The implication of using conductive nitrides as alternative plasmonic materials: going beyond TiN and ZrN

P. Patsalas

Aristotle University of Thessaloniki, Department of Physics 54124 Thessaloniki, Greece ppats@physics.auth.gr





Collaborators



Former and Current Students

G. Matenoglou (@Texas A&M) L. Koutsokeras (@Cyprus Un. Tech.) N. Pliatsikas (on going) C. Metaxa (on going)

Aristotle University Spyros Kassavetis

Univ. Ioannina – MSE Christina Lekka Lefteris Lidorikis

UNIVERSITY



University of Poitiers Institute P', France Grégory Abadias

Rensselaer Polytechnic Institute, NY Daniel Gall and coworkers

University of Lorraine at Nancy Institute Jean Lamour, France

Jean-François Pierson and coworkers

Nottingham Trent University, UK Nick Kalfagiannis













Birck Nanotechnology Center Seminar, 04/30/2018

Layout

- A short long-story of conductive nitrides as optical conductors and their potential in plasmonics
 - Why the transition metal nitrides are good optical conductors?
 - Band structure and optical properties of continuous binary nitrides identification of trends for plasmonics
 - Plasmonic performance of ternary nitrides: effects of blending, spectral tunability
- Implications:
 - Refractory character: the blessing turning into a curse
 - Intrinsic point defects in group Vb and VIb nitrides
 - Process-related defects: radiation damage and stress development during sputtergrowth
 - Sputtering *vs.* alternative growth techniques: PLD
 - Extended vs. Local defects: grain/column boundaries vs. vacancies
- Polycrystalline vs. Epitaxial conductive nitrides





Cubic (B1) Mononitrides of the Group IVb-Vb-VIb Transition Metals

- One of the most widely studied and industrially implemented category of coating materials
- High hardness, chemical stability, refractory character
- Electron conductors electronic applications
- Archetypical examples:
 - TiN and ZrN for mechanical applications
 (hard and wear-resistant coatings, among others)
 - TiN and TaN for electronic applications
 (Schottky contacts, diffusion barriers, ohmic contacts on GaN, etc)
- Emerging applications:
 - Plasmonics



Birck Nanotechnology Center Seminar, 04/30/2018

Ti 3d²4s² <i>hcp</i>	V 3d ³ 4s ² <i>bcc</i>	Cr
Zr	Nb	Mo
4d ² 5s ²	4d ⁴ 5s ¹	4d⁵5s¹
<i>hcp</i>	<i>bcc</i>	<i>bcc</i>
Hf	Ta	W
5d ² 6s ²	5d ³ 6s²	5d ⁴ 6s ²
hcp	<i>bcc</i>	bcc



JOURNAL OF APPLIED PHYSICS

VOLUME 93, NUMBER 2

15 JANUARY 2003

Interface properties and structural evolution of TiN/Si and TiN/GaN heterostructures

P. Patsalas^{a)} and S. Logothetidis Solid State Physics Section, Department of Physics, Aristotle University of Thessaloniki, GR-54124 Thessaloniki, Greece



The first hint Underestimated and undervalued (by ourselves!)

⁶At the nucleation stage of TiN/GaN, ϖ_{pu} exhibits much lower values than at the following stages of growth. This may be explained by the quasi-2D structure of the first deposited layer, which is equivalent with one TiN monolayer. The quasi-2D structure of the first layer may induce a **surface plasmon** vibration mode of the conduction electrons'



Birck Nanotechnology Center Seminar, 04/30/2018



Eur. Phys. J. D 31, 69-76 (2004) DOI: 10.1140/epjd/e2004-00129-8 THE EUROPEAN PHYSICAL JOURNAL D

Structural, compositional, optical and colorimetric characterization of TiN-nanoparticles

A. Reinholdt^{1,a}, R. Pecenka¹, A. Pinchuk^{1,2}, S. Runte¹, A.L. Stepanov^{1,3}, Th.E. Weirich⁴, and U. Kreibig¹

- I. Physikalisches Institut 1A, RWTH Aachen, Postfach, 52056 Aachen, Germany
 Institute of Surface Chemistry of NASU, General Naumov Str. 17, 03164 Kyiv, Ukraine
- ³ Institut für Experimentalphysik, Karl-Franzens-Universität, Universitätsplatz 5, 8010 Graz, Austria
- ⁴ Gemeinschaftslabor für Elektronenmikroskopie, RWTH Aachen, Ahornstrasse 55, 52074 Aachen, Germany

The first report of plasmonic TiN







Materials

www.advmat.de

REVIEW

www.MaterialsViews.com

Alternative Plasmonic Materials: Beyond Gold and Silver

Gururaj V. Naik, Vladimir M. Shalaev, and Alexandra Boltasseva*



The breakthrough!







ADVANCED MATERIALS

Refractory Plasmonics with Titanium Nitride: Broadband Metamaterial Absorber

Wei Li, Urcan Guler, Nathaniel Kinsey, Gururaj V. Naik, Alexandra Boltasseva, Jianguo Guan,* Vladimir M. Shalaev, and Alexander V. Kildishev*

materialstoday

Nanoparticle plasmonics: going practical with transition metal nitrides

Urcan Guler, Vladimir M. Shalaev 🖄 🖾, Alexandra Boltasseva 🖄 🖾

NANO LETTERS

Nonlinear Refractory Plasmonics with Titanium Nitride Nanoantennas

Lili Gui, Shahin Bagheri, Nikolai Strohfeldt, Mario Hentschel, Christine M. Zgrabik, Bernd Metzger, Heiko Linnenbank, Evelyn L Hu, and Harald Giessen

Materials Science and Engineering R 123 (2018) 1-55



Contents lists available at ScienceDirect

Materials Science and Engineering R

journal homepage: www.elsevier.com/locate/mser

Conductive nitrides: Growth principles, optical and electronic properties, and their perspectives in photonics and plasmonics



P. Patsalas ^{a,*}, N. Kalfagiannis ^b, S. Kassavetis ^a, G. Abadias ^c, D.V. Bellas ^d, Ch. Lekka ^d, E. Lidorikis ^d



Examining the Performance of Refractory Conductive Ceramics as Plasmonic Materials: A Theoretical Approach

Mukesh Kumar^{+1‡}, Naoto Umezawa^{1‡}, Satoshi Ishii^{1‡}, and Tadaaki Nagao^{1‡} [†] Environmental Remediation Materials Unit, National Institute for Materials Science, Ibaraki 305-0044, Japan [‡] CREST, Japan Science and Technology Agency, 4-1-8 Honcho, Kawaguchi, Saitama, 332-0012, Japan [§] International Center for Materials Nanoarchitectonics, National Institute for Materials Science, Tsukuba 305-0044, Jap



Birck Nanotechnology Center Seminar, 04/30/2018

Epitaxial superlattices with titanium nitride as a plasmonic component for optical hyperbolic metamaterials

Gururaj V. Naik^a, Bivas Saha^b, Jing Liu^c, Sammy M. Saber^b, Eric A. Stach^b, Joseph M. K. Irudayaraj^c, Timothy D. Sands^{a,b}, Vladimir M. Shalaev^a, and Alexandra Boltasseva^{a,d,1}

*School of Electrical and Computer Engineering, and Birck Nanotechnology Center, Purdue University, West Lafayette, IN 47907; ^bSchool of Materials Engineering, and Birck Nanotechnology Center, Purdue University, West Lafayette, IN 47907; 'Department of Agricultural and Biological Engineering, and Bindley Bioscience Center, Purdue University, West Lafayette, IN 47907; and ^dDTU Fotonik, Department of Photonics Engineering, Technical University of Denmark, DK-2800 Lyngby, Denmark

ADVANCED OPTICAL MATERIALS

Full Paper 🛛 🔂 Free Access

N A S

0

COMMUNICATION

Broadband Hot-Electron Collection for Solar Water Splitting with Plasmonic Titanium Nitride

Alberto Naldoni 🗙, Urcan Guler, Zhuoxian Wang, Marcello Marelli, Francesco Malara, Xiangeng Meng, Lucas V. Besteiro, Alexander O. Govorov, Alexander V. Kildishev, ... See all authors 🗸



Dynamically controlled Purcell enhancement of visible spontaneous emission in a gated plasmonic heterostructure

Yu-Jung Lu^{1,2}, Ruzan Sokhoyan o¹, Wen-Hui Cheng o¹, Ghazaleh Kafaie Shirmanesh¹, Artur R. Davoyan^{1,3,4}, Ragip A. Pala¹, Krishnan Thyagarajan¹ & Harry A. Atwater^{1,3}



Plasmonic arrays of titanium nitride nanoparticles fabricated from epitaxial thin films

Shunsuke Murai, Koji Fujita, Yohei Daido, Ryuichiro Yasuhara, Ryosuke Kamakura, and Katsuhisa Tanaka

Author Information - Q Find other works by these authors -

ACS APPLIED MATERIALS

Infrared Plasmonics with Conductive Ternary Nitrides

C. Metaxa,[†] S. Kassavetis,[†] J.F. Pierson,[‡] D. Gall,[§] and P. Patsalas^{*,†}



<u>Critical:</u>

- Electrical conductivity
- Carrier density



Optical and electronic properties of conductive ternary nitrides with rare- or alkalineearth elements

S. Kassavetis, A. Hodroj, C. Metaxa, S. Logothetidis, J. F. Pierson, and P. Patsalas

Citation: J. Appl. Phys. **120**, 225106 (2016); doi: 10.1063/1.4971407 View online: http://dx.doi.org/10.1063/1.4971407





<u>Critical:</u>

- Electrical conductivity
- Carrier density
- Zero real permittivity at optical frequencies
- Control of Electronic losses
- Control of Dielectric losses

Desirable:

- CMOS compatibility
- Refractory character and *durability in strong fields*







<u>Critical:</u>

- Electrical conductivity
- Carrier density
- Zero real permittivity at optical frequencies
- Control of Electronic losses
- Control of Dielectric losses

Desirable:

- CMOS compatibility
- Refractory character and *durability in strong fields*

NANO LETTERS

Communication





Birck Nanotechnology Center Seminar, 04/30/2018



<u>Critical:</u>

- Electrical conductivity
- Carrier density
- Zero real permittivity at optical frequencies
- Control of Electronic losses
- Control of Dielectric losses

Desirable:

U

V

CMOS compatibility

ERSITY

- Refractory character and *durability* in strong fields
- Surface functionalization potential
- Work function tunability (hot electrons)



APPLIED PHYSICS LETTERS 94, 152108 (2009)

Plasma energy and work function of conducting transition metal nitrides for electronic applications

G. M. Matenoglou, L. E. Koutsokeras, and P. Patsalas^{a)} Department of Materials Science and Engineering, University of Ioannina, Ioannina, 45110, Greece





Birck Nanotechnology Center Seminar, 04/30/2018

What does it make a good **optical** conductor?

When measuring DC or AC conductivity, we probe exclusively the conduction electrons; the DC/AC conductivity and mobility are affected only by the losses of the conduction electrons, either intrinsic (*i.e.* conduction electron density, electron relaxation time of the perfect single-crystal), or extrinsic (*e.g.* due to electron scattering at grain boundaries, point defects, *etc*)

In optical frequencies, we might probe bounded electrons, as well; consequently, the overall optical behavior would be screened by these bound electrons. Some authors call this screening 'dielectric losses'.

ERSITY

UN

V







Birck Nanotechnology Center Seminar, 04/30/2018

Why are the nitrides better optical conductors than the corresponding metals?



At the end of the day... the DC/AC conductivity of the metals might be still better than of the nitrides.

The nitrides are better conductors mostly in the optical (+NIR/MIR, UV) range.

No need to revise your basic knowledge from the physics labs!

VB spectra from: Vasile *et al*, JVSTA 8, 99 (1990) **TiN_x** Fukuda *et al*, Surf. Sci. 91, 165 (1980) **Ti**





Birck Nanotechnology Center Seminar, 04/30/2018

ERSITY

UN

V

LAPW Calculations: The source of conductivity and dielectric losses





LAPW Calculations vs. Experiment



LAPW Calculations: Dielectric Losses







LAPW Calculations: Dielectric Losses



•The dielectric losses are shifted to the UV with increasing Group number.

•In B1 single-crystals, WN should be the best UV plasmonic material. Is it really?

Alas, the stability of the B1 structure and the growth reality tell another story; that of exceptionally lossy B1-WN



PHYSICAL REVIEW B 94, 174111 (2016)

Vacancy-induced mechanical stabilization of cubic tungsten nitride

Karthik Balasubramanian,1 Sanjay Khare,2 and Daniel Gall3

¹Department of Mechanical, Nuclear and Aerospace Engineering, Rensselaer Polytechnic Institute, Troy, New York 12180, USA ²Department of Physics and Astronomy, The University of Toledo, 2801 West Bancroft Street, Toledo, Ohio 43606, USA ³Department of Materials Science and Engineering. Rensselaer Polytechnic Institute. Trov. New York 12180. USA





LAPW Calculations: Electronic Losses





The electronic losses are increasing with increasing Group number

This competition between electronic and dielectric losses with increasing Group number calls for an optimal compromise!



Birck Nanotechnology Center Seminar, 04/30/2018

UNIVERSITY



Data from groups worldwide

(Nancy, Poitiers, Strasbourg, Thessaloniki. Linköping, Uppsala, Augsburg, Aachen, Brno, Eindhoven, Lausanne, Barcelona, Coimbra, Urbana, Caltech, Purdue, RPI, Arizona, Texas, Ibaraki, Alberta, *etc*) grown by Sputtering, CVD, ALD, CVA, IBD, etc



Birck Nanotechnology Center Seminar, 04/30/2018

UNIVERSITY







The importance of screened plasma energy E_{ps}



Citation: Applied Physics Letters **108**, 263110 (2016); doi: 10.1063/1.4955032 View online: http://dx.doi.org/10.1063/1.4955032

Infrared Plasmonics with Conductive Ternary Nitrides

C. Metaxa,[†] S. Kassavetis,[†] J.F. Pierson,[‡] D. Gall,[§] and P. Patsalas^{*,†}







Predictions:

- 1) ZrN should be the best plasmonic nitride for the vis range and not the well studied TiN!
- 2) This is due to the minimum electronic AND dielectric losses observed for ZrN



UNIVERSITY











Predictions:

 After eliminating B1-WN, B1-TaN should be the next best UV plasmonic conductor. Is it?





UV Plasmonics and Photonics



LSPR Spectra of Binary Nitrides: Going towards UV





The stunning B1-MoN: defect-stabilized



The stunning B1-MoN: defect-stabilized









The stunning B1-MoN: defect-stabilized









TMN-based compounds: Going towards IR and stabilizing UV

- Tune the electronic properties, such as carrier density and dielectric losses, to control the plasmonic response
- Tune the work function for hot electron applications
- Improvement of the microstructure and the structural stability of the B1 phase (*e.g.* by microstructure change from columnar to globular, stabilization of the B1 structure for TaN, and WN, *etc*)





Tuning of the conduction electron density: towards UV response



G.M. Matenoglou et al, Appl. Phys. Lett. 94, 152108 (2009)



Birck Nanotechnology Center Seminar, 04/30/2018



B1 Ternary TMN – The lattice match effect: $Ti_xSc_{1-x}N$, $Ti_xY_{1-x}N$, $Ti_xLa_{1-x}N$, $Zr_xY_{1-x}N$



No XRD fine structure Formation of Ternary Nitride (Solid Solution)



Birck Nanotechnology Center Seminar, 04/30/2018



The best structural quality and the less optical loss is observed so far for $Ti_xSc_{1-x}N$



LSPR Spectra of Ti-based Ternary Nitrides: From Red to UV







LSPR Spectra of Zr-based Ternary Nitrides









LSPR Spectra of Ti-based Ternary Nitrides: From Green to IR







Spectral ranges



An overall assessment of the current technology of plasmonic nitrides



The refractory character: Implications to growth and optical properties



RT growth results in fine grains and porosity, and consequently to poor optical properties. Thus, sufficiently conductive nitrides are not compatible with self-assembly and mild lithography techniques, such as nanosphere lithography and makes RIE necessary.



Birck Nanotechnology Center Seminar, 04/30/2018



What defects really do?







Sputter deposition: Backscattered Ar⁺



SRIM Calculations: The heavier the target atoms the more the backscattered Ar⁺ trapped into the grown nitride



Birck Nanotechnology Center Seminar, 04/30/2018



Sputter deposition: Backscattered Ar⁺



SRIM Calculations: The heavier the target atoms the more the backscattered Ar⁺ trapped into the grown nitride



Birck Nanotechnology Center Seminar, 04/30/2018



Sputter deposition vs. PLD (no Ar⁺)



JOURNAL OF APPLIED PHYSICS 110, 043535 (2011)

Texture and microstructure evolution in single-phase $Ti_xTa_{1-x}N$ alloys of rocksalt structure

L. E. Koutsokeras,^{1,2} G. Abadias,¹ and P. Patsalas^{2,a)}

¹Institut Prime, CNRS-Université de Poitiers-ENSMA, UPR 3346, Département Physique et Mécanique des Matériaux, SP2MI, Téléport 2, Bd M et P Curie, F-86962 Chasseneuil-Futuroscope, France ²Department of Materials Science and Engineering, University of Ioannina, GR-45100 Ioannina, Greece

For PLD the grain size increases with the Ta-content, *i.e.* the grain refinenement observed in both sputtering configurations is confirmed to be due to backscattering of Ar⁺





Consequences to the optical properties: the case of TaN



The film with extended defects outperforms the sample with point defects!

High pressure = reduced BS due to gas collisions, but low surface diffusion = small grains and O impurities

Low pressure on Si = high BS = high density of point defects and extended defects

PLD = no BS = mostly extended defects

Low pressure on MgO = high BS but epitaxial films = high density of point defects and low density of extended defects



Defects beyond backscattering: PLD



Structure, electronic properties and electron energy loss spectra of transition metal nitride films

L.E. Koutsokeras, G.M. Matenoglou, P. Patsalas * University of Ioannina, Department of Materials Science and Engineering. GR-45110 Ioannina, Greece

Even without BS increasing the period number (*i.e.* the mass of the metal) we do report variations of grain size even for films strictly stoichiometric ([N]/[Me]=1), mostly associated with surface diffusion length of deposited adatoms.



Birck Nanotechnology Center Seminar, 04/30/2018





Optical performance of epitaxial sputtered nitrides



Period 5 nitrides (Zr, Nb, Mo) outperform period 6 nitrides (Ta, W)





Optical performance of epitaxial sputtered nitrides



Period 5 nitrides (Zr, Nb, Mo) outperform period 6 nitrides (Ta, W)



In most cases, the PLD polycrystalline films outperform the sputtered epitaxial films; further support of the more severe effect of point defects compared to extended



Summary and outlook – Part II: Regarding the defects and optical loss

- We identified three electronic loss mechanisms that are manifested in TMN beyond TiN and ZrN:
 - 1. The intrinsic electronic loss increase with mostly group number but also secondarily with period number of the constituent metal, as revealed by the LAPW calculations
 - 2. The general tendency of reduced crystallinity, *i.e.* enhancement of extended defects, with the period number due to the limited surface diffusion of adatoms
 - For sputtered growth the formation of point defects due to backscattered Ar⁺

PURDUE UNIVERSITY

Birck Nanotechnology Center Seminar, 04/30/2018

Intrinsic Loss Enhancement



Backscattered Art





Summary and outlook – Part II: Regarding the defects and optical loss

- For low loss UV plasmonic nitrides (TaN, WN improved NbN, Mon), it is of utmost importance to minimize the point defects in the produced materials:
- Sputter deposition is usually accompanied by the Ar⁺ BS: Use of other inert gases?
- Halide CVD: Halogen impurities which act as point defects and increase severely the resistivity
- MOCVD/ALD: Organic impurities which act as point defects and increase severely the resistivity
- PLD: Limited scaling up potential
- MBE: high melting point of the constituent metal and refractory products = extremely high temperatures

G.A. Olson, PhD, UIUC, 2We need to be creative!



Birck Nanotechnology Center Seminar, 04/30/2018

Intrinsic Loss Enhancement



Backscattered Art





Thank you for your attention!



