



# Design, Fabrication, and Mechanical Characterization of 3D Hollow Ceramic Nano-Architectures

Dongchan Jang

Korea Advanced Institute of Science and Technology (KAIST)

Sept 19th 2018

Department of Mechanical Science and Engineering  
Manufacturing Interest Group Seminar

The background of the slide is a photograph of a park with a pond, green lawns, and trees. In the upper left, there is a colorful logo consisting of two vertical zigzag lines, one blue and one red, with a central vertical line. The title text is overlaid on this background.

# Design and Fabrication of Deformable Ceramic

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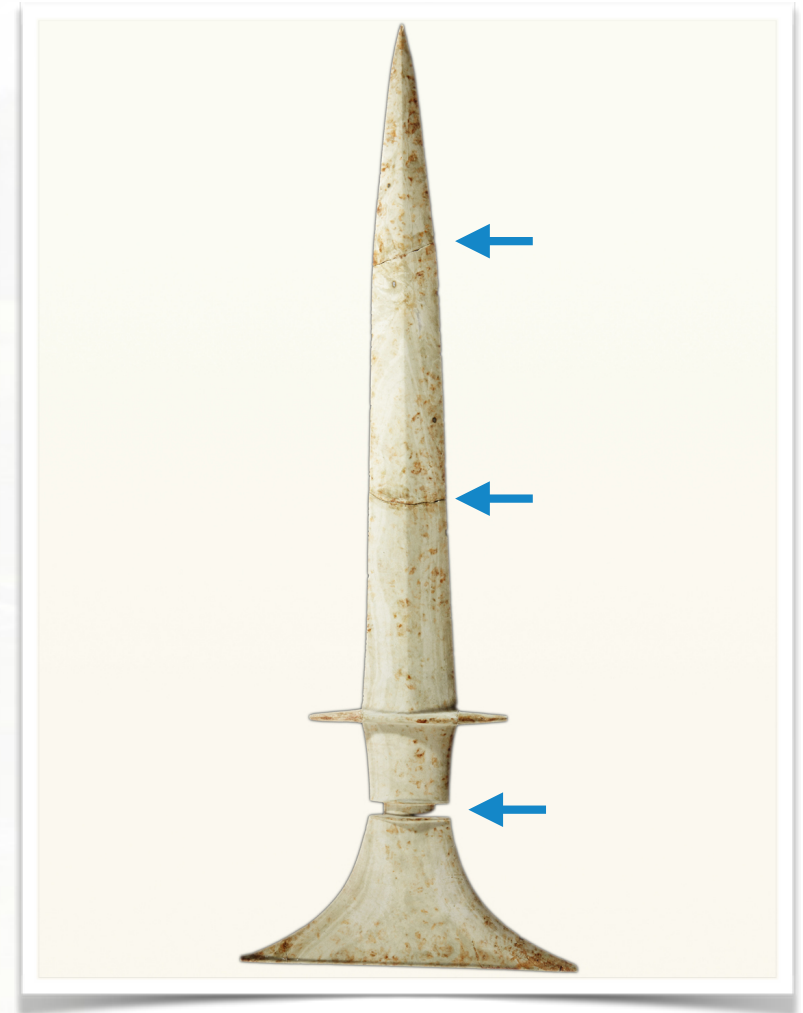
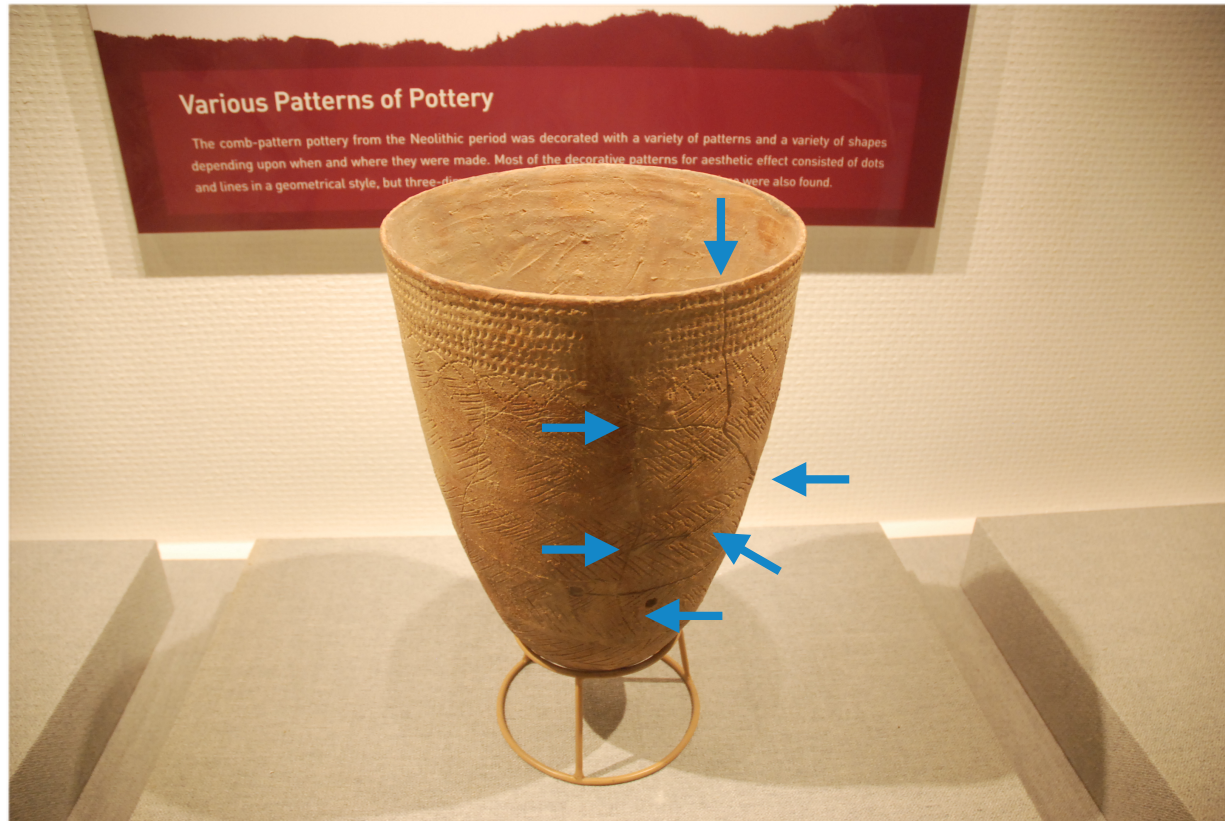


What is the Most Long-Lasting Engineering Issue of Human Beings Since Stone Age?


Overcoming Brittle Failure in Ceramics

# The Most Long-lasting Engineering Issue of Human-being

## Overcoming Brittle Failure in Ceramics



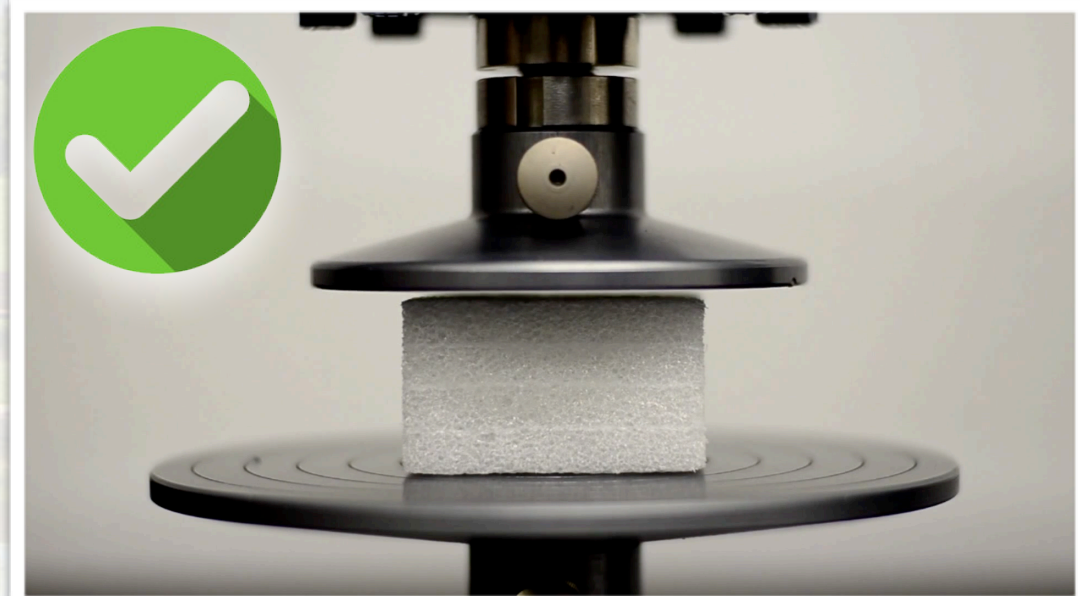
[https://ko.wikipedia.org/wiki/빛살무늬토기\\_시대](https://ko.wikipedia.org/wiki/빛살무늬토기_시대)  
<https://www.museum.go.kr/site/main/relic/search/view?relicId=4337#>

The background of the slide is a photograph of a park. In the foreground, there is a calm pond reflecting the sky and the surrounding greenery. The middle ground shows a well-maintained lawn with several rounded, manicured bushes and two wooden park benches. In the background, a tall, colorful sculpture made of interlocking geometric shapes stands prominently. The sky is bright blue with scattered white clouds. The overall scene is peaceful and scenic.

**Strong and deformable** lightweight pristine ceramics: Is it possible to make by any chance?

**YES !!**

# Overcoming Brittle Failure in Ceramics



# Scientific Backgrounds

## Size Effects in Mechanical Properties

# Size Effects

Coupling of Extrinsic Dimensions and Materials'  
Behavior Typically at Micron- and Nano-Scale



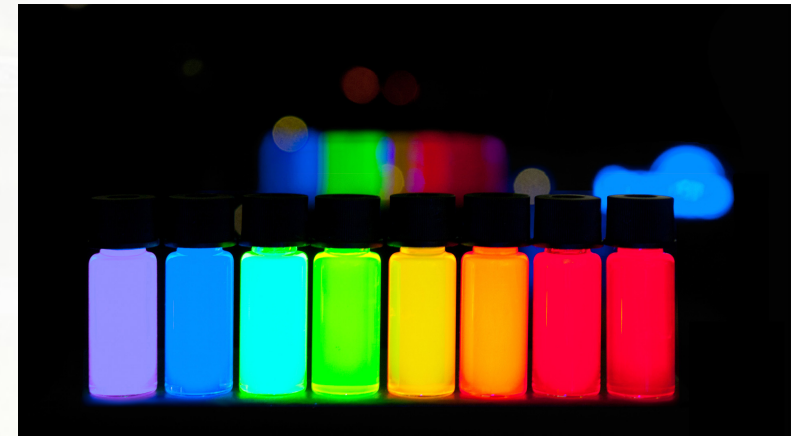
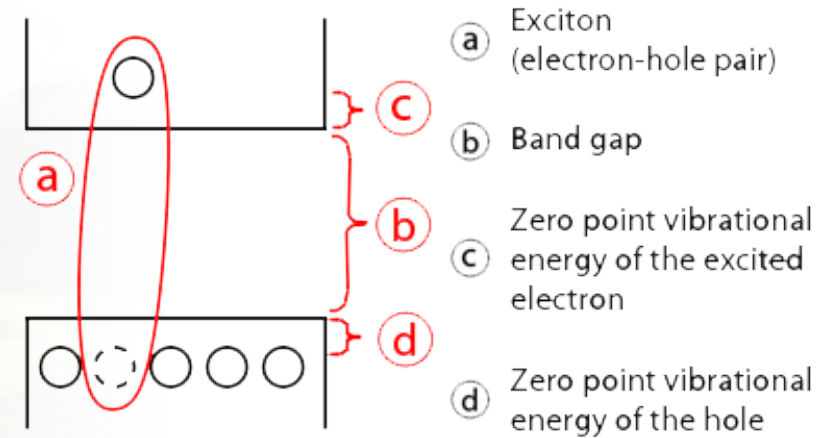
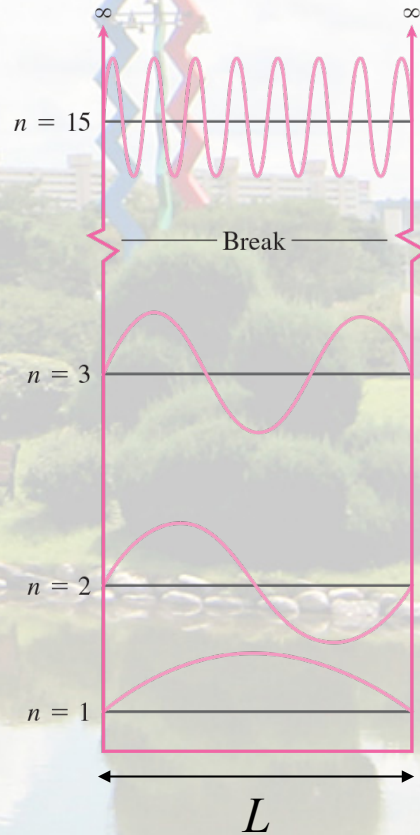
# Origin of Size Effects

## Confinement

## Surface

## Origin of Size Effects

### Confinement



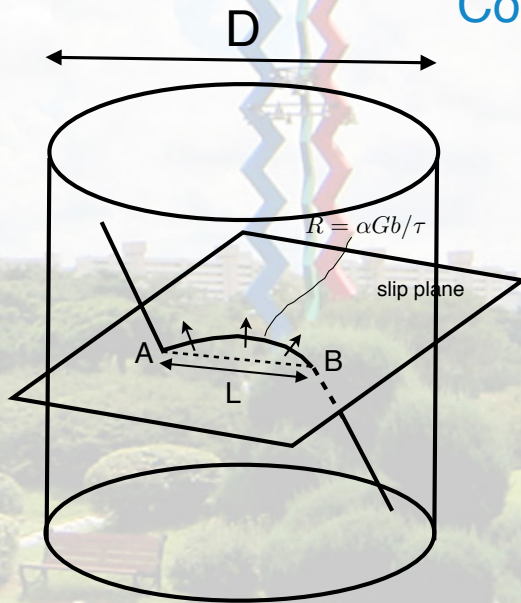
$$\psi_{n_x, n_y, n_z} = \sqrt{\frac{8}{L_x L_y L_z}} \sin\left(\frac{n_x \pi x}{L_x}\right) \sin\left(\frac{n_y \pi y}{L_y}\right) \sin\left(\frac{n_z \pi z}{L_z}\right)$$

$$E_{n_x, n_y, n_z} = \frac{\hbar^2 \pi^2}{2m} \left[ \left(\frac{n_x}{L_x}\right)^2 + \left(\frac{n_y}{L_y}\right)^2 + \left(\frac{n_z}{L_z}\right)^2 \right]$$

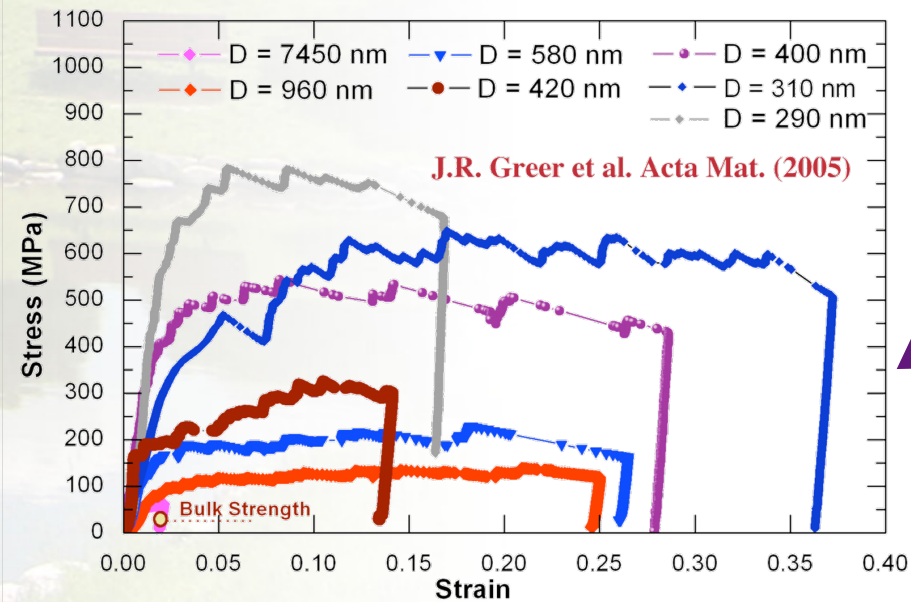
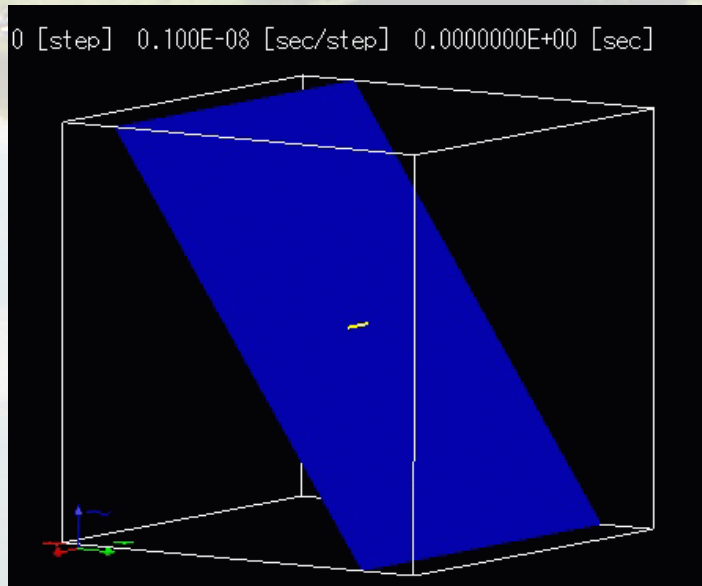
[https://en.wikipedia.org/wiki/Quantum\\_dot](https://en.wikipedia.org/wiki/Quantum_dot)

## Origin of Size Effects

### Confinement: Plasticity in Single Crystals



- Mechanism: dislocation multiplication by Frank-Read source
  - characteristic length:  $L$
  - geometric size parameter: sample parameter,  $D$



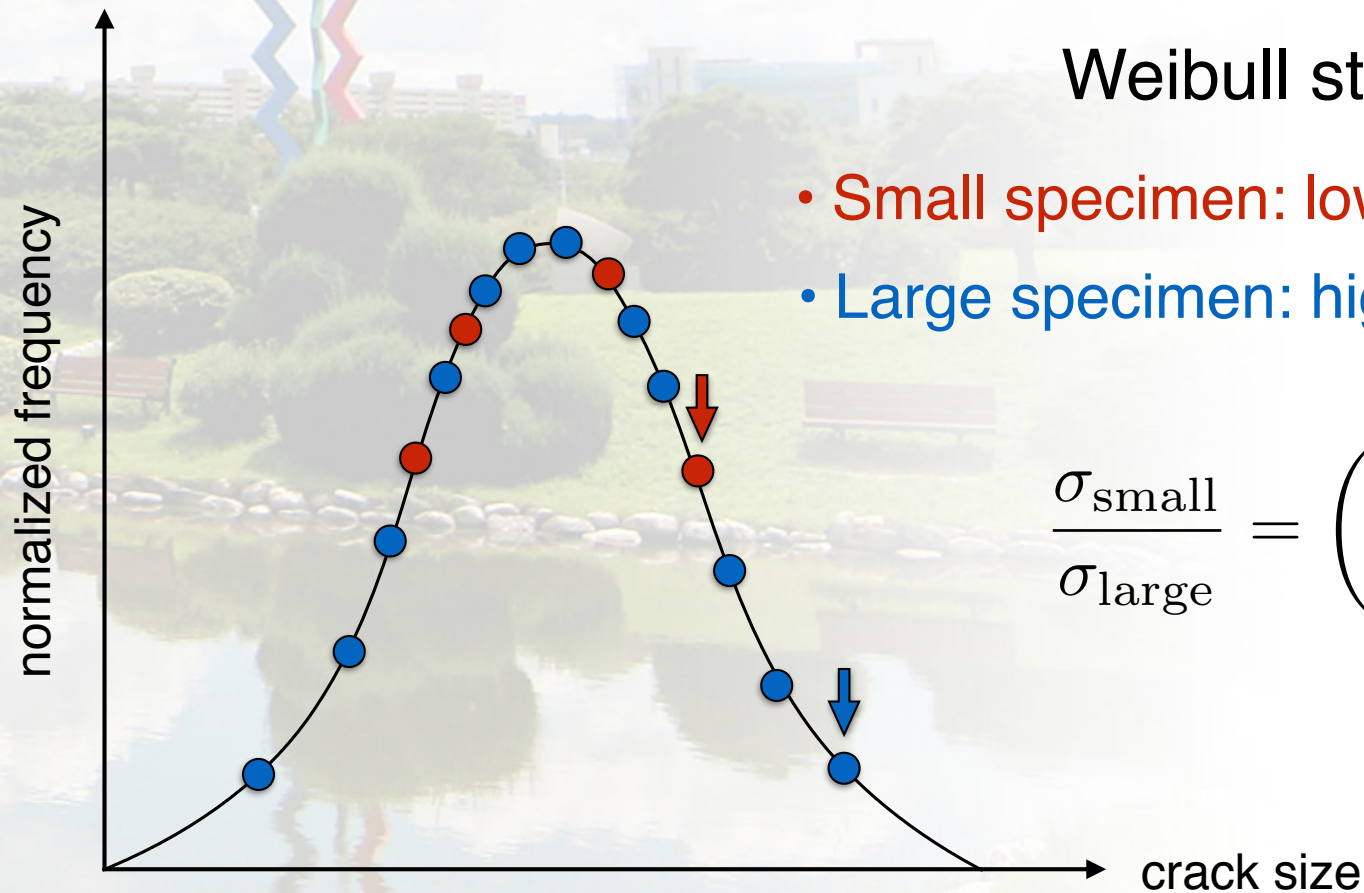
↑ Decreasing Diameter

## Origin of Size Effects

Confinement: Weibull Statistics

### Weibull statistics

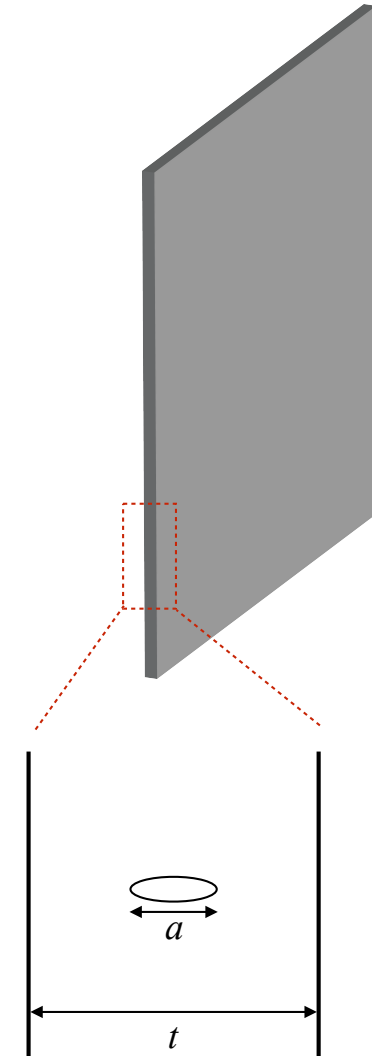
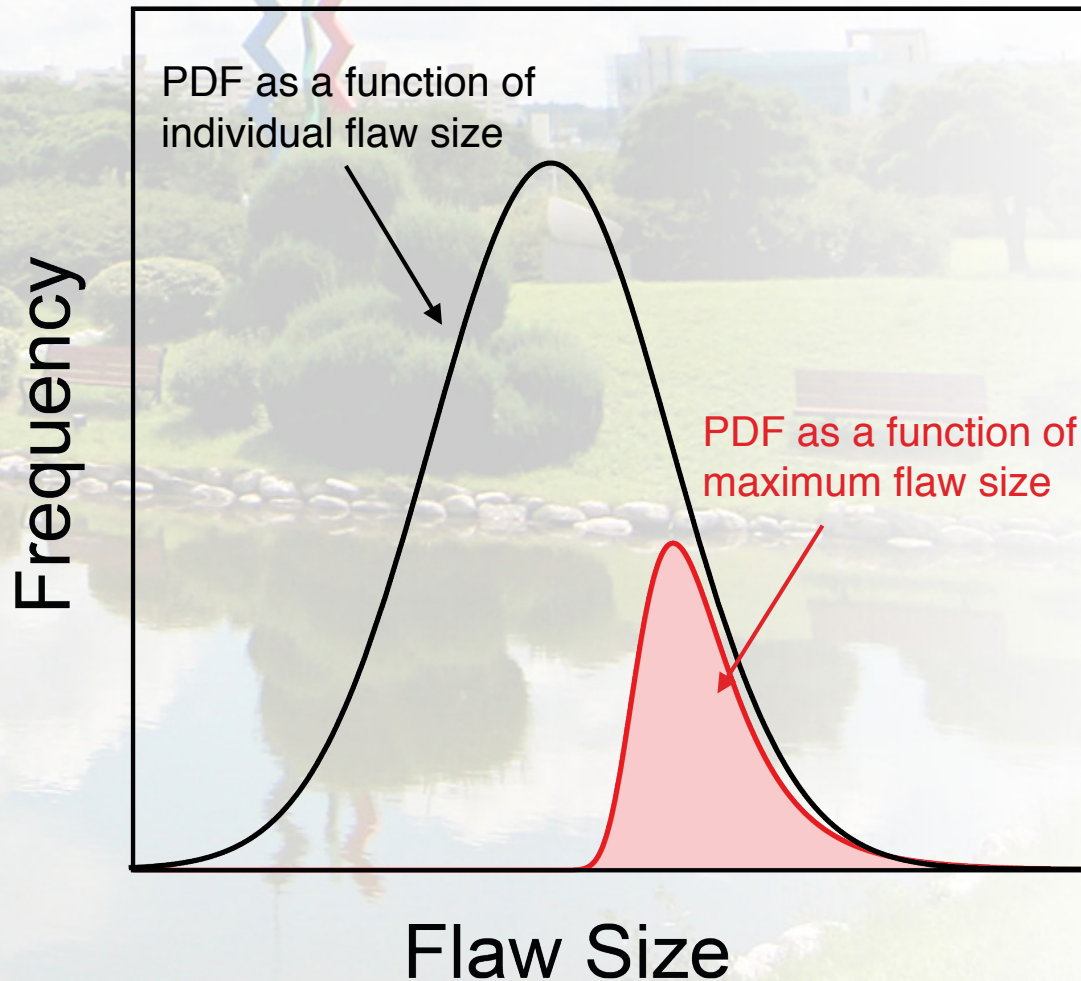
- Small specimen: low sampling number
- Large specimen: high sampling number



$$\frac{\sigma_{\text{small}}}{\sigma_{\text{large}}} = \left( \frac{V_{\text{large}}}{V_{\text{small}}} \right)^{\frac{1}{m}}$$

# Origin of Size Effects

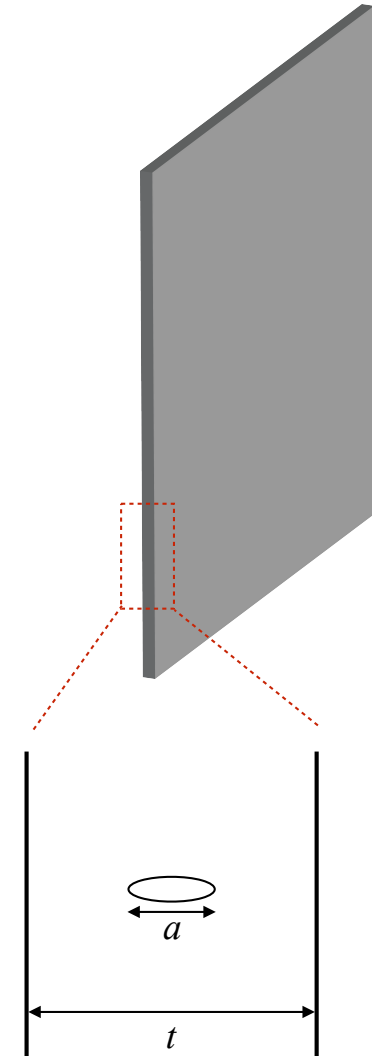
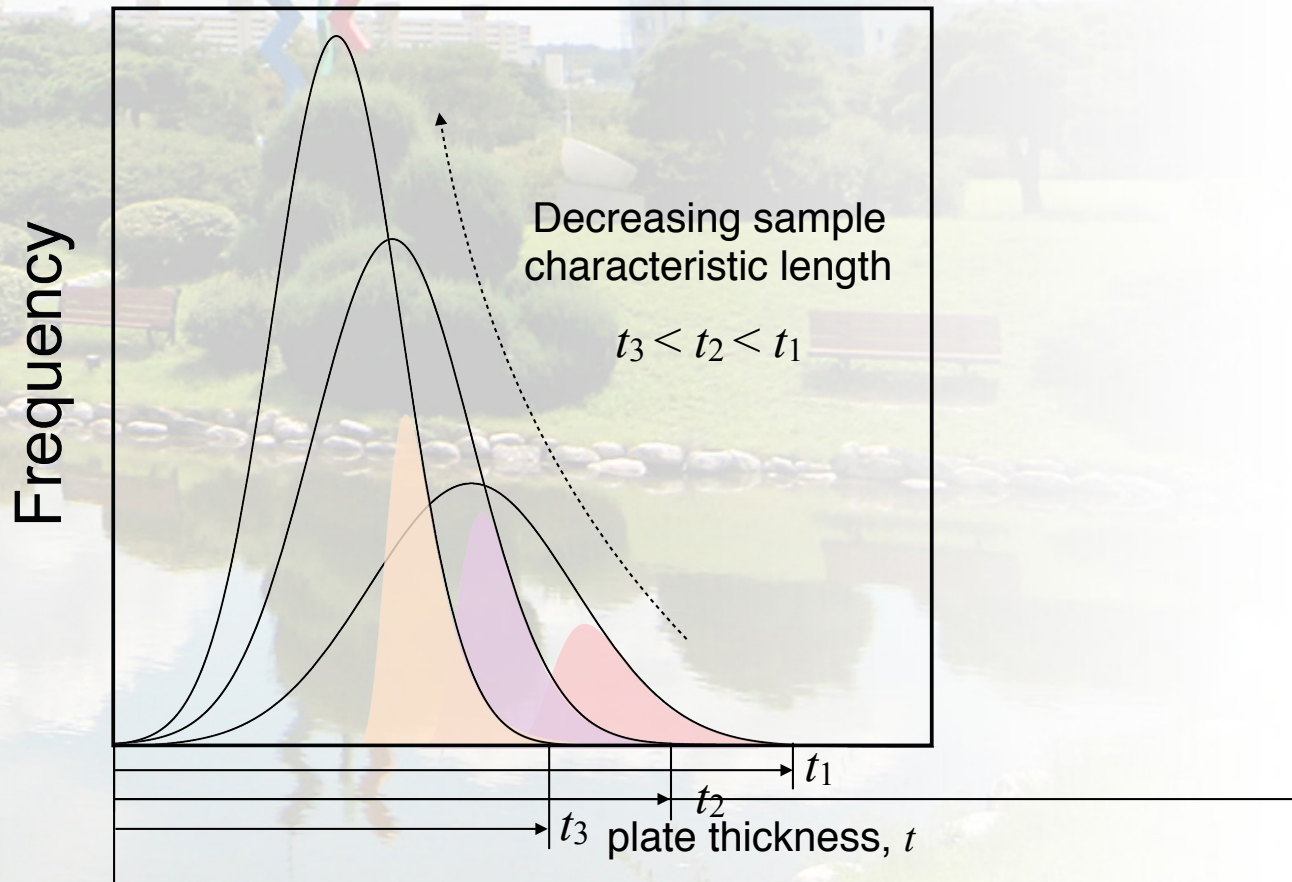
Confinement: Weibull Statistics



# Origin of Size Effects

Confinement: Weibull Statistics

Flaw Size



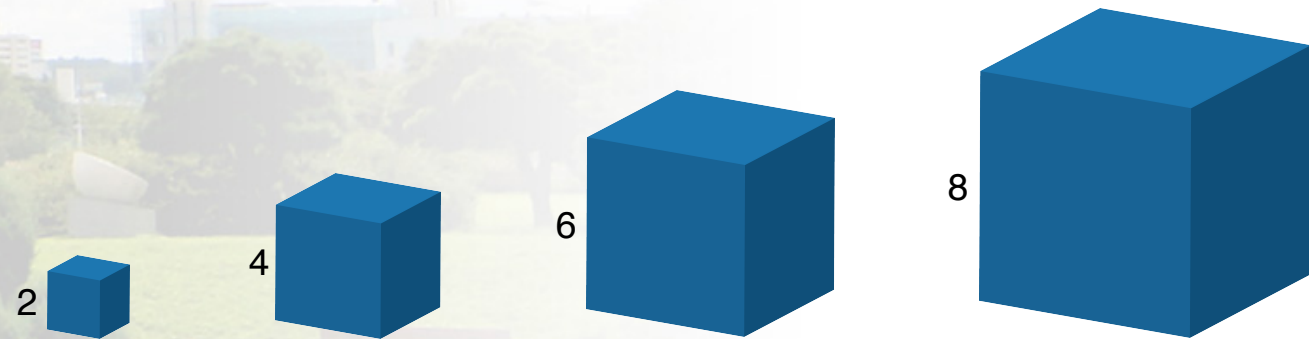
# Origin of Size Effects

Confinement

Surface

## Origin of Size Effects

Surface

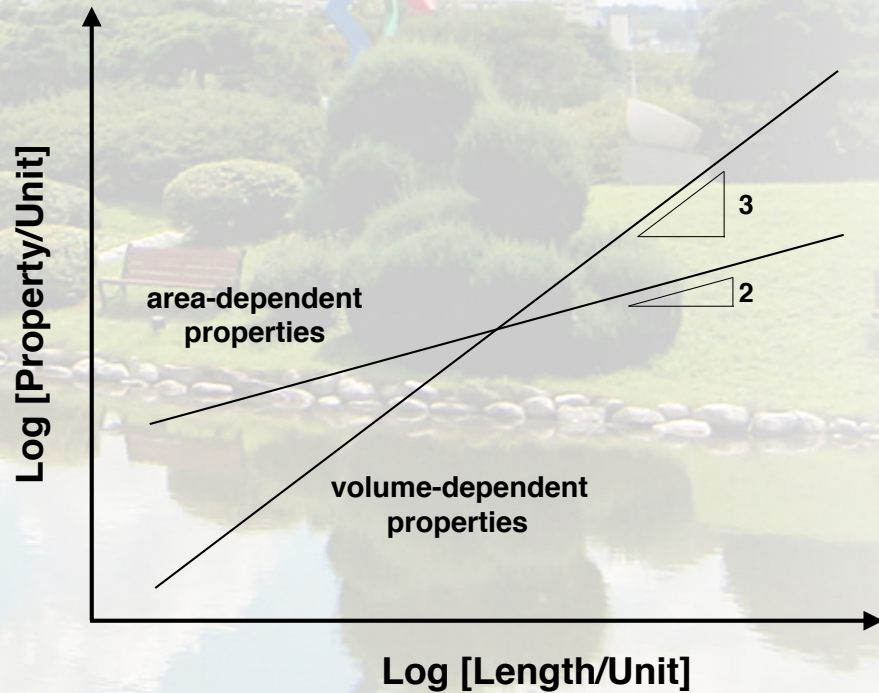


Surface area	24	96	216	384
Volume	8	64	216	512
<b>Surface/Volume</b>	<b>3.0</b>	<b>1.5</b>	<b>1.0</b>	<b>0.75</b>



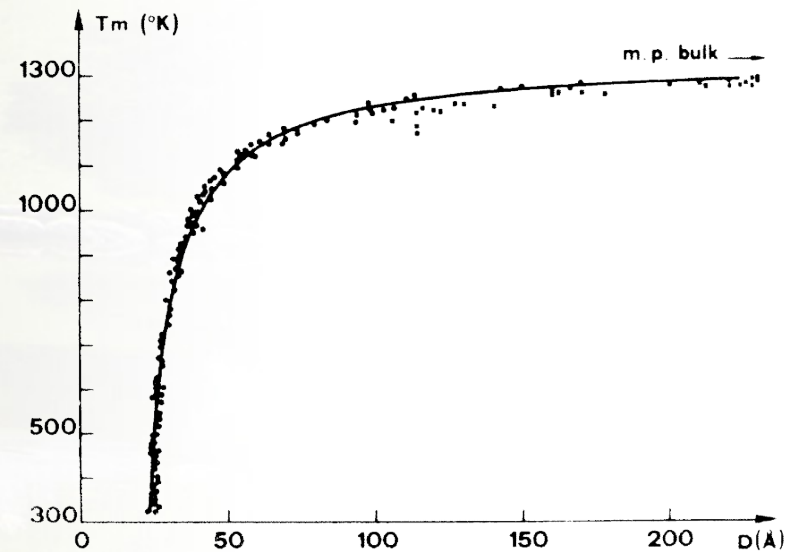
## Origin of Size Effects

### Volume- vs Area-Dependent Properties



$$\Delta T_m(r) = -T_m(\infty) \frac{4\gamma_{SL}}{H_f(\infty) \rho_s r}$$

Gibbs-Thomson Equation



Buffat, P. & Borel, J.-P. Size effect on the melting temperature of gold particles. Phys. Rev. A 13, 2287-2298 (1976).

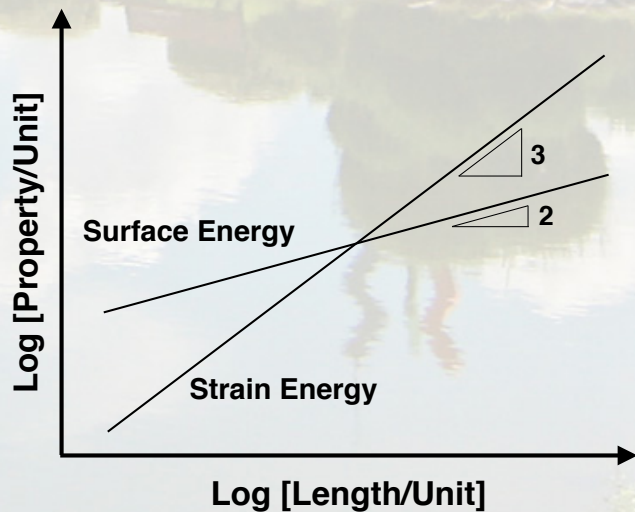
## Origin of Size Effects

### Volume- vs Area-Dependent Properties: Griffith Criterion

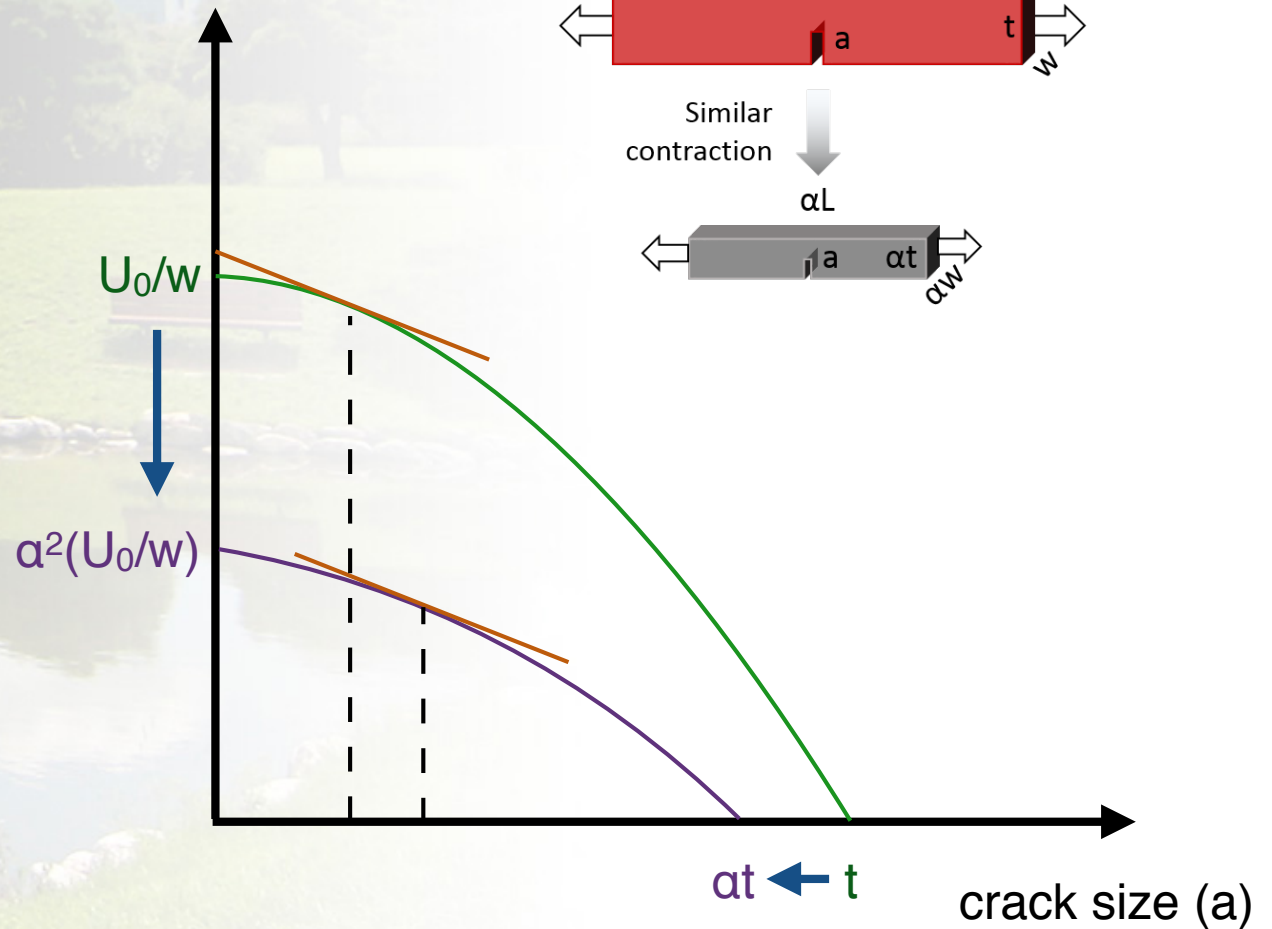
Criterion for crack propagation at constant displacement condition

$$-\frac{d\Pi}{dA} = -\frac{dU}{dA} \geq 2\gamma_s$$

$$-\frac{dU}{d(wa)} = -\frac{d(U/w)}{da} \geq 2\gamma_s$$

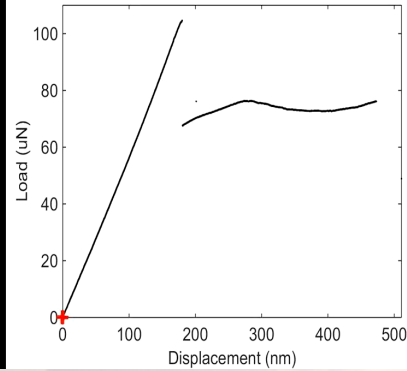
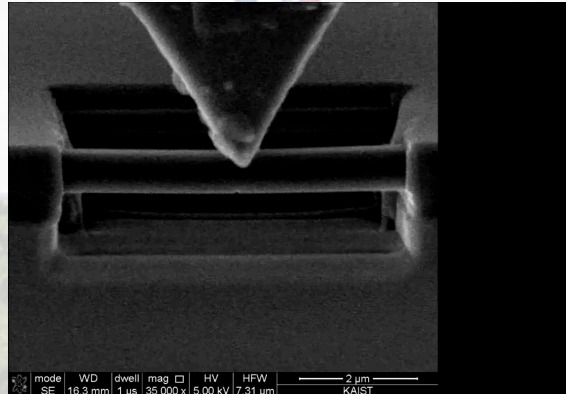


Elastic Energy (U)

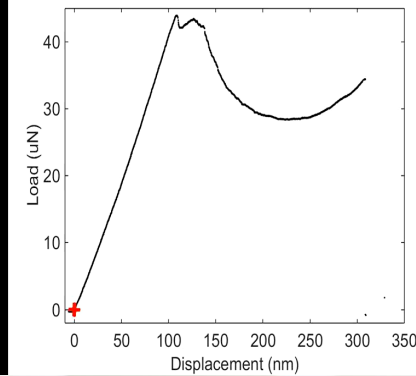
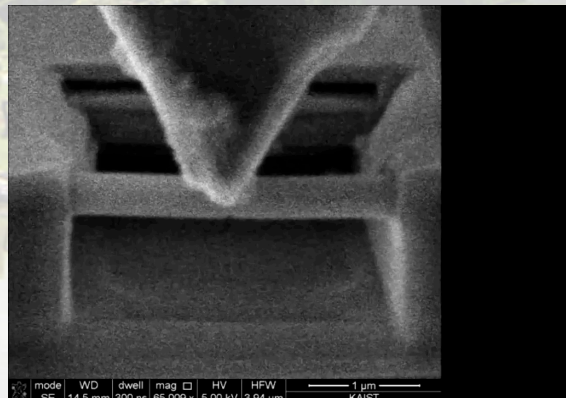


## Origin of Size Effects

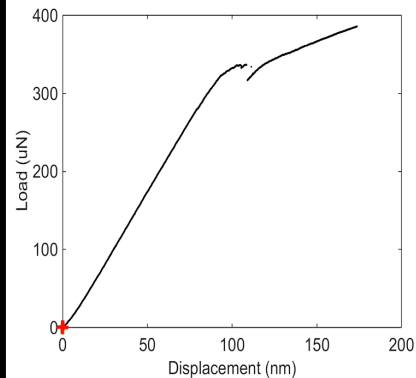
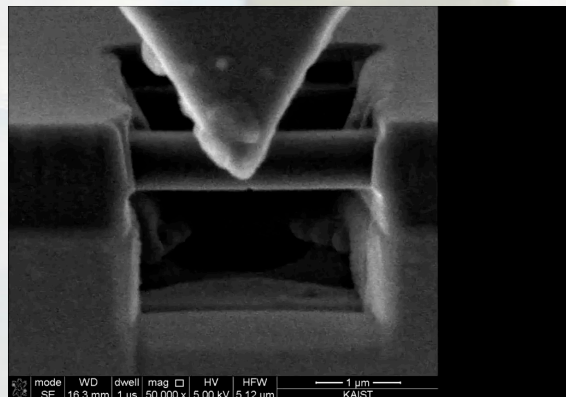
### Volume- vs Area-Dependent Properties: Griffith Criterion



- Length: 6 micron
- Thickness: 500 nm



- Length: 3 micron
- Thickness: 250 nm



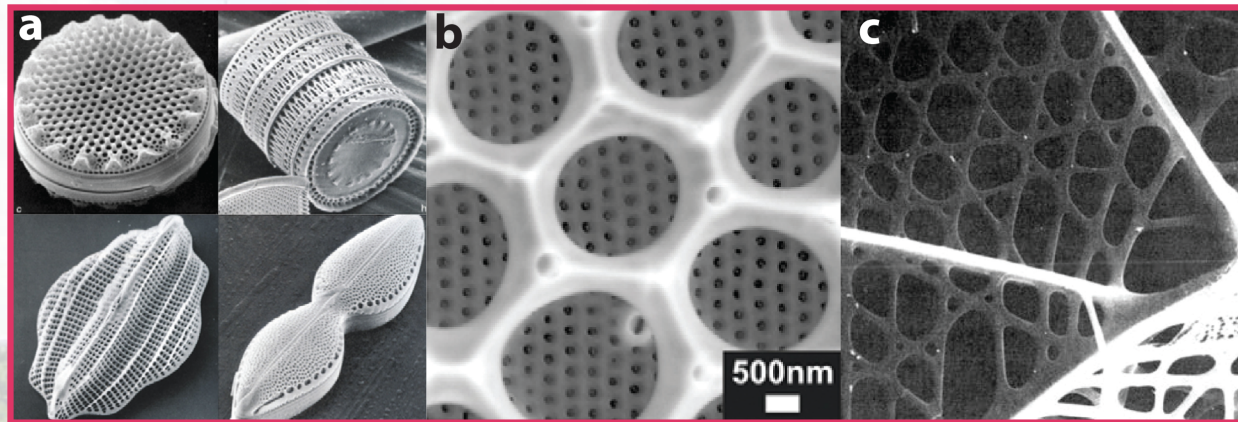
- Length: 3 micron
- Thickness: 500 nm



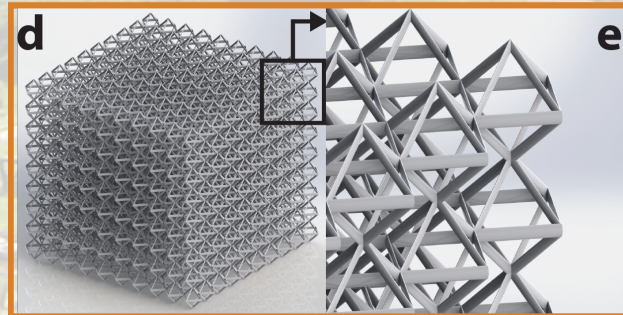
## Seemingly Contradicted

How can we render small-only properties to be attainable at larger scales?

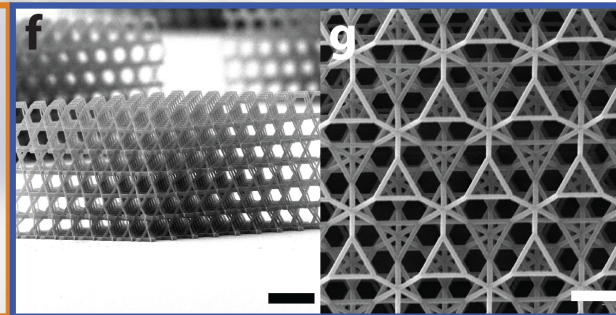
## Natural Materials



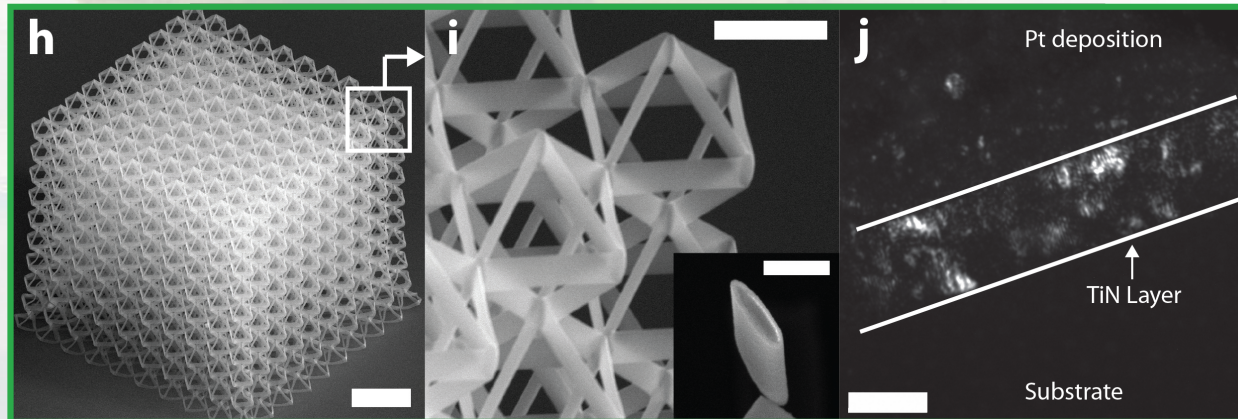
## Computer-aided Design



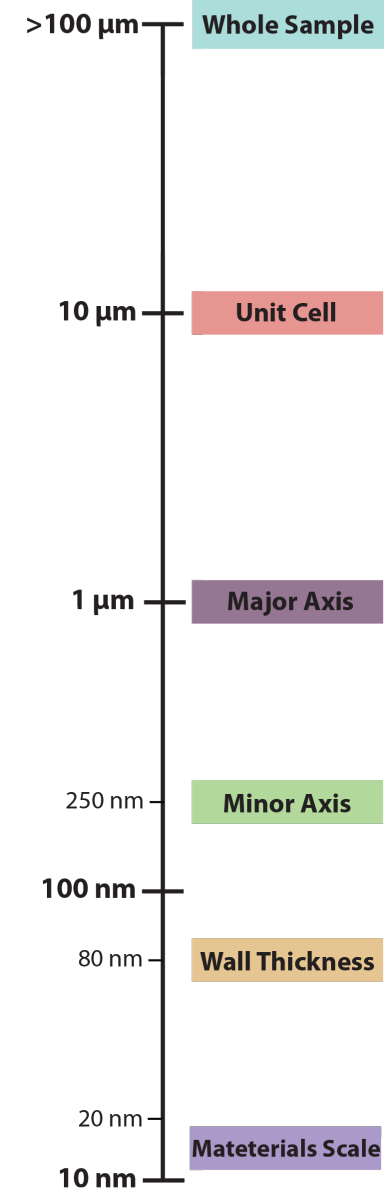
## Fabrication - 3D Kagome Unit Cell



## Fabrication - Octahedral Unit Cell



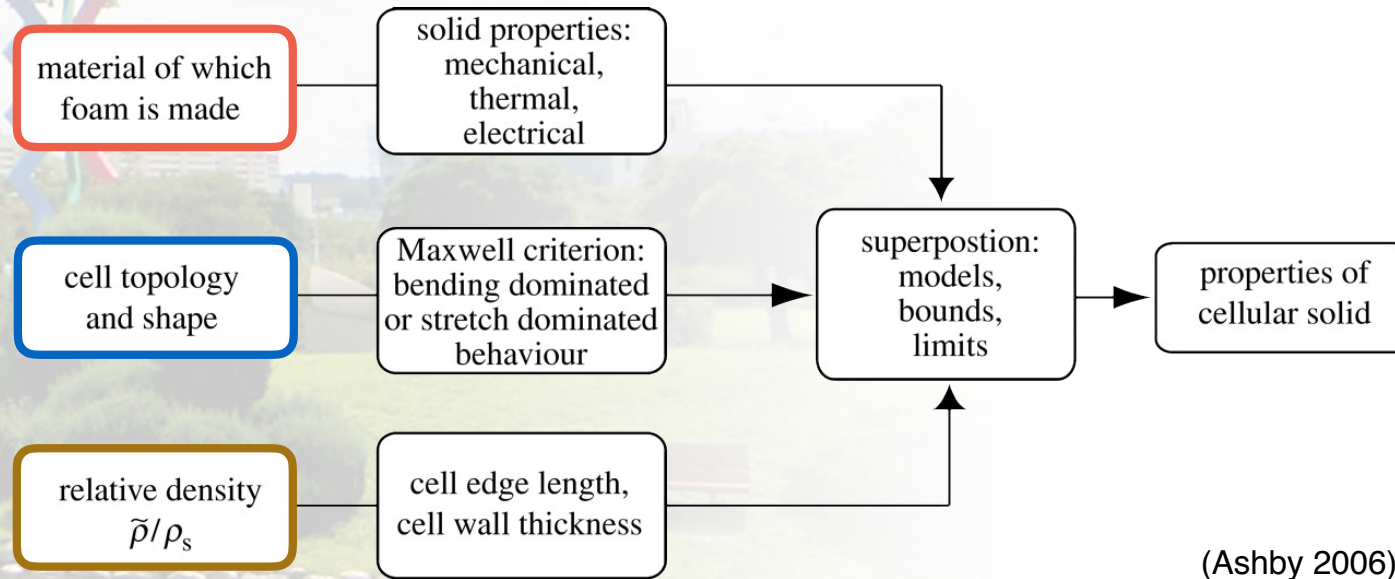
## Scales of Nanotruss





Design Factors to Consider:  
**1. Integration of Scaling Laws**

# Scaling Law for Porous Materials



(Ashby 2006)

$$\sigma_y = \sigma_o (\tilde{\rho})^m$$

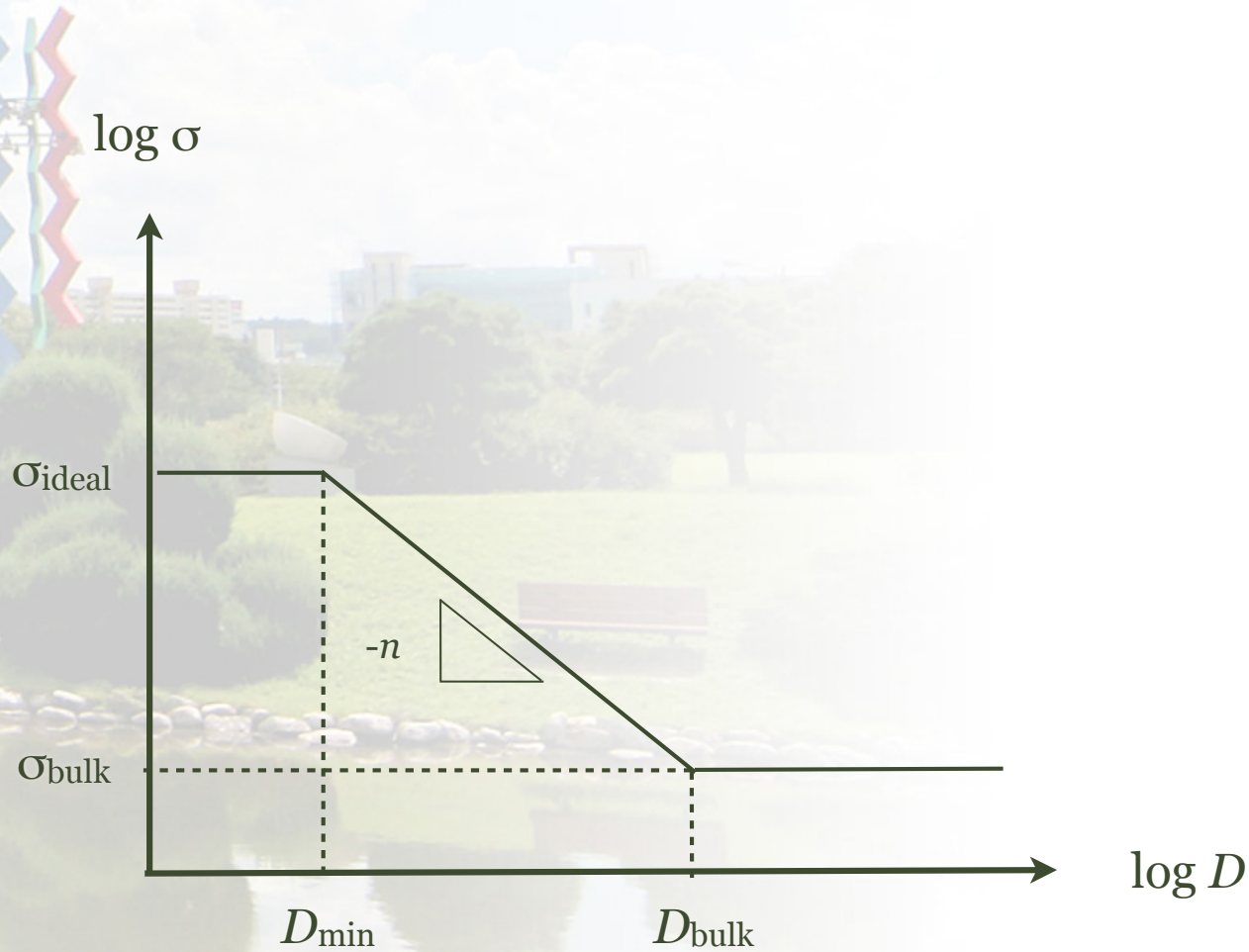
$\sigma_y$  :Strength of structure

$\sigma_o$  :Strength of base material

$m$  :Geometric factor

$\tilde{\rho}$  :Relative density

# Scaling Law for Base Materials



$$\sigma_{small} = \sigma_{bulk} \left( \frac{D}{D_{bulk}} \right)^{-n}, \quad D_{min} < D < D_{bulk}$$



## Combination of Two Scaling Laws

$$\sigma_{\text{porous}} = \sigma_{\text{solid}} (\tilde{\rho})^m$$

$$\sigma_{\text{solid}} = \sigma_{\text{bulk}} \left( \frac{D}{D_{\text{bulk}}} \right)^{-n}$$



$$\sigma_{\text{porous}} = \sigma_{\text{bulk}} \left( \tilde{\rho} \left( \frac{D_{\text{bulk}}}{D} \right)^{\frac{n}{m}} \right)^m$$

$$\sigma_{\text{porous}} = \sigma_{\text{bulk}} (\tilde{\rho}_e)^m$$

$$\tilde{\rho}_e = \tilde{\rho} \left( \frac{D_{\text{bulk}}}{D} \right)^{\frac{n}{m}}$$

The nano-architectures behave as if they have higher relative densities.



## Design Factors to Consider: 2. Selection of Base Material

# Size-dependent Fracture Strengths of Ceramics:

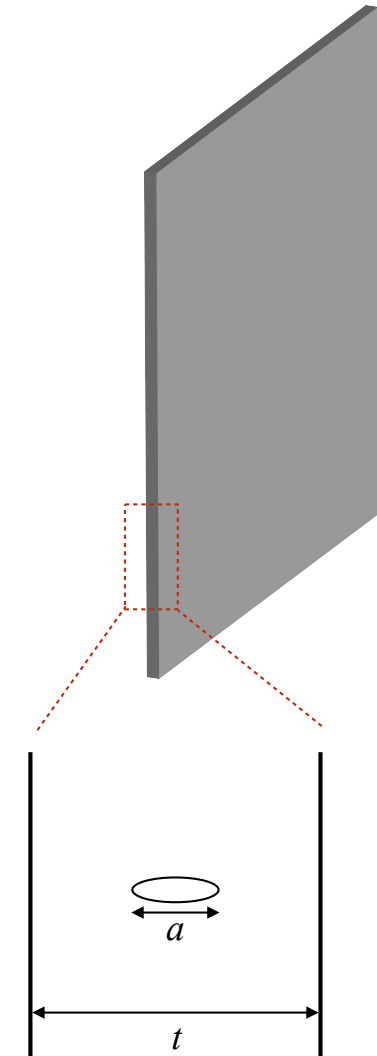
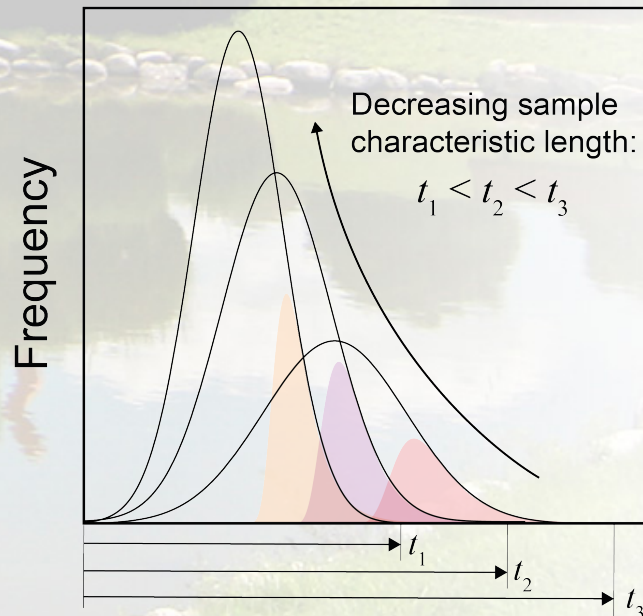
## Linear Elastic Fracture Mechanics

$$f_v(a, t; m) = \frac{m}{2\alpha t} \left( \frac{A}{A_0} \right)^{-\left(\frac{m}{2}+1\right)} \exp \left[ -\frac{A}{A_0} \left( \frac{a}{\alpha t} \right)^{-\frac{m}{2}} \right]$$

$$\bar{a} = \alpha t \left( \frac{A}{A_0} \right)^{\frac{2}{m}} \Gamma \left( 1 - \frac{2}{m} \right)$$

$$\bar{\sigma} = \frac{K_{IC}}{\sqrt{\pi \bar{a}}} F(\bar{\phi}) = \frac{G(\beta, \nu) F(\bar{\phi})}{\sqrt{\alpha \Gamma \left( 1 - \frac{2}{m} \right)}} \frac{K_{IC}}{\sqrt{\pi t}} \left( \frac{A_0}{A} \right)^{\frac{1}{m}}$$

Flaw Size



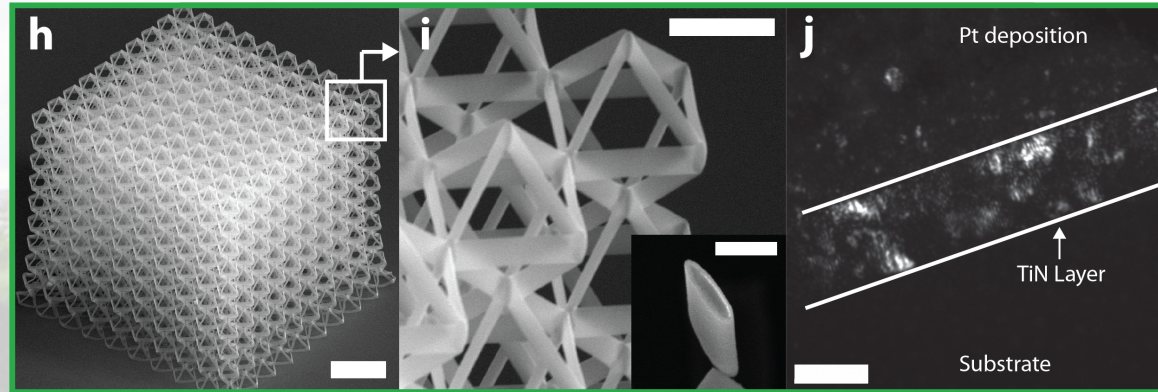


Design Factors to Consider:

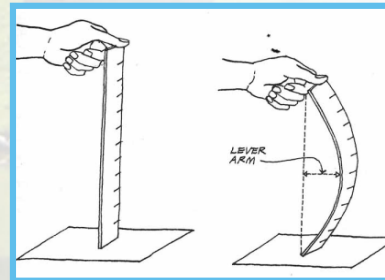
# 3. Determination of Architectures & Dimensions

# Geometric Conditions for Buckling Instabilities

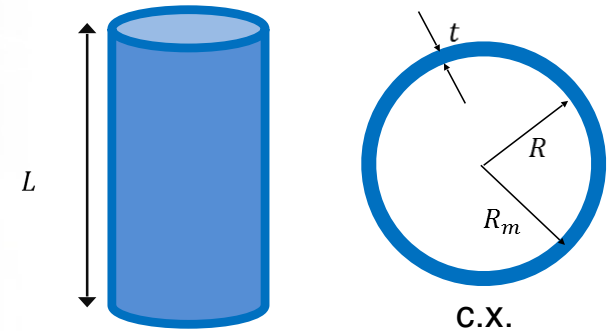
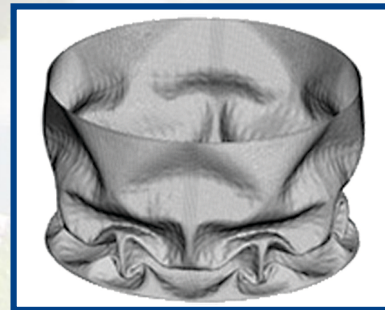
Fabrication - Octahedral Unit Cell



- Euler buckling: 
$$\sigma_{EB} = \frac{\pi^2 E}{2k^2} \left( \frac{R_m}{L} \right)^2$$



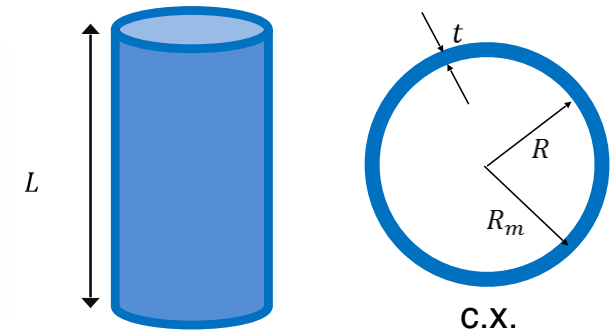
- Shell buckling: 
$$\sigma_{SB} = \frac{E}{\sqrt{3(1-\nu^2)}} \frac{t}{R}$$



## Geometric Conditions for Buckling Suppression

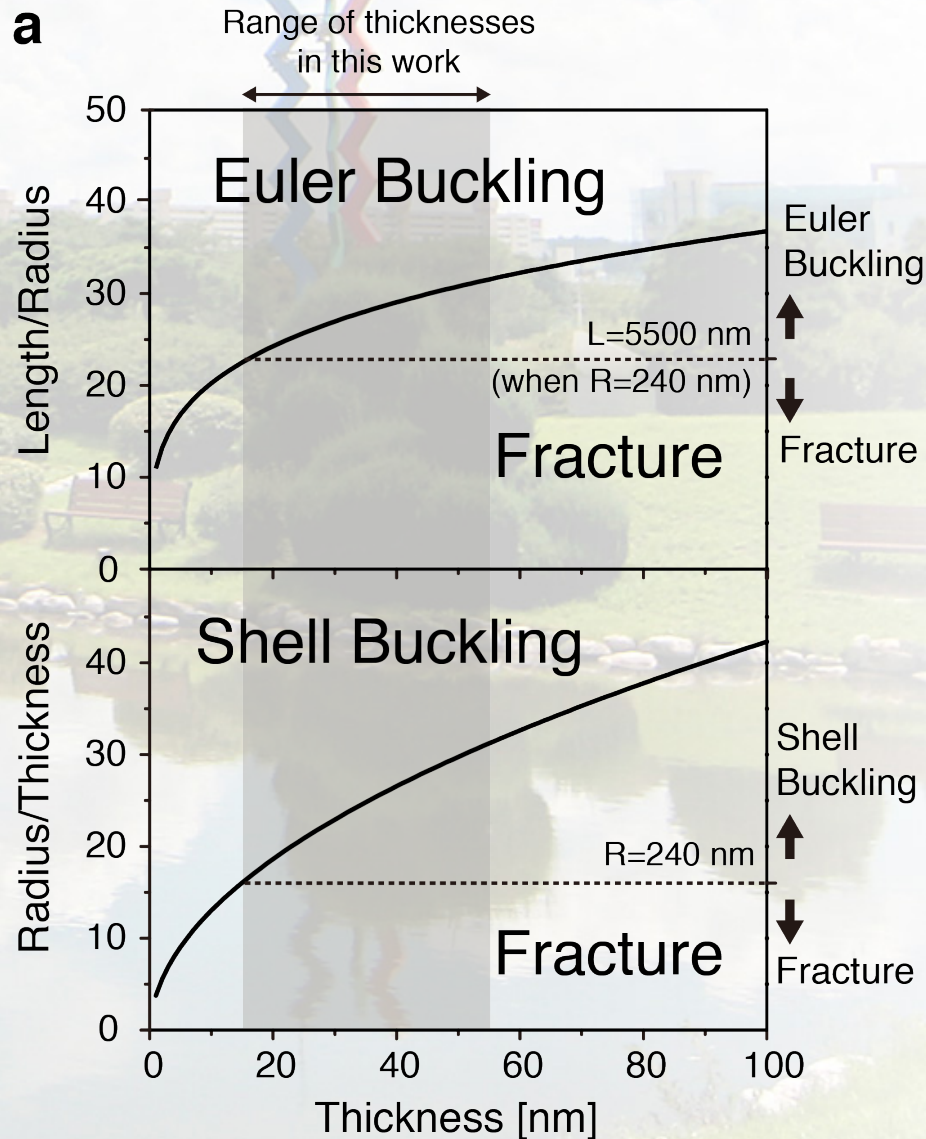
$$\sigma_{SB} = \frac{E}{\sqrt{3(1-\nu^2)}} \frac{t}{R}$$

$$\sigma_{EB} = \frac{\pi^2 E}{2k^2} \left( \frac{R_m}{L} \right)^2$$

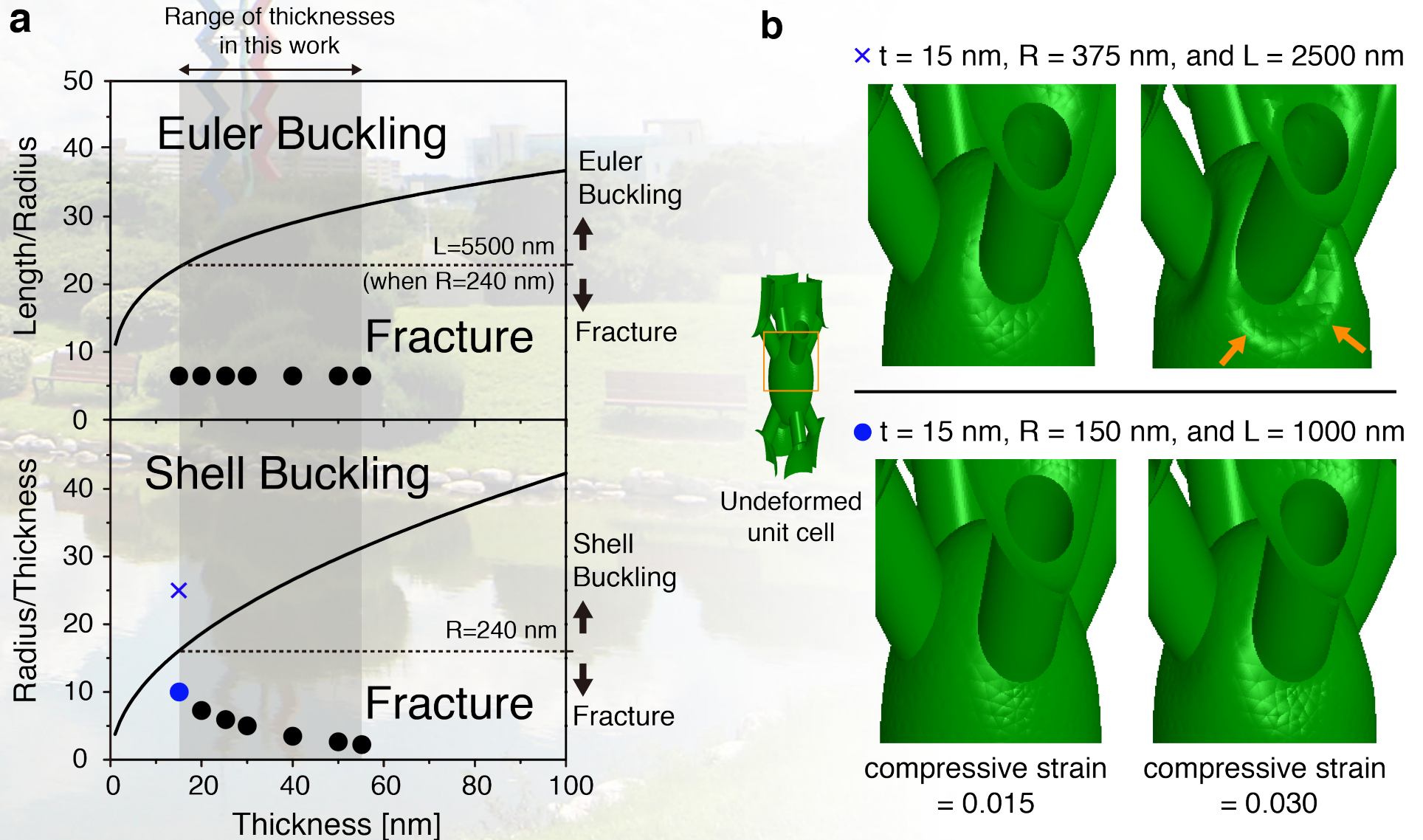


1. **Smaller  $t$**  is preferred for amplification of properties.
2. Buckling stresses should be higher than fracture strength.
  - I. **Smaller  $R$**  is preferred to suppress shell buckling.
  - II. **Smaller  $L$**  is preferred to suppress Euler buckling.

# Geometric Conditions for Buckling Suppression



# Geometric Conditions for Buckling Suppression





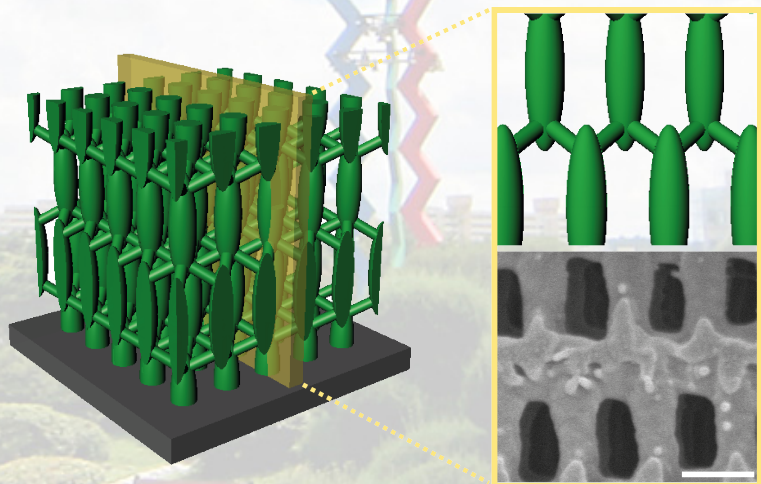


Design Factors to Consider:

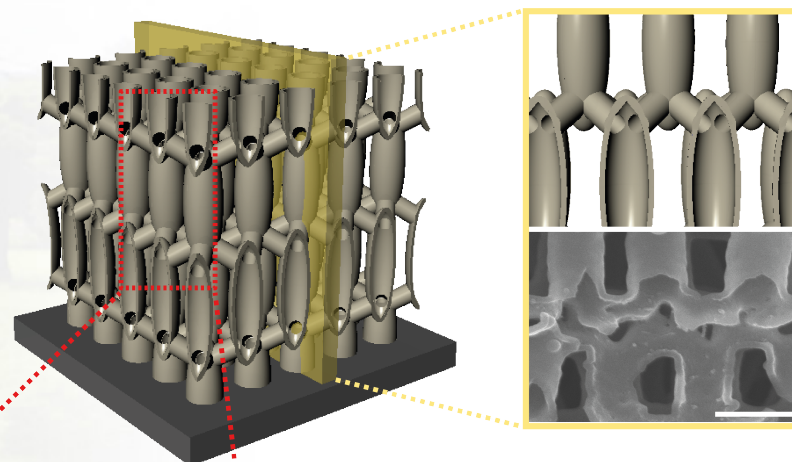
# 4. Existence of Scalable Fabrication Technique

# Fabrication Overview

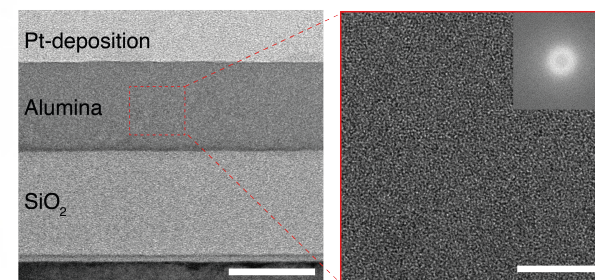
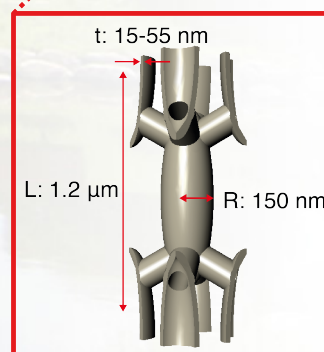
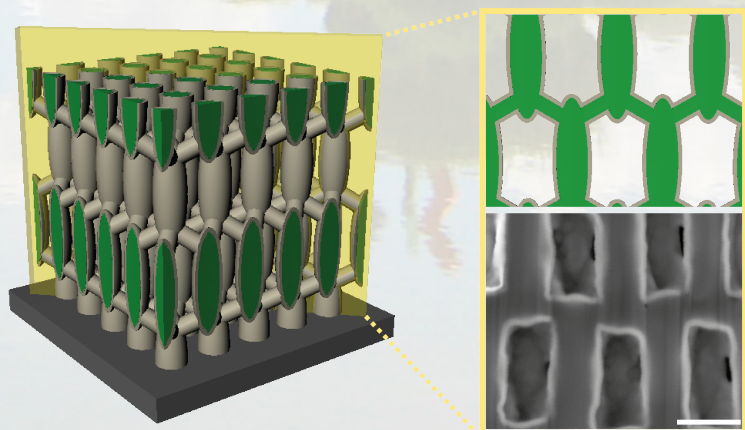
Solid polymer frame



Hollow ceramic



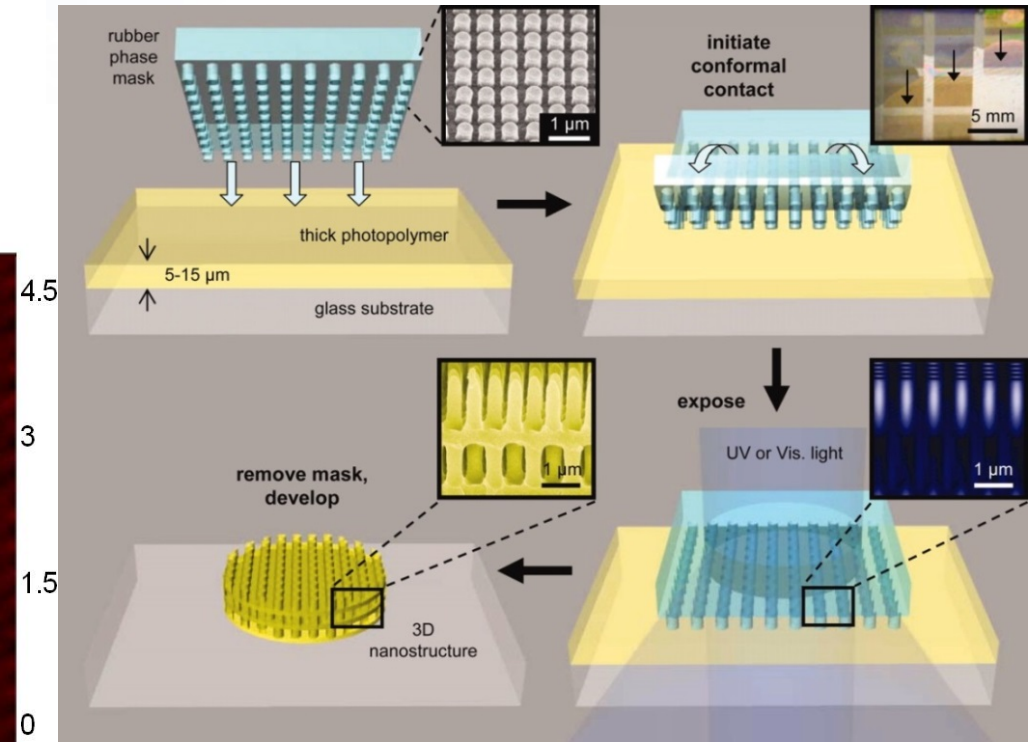
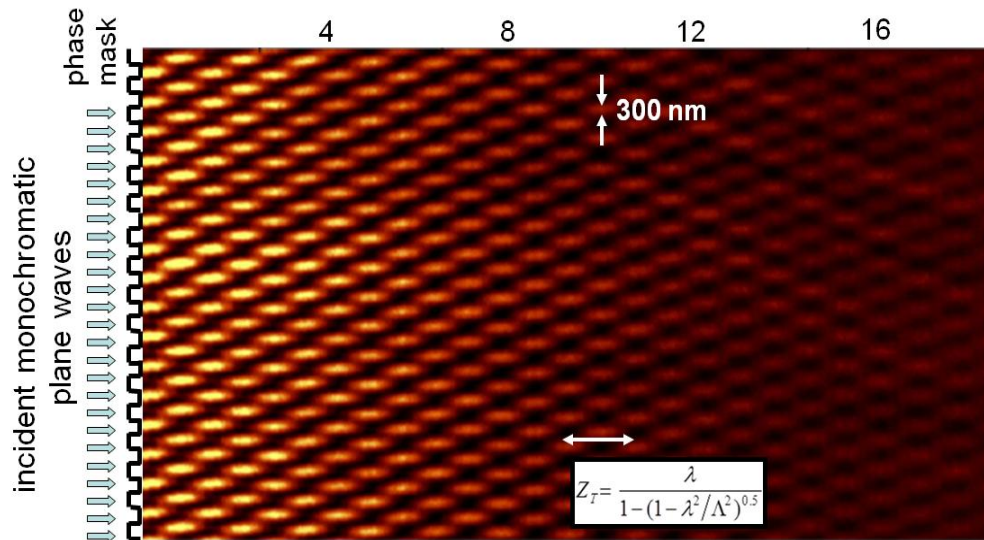
Ceramic-coated polymer frame



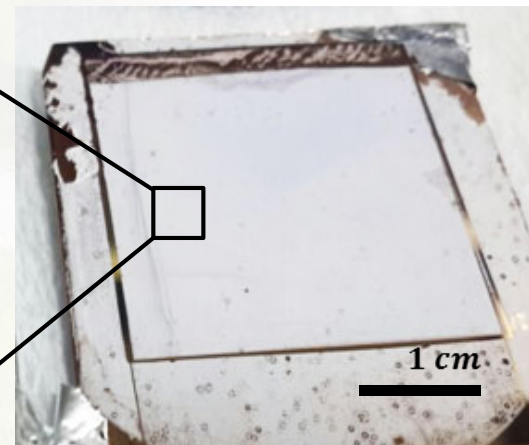
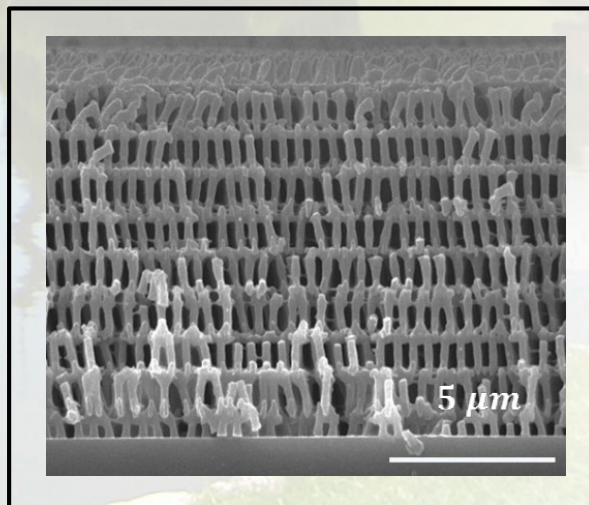
# Specimen Fabrication Process

## Talbot Effect

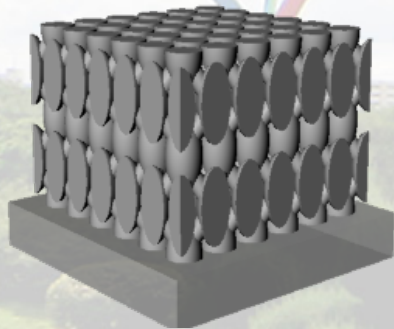
:Near-field diffraction effect



(Shir et al. 2007)

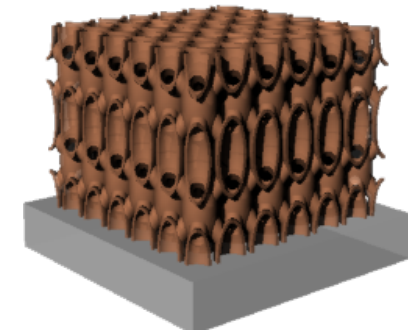


# Specimen Fabrication Process



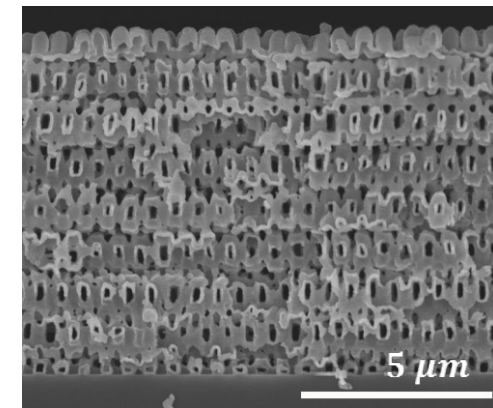
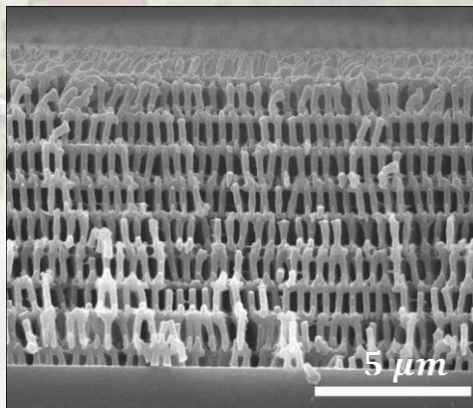
## ALD (Atomic layer deposition)

- $\text{Al}_2\text{O}_3$  deposition (15 - 55 nm)
- TMA +  $\text{H}_2\text{O}$  precursors



## Furnace

- Burning out polymer frame
- $350^\circ\text{C}$  for 5hr,  $500^\circ\text{C}$  for 2 hr

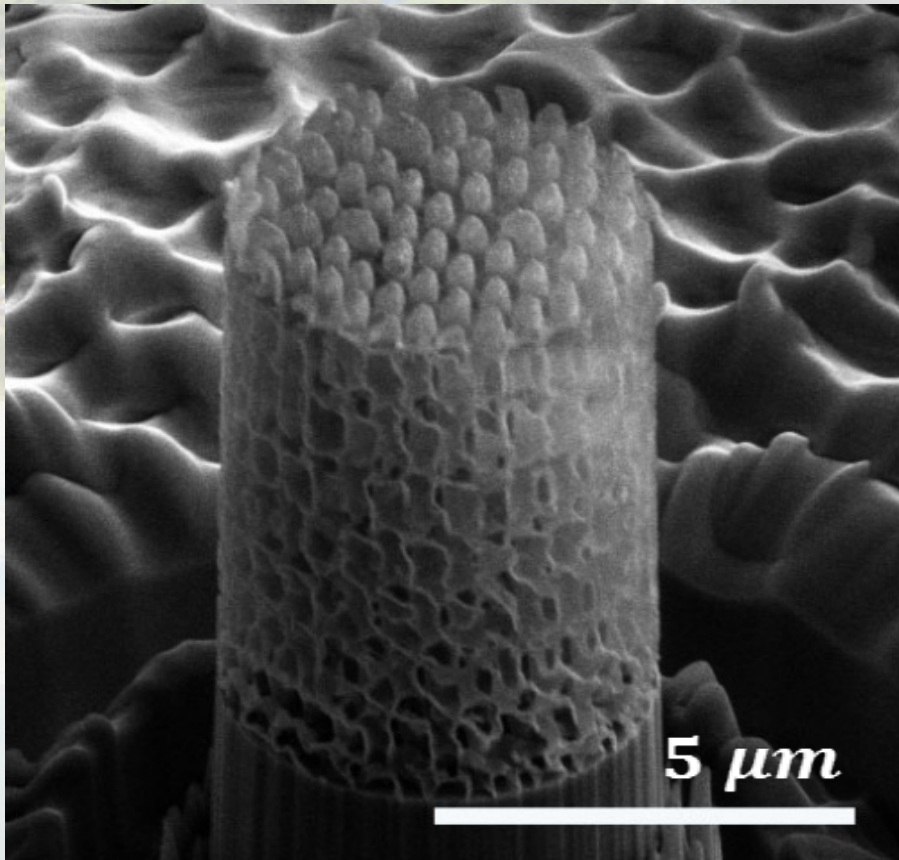


# Mechanical Characterizations

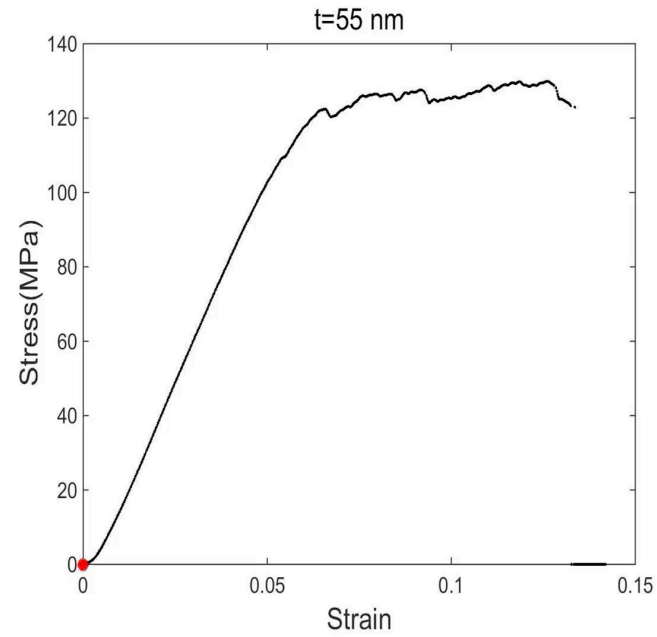
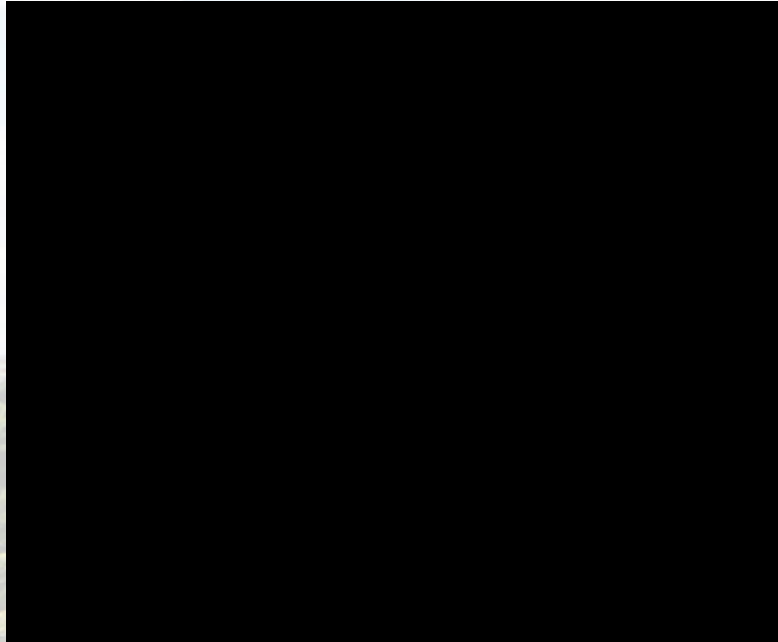
# Mechanical Characterization of Ceramic Nano-Architectures

Pillar-shaped samples fabrication by Focused Ion Beam (FIB)

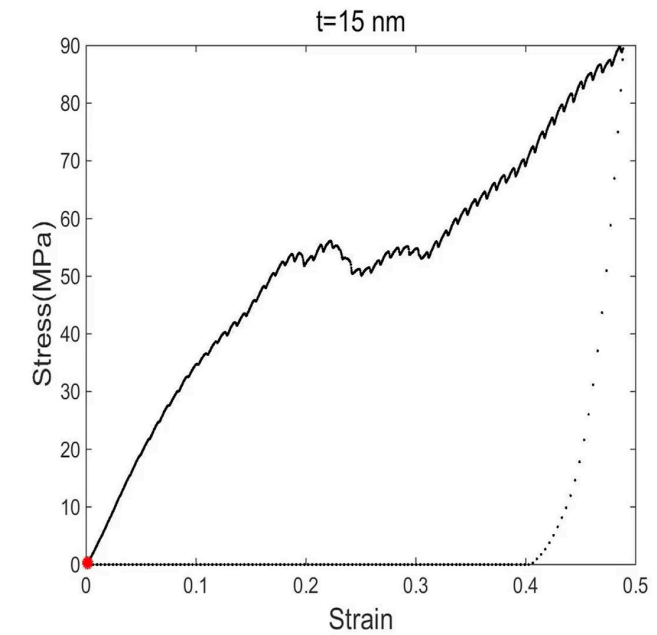
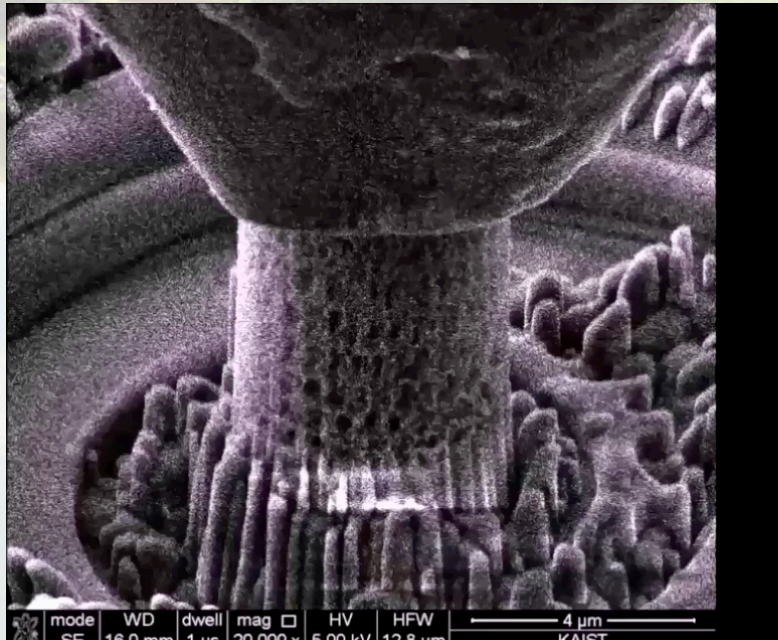
- 5 micron diameter
- 8 micron height



ALD cycles	Thickness	Density	Relative Density
75	15 nm	105 ± 6.59 kg/m <sup>3</sup>	0.036
100	20 nm	123 ± 1.50 kg/m <sup>3</sup>	0.042
130	25 nm	172 ± 7.41 kg/m <sup>3</sup>	0.059
160	30 nm	228 ± 19.6 kg/m <sup>3</sup>	0.079
220	40 nm	287 ± 14.4 kg/m <sup>3</sup>	0.099
300	50 nm	377 ± 6.10 kg/m <sup>3</sup>	0.130
350	55 nm	451 ± 14.7 kg/m <sup>3</sup>	0.155

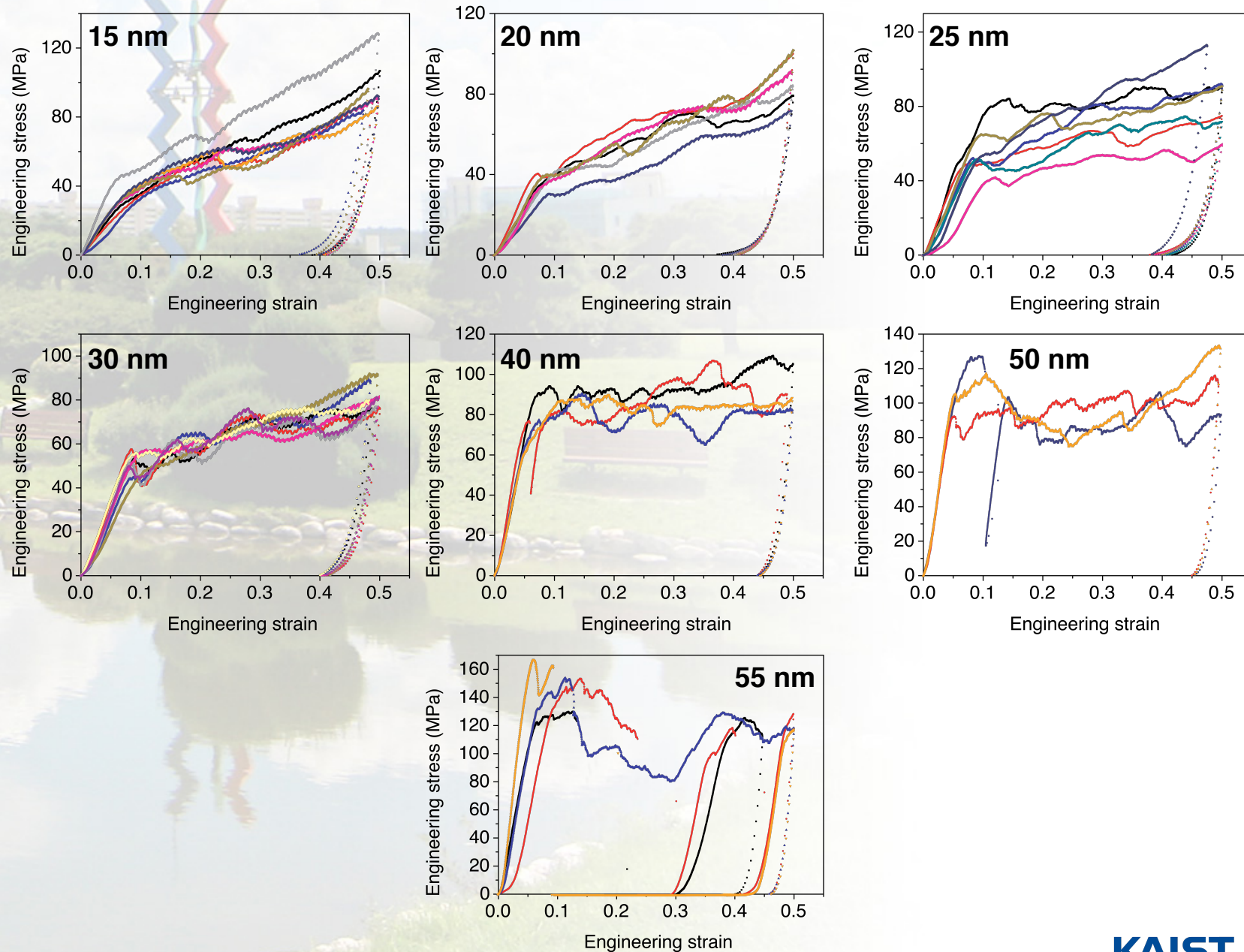


**$t = 55 \text{ nm}$**



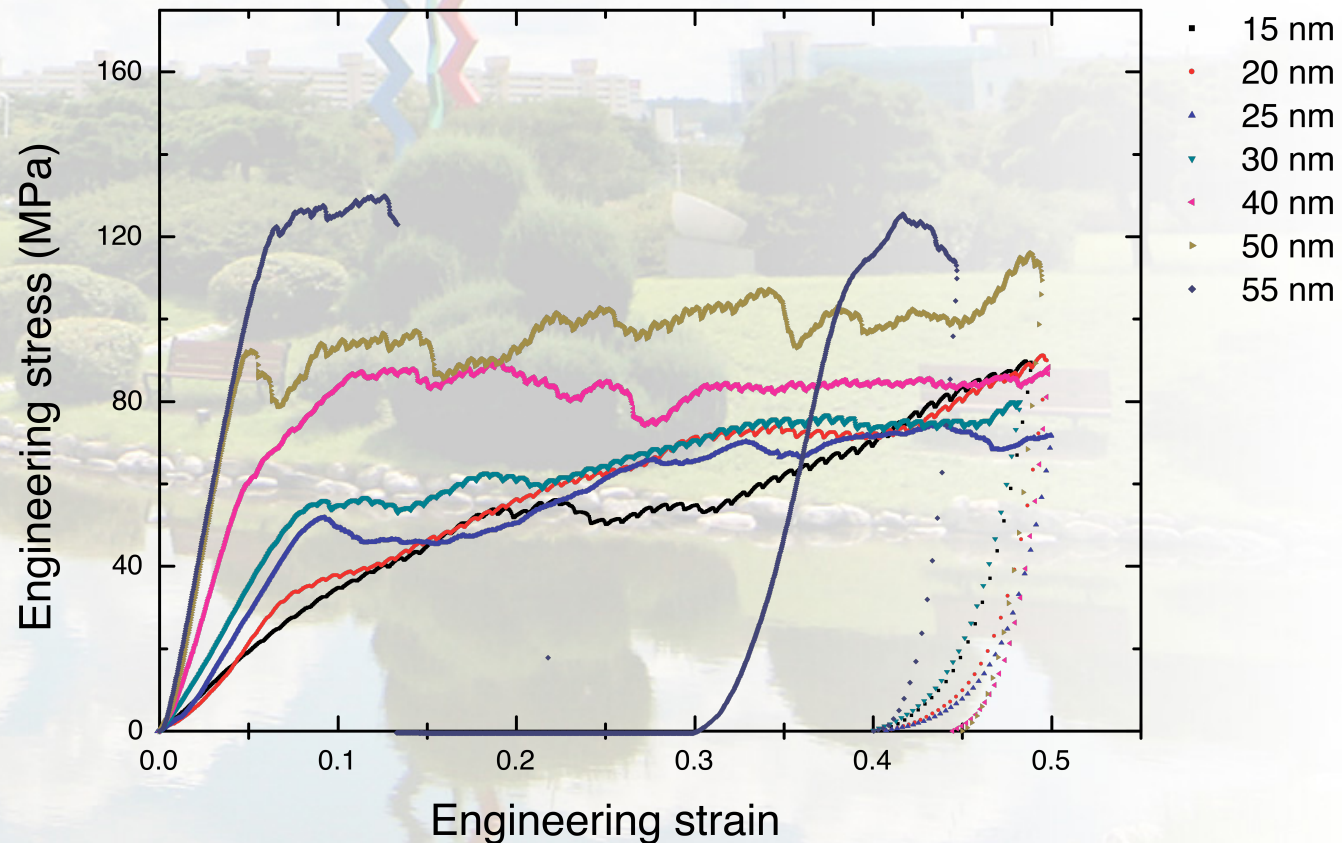
**$t = 15 \text{ nm}$**

# Mechanical Characterization of Ceramic Nano-Architectures



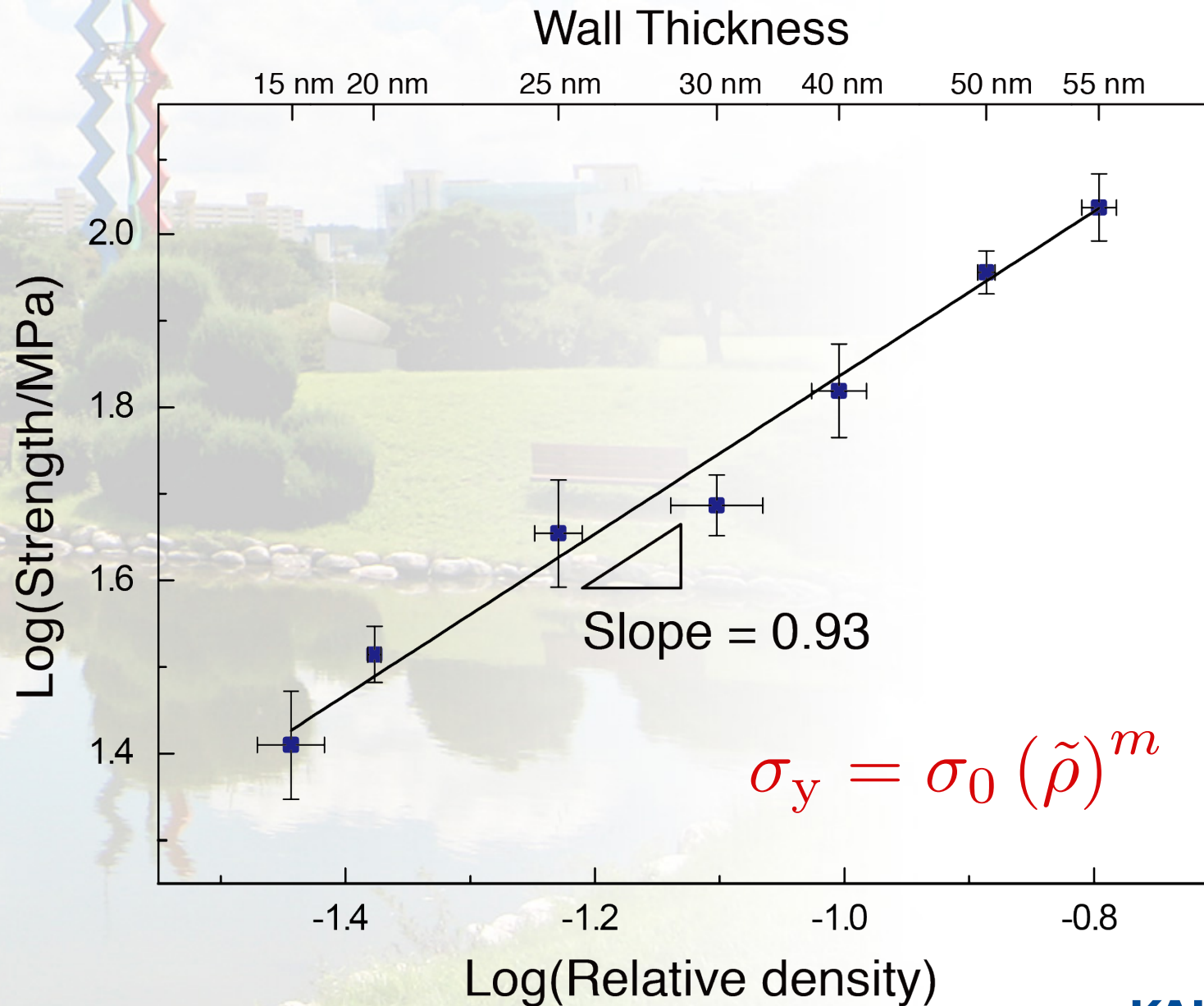


## Mechanical Characterization of Ceramic Nano-Architectures

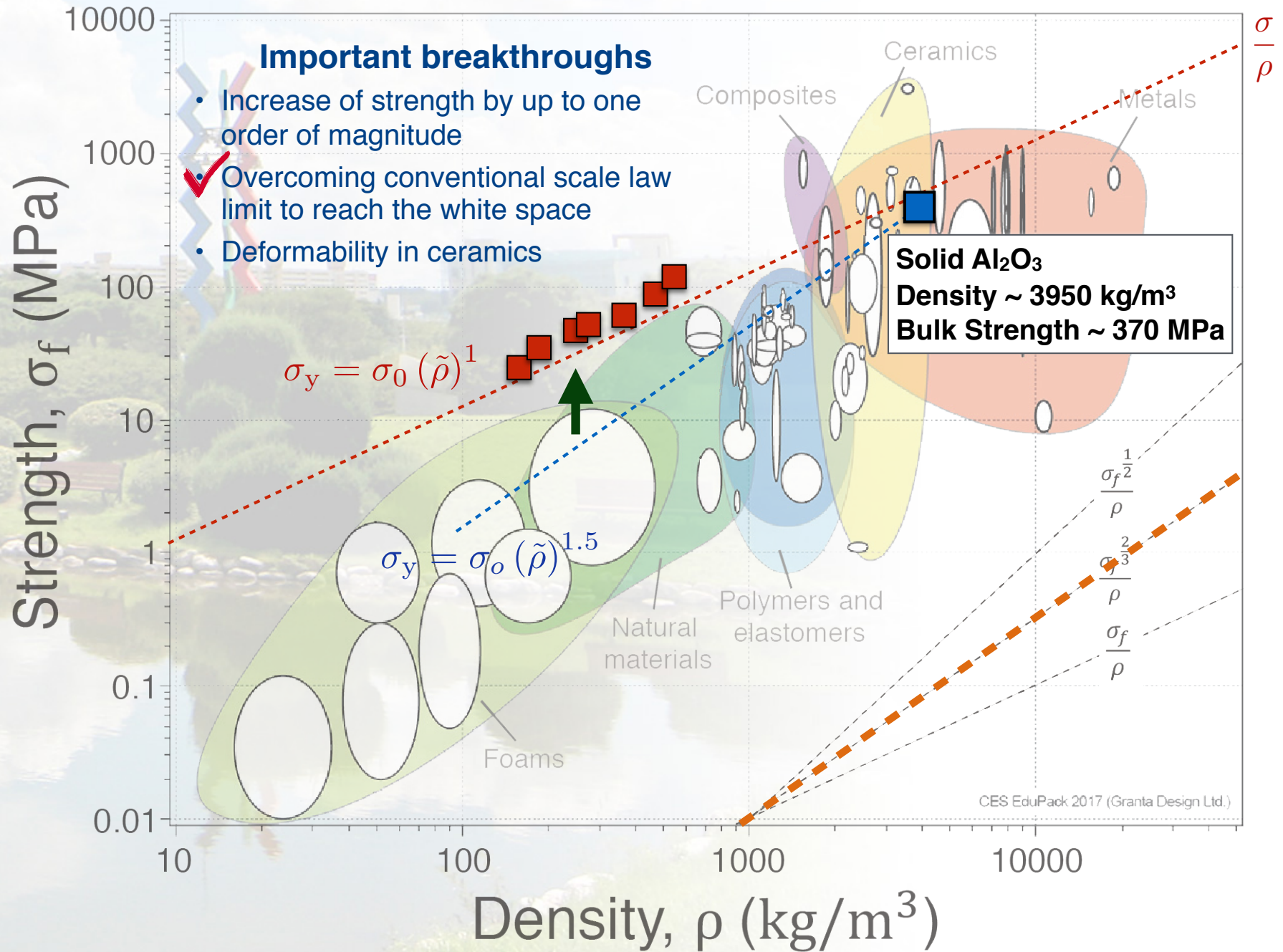


Thickness	Strength
15 nm	25.7 ± 3.7 MPa
20 nm	32.7 ± 2.5 MPa
25 nm	45.1 ± 6.4 MPa
30 nm	48.6 ± 3.9 MPa
40 nm	65.9 ± 8.2 MPa
50 nm	90.3 ± 5.2 MPa
55 nm	110.9 ± 9.9 MPa

# Mechanical Characterization of Ceramic Nano-Architectures



# Ceramics Nano-architectures



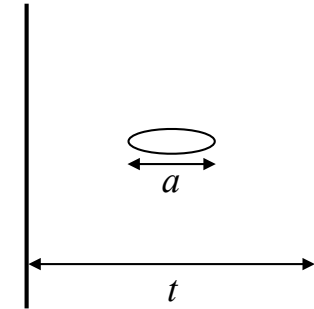
# Overcoming Conventional Scaling Law Limit

Size-dependent Fracture Strengths of Base Material Based on Griffith Theory

$$\sigma_f = \frac{K_I}{\sqrt{\pi a}} \quad \text{when } t \gg a$$

$$\sigma_f = \frac{K_I}{\sqrt{\pi a}} \cdot \frac{1}{F(\phi)} \quad \text{for finite } t \quad \phi = \frac{a}{t}$$

$F(\phi) > 1$ : Geometric function



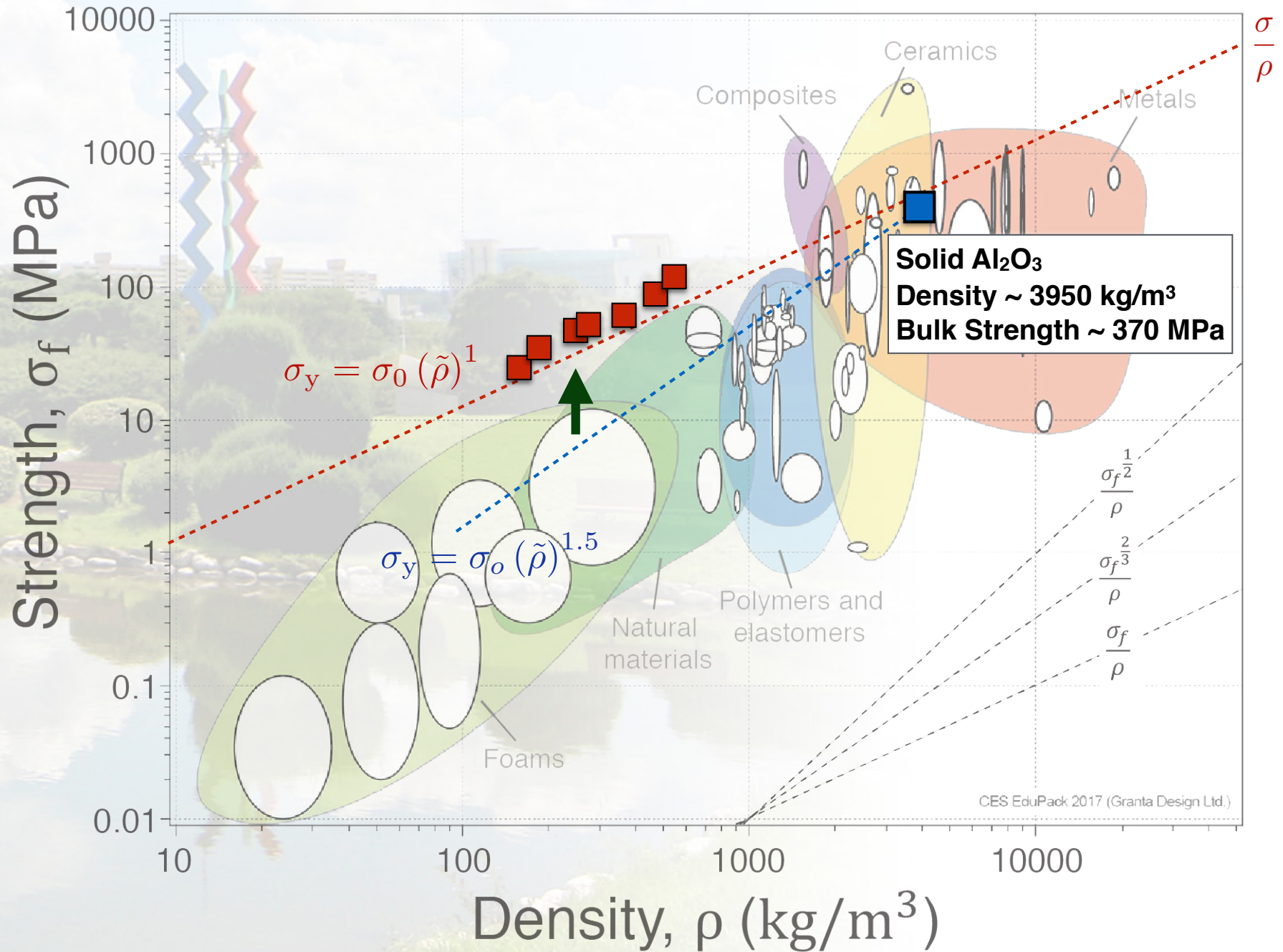
$$\bar{\sigma} = \frac{K_{IC}}{\sqrt{\pi \bar{a}}} F(\bar{\phi}) = \frac{G(\beta, \nu) F(\bar{\phi})}{\sqrt{\alpha \Gamma(1 - \frac{2}{m})}} \frac{K_{IC}}{\sqrt{\pi t}} \left( \frac{A_0}{A} \right)^{\frac{1}{m}} \approx \alpha \sqrt{\frac{E\gamma}{t}}$$

$$\sigma_{y,nano} = \sigma_{o,nano} (\tilde{\rho})^m = \alpha \sqrt{\frac{E\gamma}{t}} (\tilde{\rho})^m$$

$$\approx \alpha \sqrt{\frac{A}{V_{ext}}} \sqrt{E\gamma} (\tilde{\rho})^{m-\frac{1}{2}}$$

$A$ : Surface area of structure  
 $V_{ext}$ : Volume of whole sample

# Ceramics Nano-architectures



A scenic view of a university campus. In the foreground, there is a calm pond reflecting the sky and the surrounding greenery. The middle ground features a well-maintained lawn with several manicured bushes and two wooden benches. In the background, a tall, colorful tower with blue and red zig-zag patterns stands prominently against a blue sky with scattered white clouds. Other campus buildings are visible in the distance.

How much can we improve further?

# Design Factors

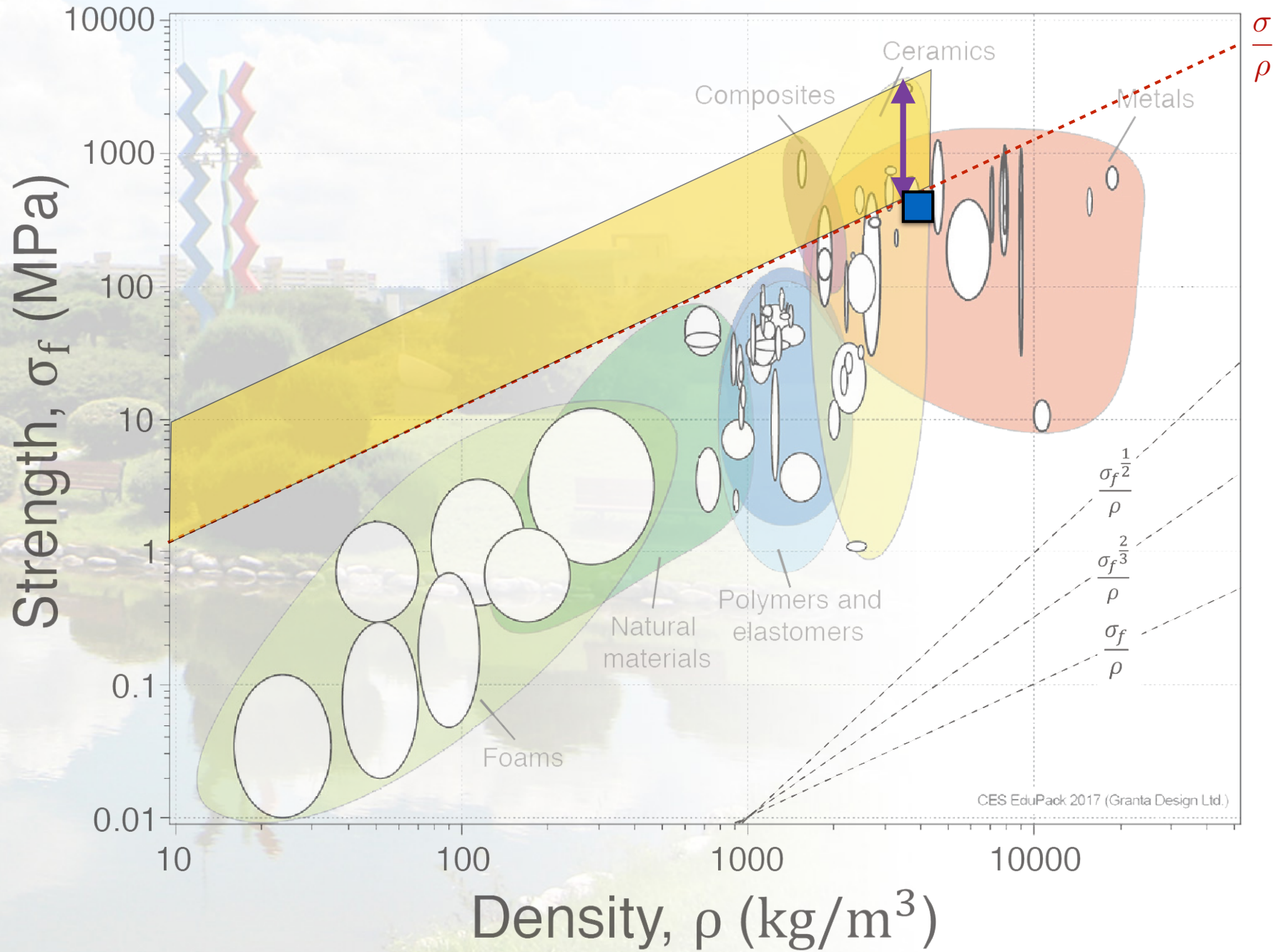
1. Integration of Scaling Laws
2. Selection of Base **Material**
3. Determination of **Architectures** & Dimensions
4. Existence of Scalable Fabrication Technique

The background of the slide is a photograph of the KAIST campus. In the foreground, there is a calm pond reflecting the sky and the surrounding greenery. A stone-lined bank borders the pond. In the middle ground, there are several manicured bushes and a wooden bench. In the background, a tall, colorful tower with blue and red zig-zag patterns stands prominently against a blue sky with white clouds. Other campus buildings are visible in the distance.

# Things to Improve: **1. Base Materials**



# Further Works





Things to Improve:  
**2. Architectural Scaling Law**

# Bending- vs. Stretching-dominated Architectures

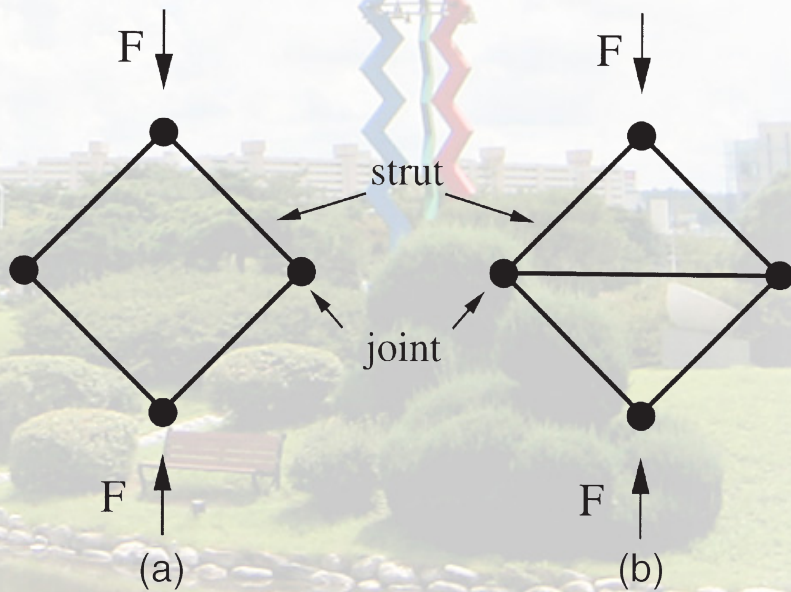


Fig. 1. (a) A mechanism; (b) a structure.

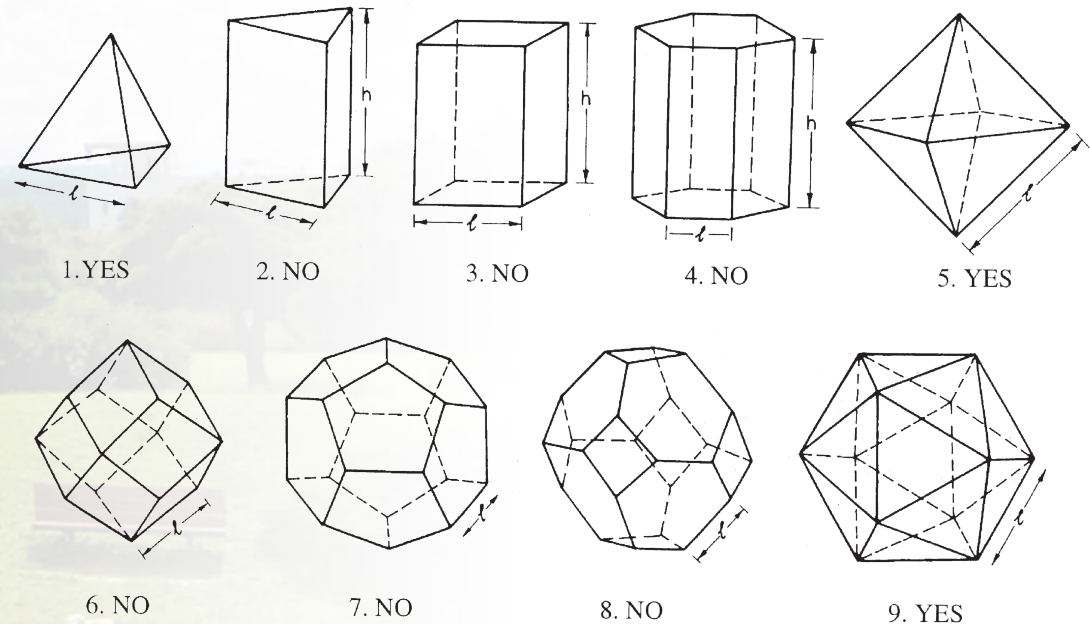


Fig. 4. Three-dimensional polyhedral cells that do, or do not, satisfy the Maxwell criterion.

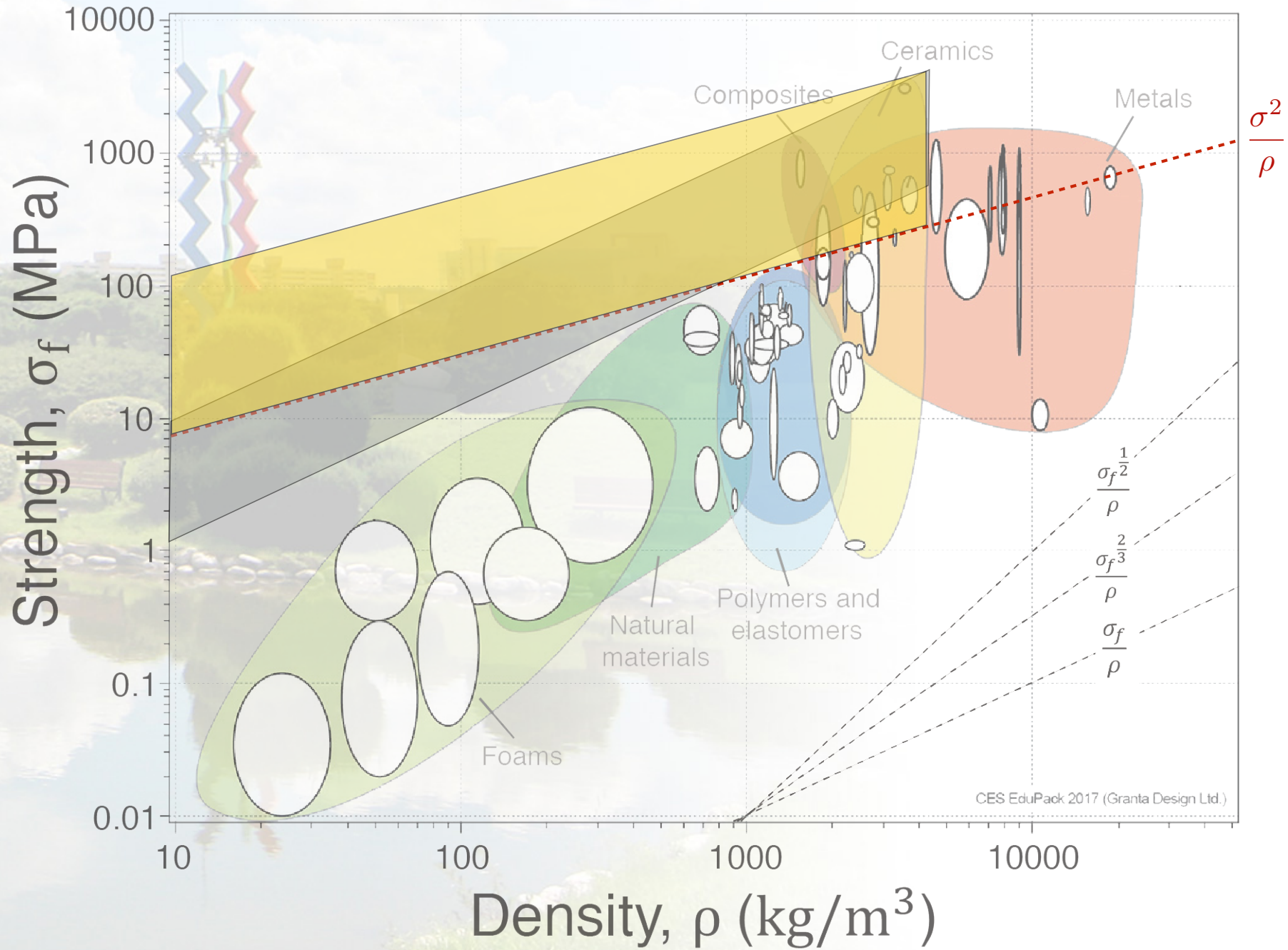
Deshpande VS, Ashby MF, Fleck NA. Foam topology: bending versus stretching dominated architectures. Acta Mater. 2001;49(6):1035-1040.

$$\sigma_y = \sigma_0 (\tilde{\rho})^1$$



$$\sigma_{y,nano} \propto (\tilde{\rho})^{m-\frac{1}{2}} = (\tilde{\rho})^{\frac{1}{2}}$$

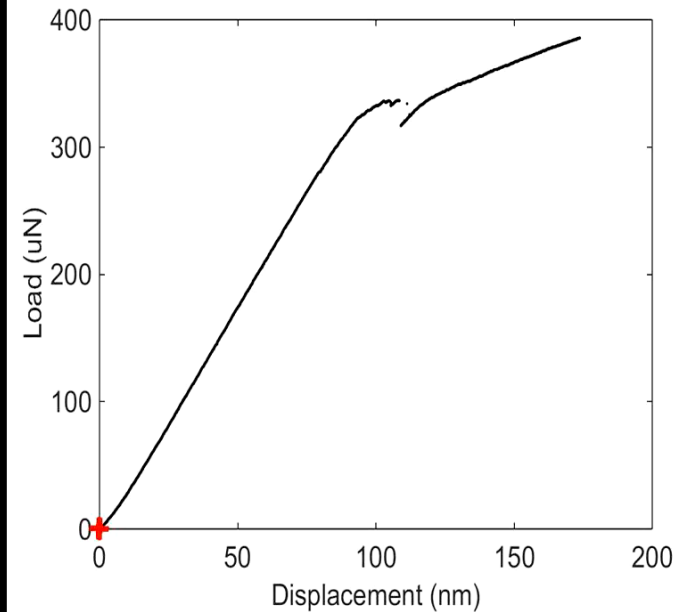
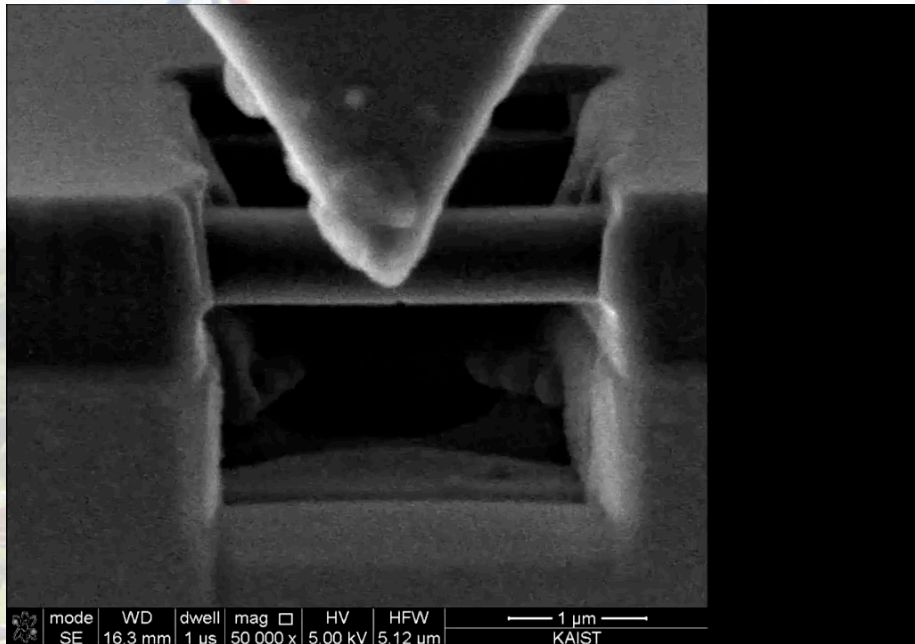
# Further Works





Things to Improve:  
**3. Materials Scaling Law**

# Intrinsic Toughening Mechanisms at Nanoscale



- Increased crack stability
- Confinement of stress field



Effects of plastic zone increases



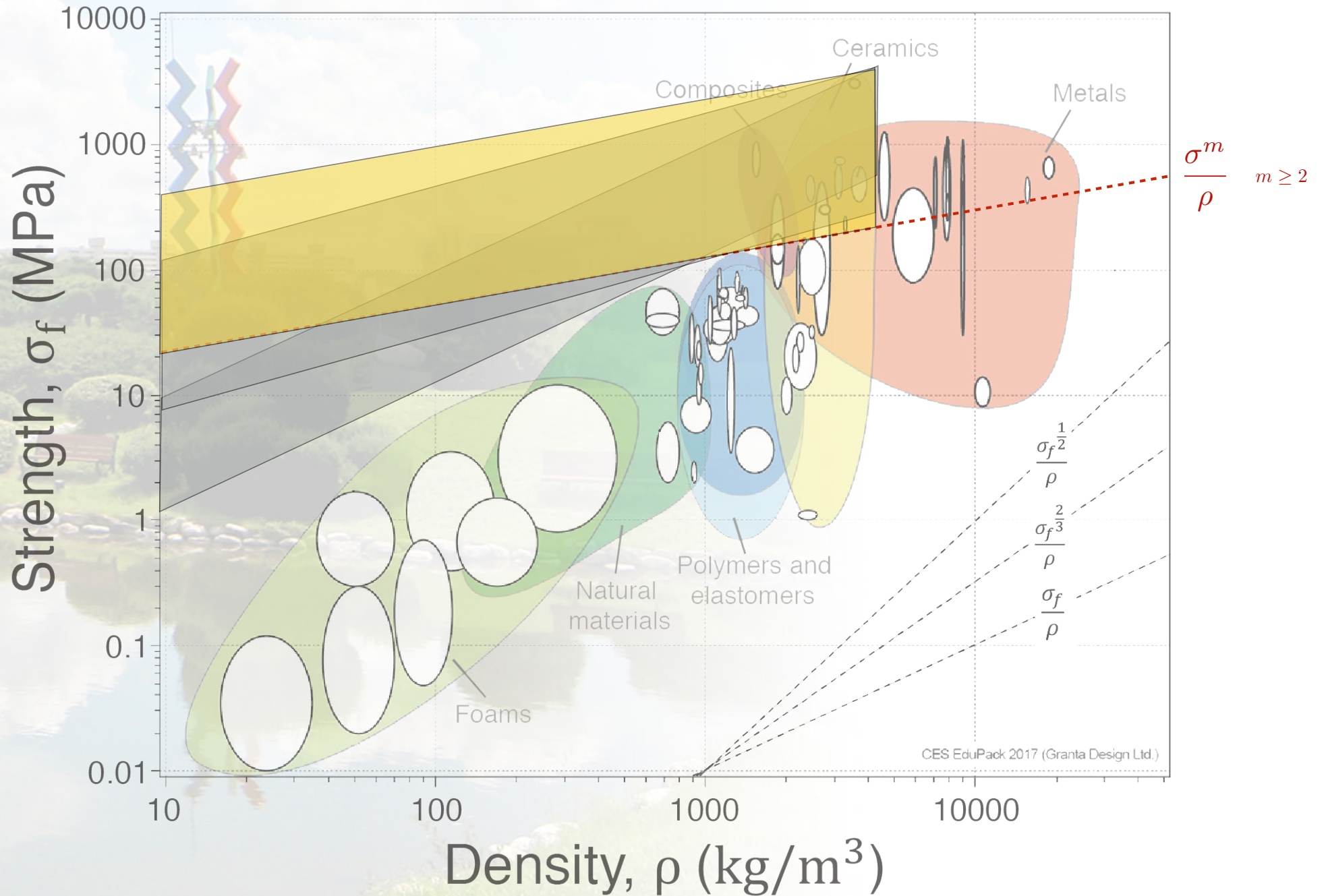
$$\sigma_f = \sqrt{\frac{2E(\gamma_s + \gamma_p(t))}{t}} \frac{1}{\sqrt{\pi\phi}} \frac{1}{F(\phi)}$$

$$\sigma_f \propto \left(\frac{1}{t}\right)^{\frac{1}{2} + n}$$

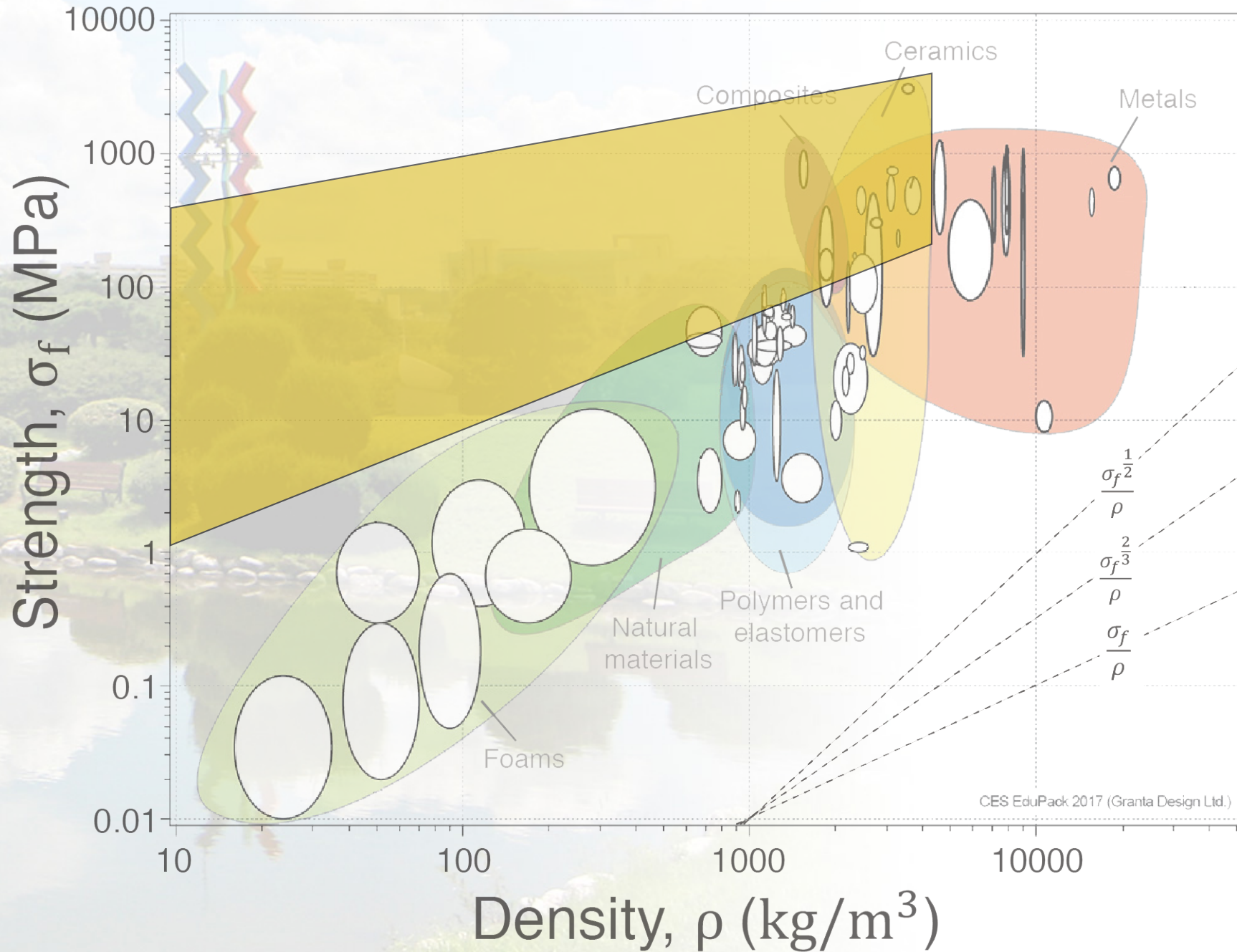


$$\sigma_{y,nano} \propto (\tilde{\rho})^{m - (\frac{1}{2} + n)}$$

# Further Works



# Further Works





## Summary

- Using nanomechanical principles, it is possible
  - to simultaneously impart high strength and flexibility to ceramic materials
  - to overcome the limit of conventional scaling law in porous ceramics.
- This is just a beginning. There are a lot more things to do



Thanks for your attention !