

# **Optimization and analysis of multilayer anti-reflection coating for thin-film Si selective solar absorber**

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ECE695 Final Project

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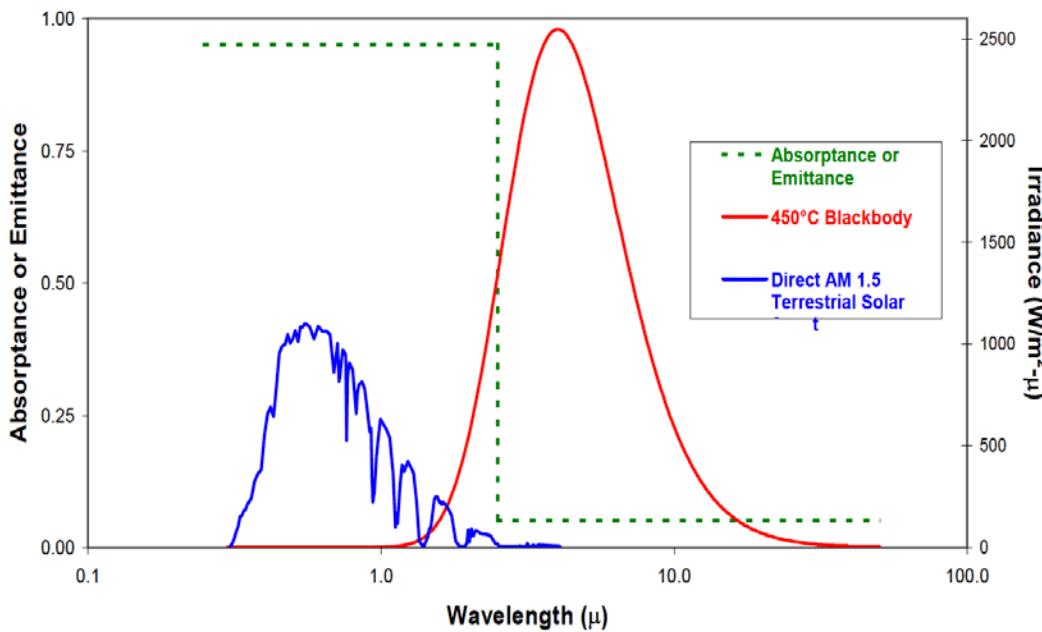
4/26/2017

# Introduction: Selective absorber

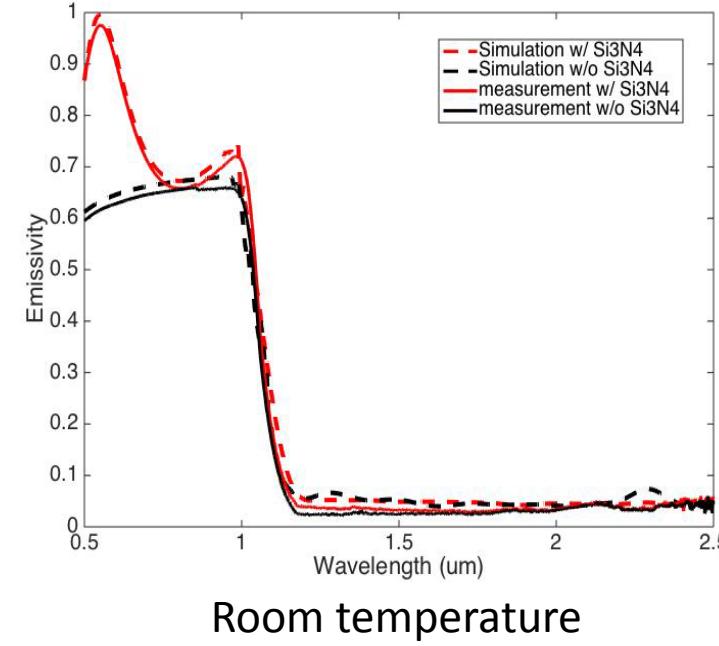
Goal: absorb most sun light while suppress thermal re-radiation

Kirchoff's law  $\varepsilon(\lambda) = \alpha(\lambda)$

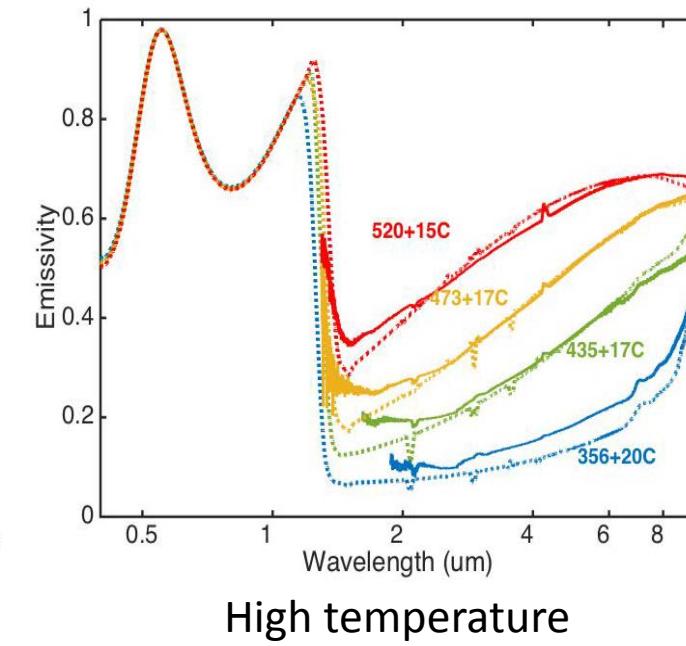
$\text{Si}_3\text{N}_4$ : front anti-reflection coating  
 $\text{Si}$ : selective absorbing layer  
 $\text{Ag}$ : back reflector



Ideal Selective solar absorber emissivity/absorbtivity



Room temperature

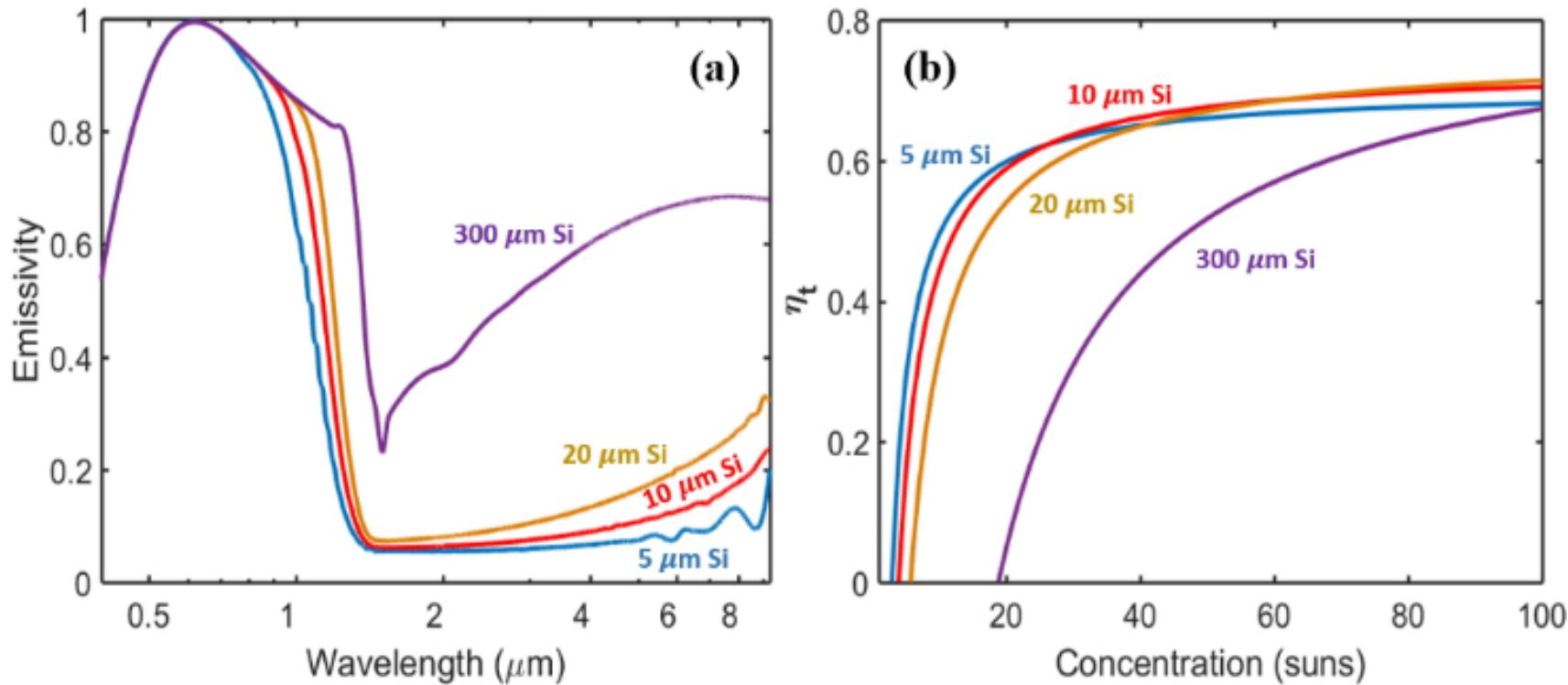


High temperature

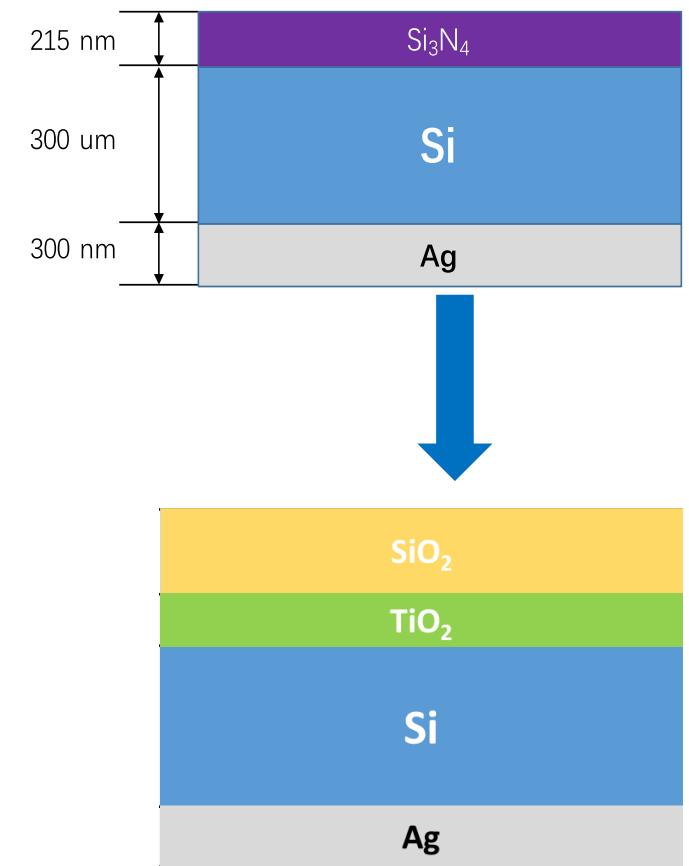
# Introduction: Selective absorber

Two ways to increase the efficiency of the absorber:

1. Reduce thermal re-radiation: decrease Si thickness
2. Increase solar absorption: optimize anti-reflection coating using multi-layer coating.

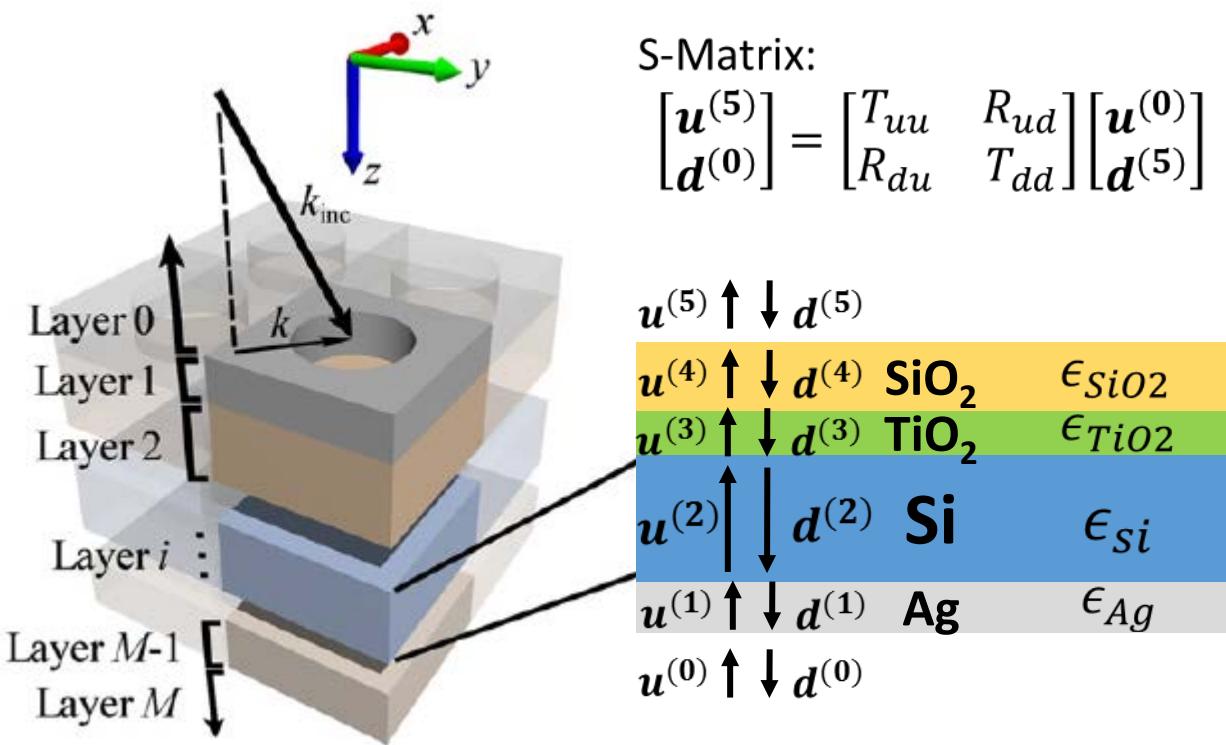


Thin Si film simulation at 550°C for different Si thicknesses.



Optimize it to get increased solar absorption.

# Mathematical Model for Selective Absorber



Maxwell's equation in layer:

$$\nabla \times \mathbf{H} = -i\omega\epsilon_0\epsilon\mathbf{E}$$

$$\nabla \times \mathbf{E} = i\omega\mu_0\mathbf{H}$$

S-Matrix:

$$\begin{bmatrix} \mathbf{u}^{(5)} \\ \mathbf{d}^{(0)} \end{bmatrix} = \begin{bmatrix} T_{uu} & R_{ud} \\ R_{du} & T_{dd} \end{bmatrix} \begin{bmatrix} \mathbf{u}^{(0)} \\ \mathbf{d}^{(5)} \end{bmatrix}$$

Material data ( $\epsilon$ ) are found from literatures:

$\epsilon_{\text{SiO}2}$ : Malitson 1965 + Kischkat 2012

$\epsilon_{\text{TiO}2}$ : Kischkat 2012

Si: Green and Keevers 1995+Salzberg and Villa 1957+Bermel 2010

Ag: Rakić 1998

Thermal transfer efficiency:

$$\eta_t = \bar{\alpha} - \frac{\bar{\varepsilon}\sigma T^4}{CI}$$

$$\bar{\varepsilon} = \frac{\int_0^\infty d\lambda \varepsilon(\lambda) / \{\lambda^5 [\exp(\frac{hc}{\lambda kT}) - 1]\}}{\int_0^\infty d\lambda / \{\lambda^5 [\exp(\frac{hc}{\lambda kT}) - 1]\}}$$

$$\bar{\alpha} = (1/I) \int_0^\infty d\lambda \varepsilon(\lambda) dI/d\lambda$$

$\sigma$  - Stefan–Boltzmann constant,

$T$  - temperature,  $C$  - solar concentration,

$I$  - solar intensity.

- Liu, Victor, and Shanhui Fan. *Computer Physics Communications* 183.10 (2012): 2233-2244.
- I.H. Malitson. *J. Opt. Soc. Am.* 55, 1205-1208 (1965)
- J. Kischkat, et al. *Appl. Opt.* 51, 6789-6798 (2012)
- M. A. Green and M. J. Keevers, *Prog. Photovoltaics Res. Appl.* 3, 189 (1995).
- C. D. Salzberg and J. J. Villa, *J. Opt. Soc. Am.* 47, 244 (1957).
- P. Bermel, et al., *Opt. Express* 18, A314 (2010).
- A. Rakic, A. Djurisic, J. Elazar, and M. Majewski, *Appl. Opt.* 37, 5271 (1998).

# Mathematical Model for optical property of Si at high temperatures

	Wavelength range		Semi-empirical equations
$\alpha$ (or equivalently $k = \alpha\lambda/(4\pi)$ )	0.4-0.8um	$k$	$k(h\nu, T) = k_0(h\nu)\exp(T / T_0(h\nu))$
	1-10um	$\alpha_{BG}$	$\alpha_{BG}(h\nu, T) = \sum_{i=1}^4 [\alpha_{ia}(h\nu, T) + \alpha_{ie}(h\nu, T)]$
		$\alpha_{FC}$	$\alpha_{FC} = 4.15 \times 10^{-5} \lambda^{1.51} T^{2.95} \exp(-7000 / T)$
		$\alpha_L$	$\alpha_L$ is assumed to be constant and can be deduced from the experimental results of Sato
$n$	0.4-1um		$n(h\nu, T) = \sqrt{4.386 - 0.00343T + (99.14 + 0.062T) / (E_g^2 - (h\nu)^2)}$
	1-10um		$n(\lambda, T) = \sqrt{\varepsilon_r(T) + \frac{L(T)}{\lambda^2} (A_0 + A_1 T + A_2 T^2)},$

Roozeboom, Fred, ed. *Advances in rapid thermal and integrated processing*. Vol. 318. Springer Science & Business Media, 2013.  
 T. Sato, Jpn. J. Appl. Phys., Part 1 6, 339 (1967).

# Numerical Approach and Validation

S4: the calculation of the reflection R of the stratified structure.

The absorptivity is calculated by:

$$\alpha = 1 - R.$$

The emissivity is calculated according to Kirchoff's law:

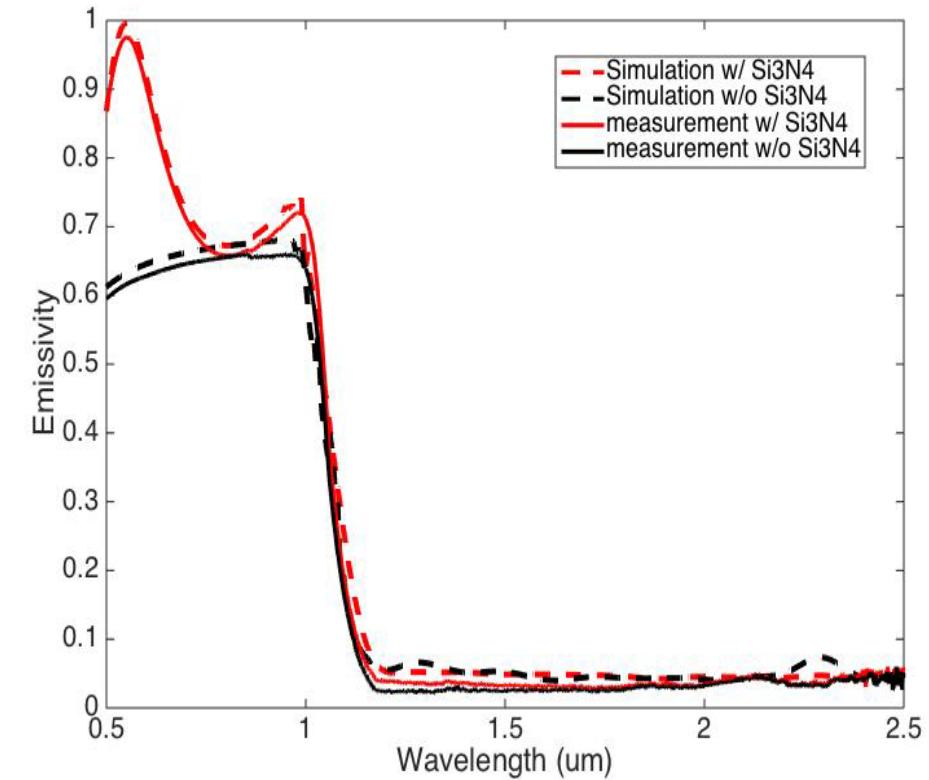
$$\varepsilon = \alpha$$

S4 code:

```
S = S4.NewSimulation()
S:SetLattice({1.000000,0.000000},{0.000000,1.000000})
S:SetNumG(1)
S:AddMaterial("vacuum", {1.0000000000000000,0.0000000000000000})
S:AddMaterial("SIO2", {2.161619071947,0.0000000000000000})
S:AddMaterial("TIO2", {8.612985358210,0.0000000000000000})
S:AddMaterial("SICR", {34.418445511694,8.597409413696})
S:AddMaterial("AG", {-3.593775076486,0.534195073204})
a=1;
S:AddLayer('Layer_Above', 0.000000, 'vacuum')
S:AddLayer('layer_1', 0.1/a, 'SIO2')
S:AddLayer('layer_2', 0.05/a, 'TIO2')      Normal incident light
S:AddLayer('layer_3', 20/a, 'SICR')        with both polarization
S:AddLayer('layer_4', 0.3/a, 'AG')
S:AddLayerCopy('Layer_Below', 0.000000, 'Layer_Above')
S:SetExcitationPlanewave({0.000000,0.000000},{1.000000,0.000000},{1.000000,0.000000})
```

Assumptions:

Each layer is ideally flat without any fluctuation

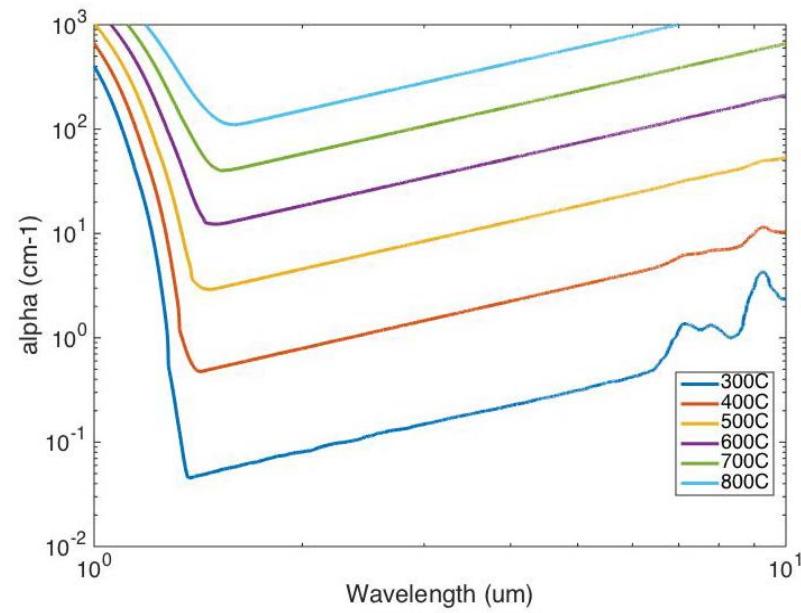


The S4 model is validated through our previous experimental results

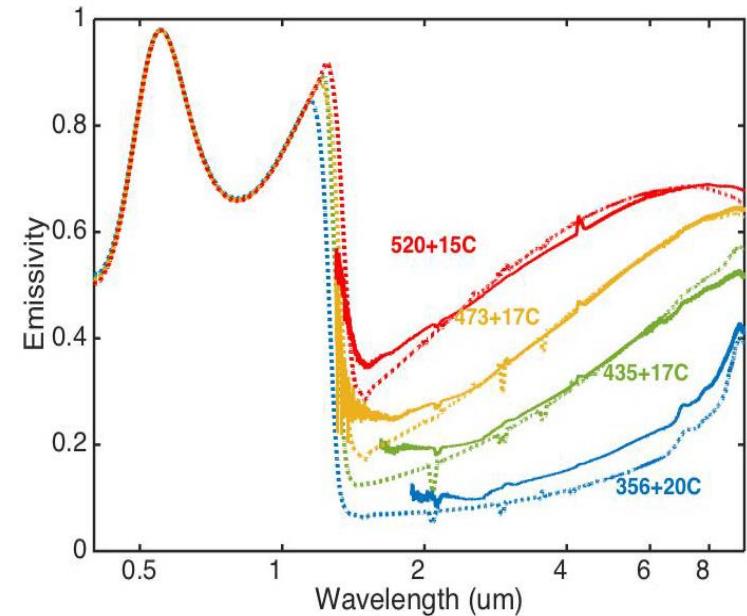
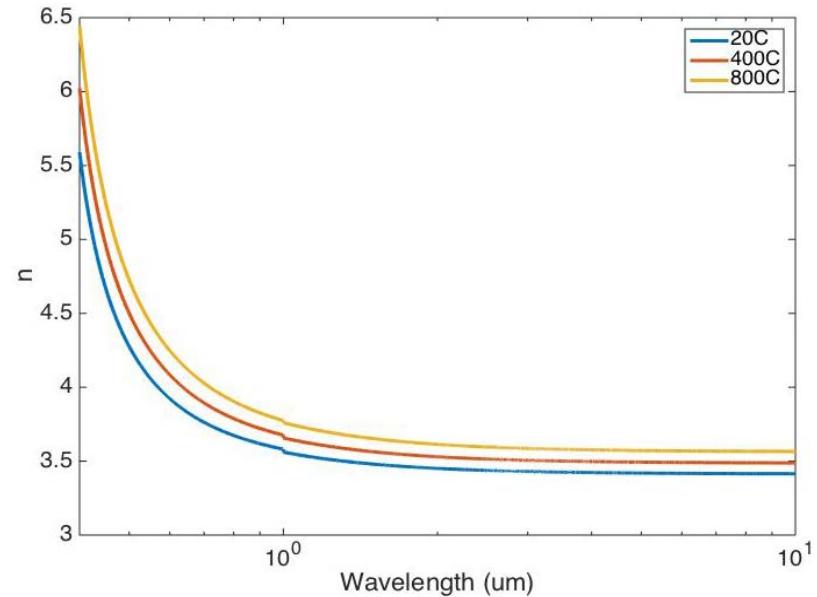
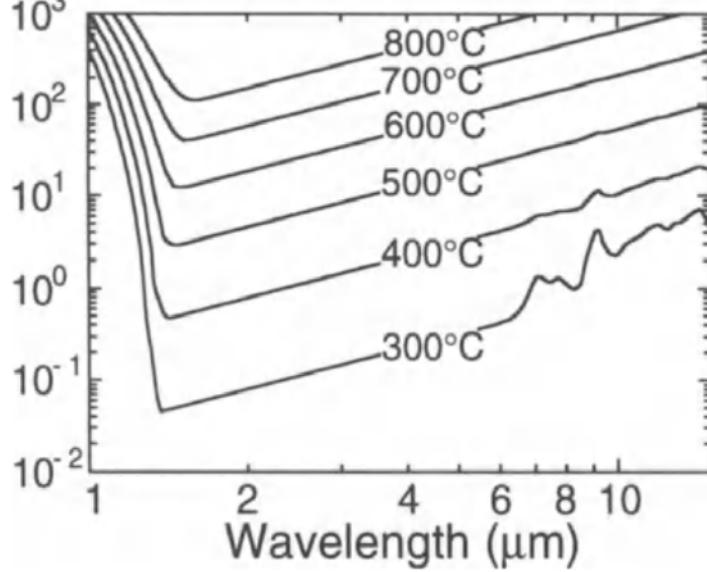
# Numerical Approach and Validation

The optical property of Si at high temperature is calculated in Matlab using the empirical equations.

Absorption coefficient



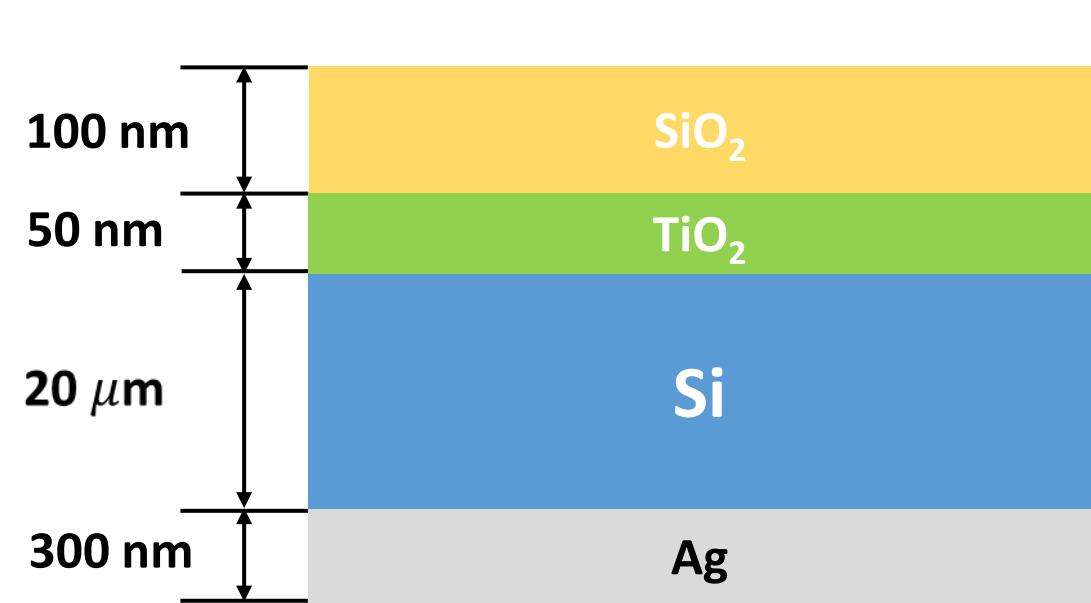
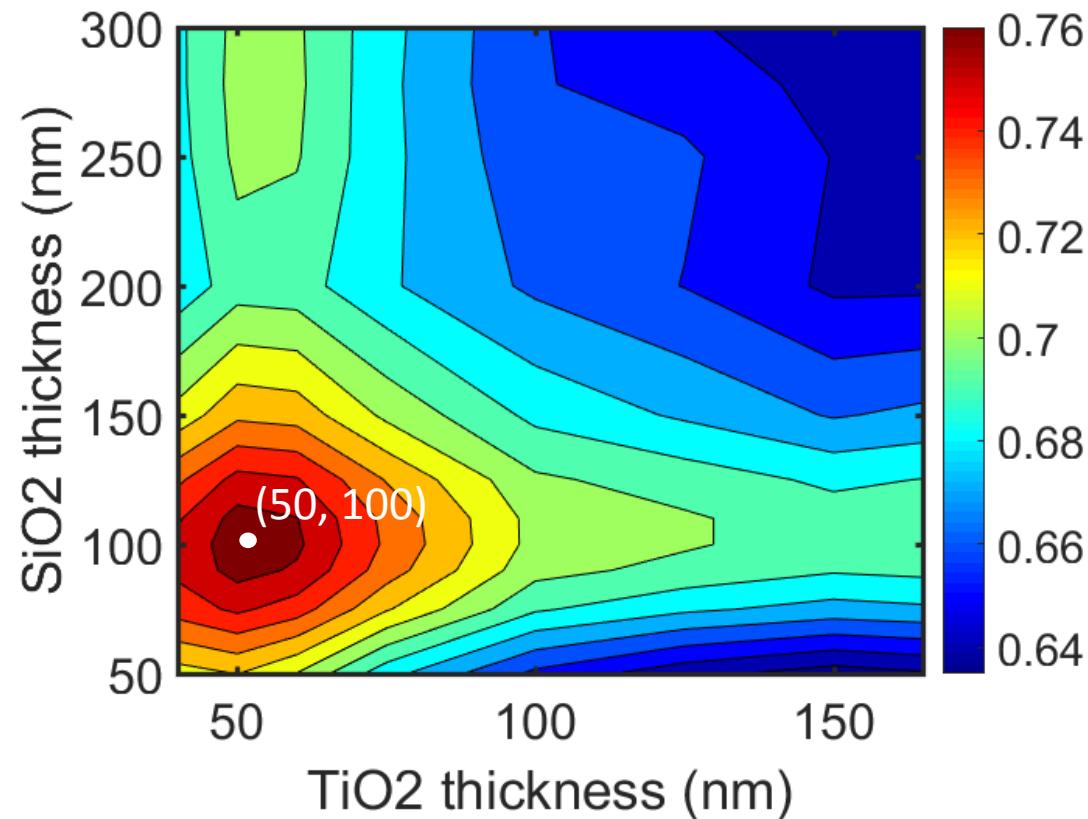
$\alpha (\text{cm}^{-1})$



# Optimization of $\text{TiO}_2$ and $\text{SiO}_2$ thickness

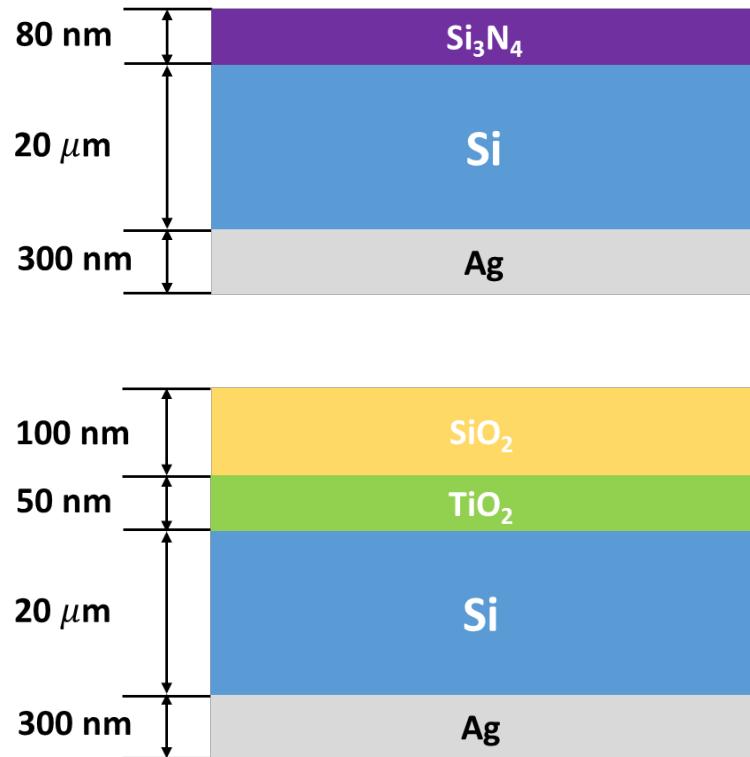
The targeted temperature is 550°C, Si 20um, Ag 300nm under 100 suns.

Optimal  $\text{TiO}_2$  and  $\text{SiO}_2$  thicknesses are **50 nm and 100nm**, which generates thermal transfer efficiency **76.59%**.



# Optimization of $\text{TiO}_2$ and $\text{SiO}_2$ thickness

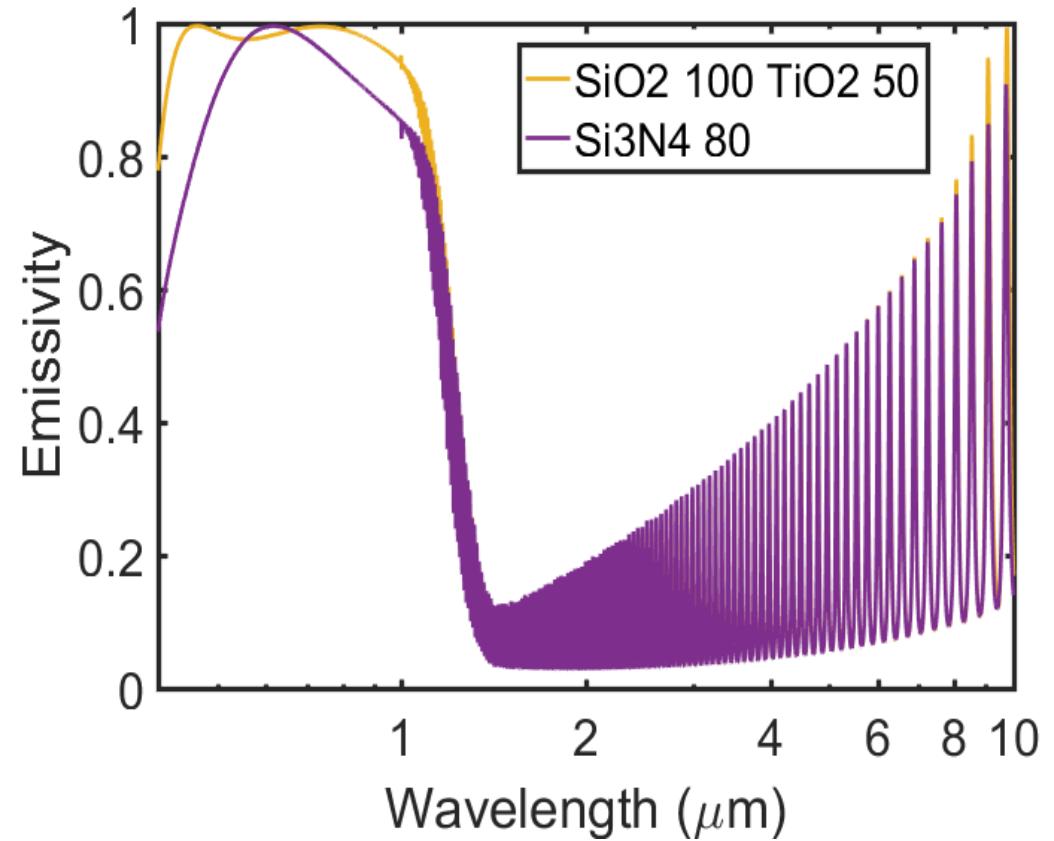
$T=550\text{C}$ ,  $C=100$  suns.



$$\eta_t = 71.34\%$$

$$\eta_t = 76.59\%$$

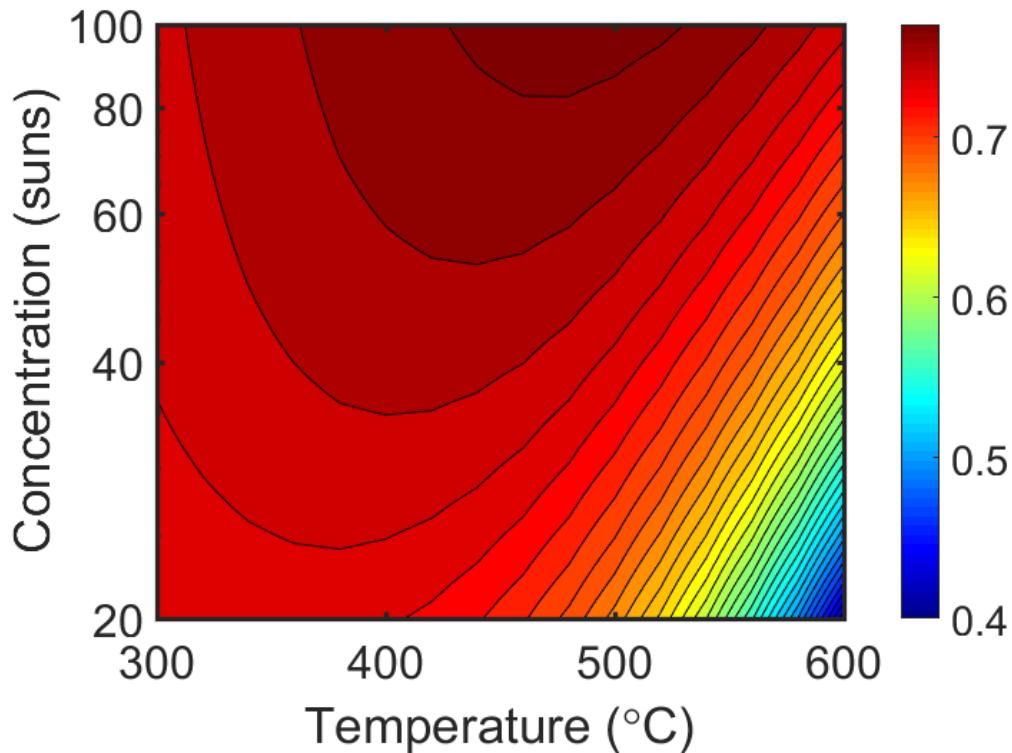
Comparison between one layer ( $\text{Si}_3\text{N}_4$ ) and multilayer ( $\text{SiO}_2 + \text{TiO}_2$ ) anti-reflection coating



# Efficiency under different temperatures for different Si thicknesses

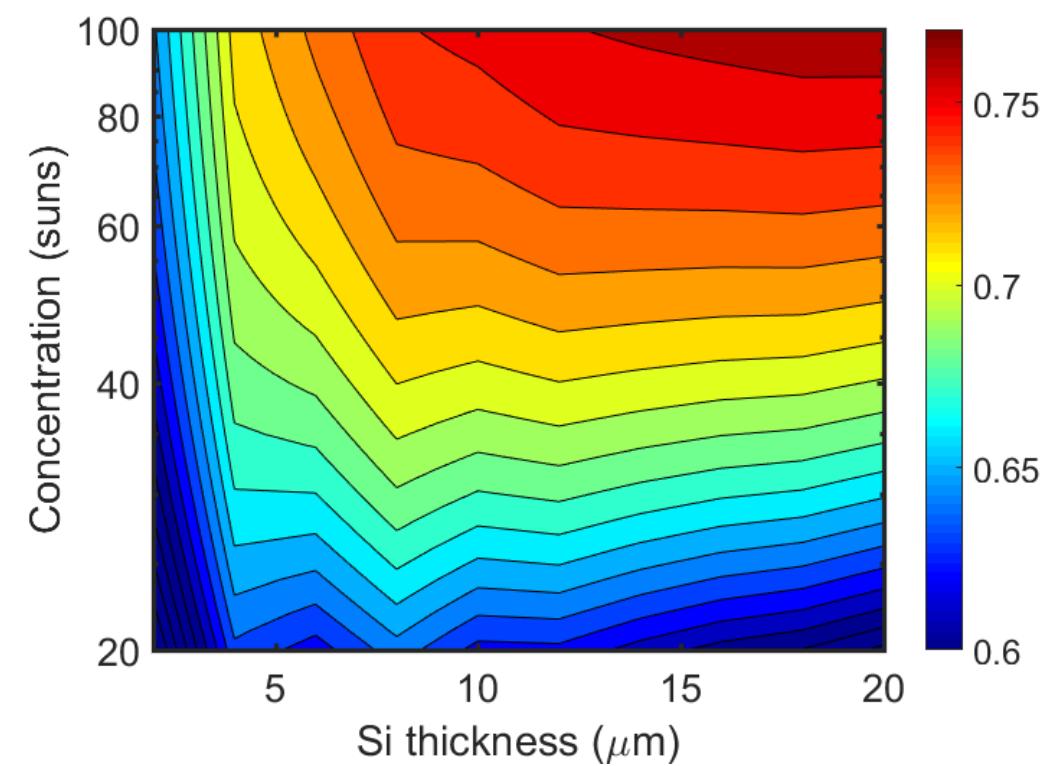
The optimal temperature decreases as the concentration decreases.

For 20um Si, the optimal temperature at 100 suns is 480°C, with maximum efficiency **77.42%**.



Efficiency under different temperatures for 20 um Si

As we increase the concentration, the optimal Si thickness increases, since the absorption will increase.



Efficiency for different Si thickness under 550°C

## Conclusions

- Model based on S4 for the calculation of the reflection of the selective solar absorber is established.
- High temperature Si model is established using Matlab which is useful for the optical simulation of Si at high temperatures
- To increase the absorption of sunlight, multilayer antireflection coating is designed and analyzed. The optimal thicknesses for  $\text{SiO}_2$  and  $\text{TiO}_2$  are **100nm and 50nm** respectively.
- The optimized structure shows increased absorption while thermal re-radiation is not influenced.
- The thermal transfer efficiency at 550°C for 20um Si is increased to **76.59%**.
- The Efficiency and optimal temperature increase as the concentration is increasing for 20um Si.
- The optimal Si thickness decreases as the concentration decreases.

Thank you very much!

Questions?