

# Metaphotonics and metasurfaces with Mie resonances

**Yuri Kivshar**



Australian  
National  
University

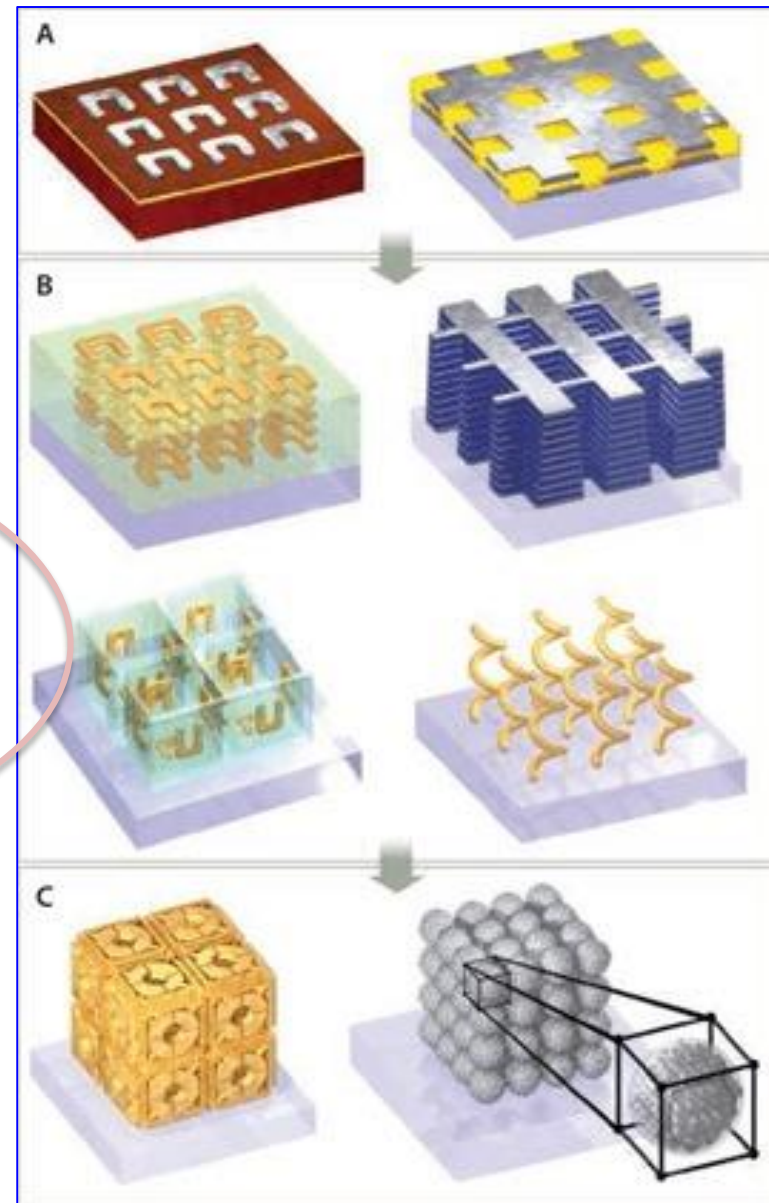
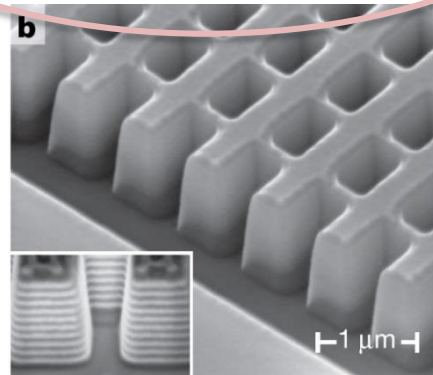
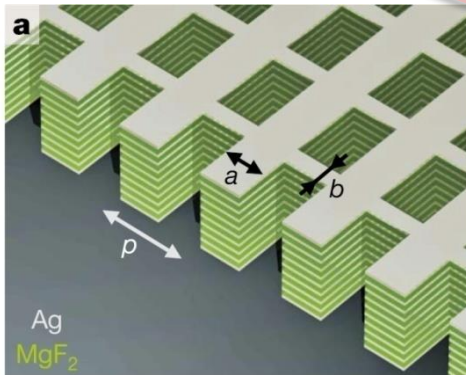
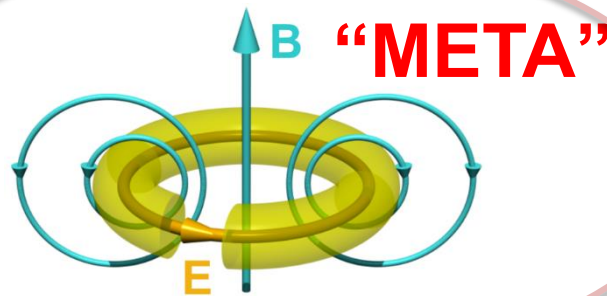
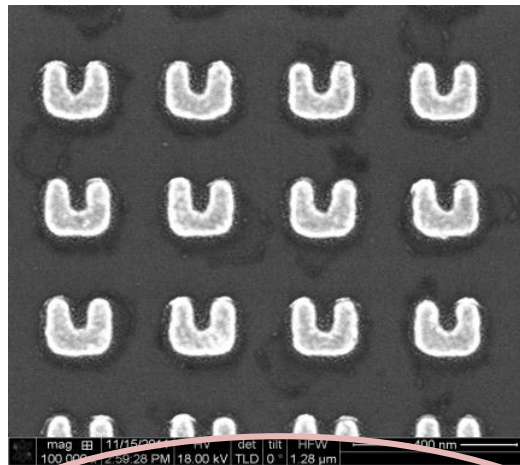
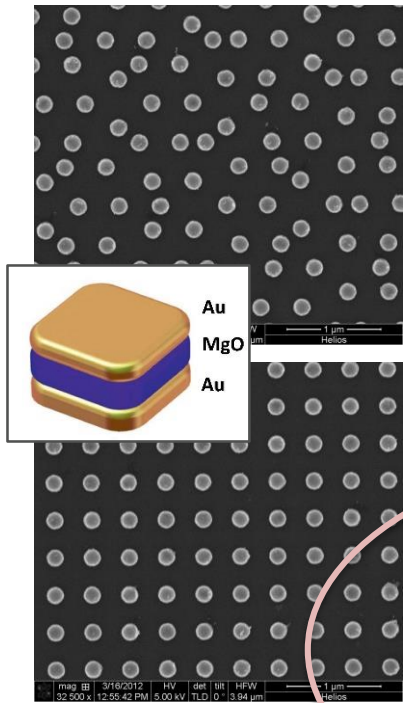


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# Metamaterials: Electric and magnetic resonances



# Mie-resonant metaphotonics

meeting report

NATURE PHOTONICS | VOL 13 | SEPTEMBER 2019 | 585-587 | \

VIEW FROM... ICMAT2019

## Into the 'Mie-tronic' era

Dielectric antennas and metasurfaces open up new opportunities for future applications in advanced optoelectronics, light detection and ranging for autonomous vehicles, fluorescence-enhancing substrates for bioimaging and many more.

See our review paper: [Science \*\*354\*\*, 2472 \(2016\)](#)



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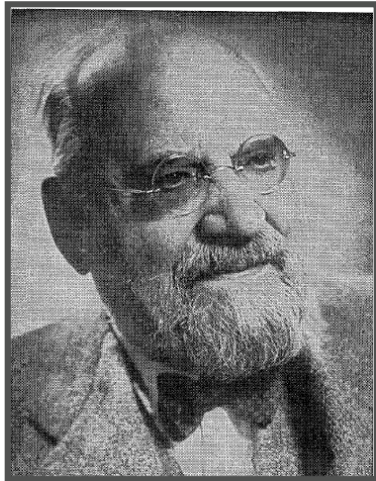


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# 1908: Mie theory



**Gustav Mie**

$$x = \frac{2\pi r}{\lambda}$$

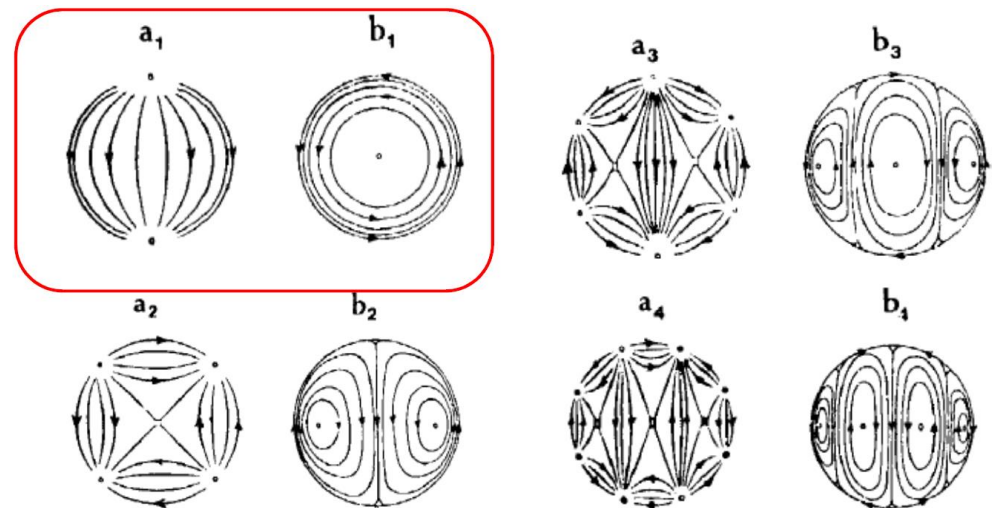
- $x \ll 1$  : Rayleigh scattering
- $x \sim 1$  : Mie scattering
- $x \gg 1$  : Geometric scattering

$$\mathbf{E}_r = E_0 e^{-i\omega t} \sum_{n=1}^{\infty} i^n \frac{2n+1}{n(n+1)} (a_n^r \mathbf{m}_{01n}^{(3)} - i b_n^r \mathbf{n}_{e1n}^{(3)}),$$

$$\mathbf{H}_r = -\frac{k_2}{\omega \mu_2} E_0 e^{-i\omega t} \sum_{n=1}^{\infty} i^n \frac{2n+1}{n(n+1)} (b_n^r \mathbf{m}_{e1n}^{(3)} + i a_n^r \mathbf{n}_{o1n}^{(3)}),$$

$$a_n^r = -\frac{\mu_1 j_n(N\rho) [\rho j_n(\rho)]' - \mu_2 j_n(\rho) [N\rho j_n(N\rho)]'}{\mu_1 j_n(N\rho) [\rho h_n^{(1)}(\rho)]' - \mu_2 h_n^{(1)}(\rho) [N\rho j_n(N\rho)]'}$$

$$b_n^r = -\frac{\mu_1 j_n(\rho) [N\rho j_n(N\rho)]' - \mu_2 N^2 j_n(N\rho) [\rho j_n(\rho)]'}{\mu_1 h_n^{(1)}(\rho) [N\rho j_n(N\rho)]' - \mu_2 N^2 j_n(N\rho) [\rho h_n^{(1)}(\rho)]'}$$



1908.

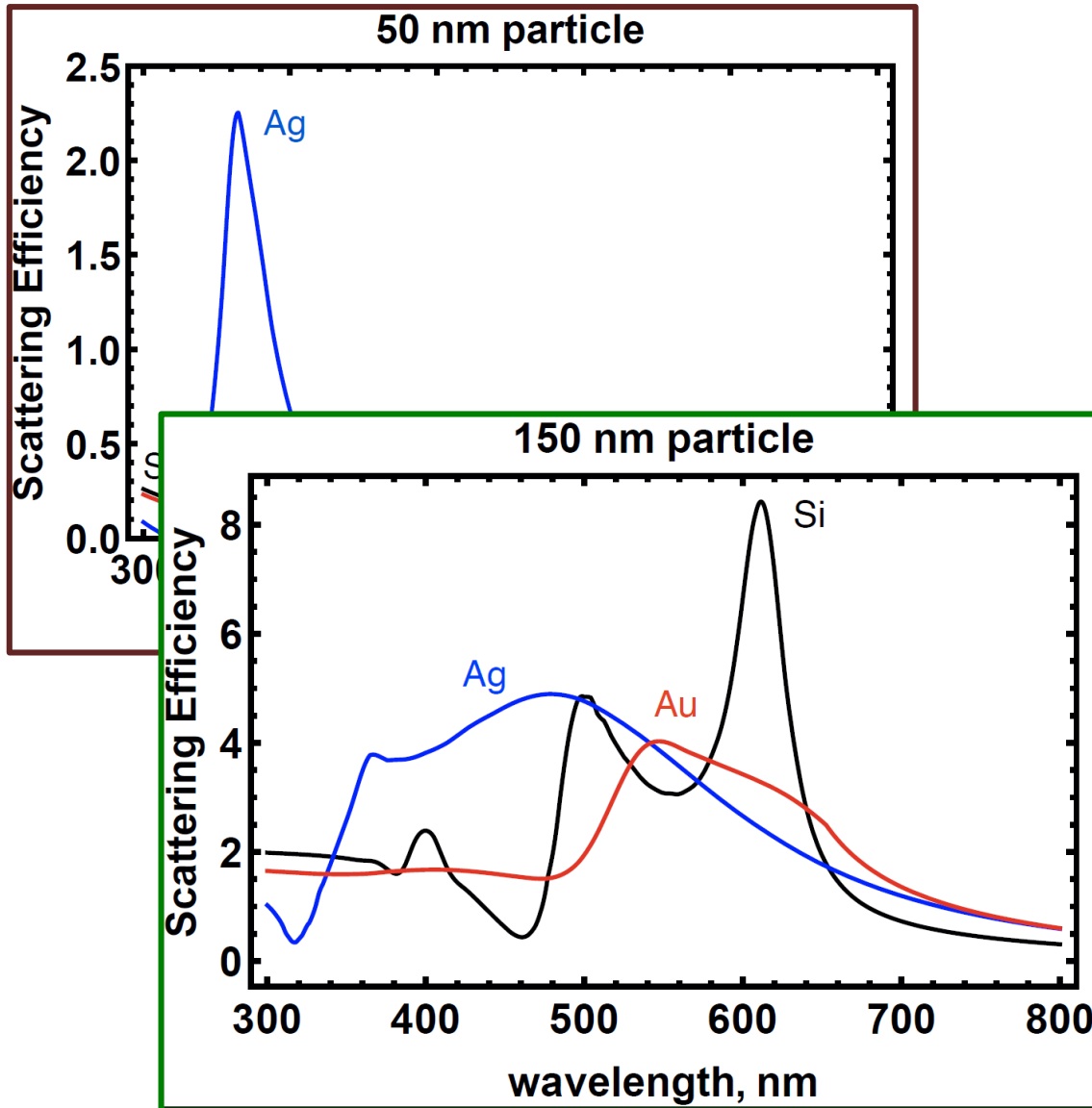
Nº 3.

ANNALEN DER PHYSIK.

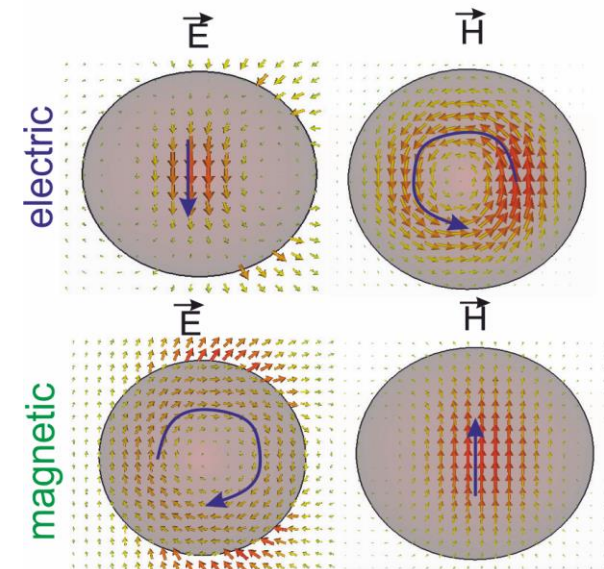
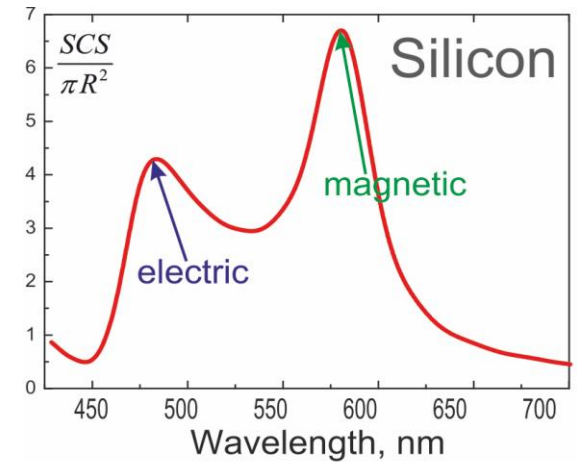
VIERTE FOLGE. BAND 25.

1. Beiträge zur Optik trüber Medien, speziell kolloidaler Metallösungen; von Gustav Mie.

# Electromagnetic response of a sphere

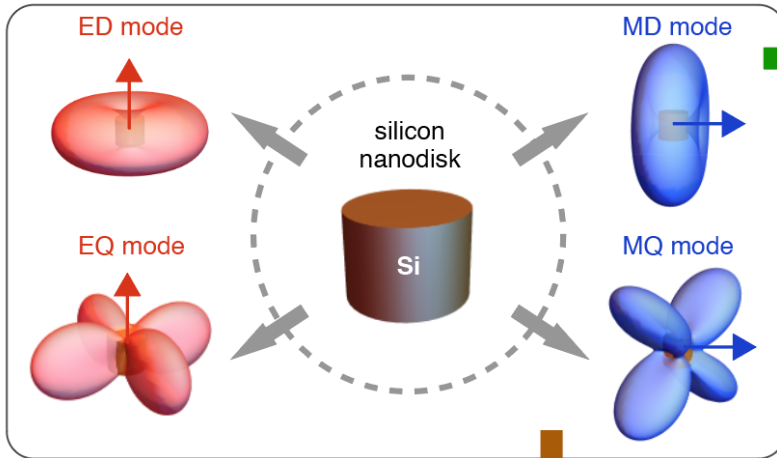


Scattering cross section

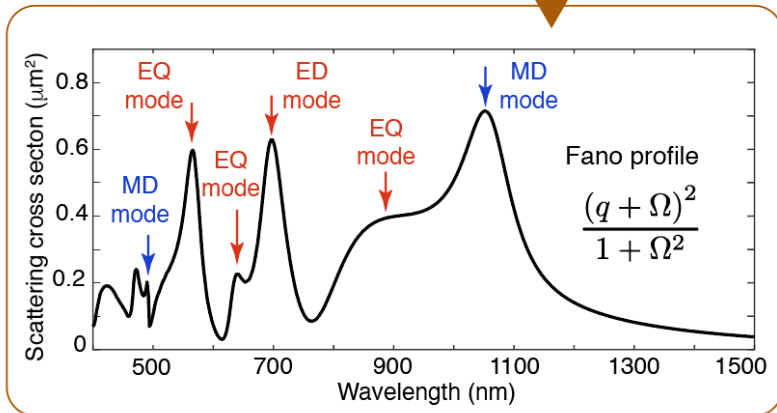


# Multipoles and interferences

## A Modes: Mie resonances



## B Scattering: Fano resonances



## C Mode interferences

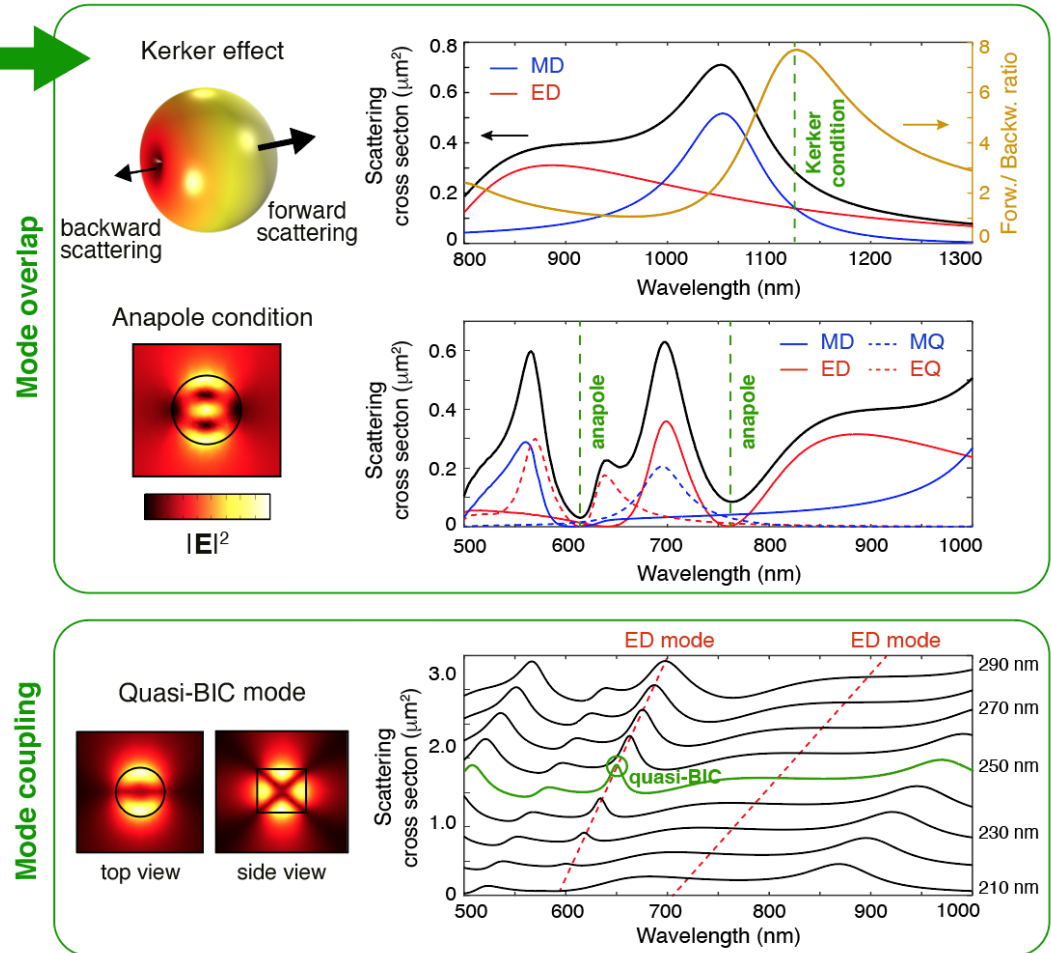


Figure from K. Koshelev and Y. Kivshar, Dielectric resonant metaphotonics, ACS Photonics, Special issue "Photonics 2020", submitted (2020)

# Bound state in the continuum (BIC)



J. von Neumann

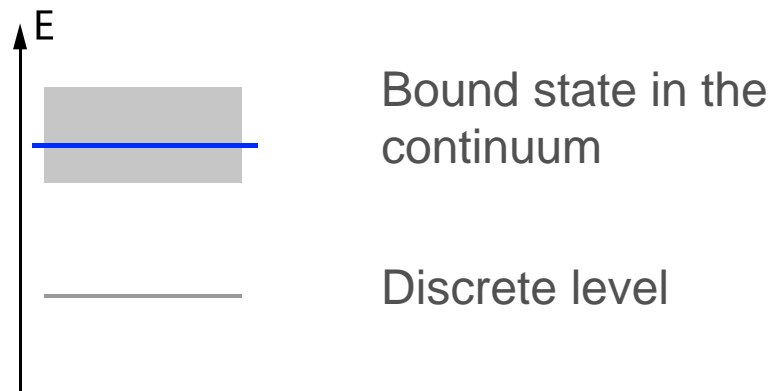
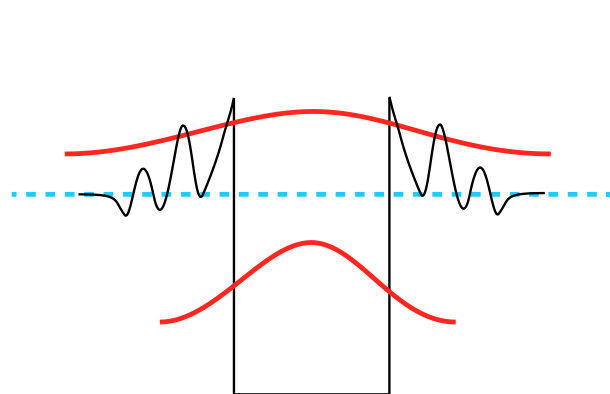
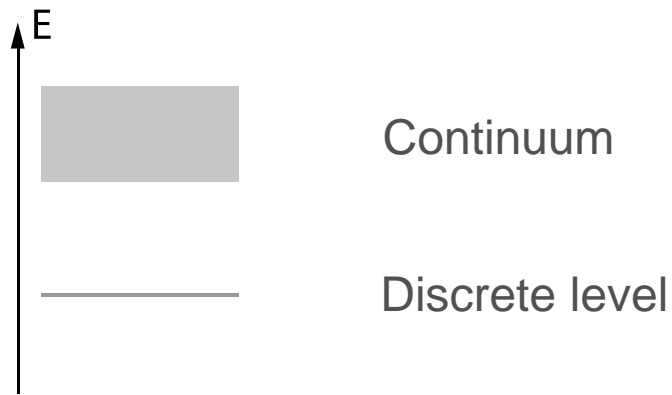
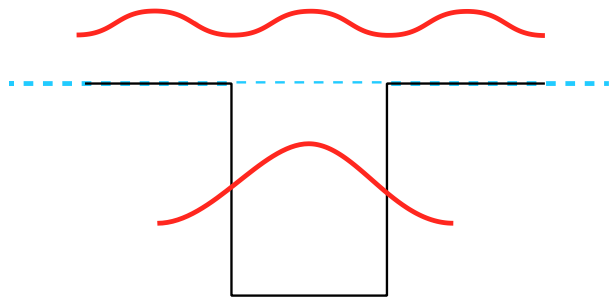


E.P. Wigner

## Über merkwürdige diskrete Eigenwerte

J. von Neumann and E. P. Wigner

Physikalische Zeitschrift 30, 465–467 (1929)



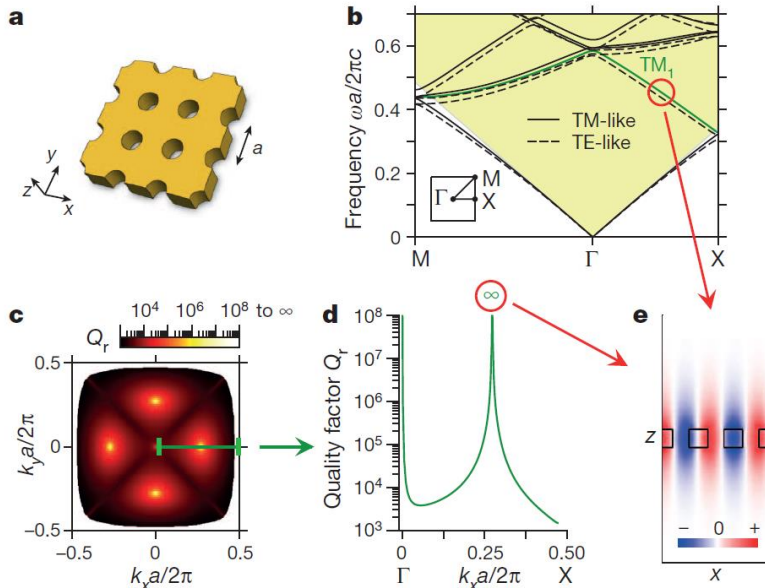
# Observation of bound states in the continuum

## Observation of an electronic bound state above a potential well **Nature, 1992**

Federico Capasso, Carlo Sirtori, Jerome Faist, Deborah L. Sivco, Sung-Nee G. Chu & Alfred Y. Cho

AT&T Bell Laboratories, Murray Hill, New Jersey 07974, USA

## Photonic crystal slabs



Hsu et al, Nature 2013

## Engineering with Bound States in the Continuum

Kirill Koshelev, Andrey Bogdanov and Yuri Kivshar

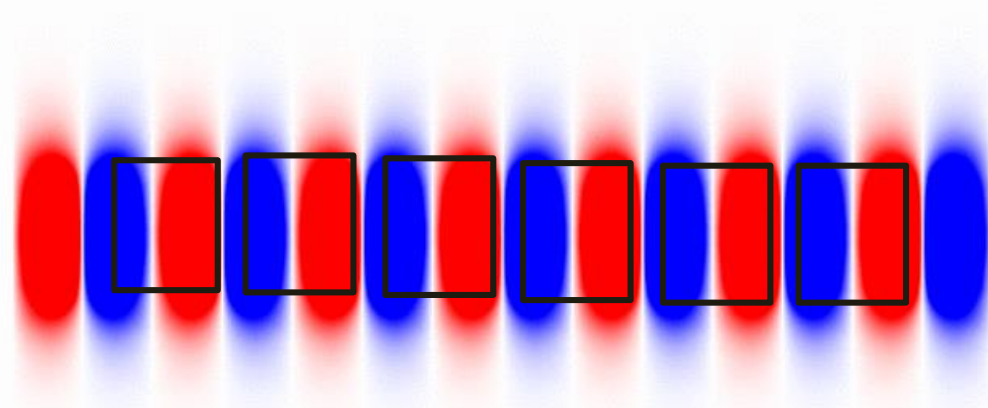
Identified nearly a century ago by early workers in quantum mechanics, bound states can dramatically reduce radiation from optical resonators, opening up new application prospects in nanophotonics.

OPN, January 2020



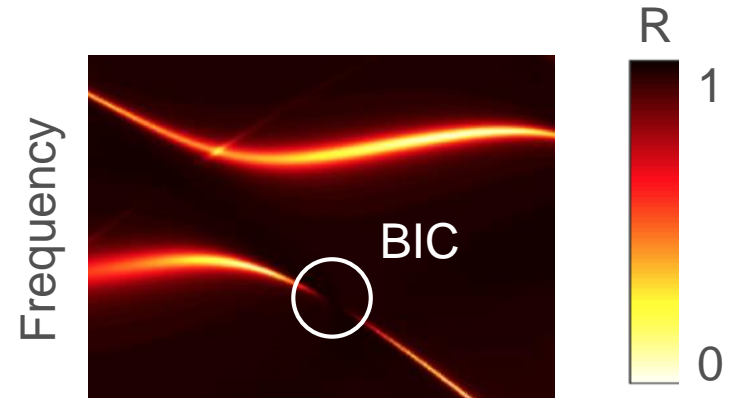
# Classification of BICs

## Symmetry-protected (conventional)



in-plane inversion symmetry  
time reversal symmetry

## Accidental (Friedrich-Wintgen)



System parameters  
in-plane inversion symmetry  
time reversal symmetry  
up-down symmetry

PHYSICAL REVIEW A

VOLUME 32, NUMBER 6

DECEMBER 1985

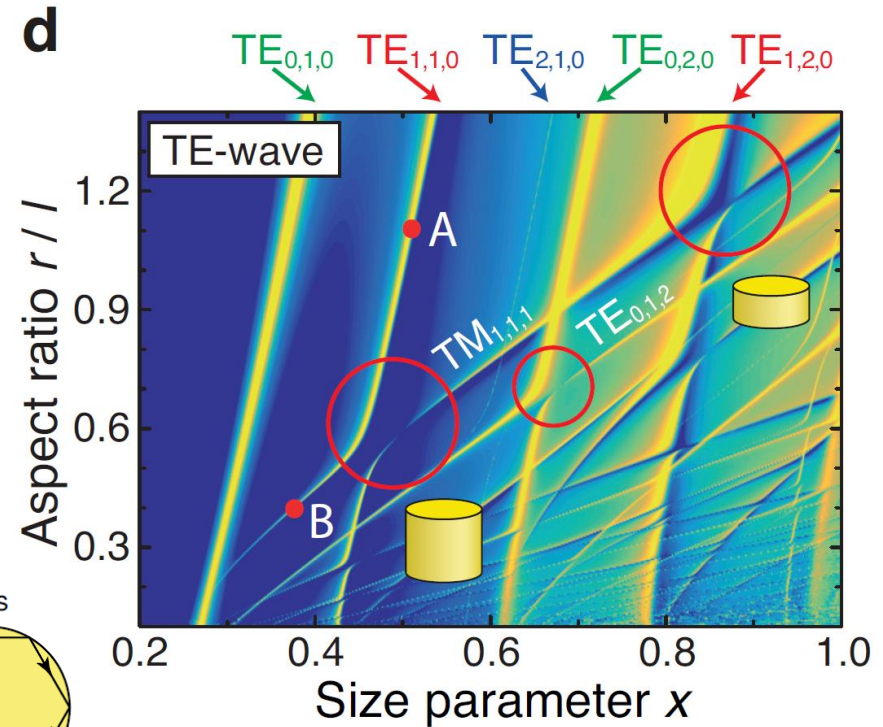
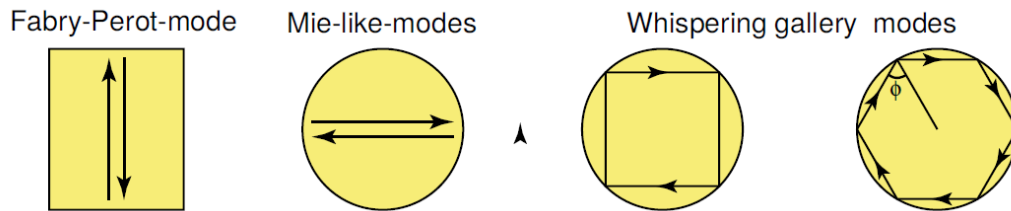
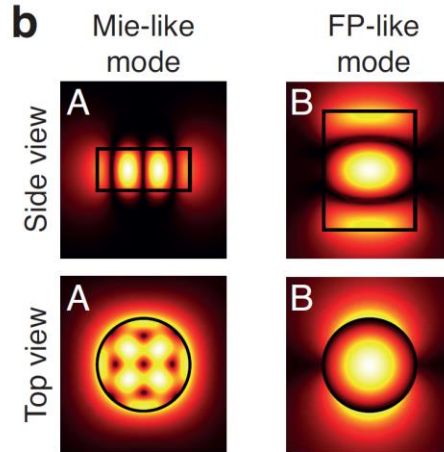
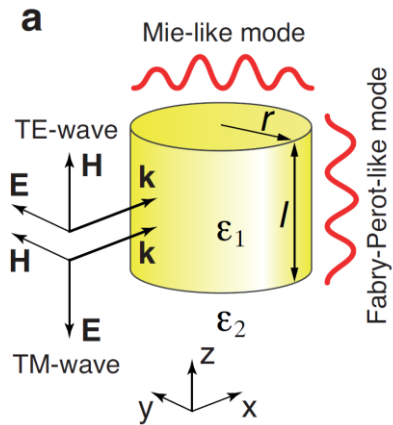
**Interfering resonances and bound states in the continuum**

H. Friedrich and D. Wintgen

*Physik Department, Technische Universität München, D-8046 Garching, West Germany*

(Received 24 June 1985)

# BIC in a subwavelength resonator



PRL **119**, 243901 (2017)

PHYSICAL REVIEW LETTERS

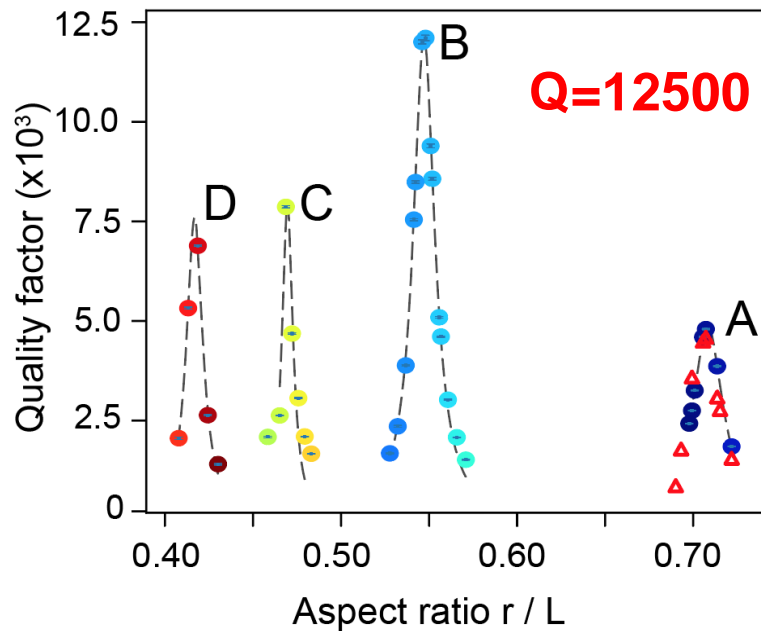
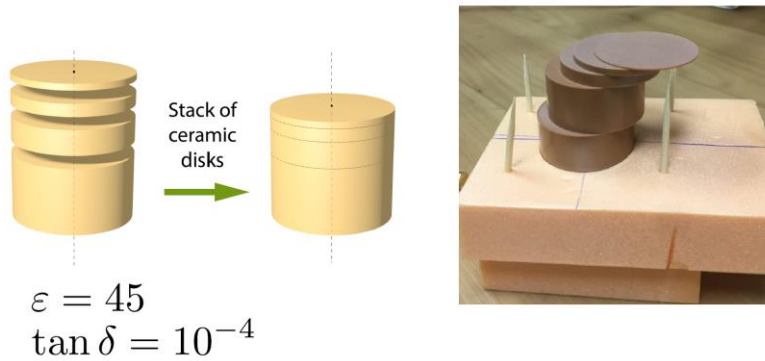
week ending  
15 DECEMBER 2017

## High- $Q$ Supercavity Modes in Subwavelength Dielectric Resonators

Mikhail V. Rybin,<sup>1,2,\*</sup> Kirill L. Koshelev,<sup>1,2</sup> Zarina F. Sadrieva,<sup>2</sup> Kirill B. Samusev,<sup>1,2</sup> Andrey A. Bogdanov,<sup>1,2</sup>  
Mikhail F. Limonov,<sup>1,2</sup> and Yuri S. Kivshar<sup>2,3</sup>

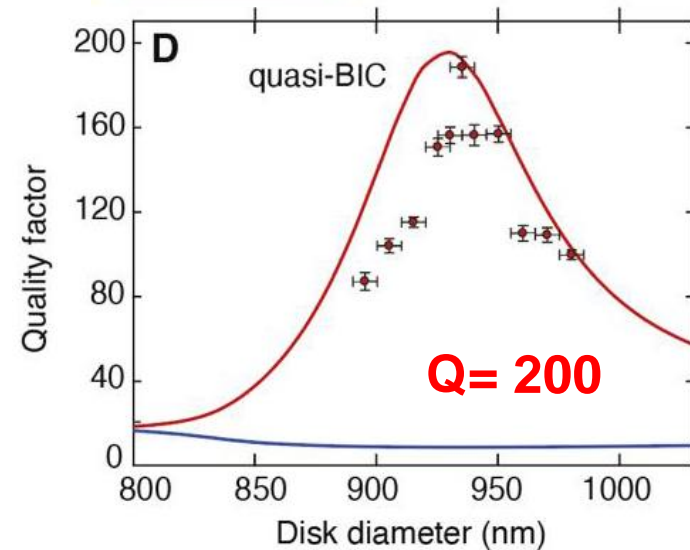
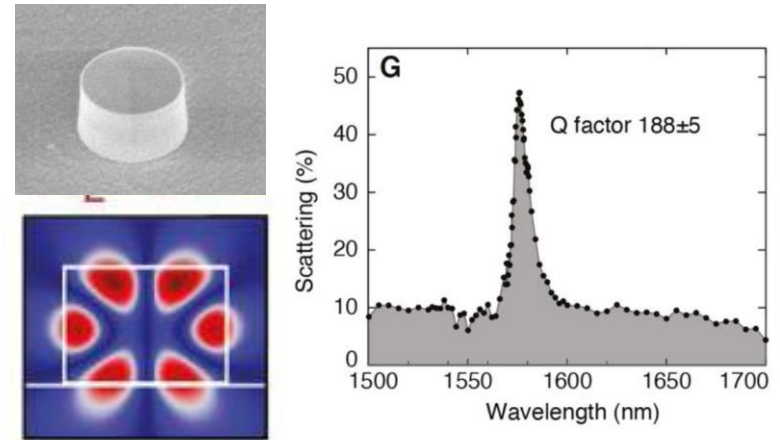
# Recent experimental demonstrations

## RF experiment



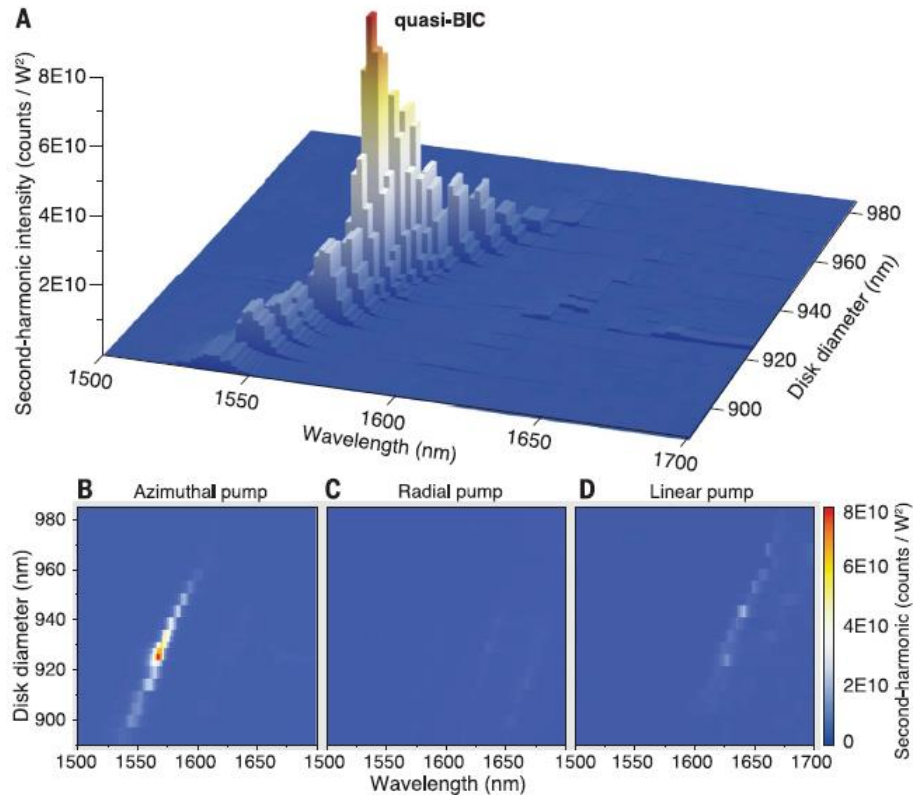
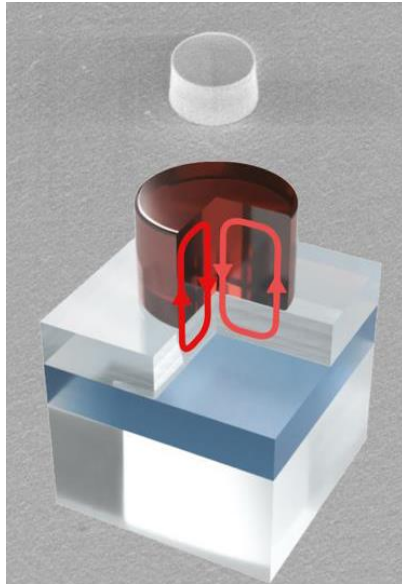
M. Odit et al, submitted (2020)

## Near-IR experiment



K. Koshelev et al, Science **367**, 288 (2020)

# SHG from quasi-BIC states: Recent experiment



RESEARCH

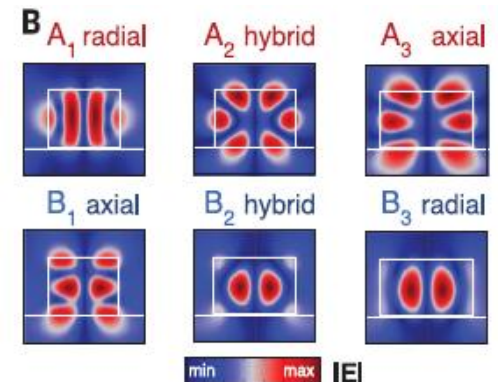
Science **367**, 288 (2020)



OPTICS

## Subwavelength dielectric resonators for nonlinear nanophotonics

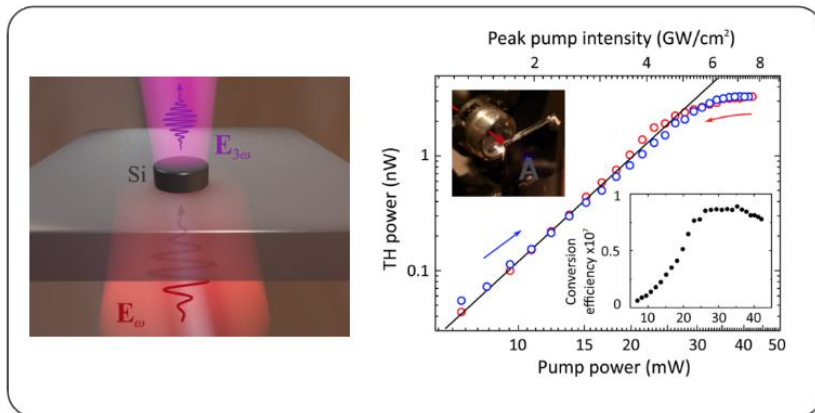
Kirill Koshelev<sup>1,2</sup>, Sergey Kruk<sup>1</sup>, Elizaveta Melik-Gaykazyan<sup>1,3</sup>, Jae-Hyuck Choi<sup>4</sup>, Andrey Bogdanov<sup>2</sup>, Hong-Gyu Park<sup>4\*</sup>, Yuri Kivshar<sup>1,2\*</sup>



# Examples of “Mie-tronics” effects

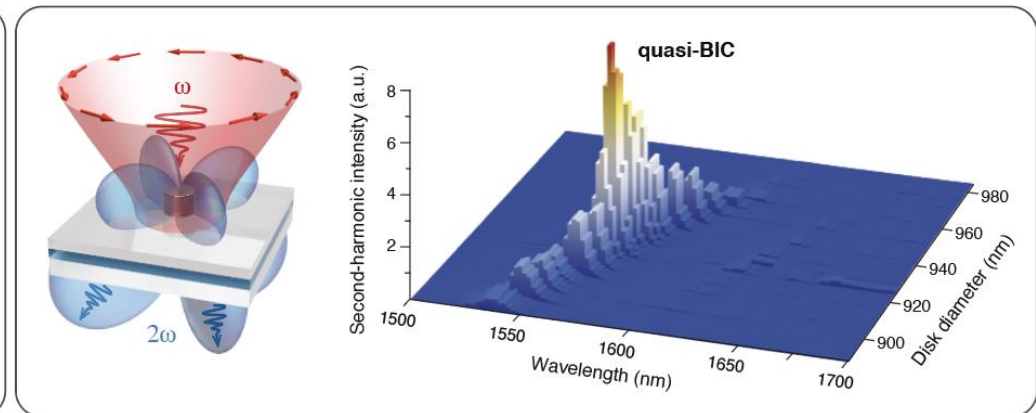
Nano Letters (2014)

**A** Nonlinear nanoantenna: MD mode

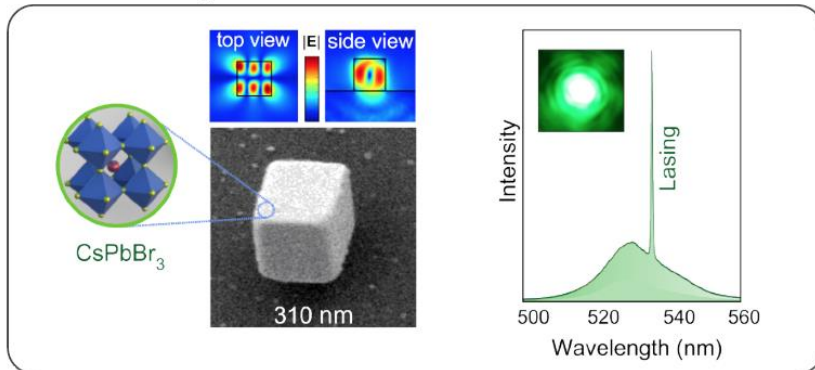


Science (2020)

**B** Nonlinear nanoantenna: quasi-BIC

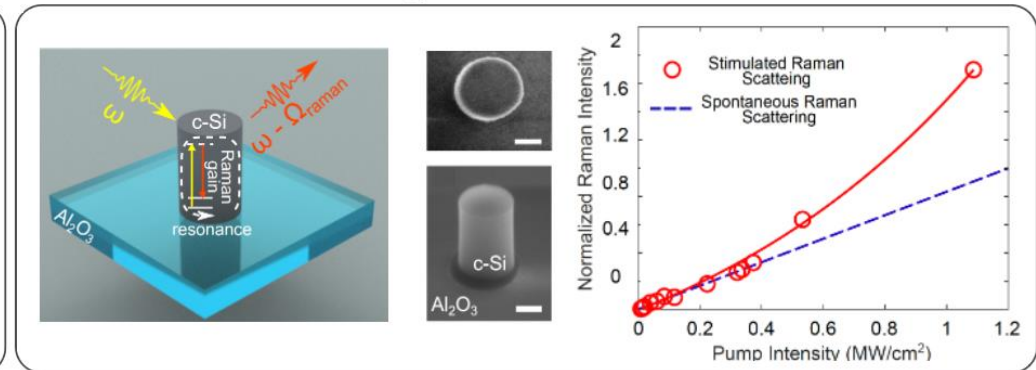


**C** Subwavelength nanolaser: low-order Mie mode



ACS Nano (2020) online

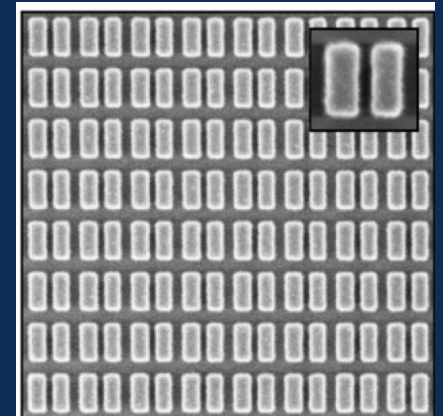
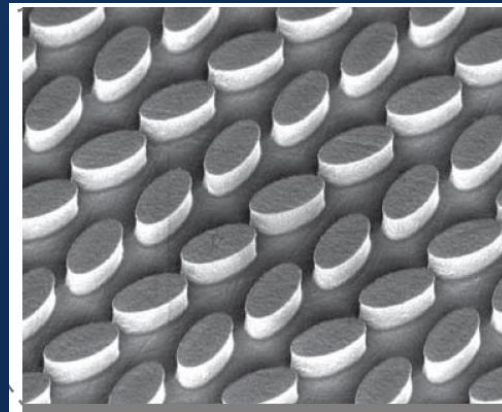
**D** Stimulated Raman scattering: low-order Mie mode



Nano Letters (2020) under review

Figure from K. Koshelev and Y. Kivshar, Dielectric resonant metaphotonics, ACS Photonics, Special issue “Photonics 2020”, submitted (2020)

# Dielectric metasurfaces



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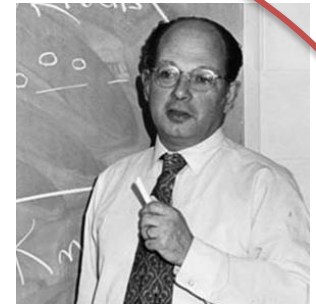
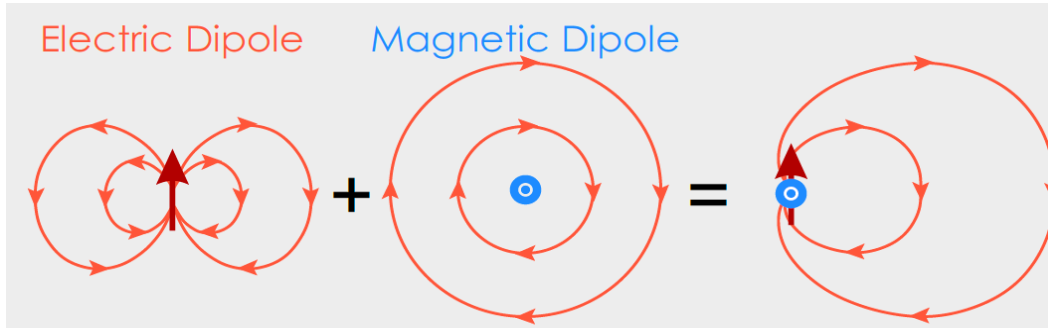
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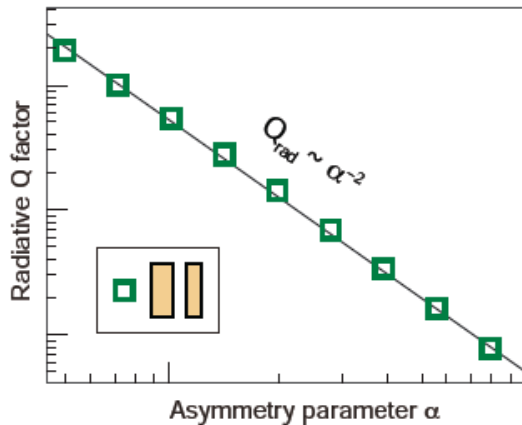
# Two strategies for metasurface engineering

## Multipoles for highly efficient transmission

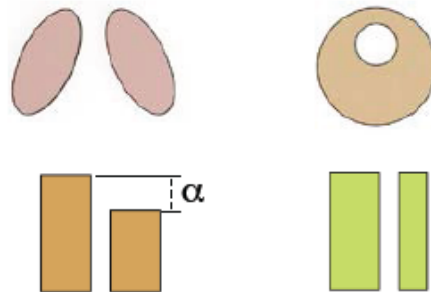


Milton Kerker

## Resonances with bound states in the continuum



Examples of meta-atoms



J. von Neumann

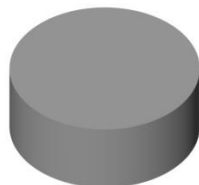
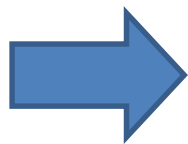


E. Wigner

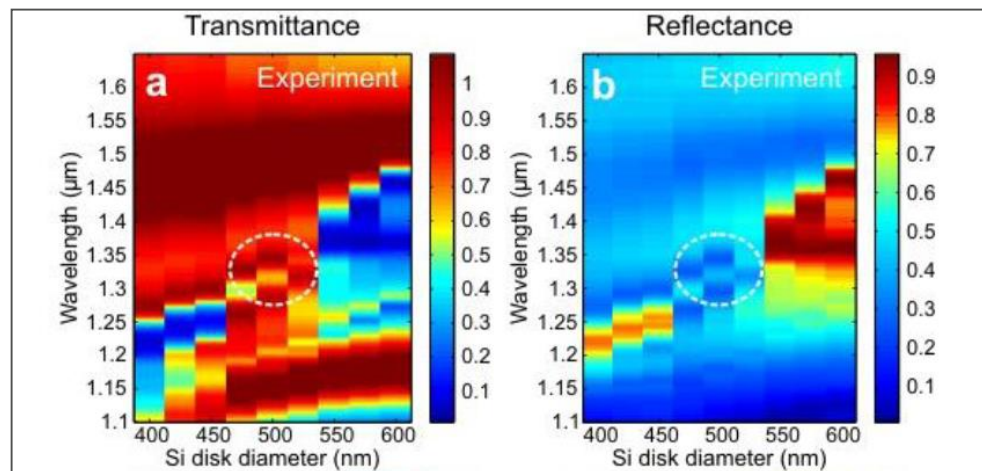
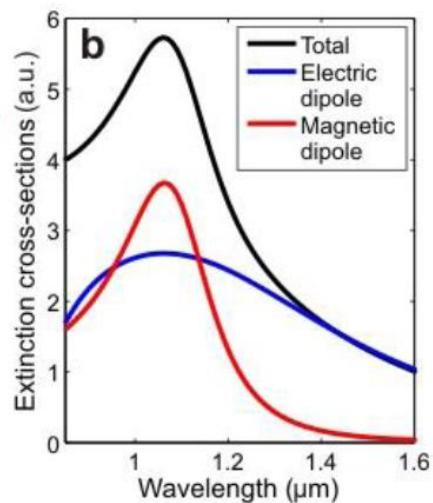
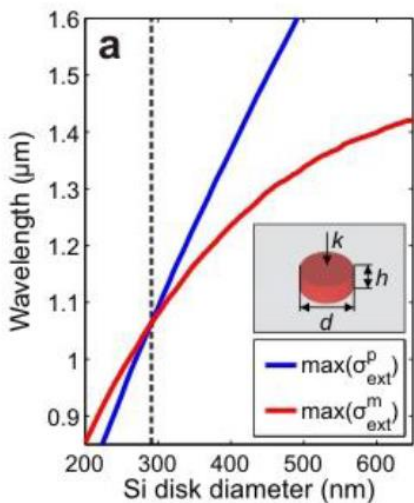
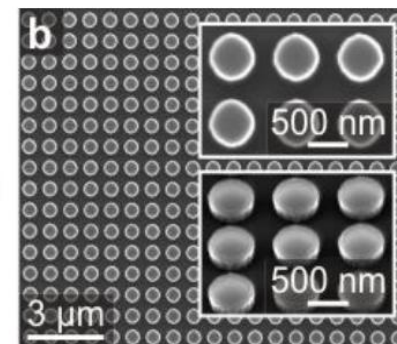
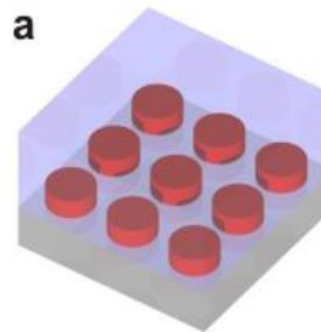
# Tailoring magnetic response



diameter



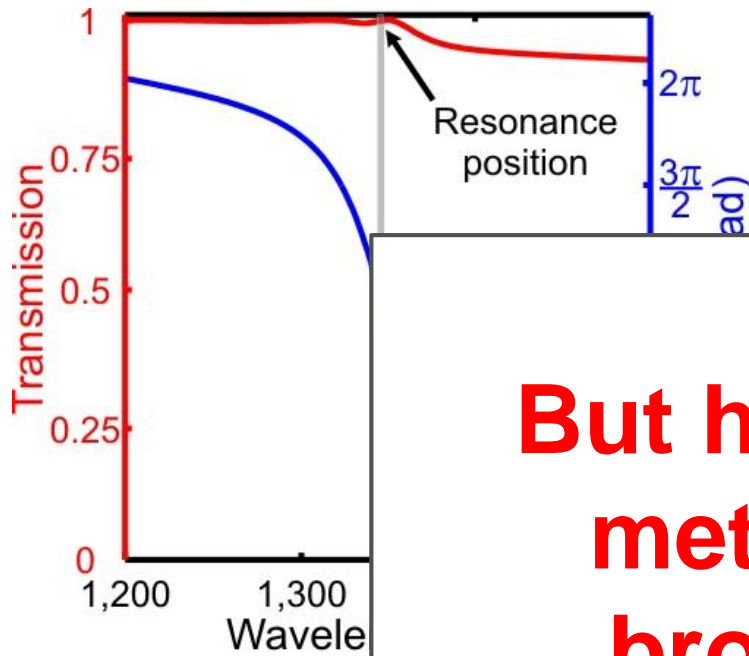
diameter, height





# Huygens' metasurfaces

Silicon nanodisks embedded in  $n = 1.66$  medium



- Complete  $2\pi$  phase coverage
- $\rightarrow$  Near-unity transmittance:  
 $T > 91\%$  for embedded disks

**But how to make  
metasurfaces  
broadband ?**

s (NIR)  
version losses

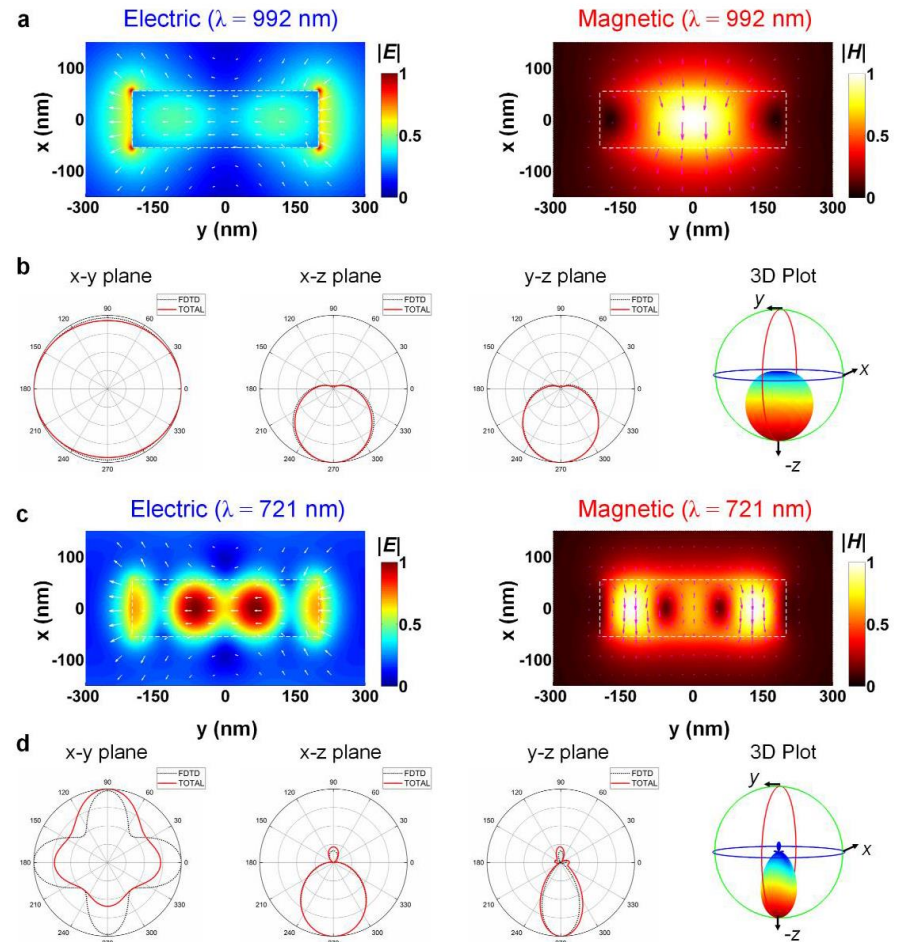
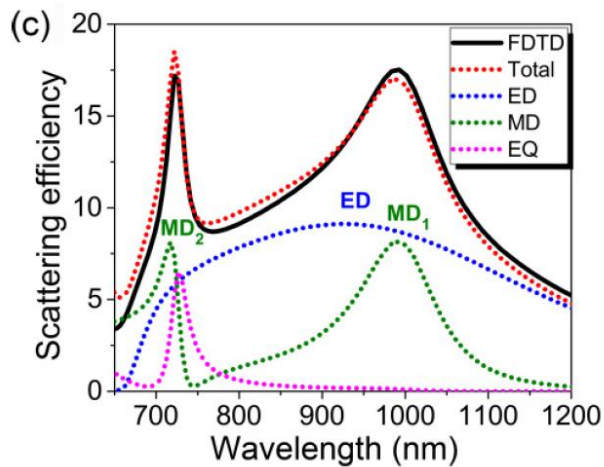
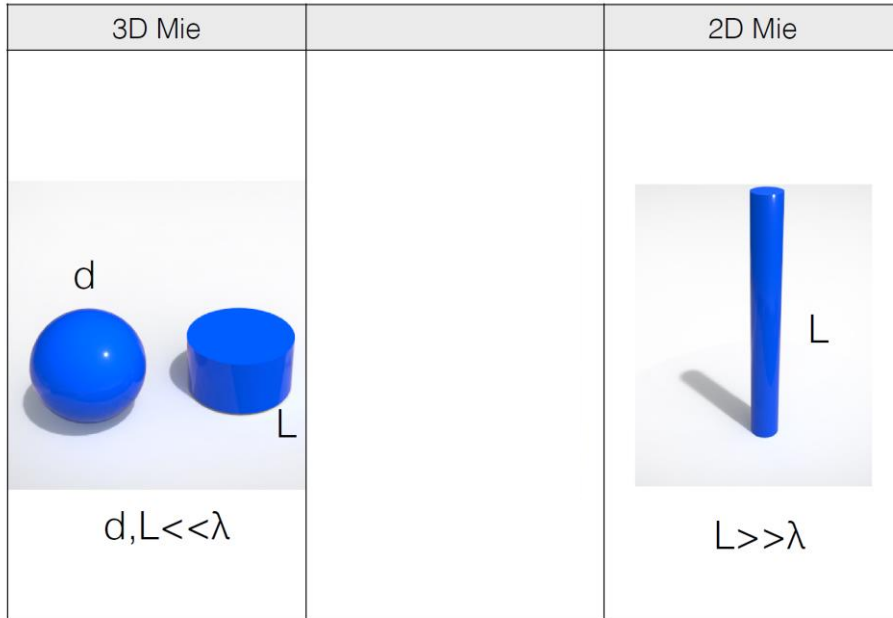
Materials  
Views  
[www.MaterialsViews.com](http://www.MaterialsViews.com)

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MATERIALS  
[www.advopticalmat.de](http://www.advopticalmat.de)

## High-Efficiency Dielectric Huygens' Surfaces

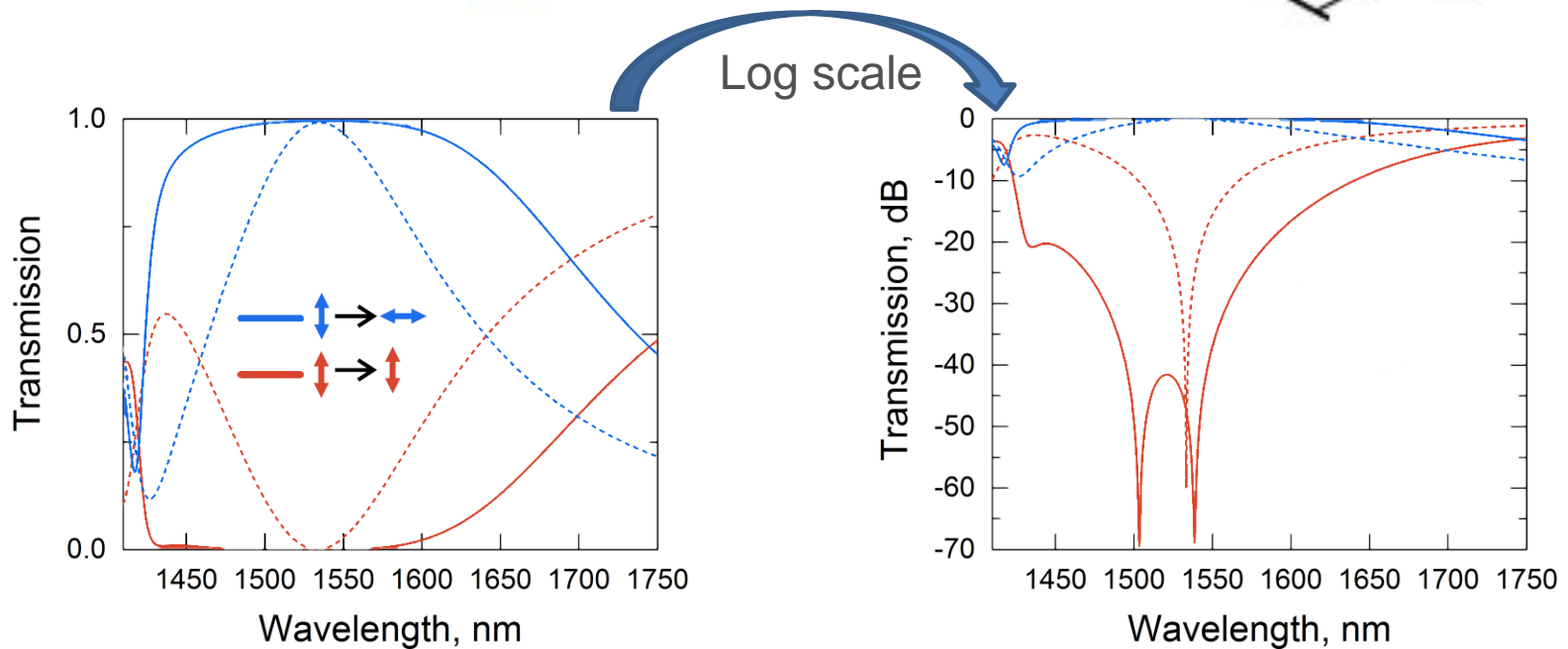
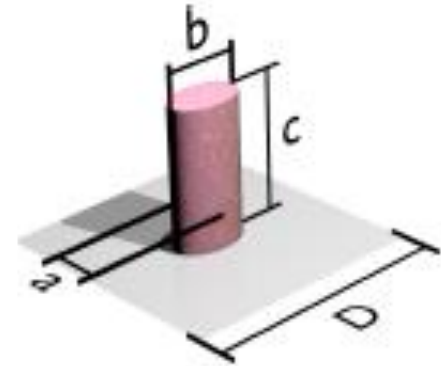
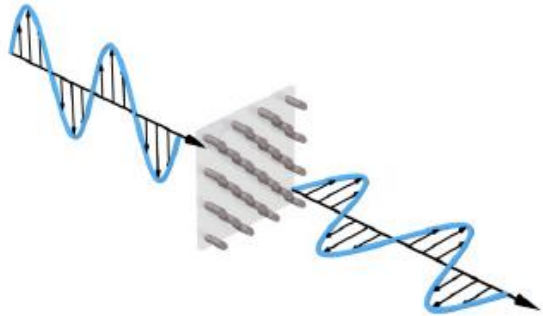
*Manuel Decker, Isabelle Staude,\* Matthias Falkner, Jason Dominguez, Dragomir N. Neshev, Igal Brener, Thomas Pertsch, and Yuri S. Kivshar*

# Mie + Fabry-Perot resonances



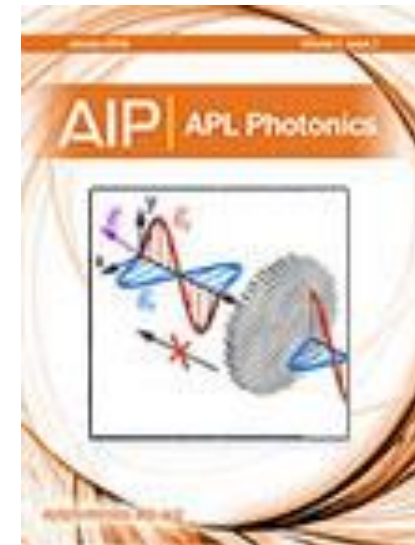
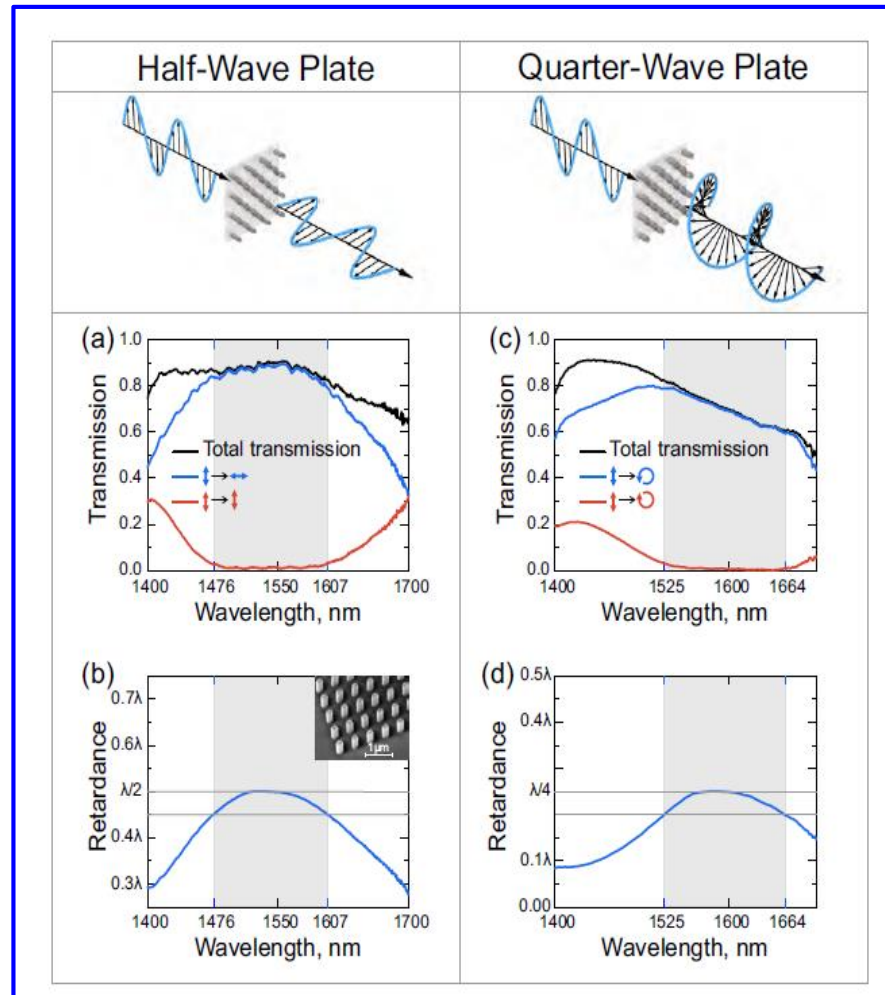
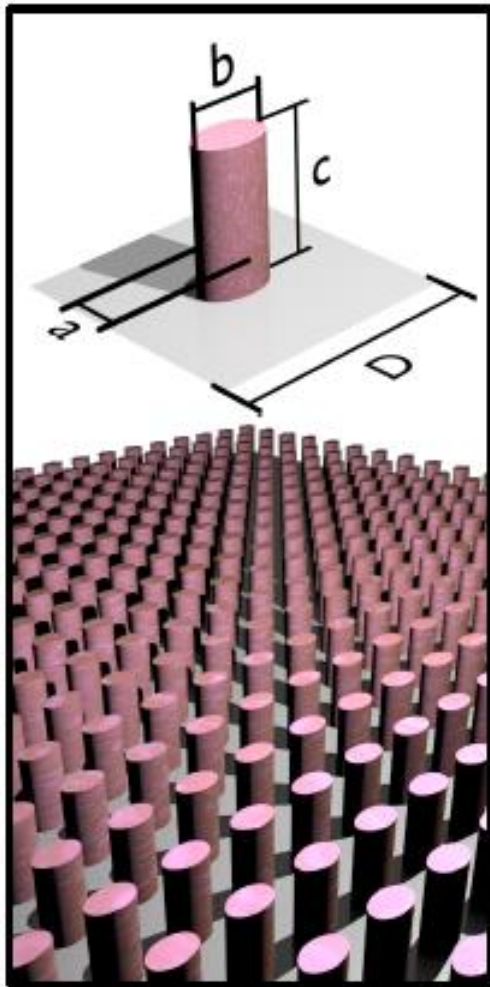
Y. Yang et al, Phys Rev B **95**,165426 (2017)

# How to make a metasurface broadband ?

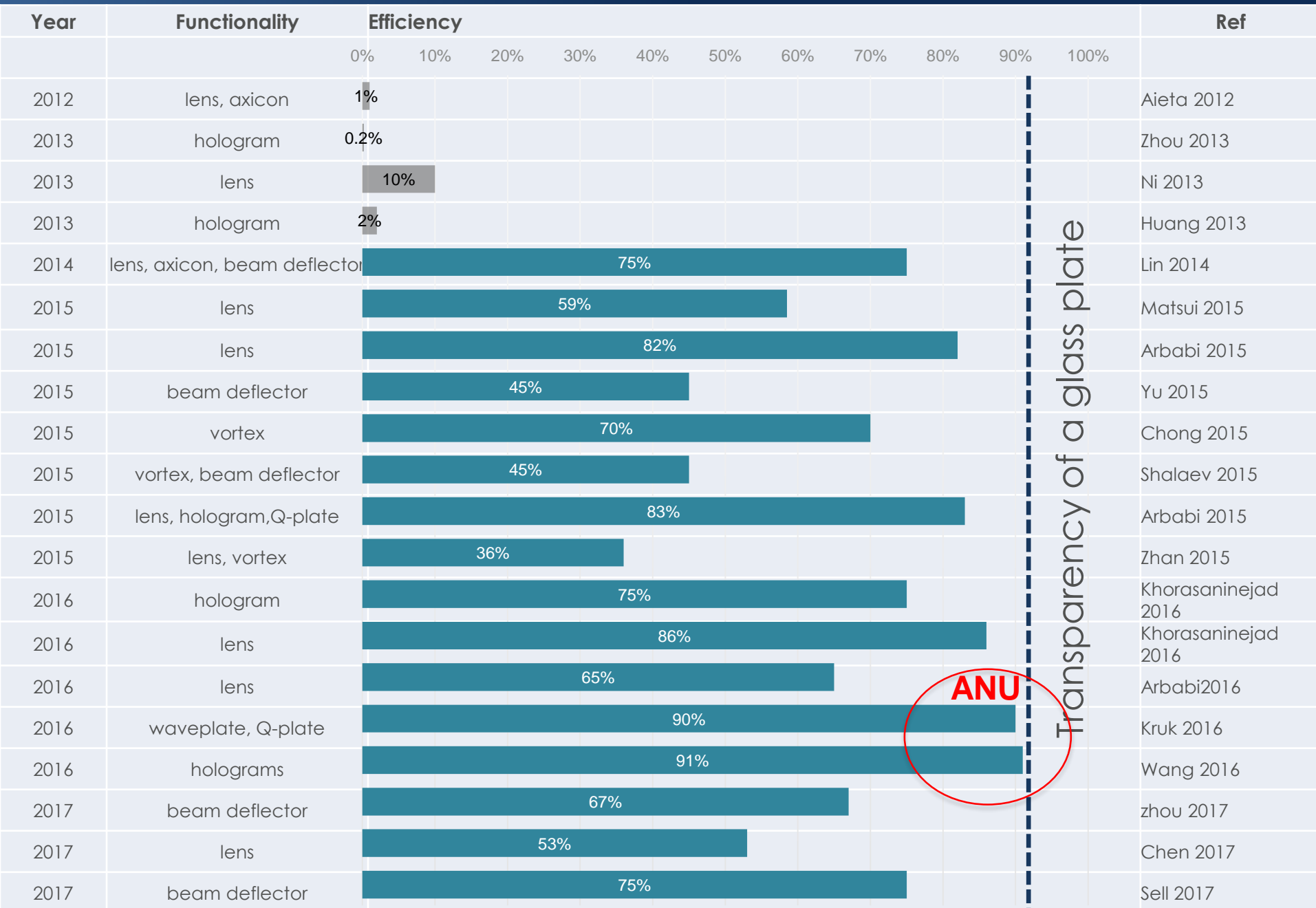


Broadband operation via multipolar response

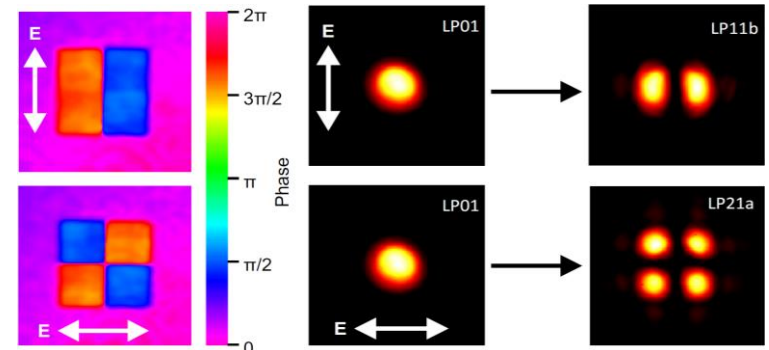
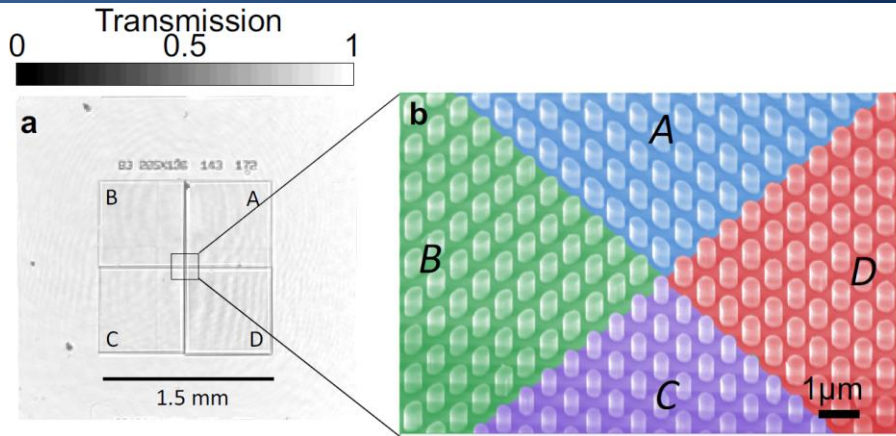
# Broadband highly-efficient dielectric metasurfaces



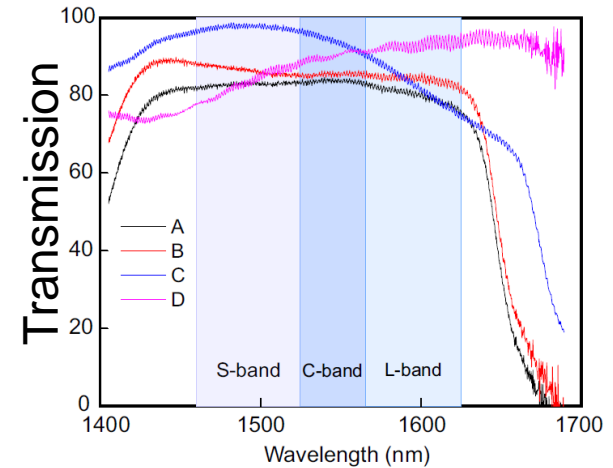
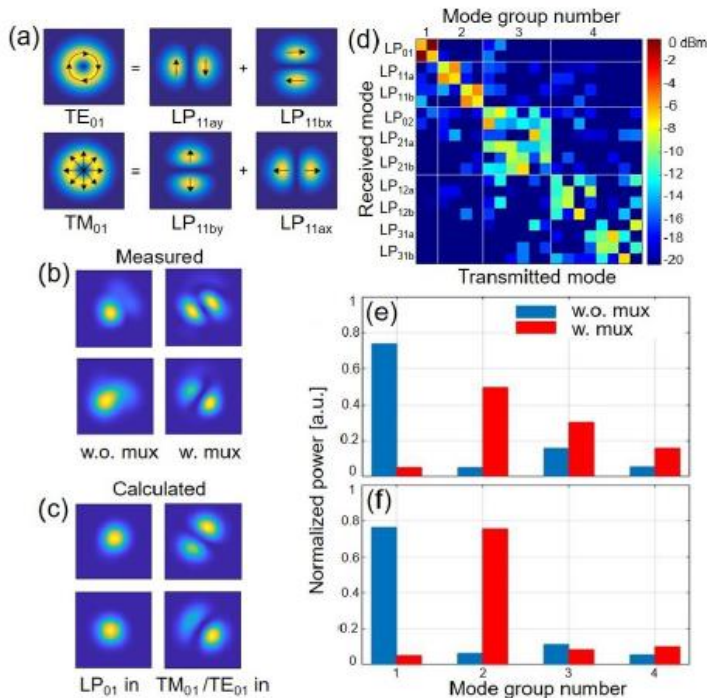
# Transmission efficiencies of metadevices



# Metasurfaces and optical communications



S. Kruk et al, Laser & Photonics Reviews (2018)



## Multimode fiber transmission

**FULL PAPER**

Metasurfaces

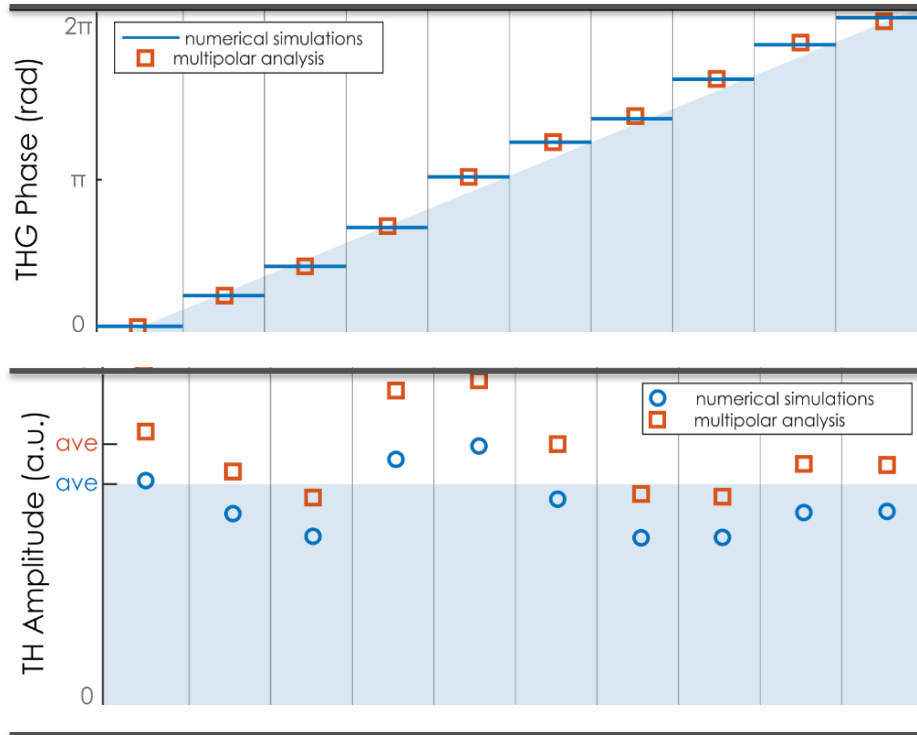
**Dielectric Broadband Metasurfaces for Fiber Mode-Multiplexed Communications**

*Elham Nazemosadat, Mikael Mazur, Sergey Kruk, Ivan Kravchenko, Joel Carpenter, Jochen Schröder, Peter A. Andrekson, Magnus Karlsson, and Yuri Kivshar\**

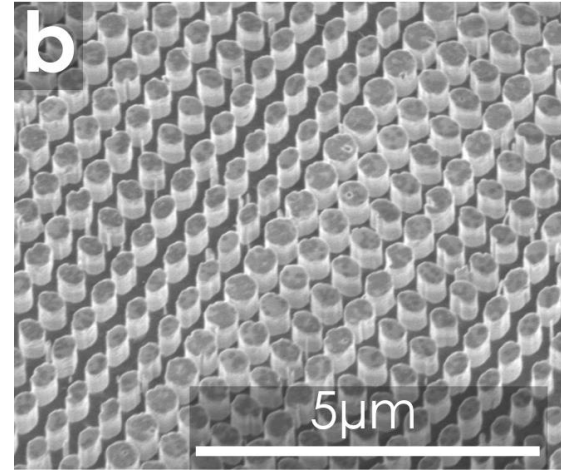
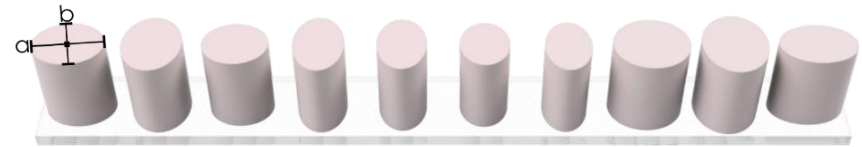
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www.advopticalmat.de

# Nonlinear dielectric metasurfaces

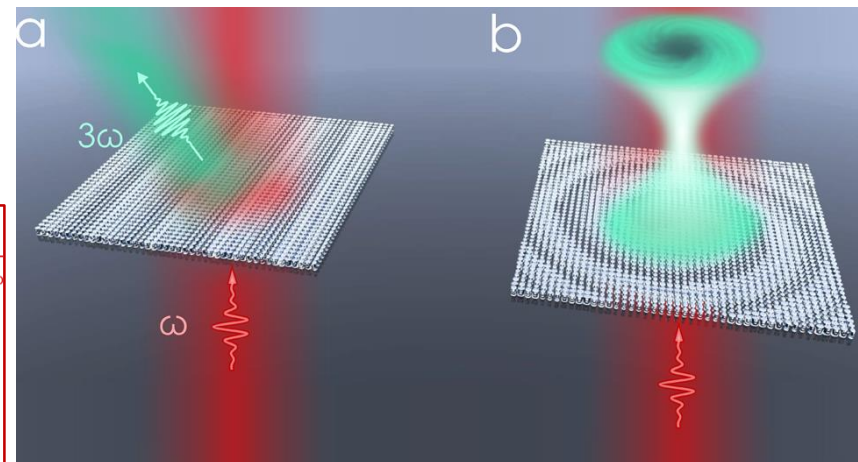
1650 nm pump  $\longrightarrow$  550 nm TH



Geometry



92% Diffraction Efficiency



$1.4 \times 10^{-4} \text{ W}^{-2}$  Conversion Efficiency

**NANO LETTERS** Cite This: *Nano Lett.* XXXX, XXX, XXX–XXX

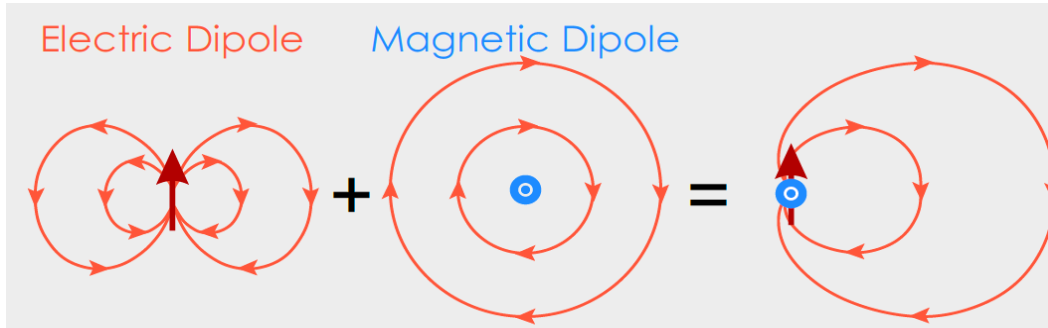
pubs.acs.org

**Nonlinear Wavefront Control with All-Dielectric Metasurfaces**

Lei Wang,<sup>†</sup> Sergey Kruk,<sup>\*,†,Ⓜ</sup> Kirill Koshelev,<sup>‡,§</sup> Ivan Kravchenko,<sup>||</sup> Barry Luther-Davies,<sup>‡</sup> and Yuri Kivshar<sup>‡,§,Ⓜ</sup>

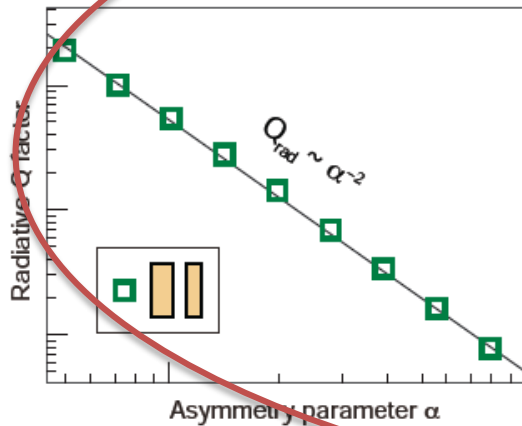
# Two strategies for metasurface engineering

## Multipoles for highly efficient transmission

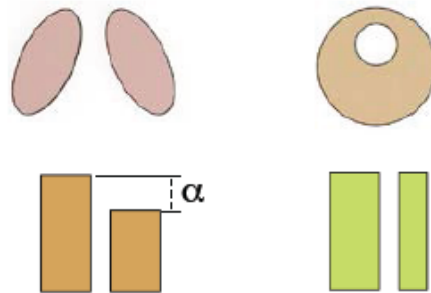


Milton Kerker

## Resonances with bound states in the continuum



Examples of meta-atoms



J. von Neumann



E. Wigner



# Metasurfaces with a broken symmetry

APPLIED PHYSICS LETTERS 99, 201107 (2011)

## Observing metamaterial induced transparency in individual Fano resonators with broken symmetry

Ranjan Singh,<sup>1,2,a)</sup> Ibraheem A. I. Al-Naib,<sup>3</sup> Yuping Yang,<sup>2</sup> Dibakar Roy Chowdhury,<sup>1</sup> Wei Cao,<sup>2</sup> Carsten Rockstuhl,<sup>4</sup> Tsuneyuki Ozaki,<sup>3</sup> Roberto Morandotti,<sup>3</sup> and Weili Zhang<sup>2,b)</sup>

Research Article

Vol. 26, No. 3 | 5 Feb 2018 | OPTICS EXPRESS 2905

Optics EXPRESS

## High-quality trapped modes in all-dielectric

CHESLAV V. KHARDIKOV,<sup>1,3,4</sup>  
KATERYNA L. DOMINA,<sup>4</sup> SU XU,<sup>2</sup>  
SUN<sup>2</sup>

## Bar coding metasurfaces

Yesilkoy<sup>1</sup>, Duk-Yong Choi<sup>2</sup>,

ADVANCED  
OPTICAL  
MATERIALS  
www.advopticalmat.de

## Electric and Magnetic Response in Dielectric Dark States for Low Loss Subwavelength Optical Meta Atoms

Aditya Jain,\* Parikshit Moitra, Thomas Koschny, Jason Valentine, and Costas M. Soukoulis

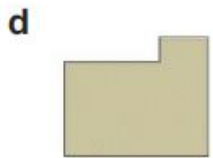
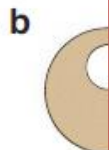
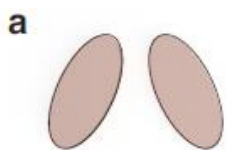
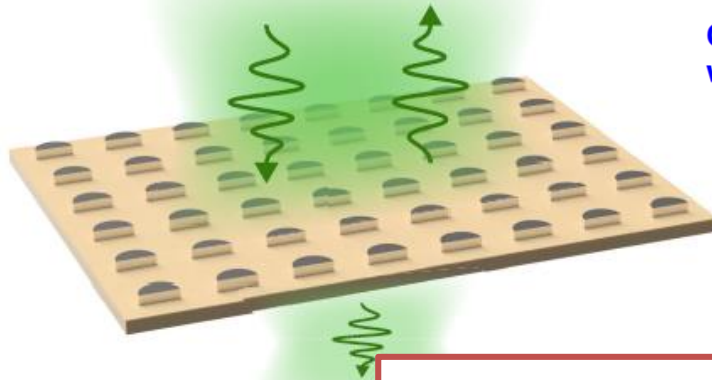
PRL 99, 147401 (2007)

PHYSICAL REVIEW LETTERS

week ending  
5 OCTOBER 2007

## Sharp Trapped-Mode Resonances in Planar Metamaterials with a Broken Structural Symmetry

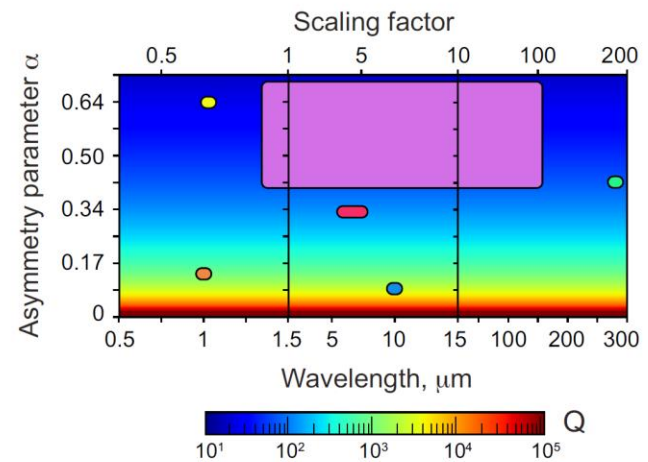
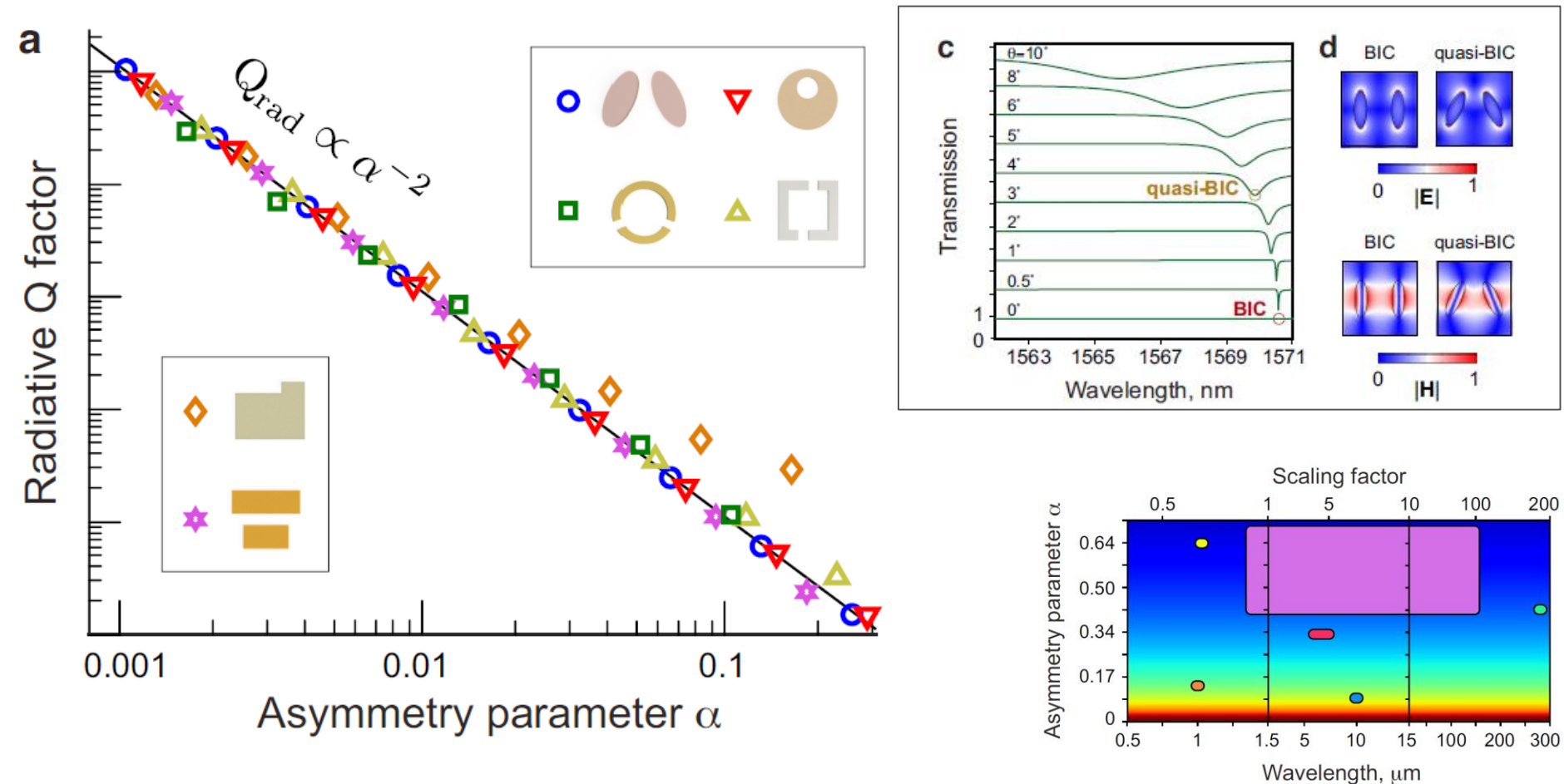
V. A. Fedotov,<sup>1,\*</sup> M. Rose,<sup>1</sup> S. L. Prosvirnin,<sup>2</sup> N. Papasimakis,<sup>1</sup> and N. I. Zheludev<sup>1,†</sup>



All can be explained  
with the BIC concept!

www.MaterialsViews.com

# High-Q quasi-BIC metasurfaces



PHYSICAL REVIEW LETTERS **121**, 193903 (2018)

**Asymmetric Metasurfaces with High- $Q$  Resonances Governed by Bound States in the Continuum**

Kirill Koshelev,<sup>1,2</sup> Sergey Lepeshov,<sup>2</sup> Mingkai Liu,<sup>1</sup> Andrey Bogdanov,<sup>2</sup> and Yuri Kivshar<sup>1,2</sup>

DIELECTRIC

● Campione, 2016

● Vabishchevich, 2018

METAL

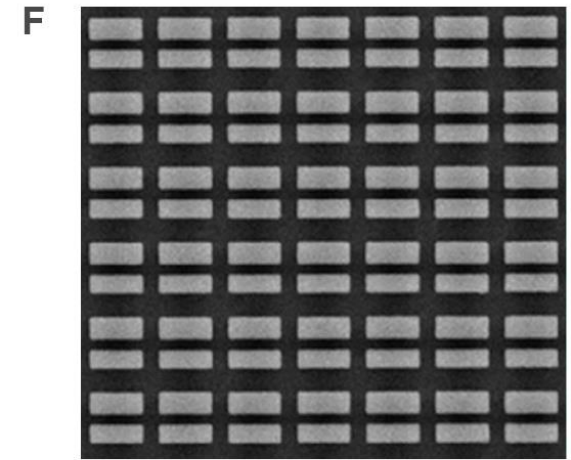
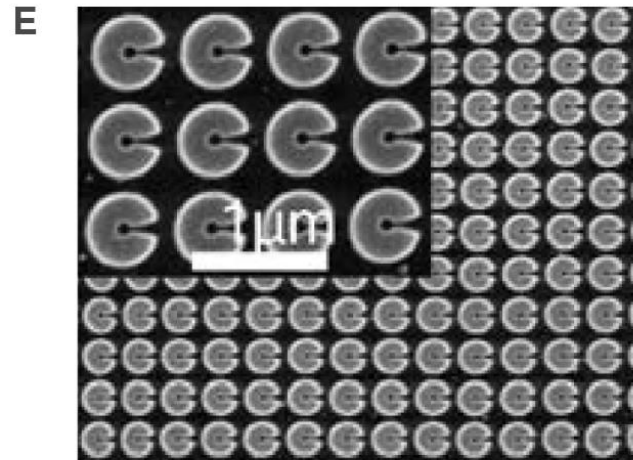
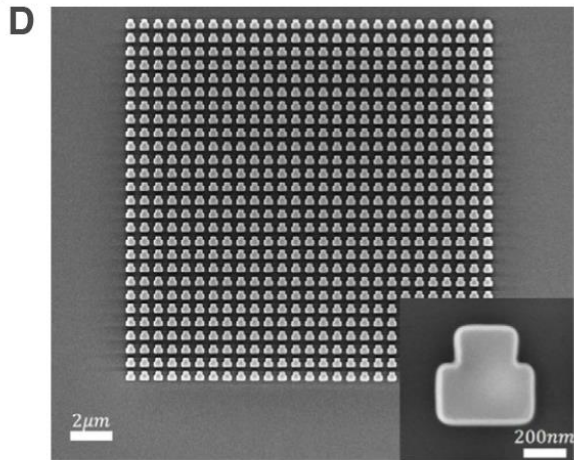
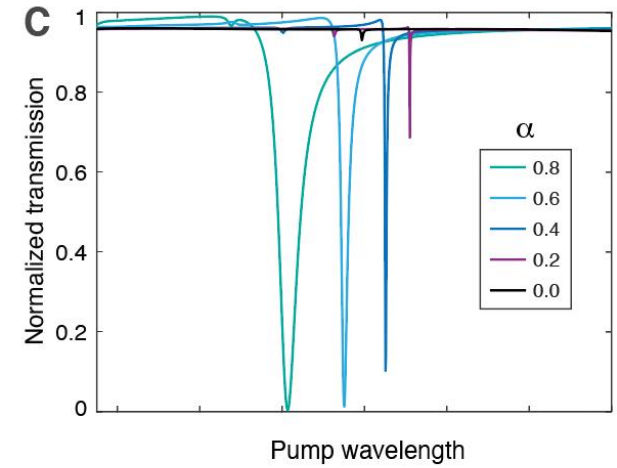
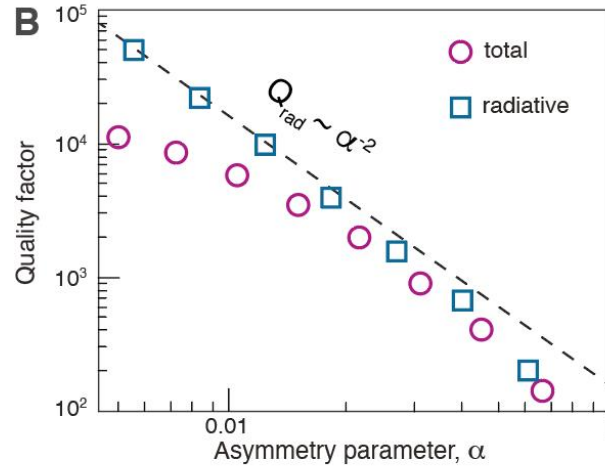
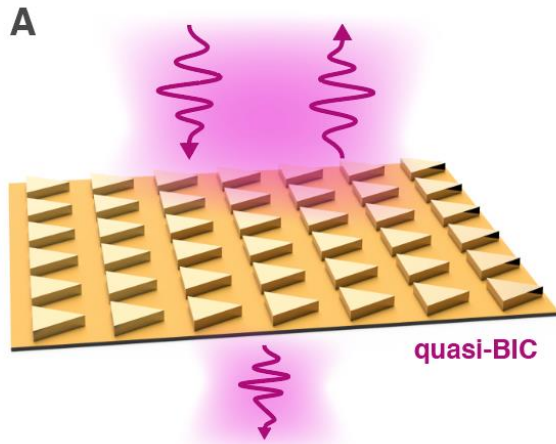
● Tittl, 2018

● Forouzmand, 2017

● Lim, 2018

● Singh, 2011

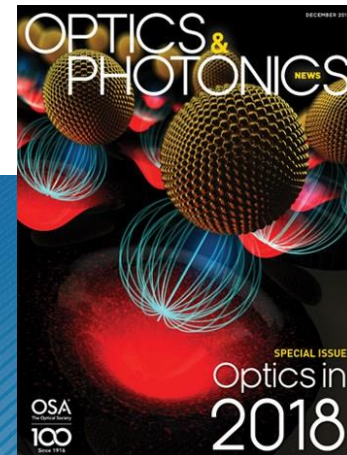
# Metasurfaces and BIC resonances



# Metasurfaces for surface-enhanced spectroscopies

A. Tittle et al, *Science* **360**, 1105 (2018)

## Imaging-based molecular barcoding with pixelated dielectric metasurfaces



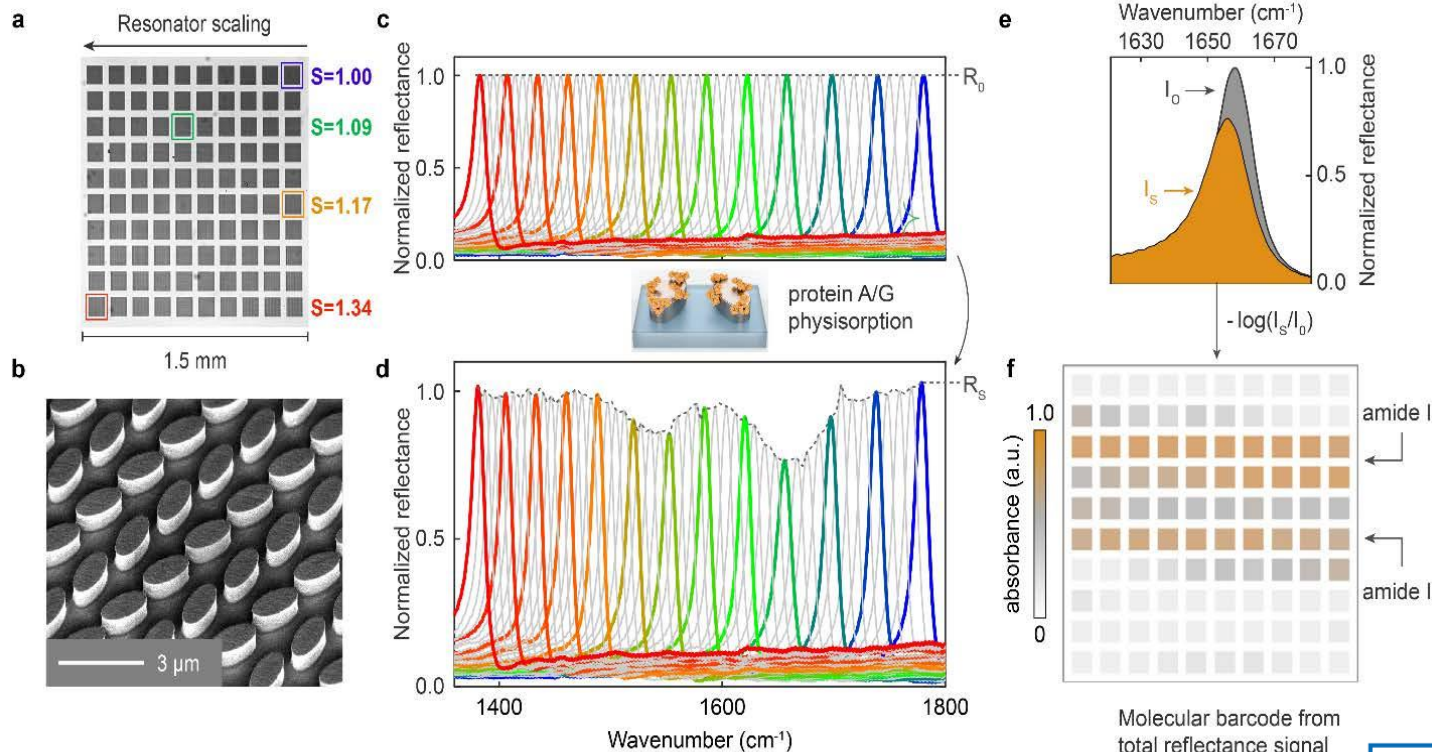
### RESEARCHERS

Andreas Tittel, Filiz Yesilkoy and Hatice Altug ([hatice.altug@epfl.ch](mailto:hatice.altug@epfl.ch)), École Polytechnique Fédérale de Lausanne, Lausanne, Switzerland

Stefan A. Maier, Imperial College London, London, U.K., and Ludwig-Maximilians-Universität München, München, Germany

Yuri S. Kivshar, Australian National University, Canberra, Australia

nature  
photonics



### Ultrasensitive hyperspectral biosensing based on high-Q dielectric metasurfaces

Filiz Yesilkoy<sup>1</sup>, Eduardo Romero Arvelo<sup>1,2</sup>, Yasaman Jahani<sup>1</sup>, Mingkai Liu<sup>3</sup>, Andreas Tittel<sup>1</sup>, Volkan Cevher<sup>2</sup>, Yuri Kivshar<sup>3</sup>, and Hatice Altug<sup>1\*</sup>

# Nonlinear metasurfaces

## Metasurfaces: Subwavelength nanostructure arrays for ultrathin flat optics and photonics

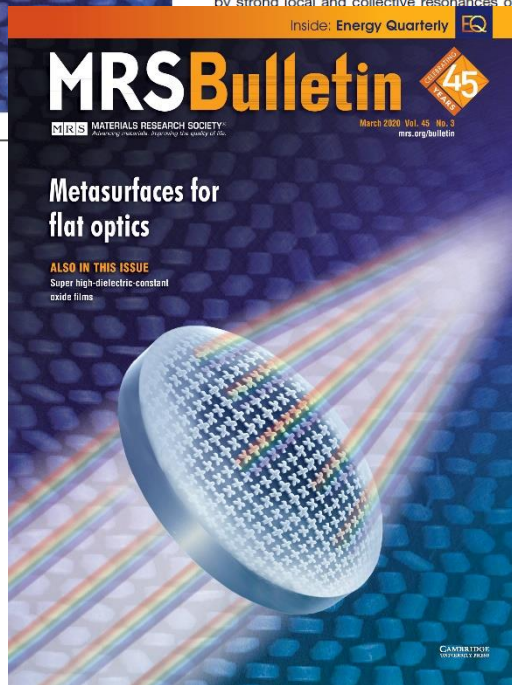
Junsuk Rho, Guest Editor

### Nonlinear optics with resonant metasurfaces

Thomas Pertsch and Yuri Kivshar

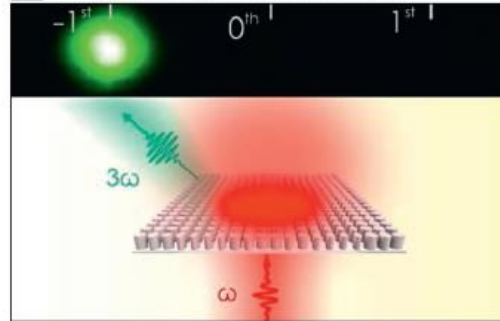
The field of nonlinear optics is a well-established discipline that relies on macroscopic media and employs propagation distances longer than a wavelength of light. Recent progress with electromagnetic metamaterials has allowed for the expansion of this field into new directions of new phenomena and novel functionalities. In particular, nonlinear effects in thin, artificially structured materials such as metasurfaces do not rely on phase-matching conditions and symmetry-related selection rules of natural materials; they may be substantially enhanced by strong local and collective resonances of fields inside the metasurface nanostructures, and beyond simple harmonic generation and parametricities. This article provides a brief review of

## Examples of nonlinear metadevices

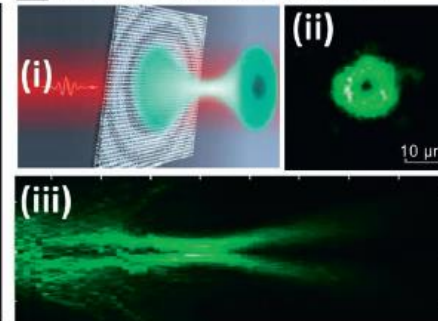


March 2020

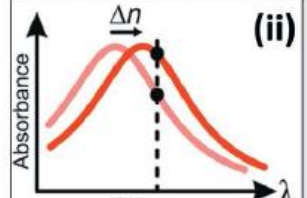
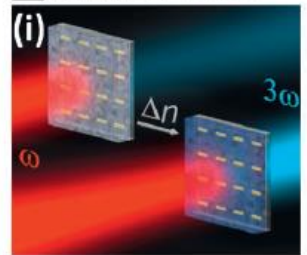
a Nonlinear beam deflector



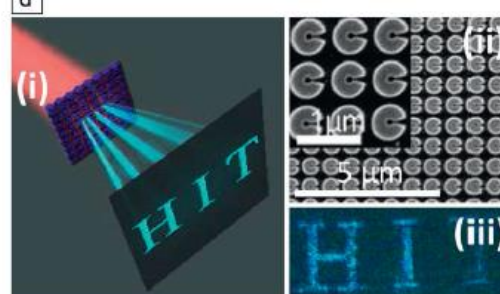
b Nonlinear vortex generator



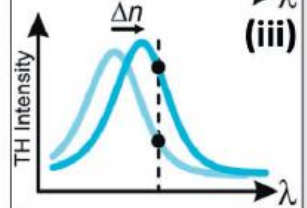
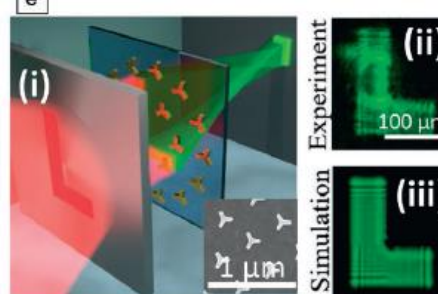
c Nonlinear sensor



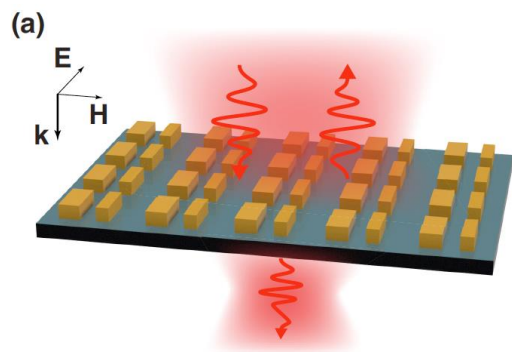
d Nonlinear hologram



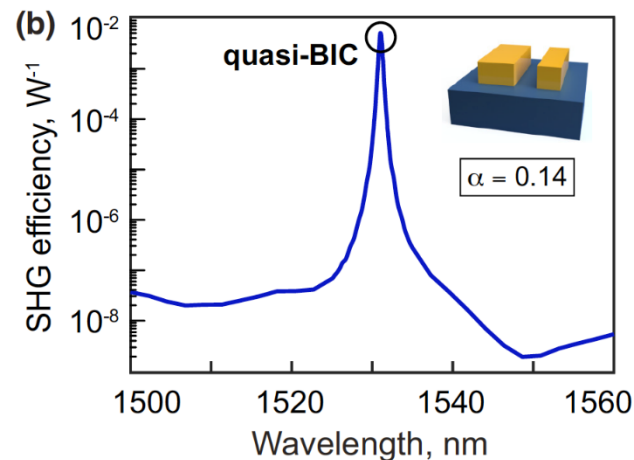
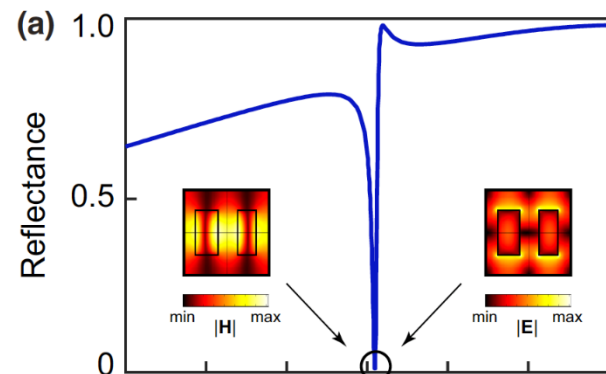
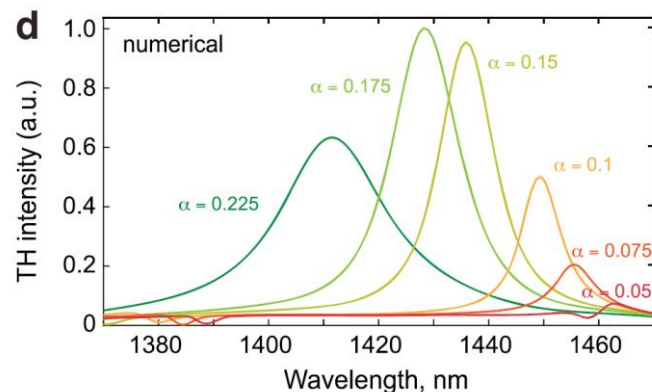
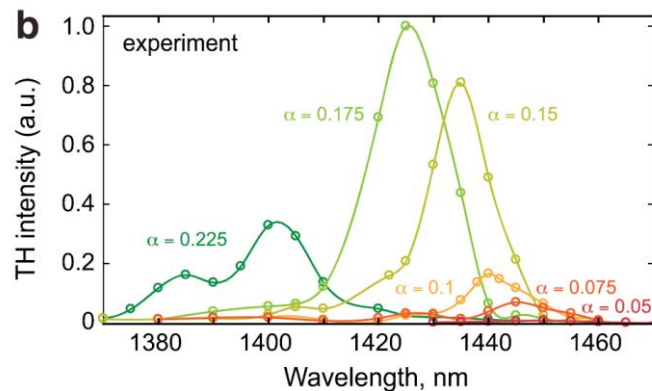
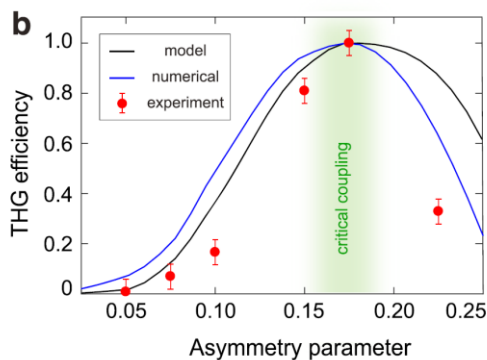
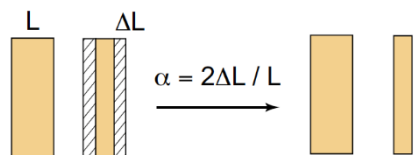
e Nonlinear metalens



# BIC-enhanced nonlinear effects



Breaking symmetry



ACS  
Photonics

Cite This: *ACS Photonics* 2019, 6, 1639–1644

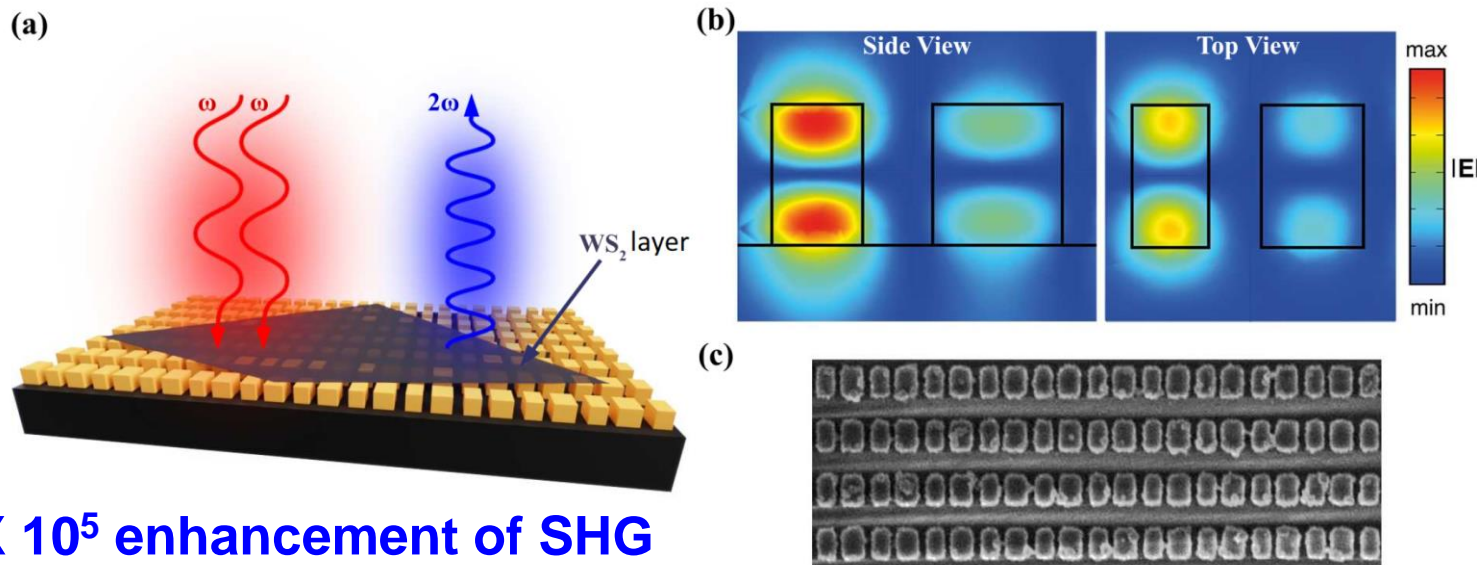
Letter  
pubs.acs.org/journal/apchd5

Nonlinear Metasurfaces Governed by Bound States in the Continuum

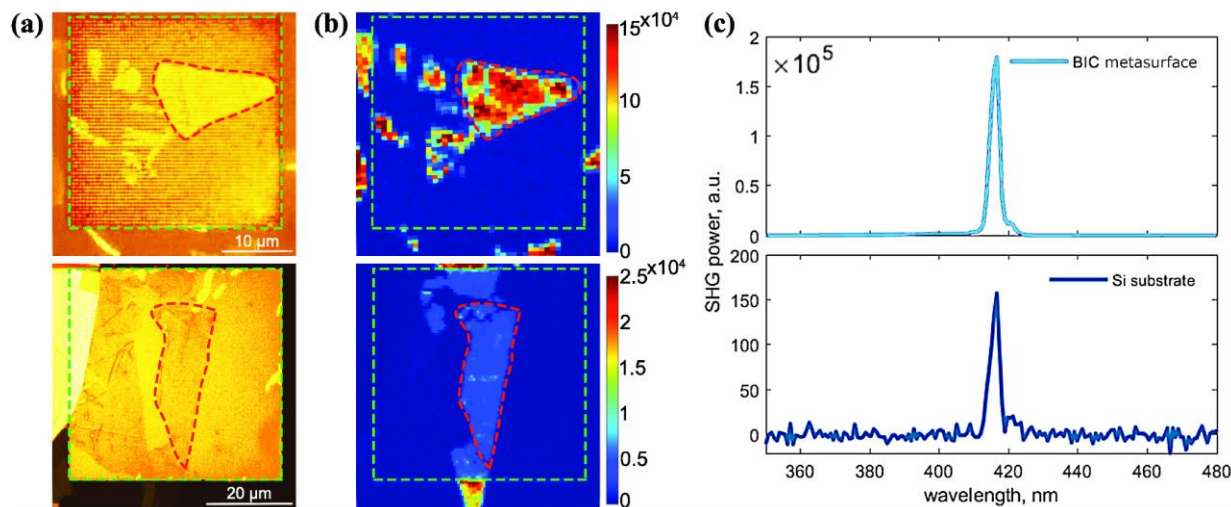
Kirill Koshelev,<sup>\*,†,‡,§</sup> Yutao Tang,<sup>§</sup> Kingfai Li,<sup>§</sup> Duk-Yong Choi,<sup>||,⊥</sup> Guixin Li,<sup>§</sup> and Yuri Kivshar<sup>†,‡,§</sup>

# BIC-resonant metasurfaces and 2D materials

Collaboration with Alex Solntsev, UTS

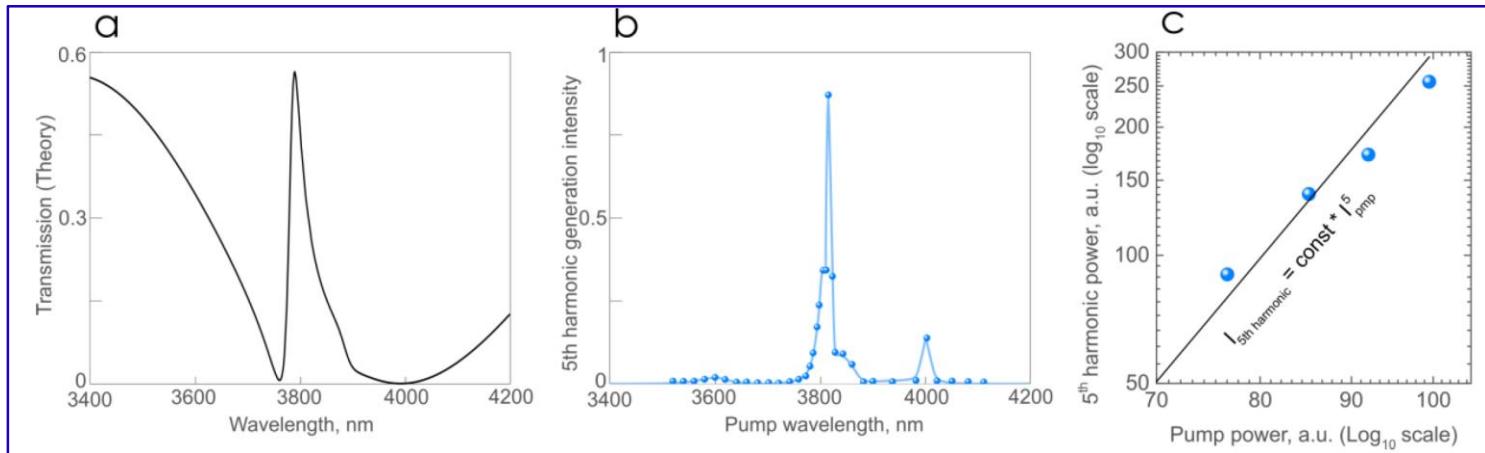
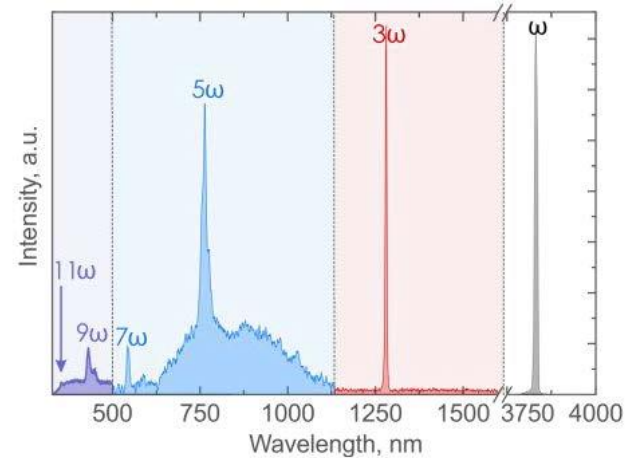
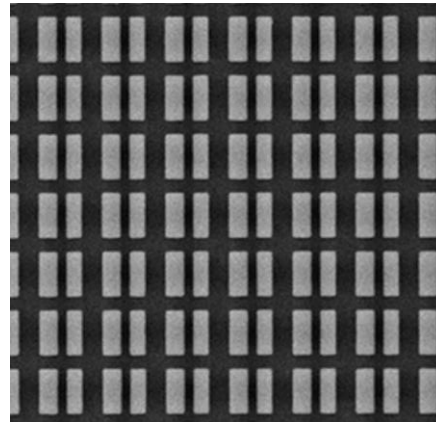
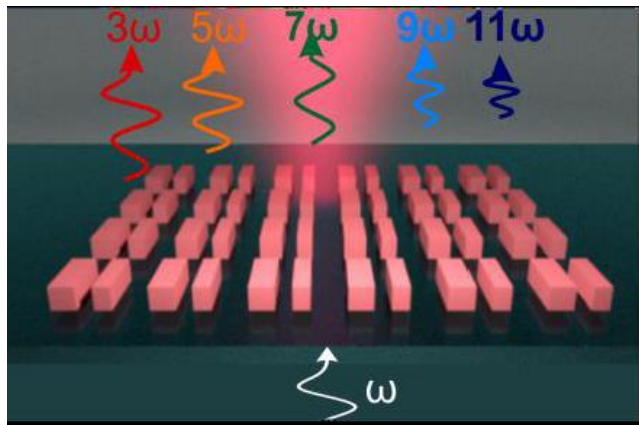


$\times 10^5$  enhancement of SHG



SW3N.6  
CLEO May 2020

# High-harmonic generation with BIC



## High-Harmonic Generation in Dielectric Metasurfaces Empowered by Bound States in the Continuum

George Zograf<sup>1,2</sup>, Anastasia Zalogina<sup>1</sup>, Kirill Koshelev<sup>1,2</sup>, Duk-Yong Choi<sup>3</sup>, Viacheslav Korolev<sup>4</sup>, Richard Hollinger<sup>4</sup>, Daniil Kartashov<sup>4</sup>, Michael Zürich<sup>5</sup>, Christian Spielmann<sup>4</sup>, Sergey Makarov<sup>2</sup>, Barry Luther-Davies<sup>3</sup>, Sergey Kruk<sup>1,\*</sup> and Yuri Kivshar<sup>1,2</sup>

**FTh1C.5**  
**CLEO May 2020**

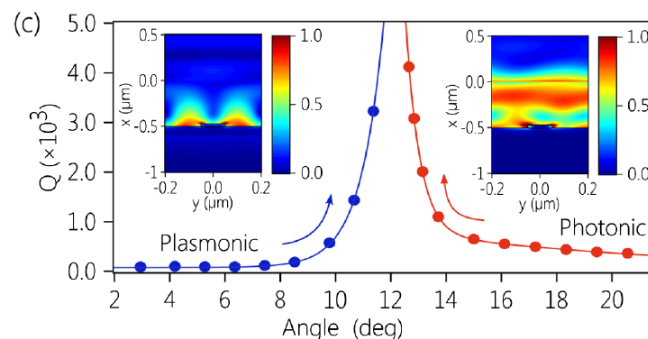
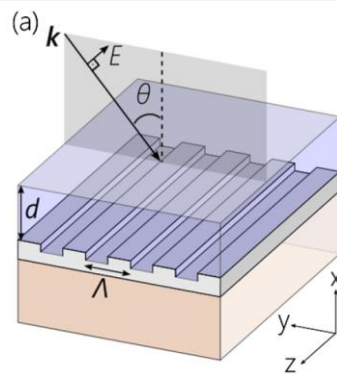
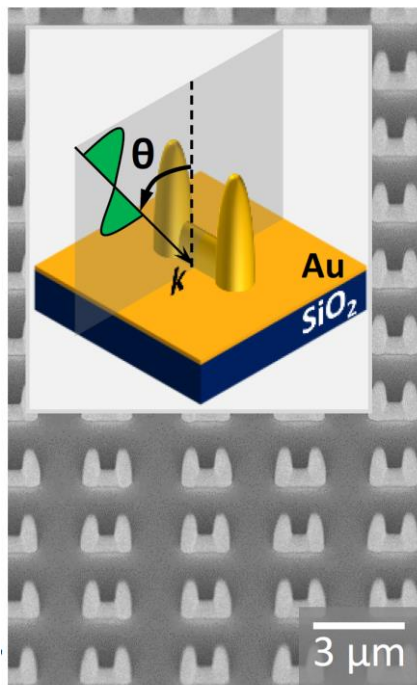


# BICs in plasmonic metasurfaces

PHYSICAL REVIEW LETTERS **121**, 253901 (2018)

## Formation of Bound States in the Continuum in Hybrid Plasmonic-Photonic Systems

Shaimaa I. Azzam,<sup>\*</sup> Vladimir M. Shalaev,<sup>†</sup> Alexandra Boltasseva,<sup>‡</sup> and Alexander V. Kildishev,<sup>§</sup>  
*School of Electrical and Computer Engineering and Birck Nanotechnology Center, Purdue University,  
West Lafayette, Indiana 47907, USA*



NANO LETTERS

[pubs.acs.org/NanoLett](https://pubs.acs.org/NanoLett)

Letter

## 1 Bound States in the Continuum in Anisotropic Plasmonic 2 Metasurfaces

3 Yao Liang,<sup>▽</sup> Kirill Koshelev,<sup>▽</sup> Fengchun Zhang,<sup>▽</sup> Han Lin, Shirong Lin, Jiayang Wu, Baohua Jia,<sup>\*</sup>  
4 and Yuri Kivshar<sup>\*</sup>

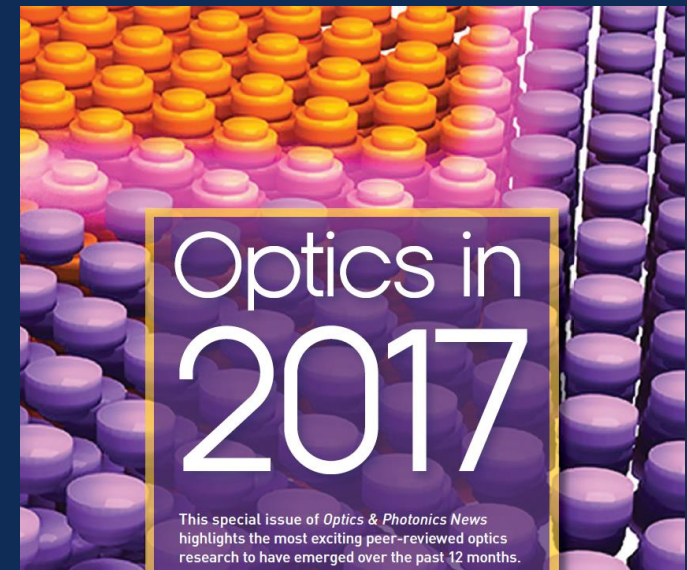


Cite This: <https://dx.doi.org/10.1021/acs.nanolett.0c01752>



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# Topological photonics



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National  
University

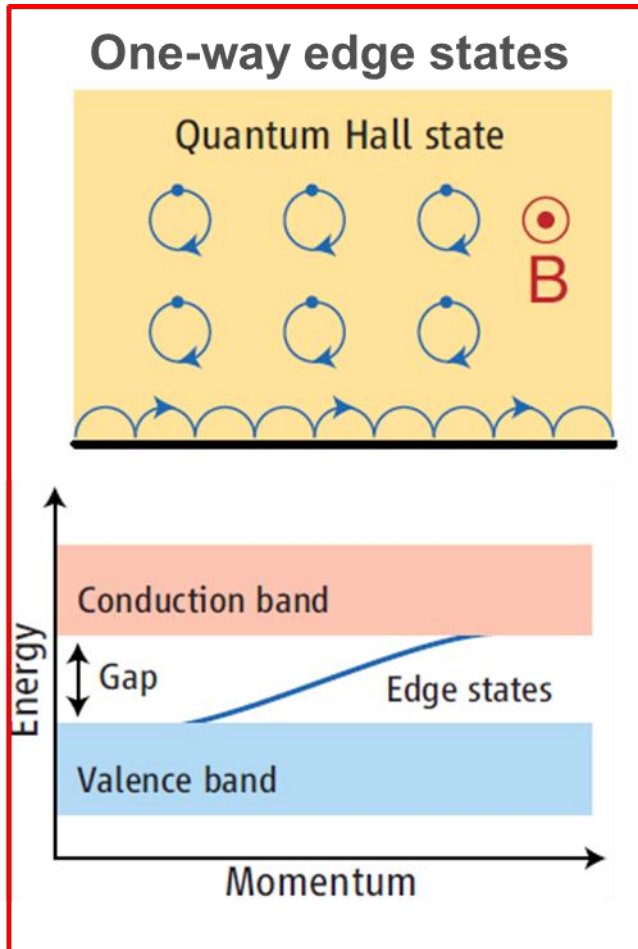


THE HONG KONG  
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香港理工大學



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# Topological photonics



nature  
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NOVEMBER 2014 VOL 8 NO 11  
www.nature.com/naturephotonics

Topological photonics

nature  
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REVIEW ARTICLE

PUBLISHED ONLINE: 26 OCTOBER 2014 | DOI: 10.1038/NPHOTON.2014.248

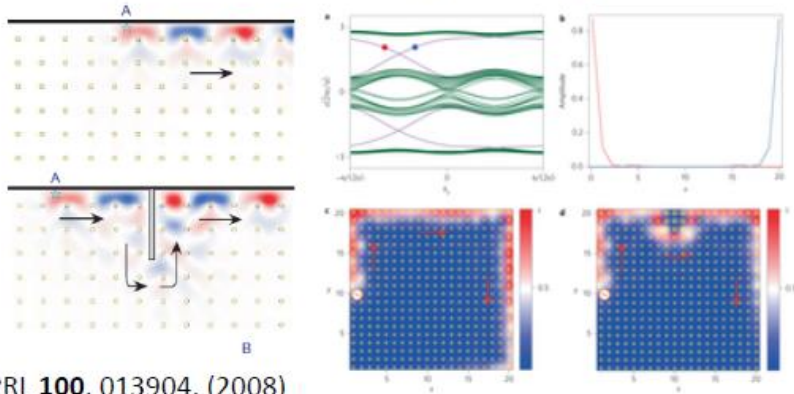
## Topological photonics

Ling Lu\*, John D. Joannopoulos and Marin Soljačić

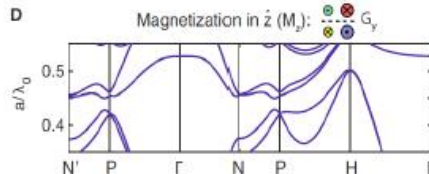
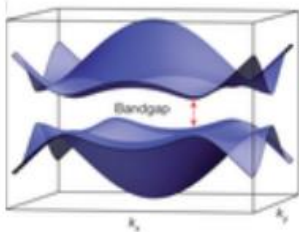
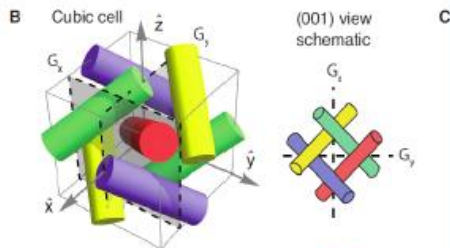
The application of topology, the mathematics of conserved properties under continuous deformations, is creating a range of new opportunities throughout photonics. This field was inspired by the discovery of topological insulators, in which interfacial electrons transport without dissipation, even in the presence of impurities. Similarly, the use of carefully designed wavevector-space topologies allows the creation of interfaces that support new states of light with useful and interesting properties. In particular, this suggests unidirectional waveguides that allow light to flow around large imperfections without back-reflection. This Review explains the underlying principles and highlights how topological effects can be realized in photonic crystals, coupled resonators, metamaterials and quasicrystals.

# Developments of topological photonics

## Broken TR symmetry



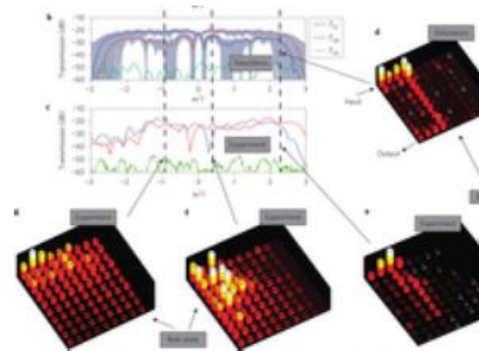
PRL **100**, 013904, (2008)  
*Nature* **461**, 772-775 (2009). *Nature Photon.* **6**, 782-787 (2012)



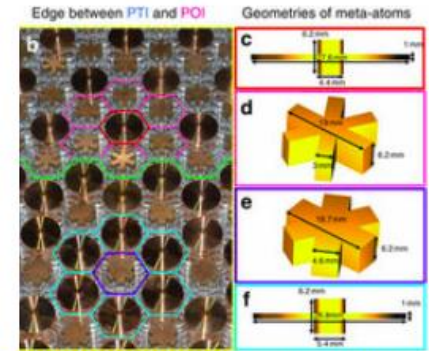
*Nature* **496**,  
 196-200, (2013)

arXiv:1507.00337 (2015)  
*Nature Physics* (2016)

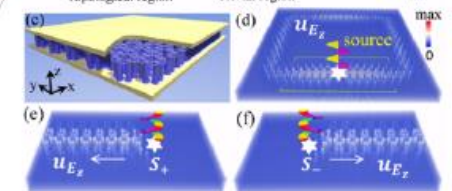
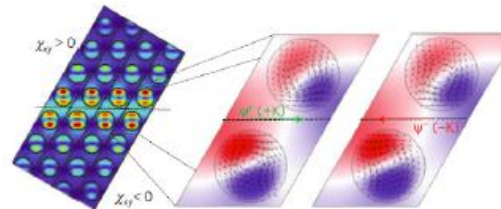
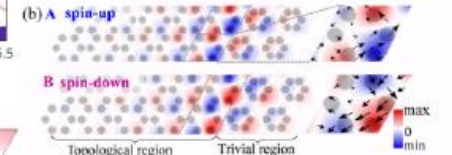
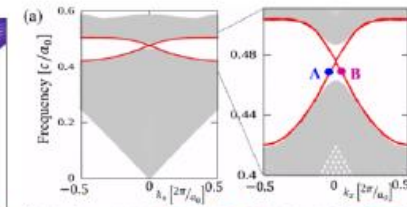
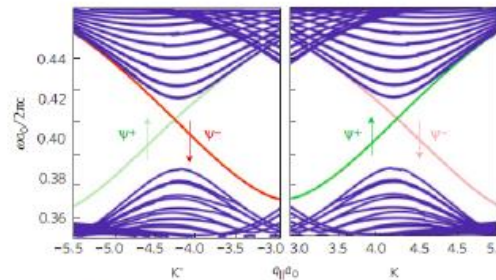
## Preserved TR symmetry



*Nature Phys.* **7**, 907-912 (2011).  
*Nature Photon.* **7**, 1001-1005 (2013)



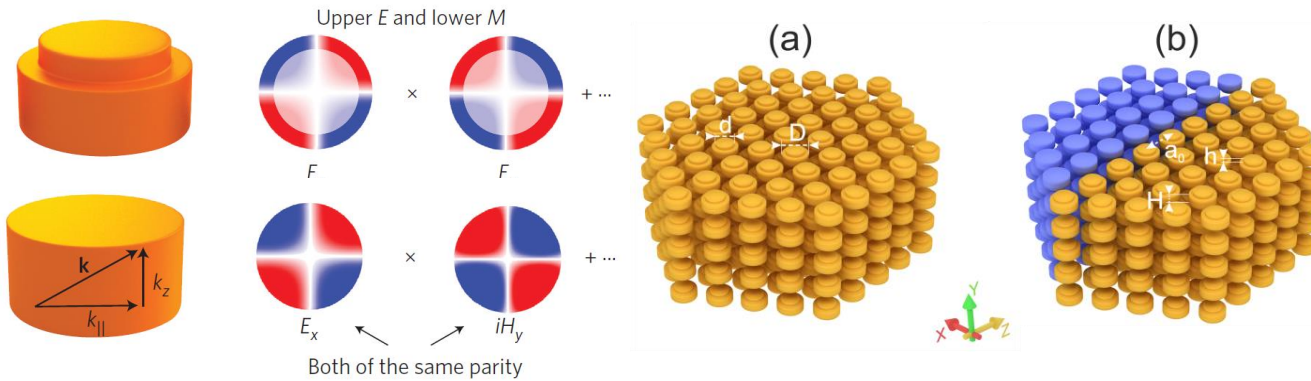
*Nature Comm.* **5**, 5782, (2014)



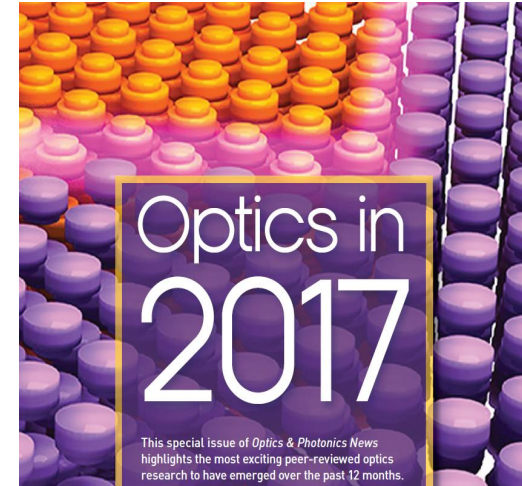
arXiv:1401.1276 (2012)  
*Nature Mater.* **12**, 233-239 (2013) *Phys. Rev. Lett.* **114**, 223901 (2015)

# Our research strategies in topological photonics

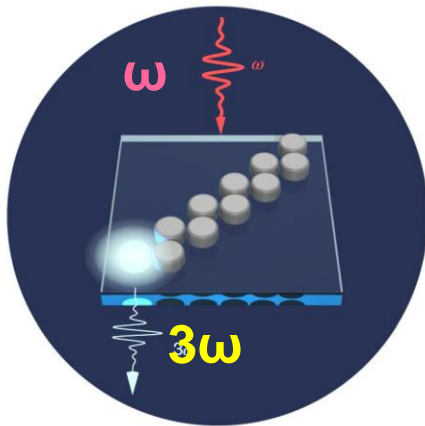
## Resonant dielectric meta-atoms



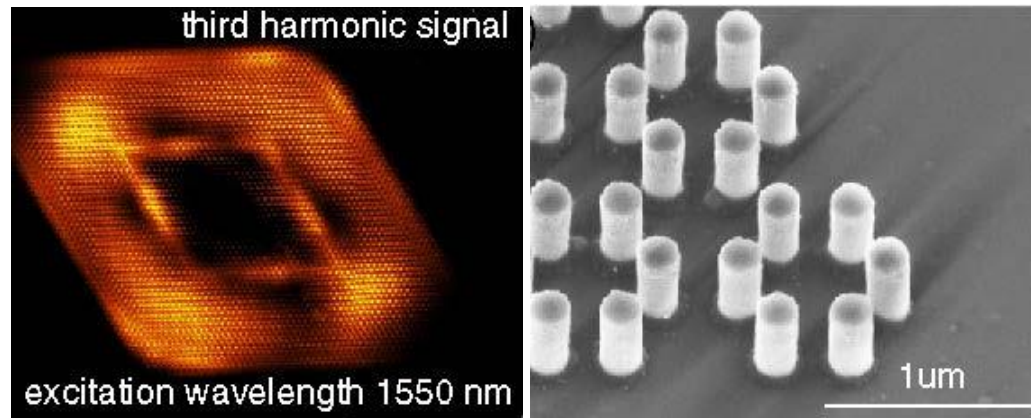
Nature Photonics **11**, 130 (2017)



## Nonlinear and active topological photonics



Nature Nanotechnology **14**, 126 (2019)

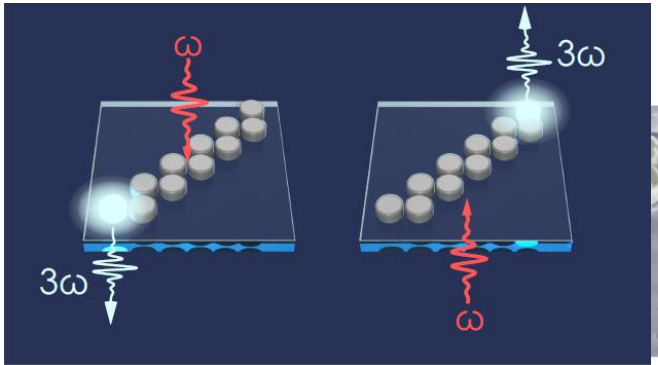


Phys Rev Lett **123**, 103901 (2019)

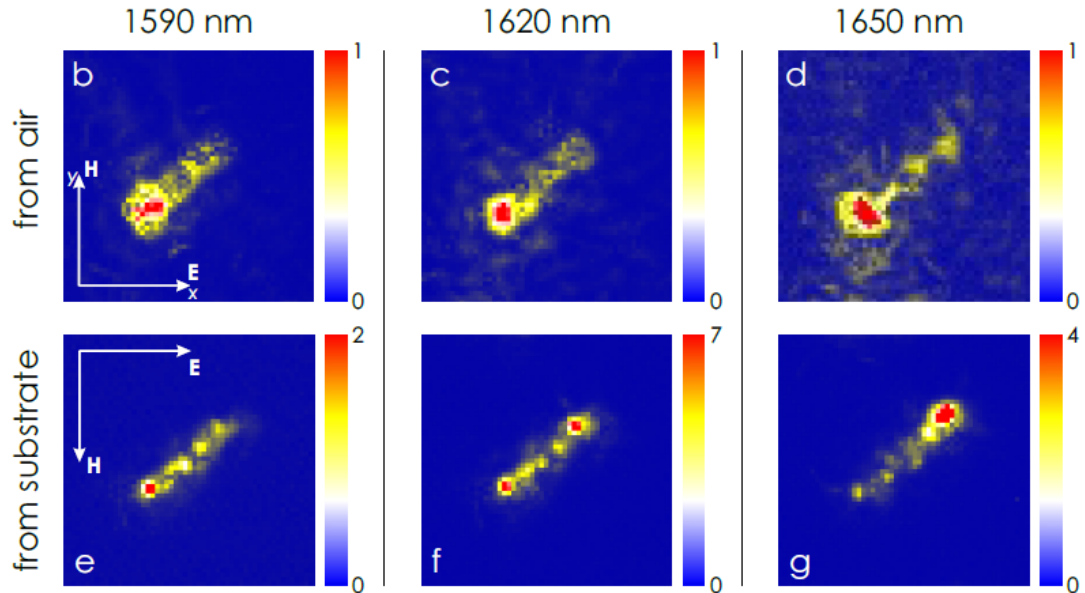
# Nonlinear optics meets topology

## Enhancement of nonlinear interactions by the edge states

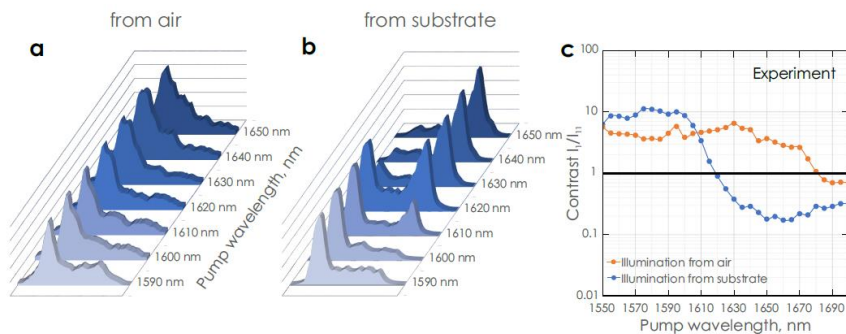
3



The zigzag model suggested in ACS Photonics 1, 101 (2014)



## Interplay between ED and MD resonances



nature  
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LETTERS

<https://doi.org/10.1038/s41565-018-0324-7>

## Nonlinear light generation in topological nanostructures

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# Nonlinear topological photonics

Applied Physics Reviews

REVIEW

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## Nonlinear topological photonics

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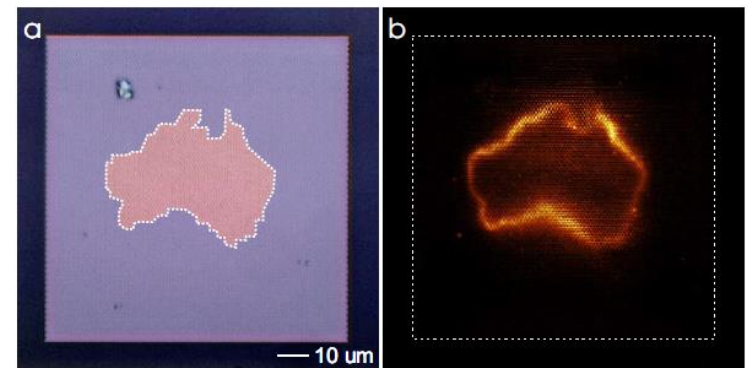
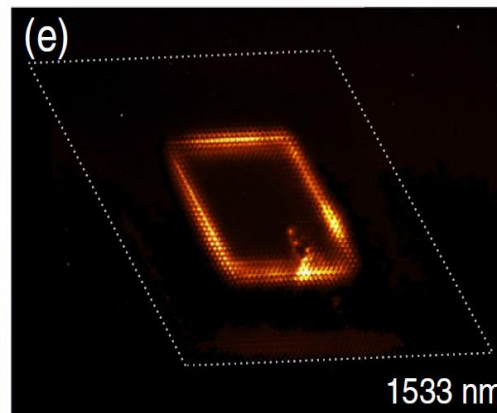
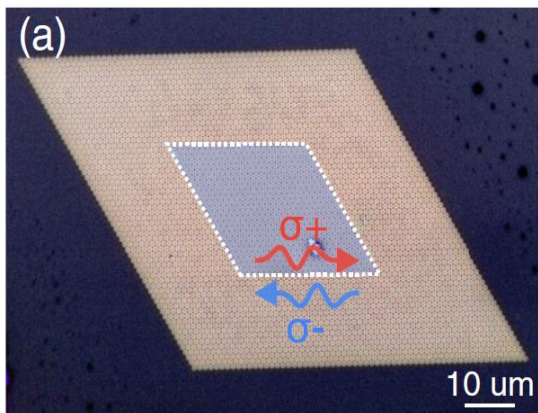


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Phys Rev Lett **123**, 103901 (2019)

# Concluding remarks

- ❑ Metamaterials is still an active research field (but now often appears under a new brand name of **meta-optics** or **metaphotonics**), that promises many applications in photonics and subwavelength optics;
- ❑ Dielectric nanoparticles with **high refractive index** can be implemented for many metaphotonics phenomena governed by Mie resonances;
- ❑ Many novel effects originate from multipolar interferences and the magnetic field enhancement, and they drive novel functionalities of **all-dielectric resonant metasurfaces and metadevices**
- ❑ Recent many advances in meta-optics and nanophotonics are associated with the physics of **bound states in the continuum** which appear due to strong coupling of guided leaky modes combined with Mie resonances

**Questions, comments, and collaboration proposals:**  
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