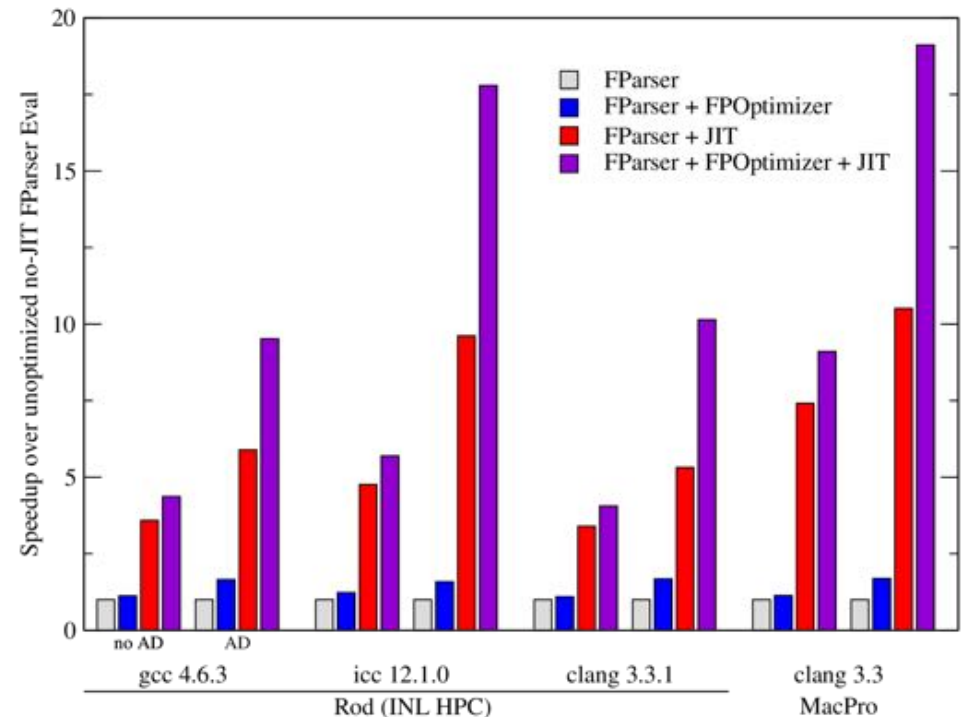
Symbolic differentiation of free energy expressions

- Based on FunctionParser <http://warp.povusers.org/FunctionParser/> to allow **runtime** specification of mathematical expressions
- Mathematical expressions → Tree data structures
- Recursively apply differentiation rules starting at the root of the tree
- Eliminate source of human error
- Conserve developer time



# Performance considerations

- Aren't interpreted functions slower than natively compiled functions?
- Just In Time (JIT) compilation for FParser functions
- Parsed functions (automatic differentiation) now as fast as hand coded functions
- Makes the rapid Phase Field model development more attractive
- ~80ms compile time per function. Results cached.



# *Examples and Applications*

[www.inl.gov](http://www.inl.gov)



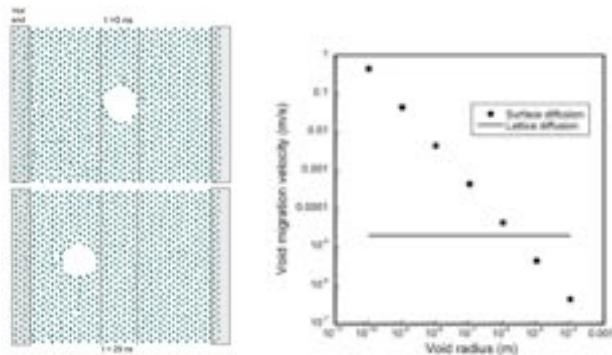


# MARMOT Example: Void Migration

- Multiscale investigation of void migration in a temperature gradient (Soret effect):

## Atomistic

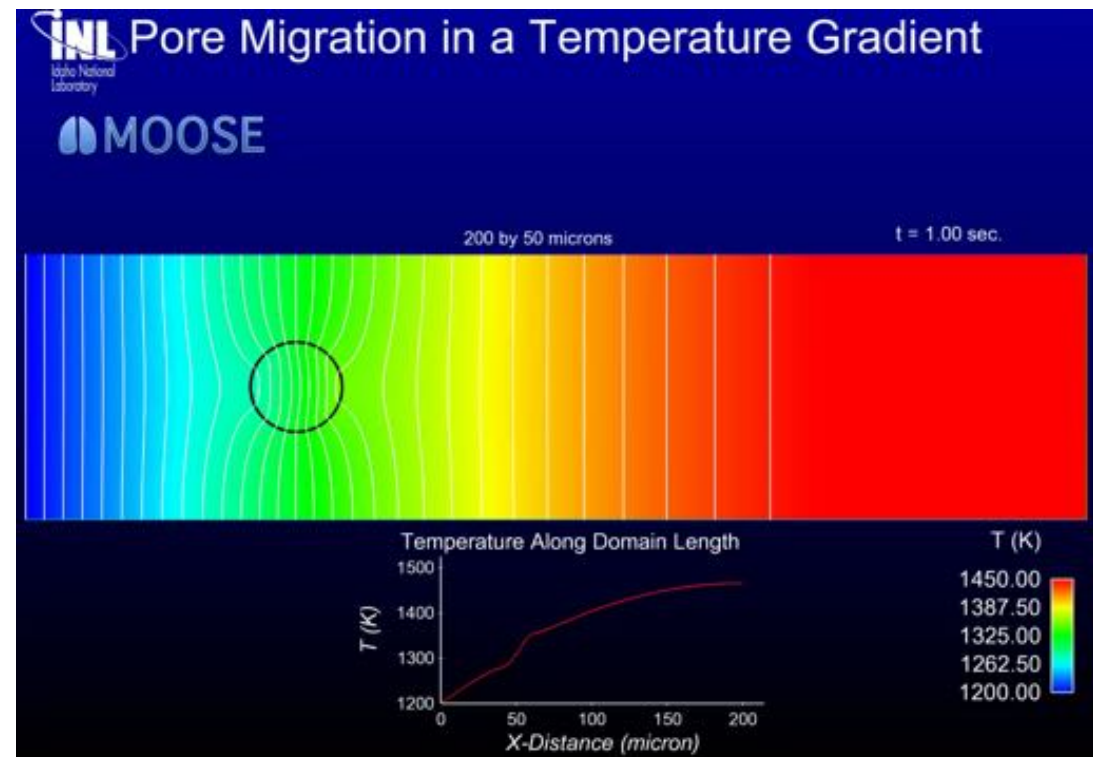
- MD studies identify the diffusion mechanisms active in the migration of nanovoids



From Desai (2009)

## Mesoscale

- The migration of larger voids is modeled with MARMOT with surface and lattice diffusion



Zhang et al., Computational Materials Science, 56 (2012) 161-5

## Particle and Pore Pinning

- Defects such as pores or precipitates on GBs impede the GB migration by applying an opposing force.
- To account for the interaction of GBs with a particle defined by the variable  $c$ , we add a term to the free energy

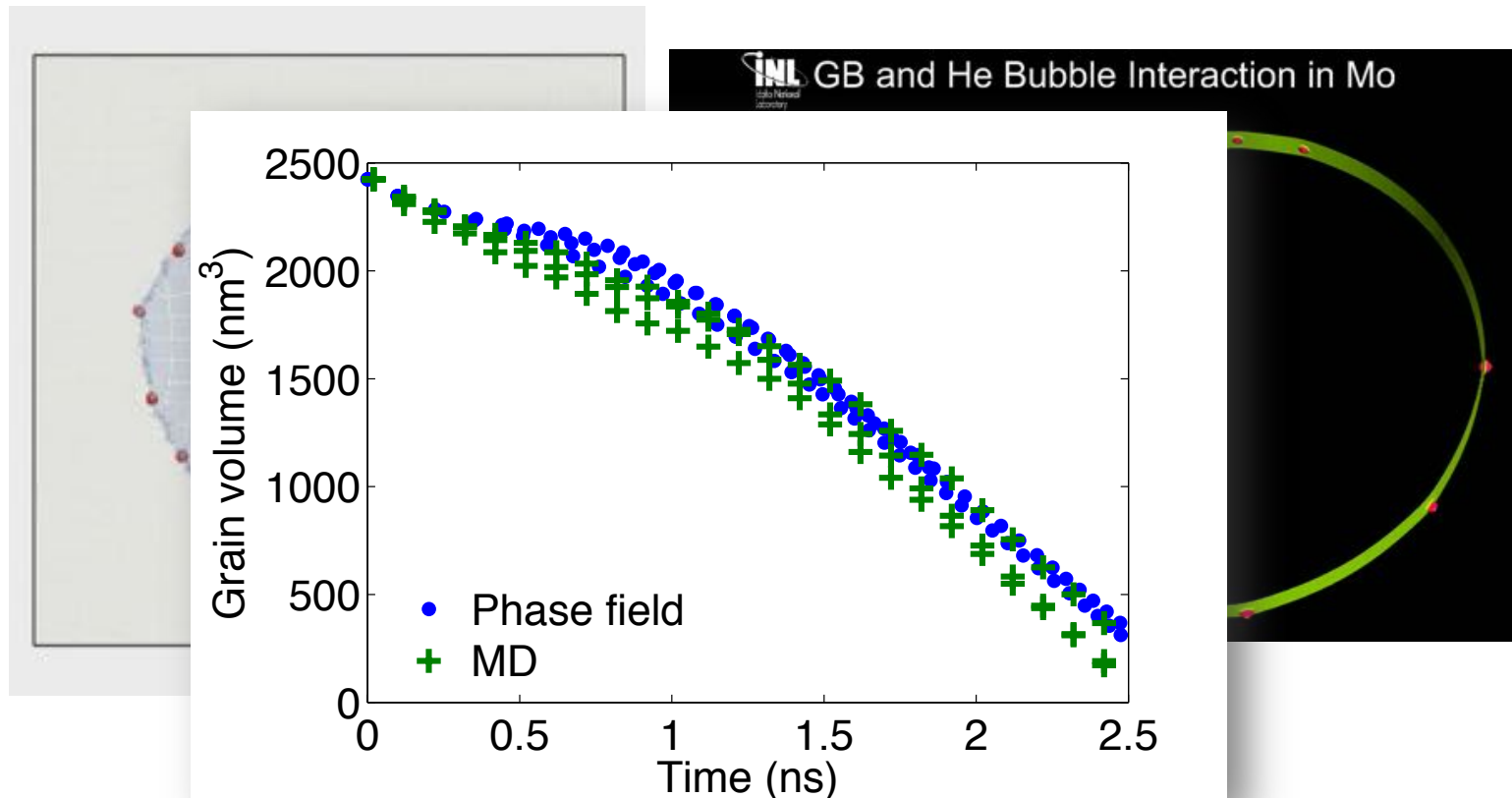
$$f(c, \eta_i) = \sum_i \left( \frac{\eta_i^4}{4} - \frac{\eta_i^2}{2} \right) + \left( \frac{c^4}{4} - \frac{c^2}{2} \right) + a_{GB} \sum_i \sum_{j>i} \eta_i^2 \eta_j^2 + a_s \sum_i c^2 \eta_i^2$$

- The term is implemented in the kernel ACGBPoly
- It is activated using the simplified grain growth syntax by adding a coupled variable  $c$

```
[Kernels]
  [./PolycrystalKernel]
    c = c
  [../]
[]
```

## Particle and Pore Pinning

- We verified this model by simulating an identical system using MD simulation and the phase field model
  - 10 He bubbles ( $r = 6$  nm) in Mo bicrystal ( $R = 20$  nm) at 2700 K.

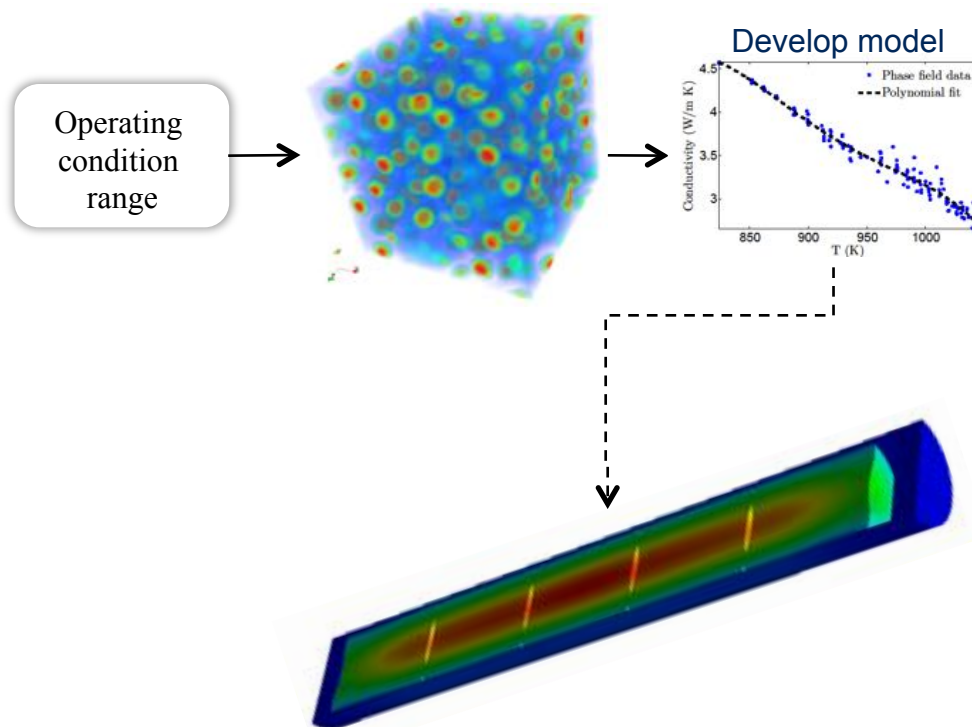


# Coupling to Larger Length-Scales

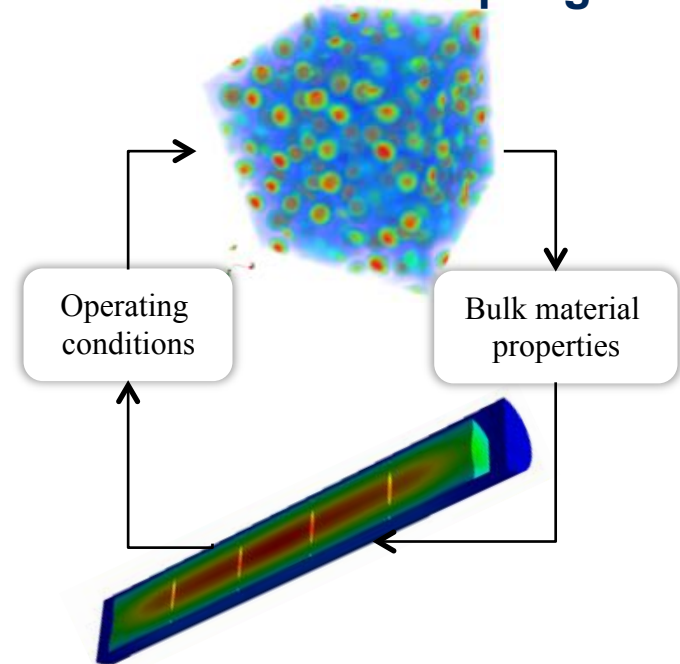
- MARMOT can be used in both hierarchical and concurrent coupling

## Hierarchical coupling

- Lower length-scale models are run separately to construct materials models.
- Macroscale simulations are efficient.



## Concurrent coupling



- Codes are run simultaneously and information is passed back and forth.
- Captures interaction between the scales
- Can locate important coupled behaviors
- More computationally expensive



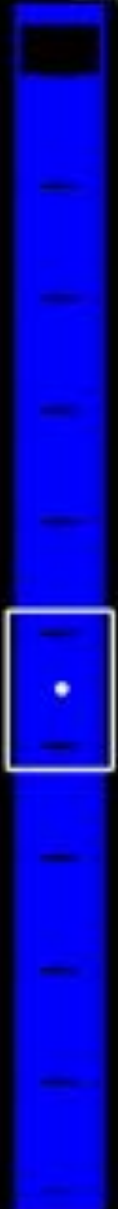
# LWR Fuel Rodlet with Multiscale Thermal Conductivity Model

MOOSE BISON MARMOT

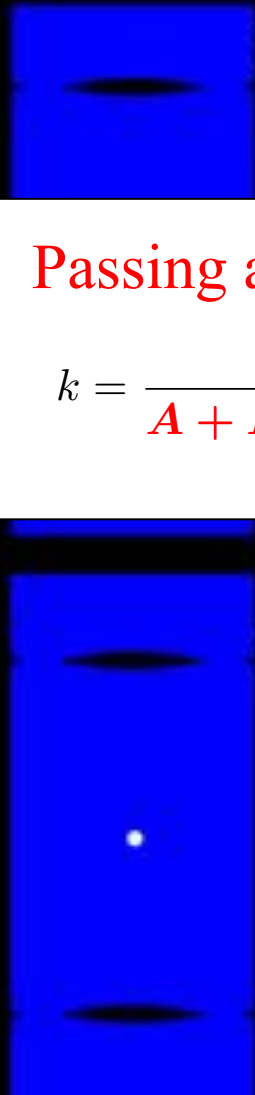
Time = 0.00 years

## Passing analytical model into BISON

$$k = \frac{\kappa_{GB} \kappa_p}{A + BT + CT^2 + C_v c_v + C_i c_i + C_g c_g}$$



T (K)  
1200.00  
1025.00  
850.00  
675.00  
500.00



Grain FG

0.0070

GB Cov

0.65

0.49

0.32

0.16

0.00

Thermal Conductivity at Point

4

3.8

Multiscale Reference

Temperature at Point

T (K)

1275

1225

1175

1125

1075

0

2e+07

4e+07

6e+07

8e+07

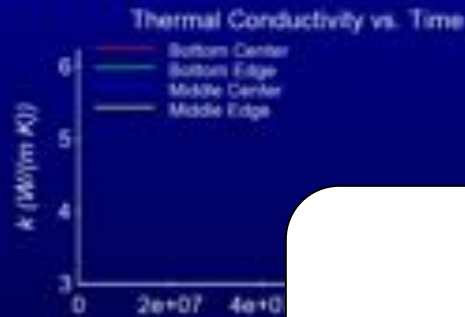
Time (s)

Multiscale Reference

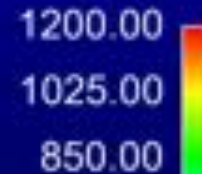
# Multiscale UO<sub>2</sub> Fuel Rodlet Simulation



Time = 0.00 years



T (K)



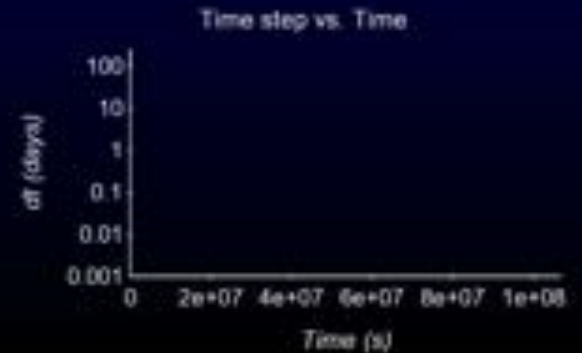
Direct coupling with BISON

$$k = \frac{\kappa_{GB} \kappa_p}{A + B I + C I^2 + C_v C_v + C_i C_i + C_g C_g}$$

Middle Edge

Bottom Edge

Bottom Center



## ***Thank you!***

- For more information, please see <http://mooseframework.org>
- Github repository: <https://github.com/idaholab/moose>
- 3 day training workshops at INL and other locations (keep an eye on the website for dates and locations)
- Mailing list: to subscribe, send an email to [moose-users+subscribe@googlegroups.com](mailto:moose-users+subscribe@googlegroups.com) or see <http://mooseframework.org/getting-started/>