



# EE-606: Solid State Devices

## Lecture 11: Equilibrium Statistics

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# Outline

- 1) Law of mass-action & intrinsic concentration**
- 2) Statistics of donors and acceptor levels
- 3) Conclusion

**Reference:** Vol. 6, Ch. 4 (pages 110-120)

# Fermi-Level for Intrinsic Semiconductors

$$n = p = n_i$$

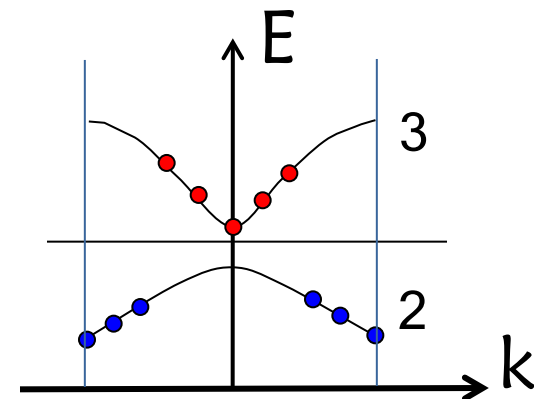
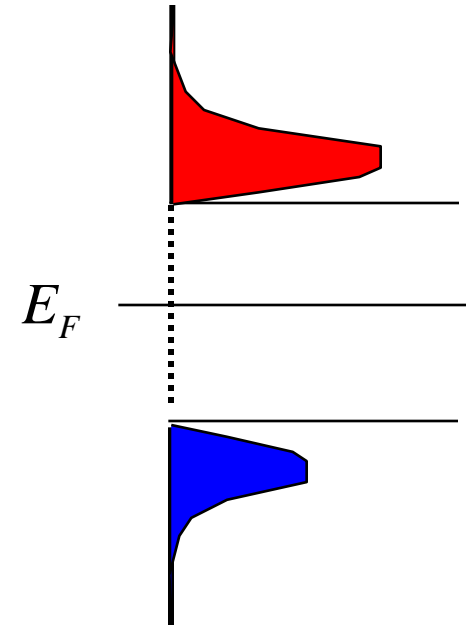
$$n_i^2 = N_C N_V e^{-\beta E_g}$$

$$n_i = \sqrt{N_C N_V} e^{-\beta E_g / 2}$$

$$E_F \equiv E_i$$

$$n = p \Rightarrow N_C e^{-\beta(E_c - E_i)} = N_V e^{+\beta(E_v - E_i)}$$

$$E_i = \frac{E_G}{2} + \frac{1}{2\beta} \ln \frac{N_V}{N_C}$$



However ...

Intrinsic concentration too small ..

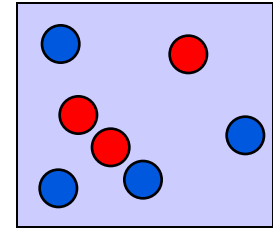
We need to do something to  
increase carrier concentration or  
conductivity of semiconductors

This is done by doping.

# Looking ahead: Carrier-Density w/Doping

A bulk material must be charge neutral over all ...

$$\int [p - n + N_D^+ - N_A^-] dV = 0$$



Further if the material is *spatially homogenous*

$$p - n + N_D^+ - N_A^- = 0$$

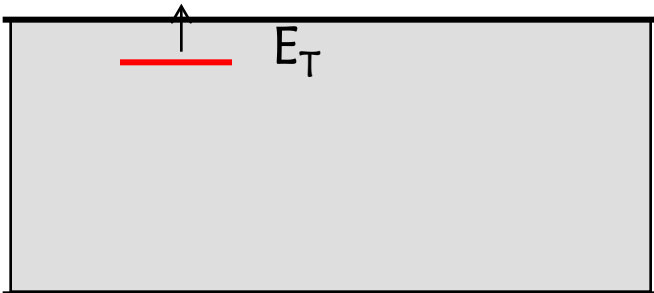
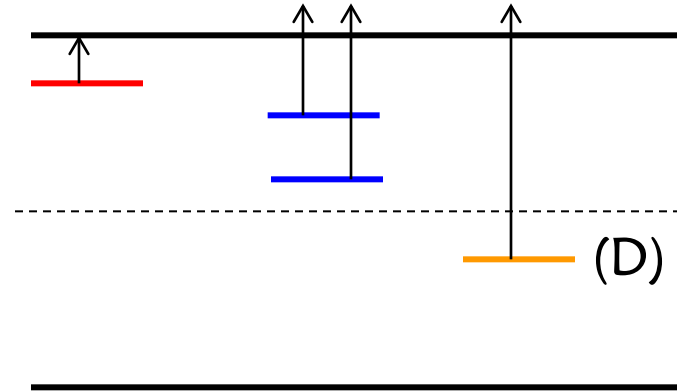
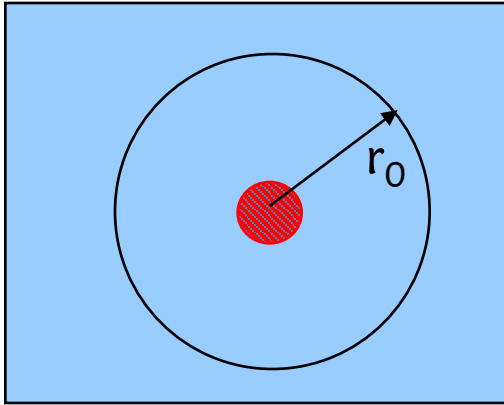
$$N_V e^{-(E_F - E_V)/k_B T} - N_C e^{-(E_C - E_F)/k_B T} + \frac{N_D}{1 + 2e^{(E_F - E_D)/k_B T}} - \frac{N_A}{1 + 4e^{(E_A - E_F)/k_B T}} = 0$$

Let us see how the formula come about ...

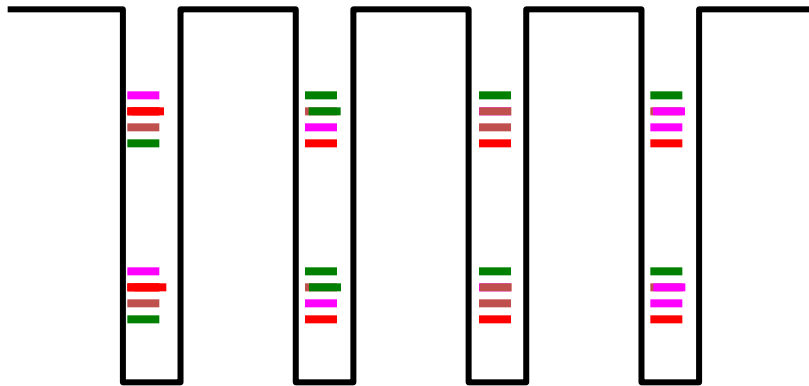
# Outline

- 1) Law of mass-action and intrinsic concentration
- 2) Statistics of donor and acceptor levels**
- 3) Conclusion

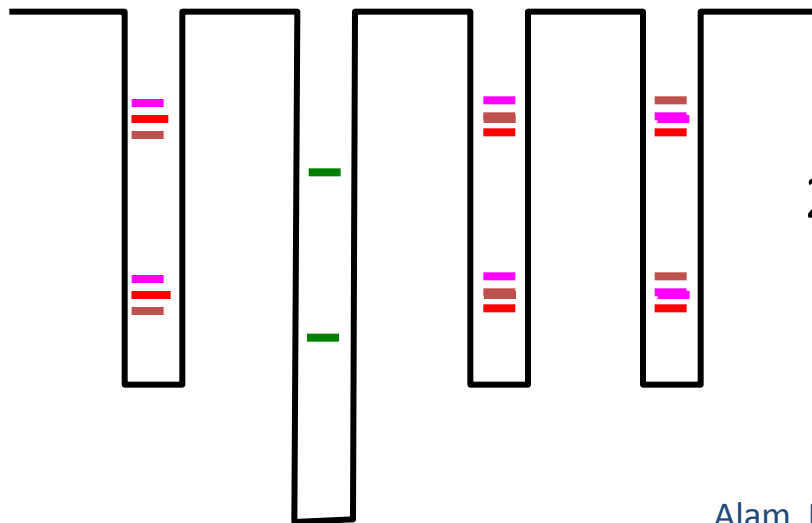
# Characteristics of Donor Atoms



# Localized vs. Delocalized States



$2N$  states/per-band (with spin)

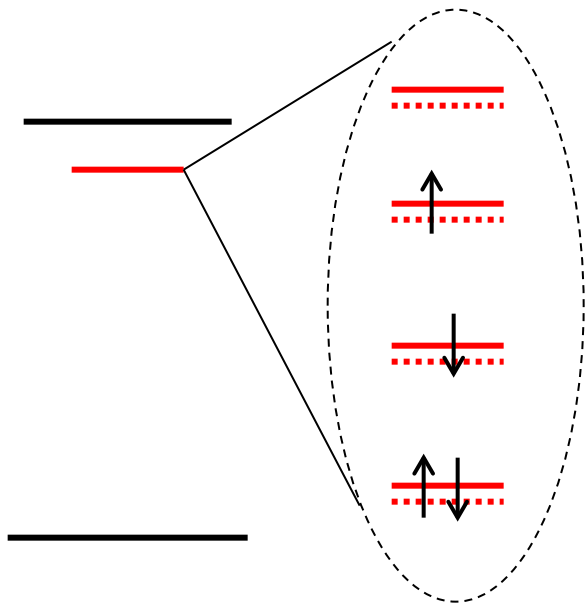


$2N-2$  states/per-band (with spin)



# Statistics of Donor Levels

$$P_i = \frac{e^{-(E_i - N_i E_F) / k_B T}}{\sum_i e^{-(E_i - N_i E_F) / k_B T}} \equiv \frac{e^{-(E_i - N_i E_F) / k_B T}}{Z}$$



$u/d$	$E_i$	$N_i$	$P_i$
0/0	0	0	1/Z

**1/1**    **x**    **x**

x Coulomb interaction forbids this configuration

# Statistics of Donor Levels

$u/d$	$E_i$	$N_i$	$P_i$
0/0	0	0	$1/Z$
0/1	1	1	$e^{-\frac{(E_i - E_F)}{k_B T}} / Z$
1/0	1	1	$e^{-\frac{(E_i - E_F)}{k_B T}} / Z$

Prob. that the donor is empty (charged)

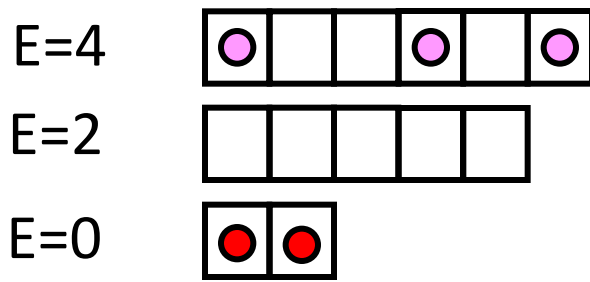
$$f_{00} = \frac{P_{00}}{P_{00} + P_{01} + P_{10}} = \frac{1/Z}{1/Z + 2e^{-(E_i - E_F)/k_B T} / Z} = \frac{1}{1 + 2e^{(E_F - E_i)/k_B T}}$$

Prob. that the donor is filled with at least one electron (neutral)

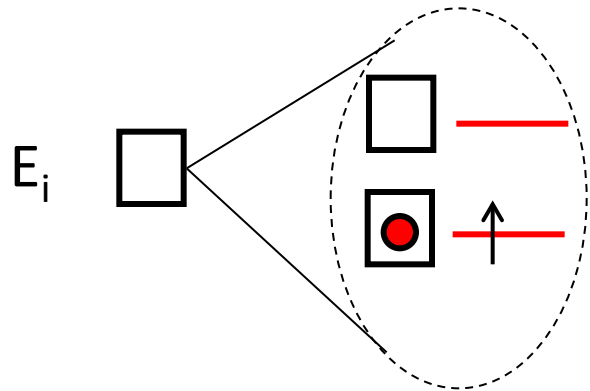
$$1 - f_{00} = 1 - \frac{1}{1 + 2e^{(E_F - E_i)/k_B T}} = \frac{1}{1 + \frac{1}{2}e^{(E_i - E_F)/k_B T}}$$

Note the extra factor ...

# Coulomb Exclusion for Band Electrons?

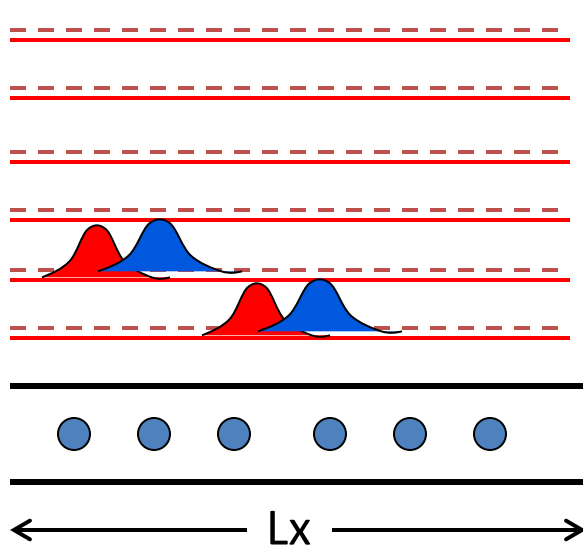


$$P_i = \frac{e^{-(E_i - N_i E_F) / k_B T}}{\sum_i e^{-(E_i - N_i E_F) / k_B T}} \equiv \frac{e^{-(E_i - N_i E_F) / k_B T}}{Z}$$



<i>state</i>	$E_i$	$N_i$	$P_i$
0	0	0	$1/Z$
1	1	1	$e^{-\frac{(E_i - E_F)}{k_B T}} / Z$

# Localized vs. Band Electrons



$$E_6 \leftarrow 12\pi/L_x$$

$$E_5 \leftarrow 10\pi/L_x$$

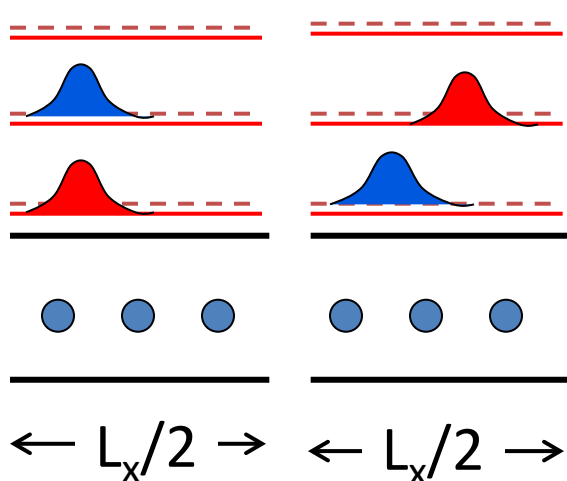
$$E_4 \leftarrow 8\pi/L_x$$

$$E_3 \leftarrow 6\pi/L_x$$

$$E_2 \leftarrow 4\pi/L_x$$

$$E_1 \leftarrow 2\pi/L_x$$

Two electrons (even with opposite spin) can not be at the same position and same energy because of electrostatic repulsion



$$E_3' \leftarrow 6\pi/(L_x/2)$$

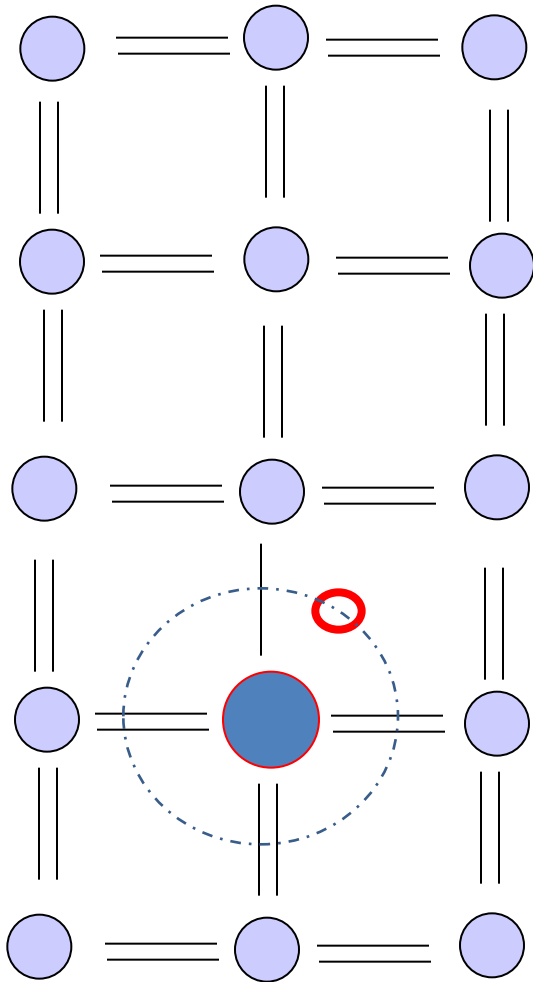
$$E_2' \leftarrow 4\pi/(L_x/2)$$

$$E_1' \leftarrow 2\pi/(L_x/2)$$

Band electrons (with opposite spin) need not be at the same position, so they can share occupy same energy level.

When we divide space by a factor of 2, the number of states (e.g. 6 here) does not change.

# Acceptor Atoms

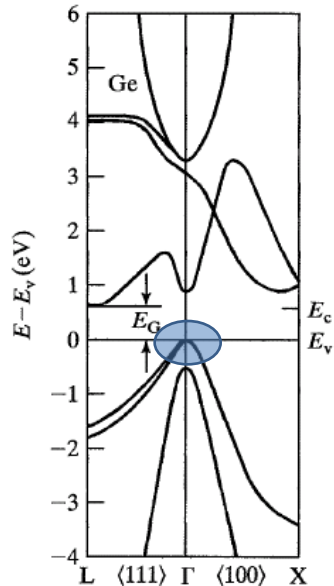
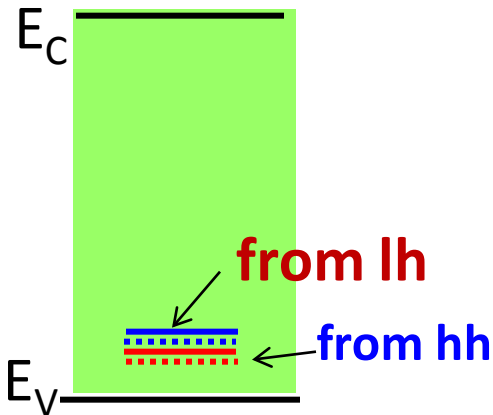


	II	III	IV	V	VI
4 Be	5 <b>B</b>	6 C	7 N	8 O	
12 Mg	13 <b>Al</b>	14 <b>Si</b>	15 <b>P</b>	16 S	
30 <b>Zn</b>	31 <b>Ga</b>	32 Ge	33 <b>As</b>	34 <b>Se</b>	
48 Cd	49 In	50 Sn	51 Sb	52 Te	
80 Hg	81 Tl	82 Pb	83 Bi	84 Po	

State [1] ... Hole present ...  $N-1$  charges

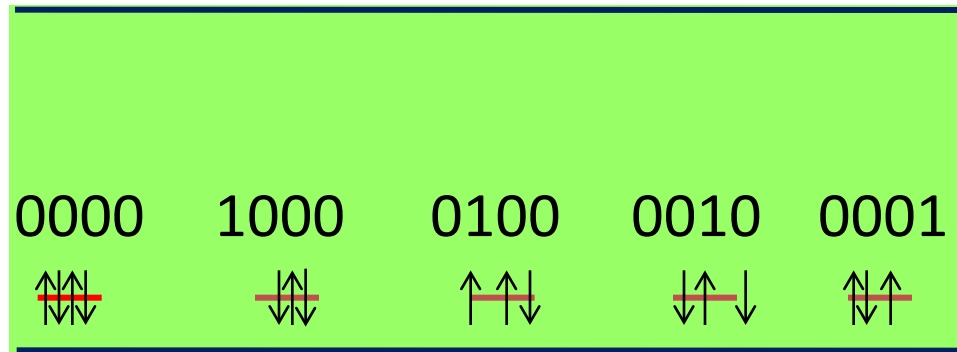
State [0] ... Hole filled ...  $N$  charges

# Statistics of Acceptor Levels in Si and Ge



1. Each atom contributes 2 states (up & down spin) to a band, therefore a band has  $2N$  states.
2. Every time a host atom is replaced by a impurity atom, 2 states disappear per a band and appear as localized states (sort of).
3. Therefore an acceptor atom close to hh and lh bands removes four states from those bands.
4. Because of Coulomb interaction only 1 hole can seat in these 4 states: the states are 0000, 0001, 0010, 0100, 1000.
5. One now uses  $P_i$  to compute the occupation of acceptors.

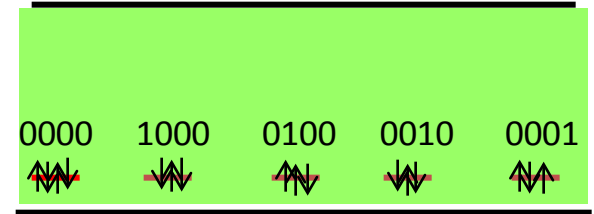
# Number and Energy Considerations ...



4N-4 States  
In HH/LH bands

- 1) [0000] is the charged state as it has N electrons, but N-1 protons.
- 2) Single hole configuration [0001] is uncharged, as we have N-1 electrons, and N-1 protons ... same is true for [0010], [0100], [1000] states.
- 3) Going from [0000] to [0001] states, the number of electrons goes down by 1 ( $N_i = -1$ ).
- 4) Going from [0000] to [0001] states energy goes down by  $-E_A$ , because one electron is no longer occupying the high energy level at  $E_A$ .

# Statistics of Acceptor Levels



$$P_{0000} = \frac{e^{-(0-0)E_F / k_B T}}{\sum_i e^{-(E_i - N_i E_F) / k_B T}} \equiv \frac{1}{Z}$$

Steps 3 & 4

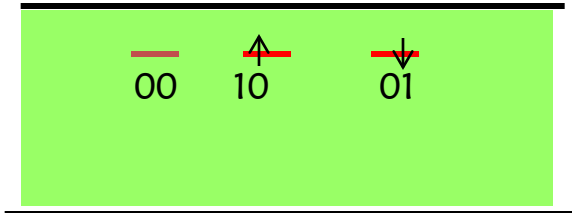
$$P_{0001} = P_{0010} = P_{0100} = P_{1000} = \frac{e^{-(-E_A - (-1)E_F) / k_B T}}{\sum_i e^{-(E_i - N_i E_F) / k_B T}} \equiv \frac{e^{(E_A - E_F) / k_B T}}{Z}$$

$$f_{0000} = \frac{P_{0000}}{P_{1000} + P_{0100} + P_{0010} + P_{0001}} = \frac{1}{1 + 4e^{(E_A - E_F) / k_B T}}$$

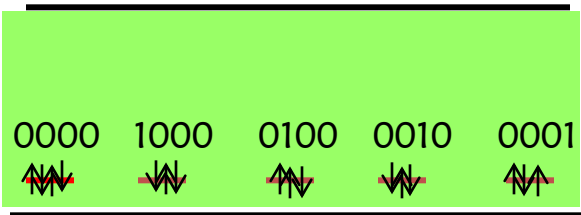


# Filled and empty Donor/Acceptor Levels

2N-2 states



$$N_D^{empty} \equiv N_D^+ = N_D f_{00} = N_D \frac{1}{1 + 2e^{(E_F - E_i)/k_B T}}$$



$$N_A^{filled} \equiv N_A^- = N_A [f_{0000}] = N_A \frac{1}{1 + 4e^{(E_A - E_F)/k_B T}}$$

4N-4 States

In HH/LH bands

(Two holes can not seat together)

# Distributions are physical ...

$$f_D = \frac{N_D}{1 + g_D e^{(E_F - E_D)/k_B T}}$$

Degeneracy factor ...



$$f_D = \frac{N_D}{1 + e^{\varepsilon/k_B T} e^{(E_F - E_D)/k_B T}} = \frac{N_D}{1 + e^{(E_F - E_D')/k_B T}}$$

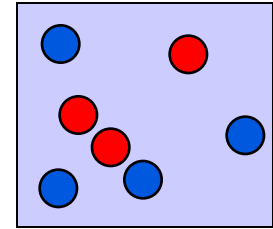
Effective donor level



# Summary ...

A bulk material must be charge neutral over all ...

$$\int [p - n + N_D^+ + N_A^-] dV = 0$$



Further if the material is *spatially homogenous*

$$p - n + N_D^+ + N_A^- = 0$$

$$N_V e^{-(E_F - E_V)/k_B T} - N_A e^{-(E_C - E_F)/k_B T} + \frac{N_D}{1 + 2e^{(E_F - E_D)/k_B T}} - \frac{N_A}{1 + 4e^{(E_A - E_F)/k_B T}} = 0$$

# Conclusions

Intrinsic concentration of electrons in a semiconductor is relatively small.

The statistics of dopant levels are different because they are close to the conduction band and because they are localized. Influenced by pair-wise coulomb repulsion.

The statistics of donor and acceptor atoms are also different, because donor levels couple with single conduction band, while acceptor levels couple with two valence bands.