Basic Characterization Techniques
Outline

• Introduction
• Optical Microscopes
• Profilometry
• Ellipsometry
• Reflective Spectroscopy
• Contact Angle
Metrology

A group of processes used to determine physical and chemical properties of the nanoproduct during and/or after the fabrication process. Metrology tools are essential to determine the quality of fabrication processes and their resultant materials and devices.
Yield

The percentage of quality goods produced out of the total group of goods started.

Yield is enhanced by the ability to measure specific characteristics of a substrate in production. It is used to improve upon manufacturing processes if necessary.
In-situ Characterization

- In-situ characterization is a key part of manufacturing today. It provides information about the quality of a device before it is completed.
- Improves yield, minimizes waste, monitors processes, provides data for statistical process control.
- This process is often non-invasive
In-situ Characterization

The use of characterization tools in-line to gauge the progress of a manufacturing process.

What can be measured in-situ?

substrate temperature, substrate surface quality, film thickness, growth/etch rates, optical constants, residual gas, etc.
Residual Gas Analyzer Graph
Residual Helium at $1.5 \times 10^{-4}$ T System Pressure
Ex-situ Characterization

- This is characterization that necessitates taking a product out of the production line for testing and characterization.
- Reasons for performing certain characterization techniques ex-situ:
  - May be invasive
  - May require special sample preparation
  - May be low throughput
- Whether or not a characterization method is performed in-situ or ex-situ depends on the production process and may vary from process to process.
Ex-situ Characterization

Some Common Examples:

• Structural Characterization Techniques:
  – X-ray Diffraction (XRD)
  – X-ray Photoelectron Spectroscopy (XPS)
  – Auger Electron Spectroscopy (AES)
  – Secondary Ion Mass Spectroscopy (SIMS)
Ex-situ Characterization

Some Common Examples:

• Microscopy:
  – Transmission Electron Microscopy (TEM)
  – Scanning Probe Microscopy (SPM) – AFM and STM
  – Scanning Electron Microscopy (SEM)
Outline

• Introduction
• Optical Microscopes
• Profilometry
• Ellipsometry
• Reflective Spectroscopy
• Contact Angle
Optical Microscopy

• This microscope is the oldest type of microscope and uses visible light and a system of lenses to magnify an image
• Due to the size of the wavelength, only low-magnification views and pictures (~1000x) are possible
• Some light microscopes can be connected to cameras and computers for simple and cost effective defect detection
Optical Microscopy

- **Brightfield imaging**
  - Viewing optics
  - Two-way mirror
  - Light source
  - Lens

- **Darkfield imaging**
  - Viewing optics
  - Light reflected by surface irregularities
  - Lens
  - Light source

Optical Microscopy

The microscopes in our lab are Leitz Ergolux
Optical Microscopes with video and still camera CCD attachments

# Optical Microscopy

<table>
<thead>
<tr>
<th>Specifications</th>
<th>(CNEU) Leitz Ergolux</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stand with coarse/fine adjustment</td>
<td>Bright field / dark field vertical illuminator</td>
</tr>
<tr>
<td>12v / 100w lamp housing with socket &amp; bulb</td>
<td>Ergonomic tilting trinocular head</td>
</tr>
<tr>
<td>Pair of 10x oculars</td>
<td></td>
</tr>
<tr>
<td>Motorized 5-Place nosepiece</td>
<td>5x, 10x, 20x, 50x, 100x BF/DF objectives</td>
</tr>
<tr>
<td>6&quot; x 6&quot; X-Y mechanical stage with glass plate</td>
<td>Variable 12v/20w power supply</td>
</tr>
<tr>
<td>CCD camera</td>
<td>Photoshop imaging software</td>
</tr>
</tbody>
</table>

**Advantages**

- Able to view a variety of samples
- Ease of use
- Bright field/dark field modes
- Ability to capture images with camera

**Disadvantages**

- Limited magnification range (5 – 1000x)
- Cannot measure feature depth on patterned substrates
- Imaging software requires manual adjustment
Outline

• Introduction
• Optical Microscopes
• Profilometry
• Ellipsometry
• Reflective Spectroscopy
• Contact Angle
Profilometry

- Uses a sharp probe and physically contacts the surface to determine the topography of the substrate’s surface.
- Used to measure:
  - Film thickness
  - Surface topography
  - Step heights
Typical Profilometry Scan
Profilometry

A Tencor 500 Alpha Step Profilometer.
### Veeco Dektak 6

<table>
<thead>
<tr>
<th>Specification</th>
<th>Standard</th>
<th>Option</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vertical Range</td>
<td>50 Å to 2,620 Å</td>
<td>1 mm maximum</td>
</tr>
<tr>
<td>Vertical Resolution (at various ranges)</td>
<td>1 Å/65 kÅ, 10 Å/655 kÅ, 40 Å/2620 kÅ</td>
<td>160 Å 1 mm</td>
</tr>
<tr>
<td>Scan Length Range</td>
<td>50 μm to 30 μm (2 mils to 1.18 in)</td>
<td></td>
</tr>
<tr>
<td>Scan Speed Ranges</td>
<td>3 seconds to 100 seconds</td>
<td></td>
</tr>
<tr>
<td>Software Leveling</td>
<td>Two-point programmable or cursor leveling</td>
<td></td>
</tr>
<tr>
<td>Stage Leveling</td>
<td>Manual</td>
<td></td>
</tr>
<tr>
<td>Stylus (standard)</td>
<td>Diamond, 12.5 μm radius</td>
<td>0.2 μm, 0.7 μm, 2.5 μm, 5 μm</td>
</tr>
<tr>
<td>Stylus Tracking Force</td>
<td>Programmable, 1-15 mg</td>
<td></td>
</tr>
<tr>
<td>Maximum Sample Thickness</td>
<td>31.75 mm (1.25&quot;)</td>
<td></td>
</tr>
<tr>
<td>Sample Stage Diameter</td>
<td>6&quot; for 150 mm and smaller samples</td>
<td></td>
</tr>
<tr>
<td>Manual Stage Position Translation</td>
<td>X Axis, 20 mm</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Y Axis, 77mm</td>
<td></td>
</tr>
<tr>
<td>Sample Stage Rotation</td>
<td>Manual Theta, 360°</td>
<td></td>
</tr>
<tr>
<td>Power Requirements</td>
<td>120 V, 60 Hz, 5A@120 (+/-10%) Single Phase</td>
<td></td>
</tr>
<tr>
<td>Current Phase</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Camera Field of View</td>
<td>2.6 mm horizontal field of view.</td>
<td>1.1-4.6 mm zoom</td>
</tr>
<tr>
<td>Color Camera</td>
<td>45° side view</td>
<td></td>
</tr>
</tbody>
</table>
Outline

• Introduction
• Optical Microscopes
• Profilometry
• **Ellipsometry**
• Reflective Spectroscopy
• Contact Angle
Ellipsometry

• Ellipsometry is a measurement technique that uses the polarization of laser light to determine film thickness.
• Non-destructive and non-contact technique
• Very useful method of measuring thickness of materials that are transparent
Ellipsometry

- Use a linearly polarized laser light source that when reflected from the sample becomes elliptically polarized.
- Polarized light, is light that consists of only light rays traveling in one plane.
- The shape of the reflected ellipse is measured and film thickness is determined based on given information: angle of reflection, index of refraction, etc.
Ellipsometry

The ellipsometer in the Nanofab is a variable Angle Spectroscopic Ellipsometer (Gaertner Scientific L116C Ellipsometer).

This refers to the ability of the machine to vary the angle of the incident light, optimizing measurements.
## Ellipsometry

<table>
<thead>
<tr>
<th>Specifications</th>
<th>Gaertner L115S-8</th>
</tr>
</thead>
<tbody>
<tr>
<td>Laser Light Source</td>
<td>632.8 nm Helium-Neon (Red)</td>
</tr>
<tr>
<td>Incidence Angles</td>
<td>50° and 70° are used mostly</td>
</tr>
<tr>
<td>Beam Diameter</td>
<td>1.0 x 1.6 mm at 50° and 1.0 x 3.0 mm at 70°</td>
</tr>
<tr>
<td>Method of Measurement</td>
<td>Four detector-voltages are used to determine state-of-polarization of light of reflected beam. The surface parameters Psi and Delta, and hence film thickness and index of refraction, are calculated.</td>
</tr>
<tr>
<td>Film Thickness Range</td>
<td>0 to 6000 nm</td>
</tr>
<tr>
<td>Accuracy</td>
<td>3 Angstroms</td>
</tr>
<tr>
<td>Repeatability</td>
<td>1 Angstrom</td>
</tr>
<tr>
<td>Refractive Index</td>
<td>0.005</td>
</tr>
<tr>
<td>Scanning Modes</td>
<td>Operator selectable; 5 point, 9 point, XY grid or contour map</td>
</tr>
<tr>
<td>Scanning Stages</td>
<td>Rotation with translation stages with built in stepping motors</td>
</tr>
<tr>
<td>Stepping Motor Drive Source</td>
<td>Two axis, programmable controller; manually or computer controlled</td>
</tr>
<tr>
<td>Scanning Increments</td>
<td>0.01° steps rotation; 0.01 mm steps to translation</td>
</tr>
</tbody>
</table>
Refractive Index

• A property of transparent substance that addresses how much a light beam bends as it travels through the test media
• By measuring two unique angles of incidence, the ellipsometer can determine index of refraction and thickness.
• Changes in the index of refraction can represent changes in stoichiometry
Refractive Index

Air
n=1.0

SiO₂
n=1.46

θ
Outline

• Introduction
• Optical Microscopes
• Profilometry
• Ellipsometry
• **Reflective Spectroscopy**
• Contact Angle
Reflection Spectroscopy

• Reflection spectroscopy is the analysis of light that has been reflected or scattered from a solid, liquid or gaseous medium.
• Commonly used to determine film thickness and index of refraction.
• Non-destructive and non-contact.
• Simple and relative low cost
Reflection Spectroscopy

- A broadband light source is reflected off the sample at normal incidence.
- The intensity of the reflected light is measured over the range of wavelengths.
- Computer software utilizes the property of dispersion (the wavelength dependence of a medium’s index of refraction) to determine the film thickness.
Reflection Spectroscopy

The reflection spectroscopy equipment in the Lab is a Nanometrics Nanospec AFT model 010-180.
Reflection Spectroscopy

Advantages and Disadvantages:
• Able to analyze multilayer films.
• Can measure organic films like photoresist.
• Usually requires a reference sample of known composition and thickness
Outline

• Introduction
• Optical Microscopes
• Profilometry
• Ellipsometry
• Reflective Spectroscopy
• Contact Angle
Contact Angle

• Measures the adhesion of liquids to a surface can be used to calculate surface energies or adhesion tension
• Characterizes surface parameters:
  – wettability (hydrophobicity), cleanliness, finish, and adhesion
• These parameters can be used predict things such as adhesion, corrosion, or biocompatibility
Contact Angle

- Instruments that analyze contact angles are either referred to as:
  - Tensiometer
  - Contact Angle Analyzer
  - Geniometer
Contact Angle

• The tangent angle formed between a liquid drop and its supporting surface is relative to the forces at the liquid/solid or liquid/liquid interface.
• This angle is representative of surface bonding energy.
Contact Angle Tools

Kruuss DSA 10 Contact Angle Analyzer

http://www.kruss.de/en/products/contact-angle/easydrop.html

Cahn Radian DCA Analyzer


SensaDyne Bubble Tensiometer

http://www.sensadyne.com/sts.html
Contact Angle

Case Study: Biological Applications

C. Dahmen, et al. studied Surface functionalization of amorphous silicon and silicon suboxides for biological applications. There findings were published in Thin Solid Films Vol 427, Issues 1-2, 3 March 2003, Pages 201-207
Contact Angle

Wetting angle for four different silicon and suboxide samples as a function of surface treatment with HF.

The longer these four samples were treated with HF the more the surface topography changed and the smaller the contact angle became.