



The Challenges of Micro-System Product Development

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<http://www.mems.sandia.gov/>



Sandia is a multiprogram laboratory operated by Sandia Corporation, a Lockheed Martin Company,
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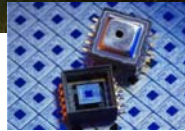
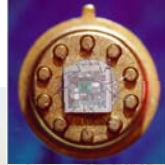




Topics

- **MEMS Products**
- **History**
- **Issues of Scale**
- **Fabrication Processes**
- **Reliability & Problems to be solved**
- **New Applications –Approaches – Problems to be solved**

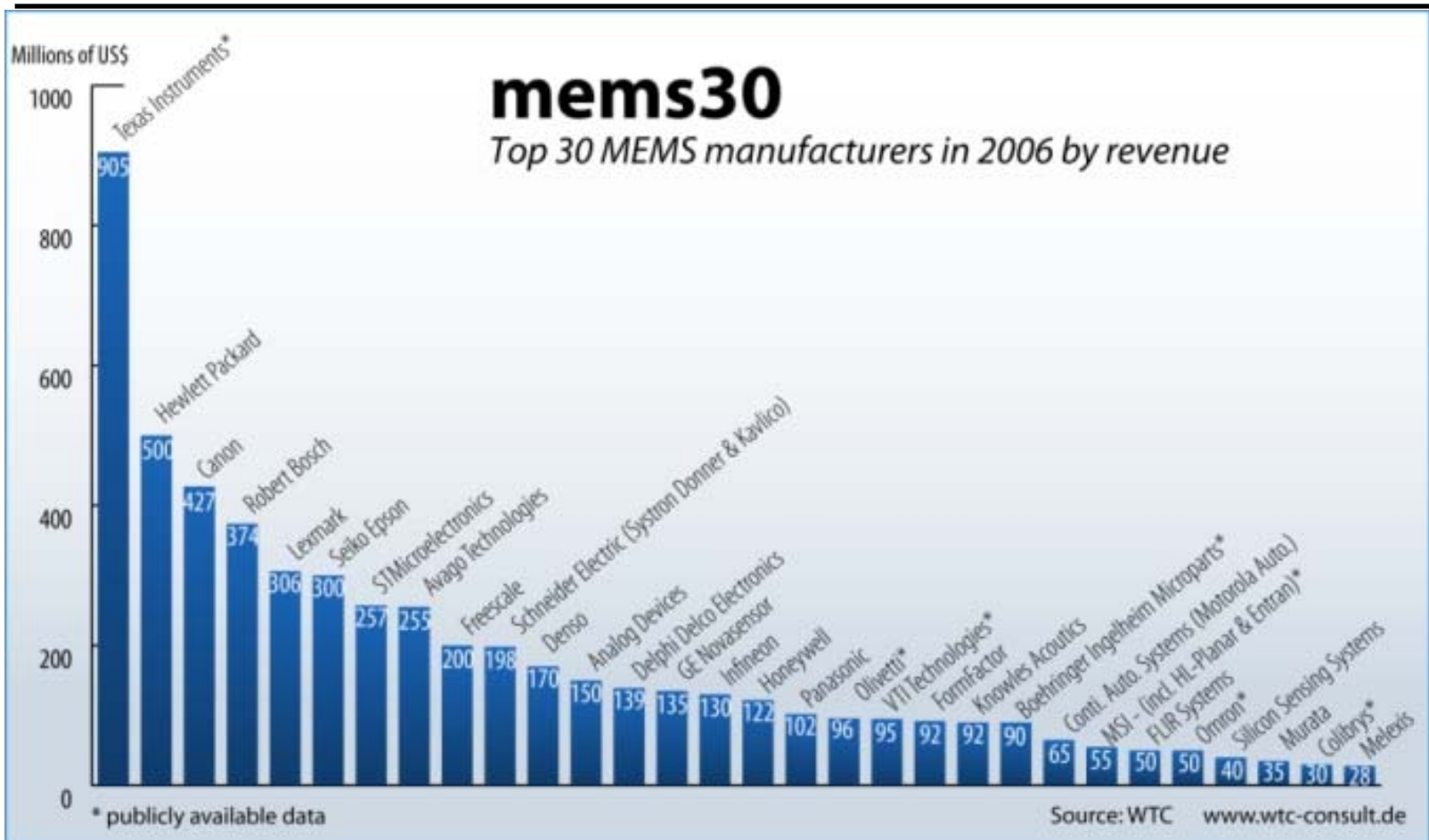
MEMS are everywhere!



QuickTime™ and a TIFF (Uncompressed) decompressor are needed to see this picture.



Top MEMS Manufacturers in 2006



- http://www.memsinvestorjournal.com/2007/04/ranking_of_top_.html#more

Vision of Micro-Systems

- **“There’s Plenty of Room at the Bottom”, 1959, California Institute of Technology**

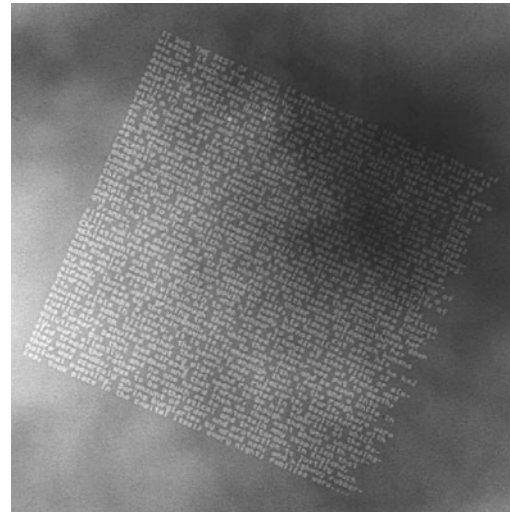
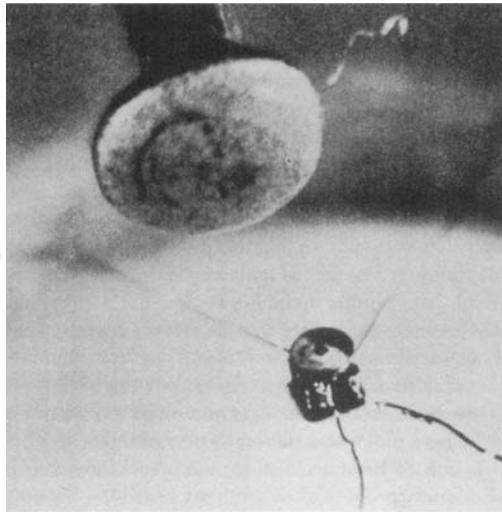
- **2 Challenges:**

- **Construct a working electric motor able to fit in a 1/64 inch cube**
- **Print text at a scale that the Encyclopedia Britannica could fit on the head of a pin**



Richard P. Feynman
(1918-1988)


William McLellan, 1960



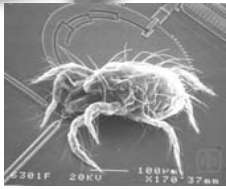
T. Newman,
R.F.W. Pease,
1985

The Scale of Things – Nanometers and More


Things Natural



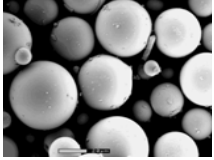
Ant
~ 5 mm



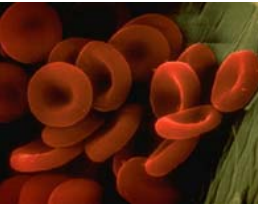
Dust mite
200 μm



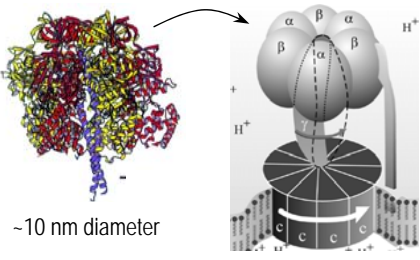
Human hair
~ 60-120 μm wide



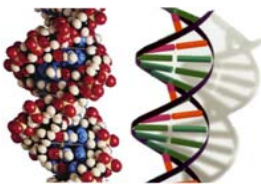
Fly ash
~ 10-20 μm



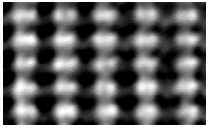
Red blood cells
(~7-8 μm)



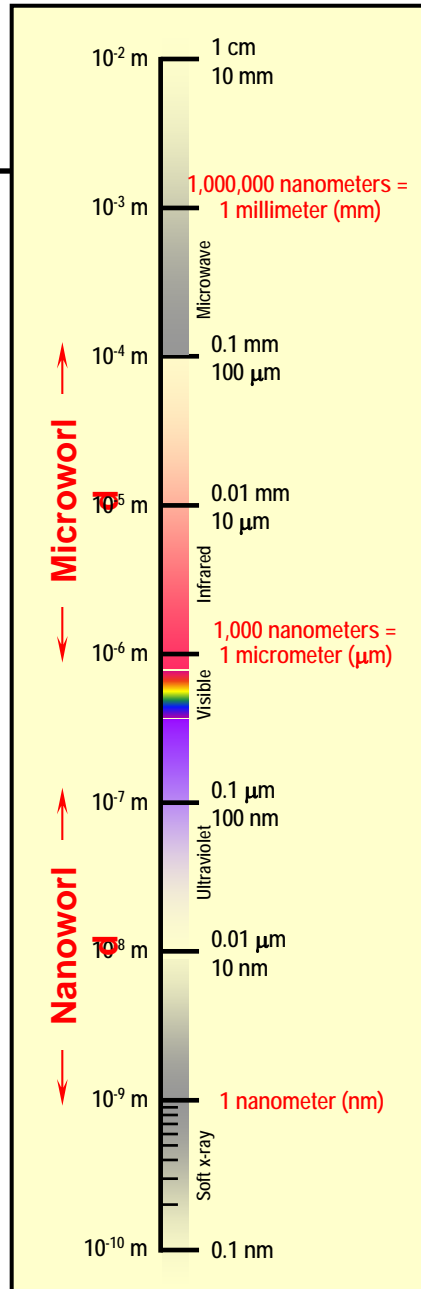
ATP synthase
~10 nm diameter




DNA
~2-1/2 nm diameter



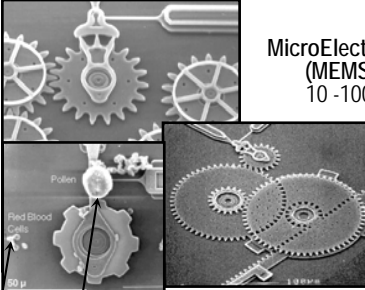
Atoms of silicon
spacing 0.078 nm



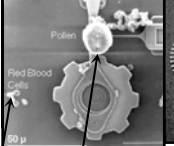
Things Manmade



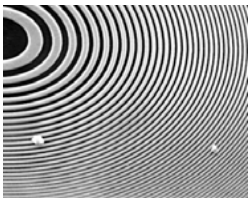
Head of a pin
1-2 mm



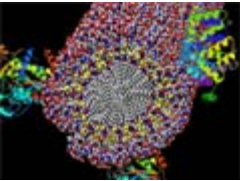
MicroElectroMechanical (MEMS) devices
10 - 100 μm wide



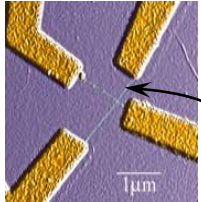
Pollen grain
Red blood cells



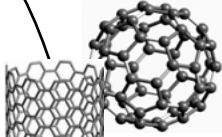
Zone plate x-ray "lens"
Outer ring spacing ~35 nm




Self-assembled, Nature-inspired structure
Many 10s of nm



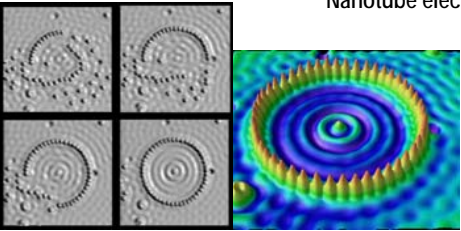
Nanotube electrode



Carbon buckyball
~1 nm

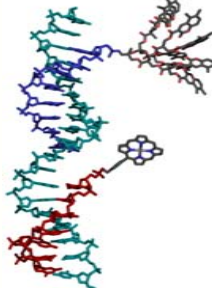


Carbon nanotube
~1 μm diameter



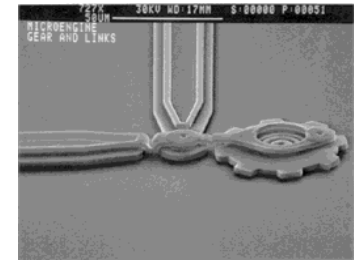
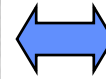
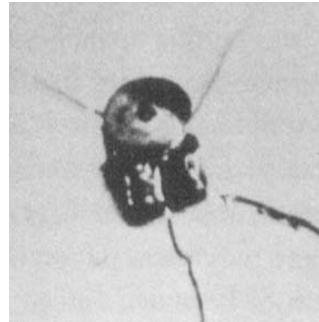
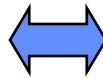
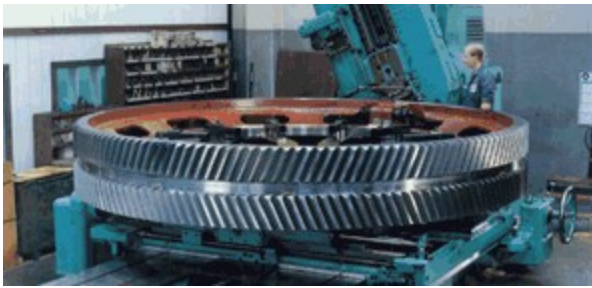
Quantum corral of 48 iron atoms on copper surface
positioned one at a time with an STM tip
Corral diameter 14 nm

The Challenge



Fabricate and combine nanoscale building blocks to make useful devices, e.g., a photosynthetic reaction center with integral semiconductor storage.

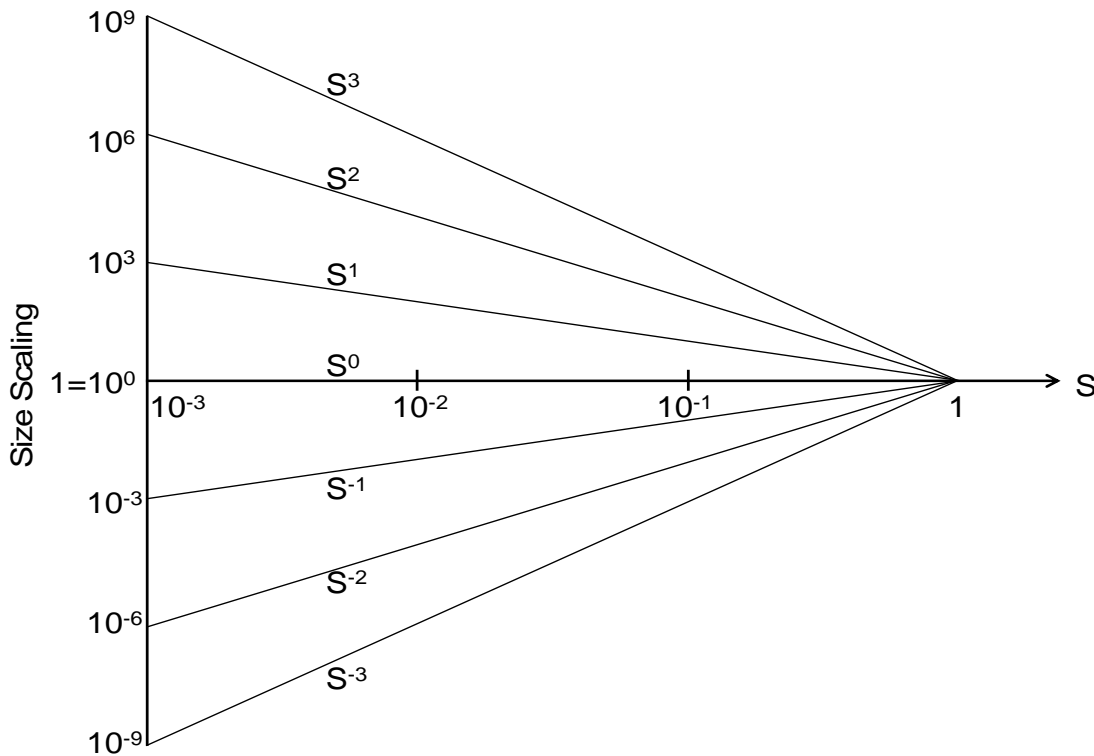
Effect of Reduction in Scale



Why does a change in scale matter?

- Entering different physics regimes at a particular scale.
- Physical phenomena scale at different rates which changes their relative importance.

Use a Scaling Parameter to evaluate Scale effects



$$X_s = S X_0$$

Scaling
Parameter

Geometric Scaling

GEOMETRY SCALING

$$\mathbf{X}_s = S \mathbf{X}_0$$

$$\mathbf{A}_s = \mathbf{X}_s \mathbf{Y}_s = S^2 \mathbf{X}_0 \mathbf{Y}_0 = S^2 \mathbf{A}_0$$

$$\mathbf{V}_s = \mathbf{X}_s \mathbf{Y}_s \mathbf{Z}_s = S^3 \mathbf{X}_0 \mathbf{Y}_0 \mathbf{Z}_0 = S^3 \mathbf{V}_0 \longrightarrow \text{Things that depend on Volume are going to decrease dramatically}$$

AREA - VOLUME RATIO SCALING

$$\mathbf{A}_s / \mathbf{V}_s = 1/S (\mathbf{A}_0 / \mathbf{V}_0) \longrightarrow \text{Things that depend on this ratio will increase in importance}$$



Mechanical Scaling

Mass: cubically reduced

$$M_s = \rho S^3 V_o = S^3 M_o$$

Stiffness: Linearly reduced

$$K_{bending} \propto \frac{EI}{L^3} \propto \frac{Ewt^3}{L^3} \propto S$$

$$K_{axial} \propto \frac{EA}{L} \propto \frac{Ewt}{L} \propto S$$

Natural Frequency: increases

$$f_n = \frac{1}{2\pi} \sqrt{\frac{K}{M}} \propto \sqrt{\frac{K}{M}} \propto \sqrt{\frac{S}{S^3}} \propto \frac{1}{S}$$



Thermal Scaling

Thermal Mass: *proportional to volume*

$$mc_p \Delta T = \rho V c_p \Delta T$$

Thermal Conductivity: *Proportional to Area*

$$q = KA \nabla T \quad \text{conduction}$$

$$q = hA(T_w - T_\infty) \quad \text{convection}$$

$$q = A \sigma T^4 \quad \text{radiation}$$

Thermal Diffusivity (time constant): *Proportional to Vol/Area*

$$\tau = \frac{mc_p}{kA} = \left(\frac{\rho c_p}{k} \right) \left(\frac{V}{A} \right) \propto S$$

Fluidic Scaling

Reynolds Number: A measure of the transition between laminar and turbulent flow

Laminar flow: $Re < 2000$

Turbulent Flow: $Re > 4000$



$$Re = \frac{\rho V D}{\mu} \propto S$$

Micro Domain is dominated by laminar flow.



Scaling of Electrical and Magnetic Fields

Energy Density:

$$U_{electric} = \frac{1}{2} \epsilon E^2 \quad E_{breakdown} = 3 \text{MV/M} \Rightarrow U_{electric} = 40 \text{ J/M}^3$$

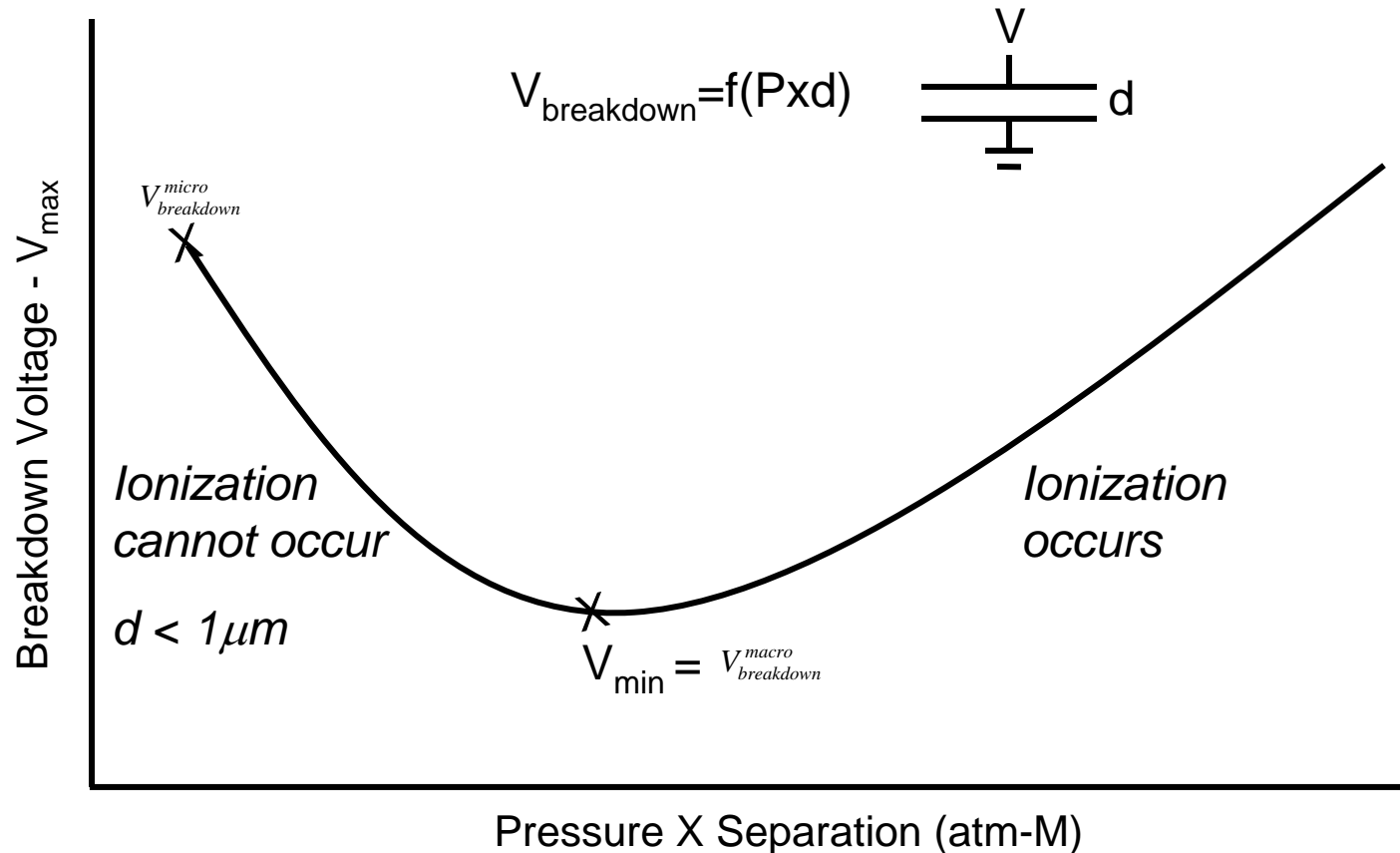
$$U_{magnetic} = \frac{1}{2} \frac{B^2}{\mu} \quad B_{sat} = 1 \text{T} \Rightarrow U_{mag} = 4 \times 10^5 \text{ J/M}^3$$

Magnetic actuation dominates in the Macro world due to the calculations above.

But Magnetics **does not** dominate in the Micro world.

Why?

Paschen's Law



F. Paschen, Wied. Ann., 37, 69, 1889



Scaling of Electrical and Magnetic Fields

$E_{\text{breakdown}} = 3 \times 10^8 \text{ V/M}$ for small gap of $\sim 1 \text{ } \mu\text{m}$ or less

$$\therefore \Rightarrow U_{\text{electric}} = 4 \times 10^5 \text{ J/M}^3$$

which is now comparable to magnetics

However,

* Magnetics has fabrication issues at the microscale

• For magnetic field constant $B = B_{\text{sat}}$

• Electric Field increases with decreasing gap ($E \propto \frac{1}{S}$) up to the breakdown voltage.

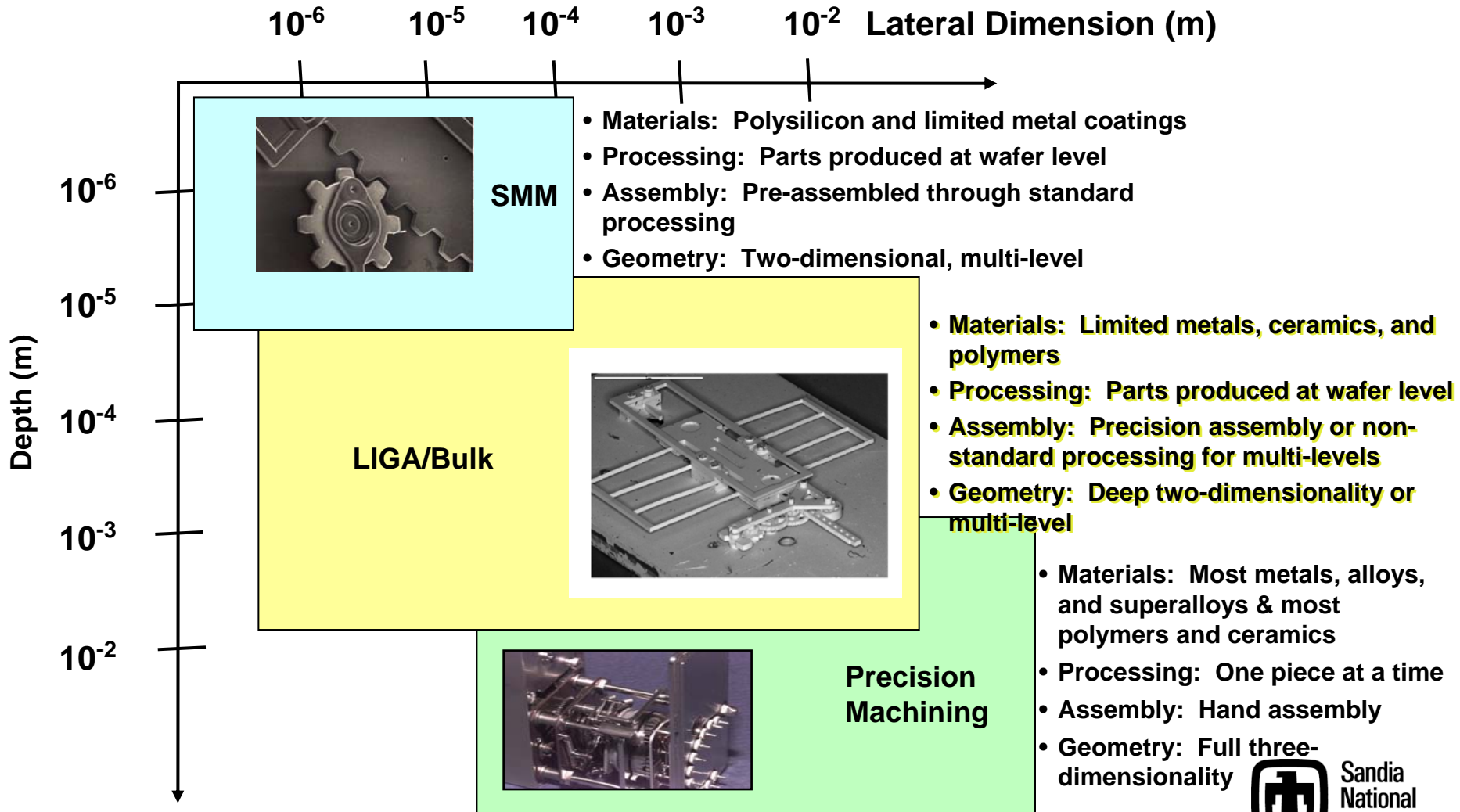


Physical Phenomena Change

The breakdown of the continuum

- **Paschen Effect**
- **M.F.P 0.1 μM of air at STP**
- **Material crystal sizes in polycrystalline material
~0.1 μM**
- **Magnetic Domains ~10-25 micron**

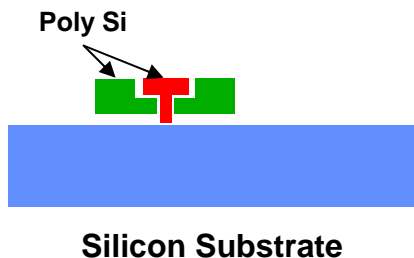
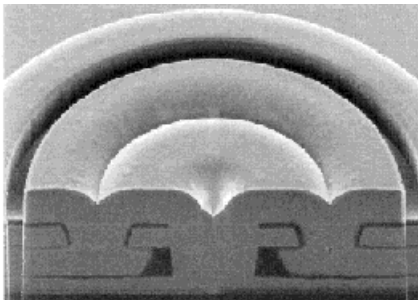
A Continuum of Microsystems Fabrication Technologies



Three Dominant MEMS Fabrication Technologies

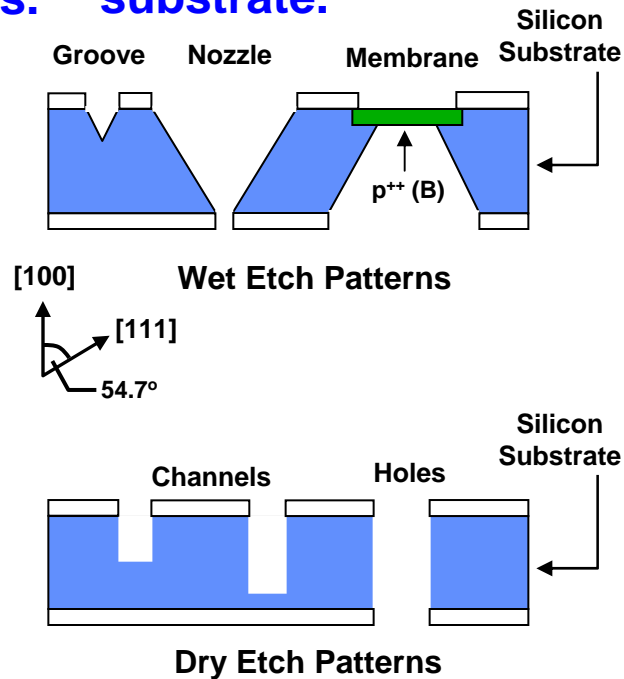
Surface Micromachining

structures formed by deposition and etching of sacrificial and structural thin films.



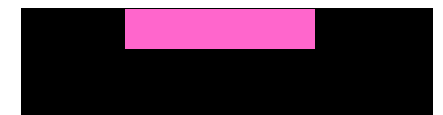
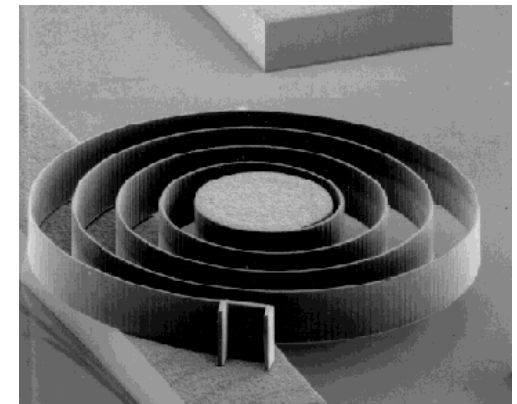
Bulk Micromachining

3D structures formed by wet and/or dry etching of silicon substrate.



LIGA

3D structures formed by mold fabrication, followed by injection molding/electroplating





Manufacturing Effect of Reduction in Scale

• **Size ↓ Relative Manufacturing Precision ↓**

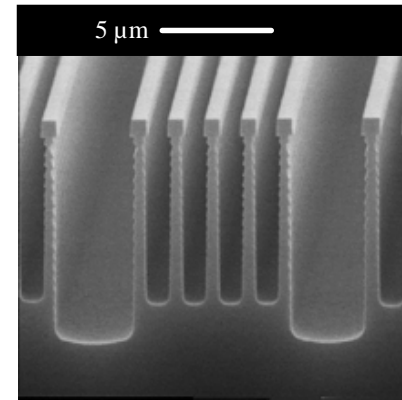
– **Dimensional Tolerance/Nominal Dimension**

• **Micro Scale (1-100 μ m) \approx 0.1% - 1%**

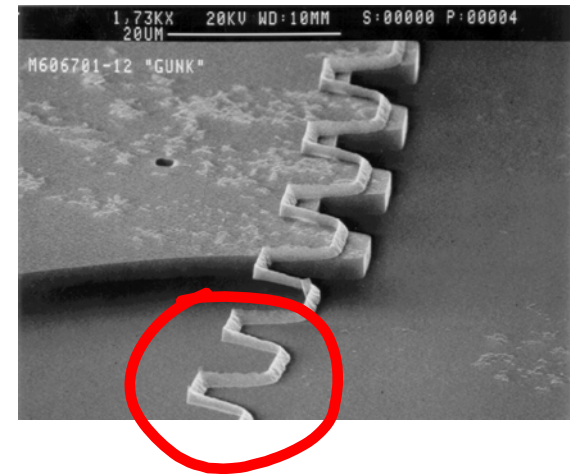
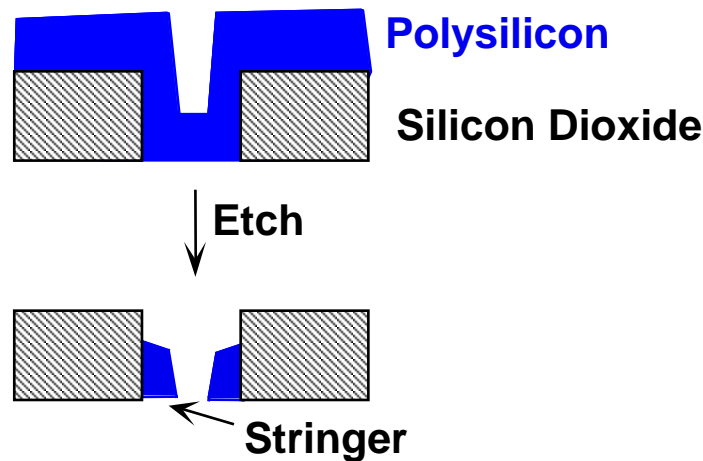
• **Macro Scale (1cm – 1m) \approx 0.001%**

Manufacturing Processes Impose constraints on Design

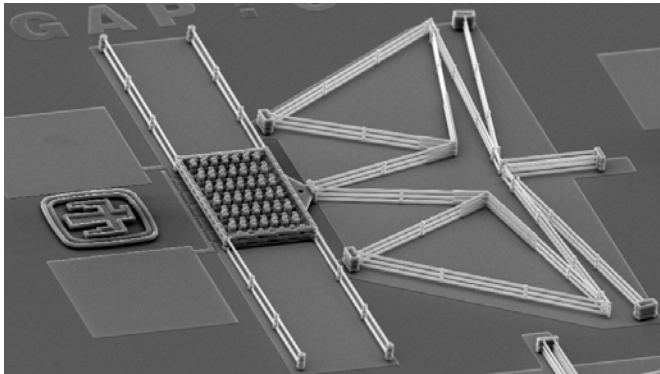
- **Bulk Micromachining Example:**
 - Aspect ratio of etches



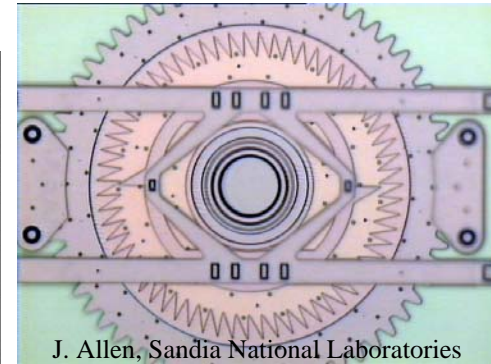
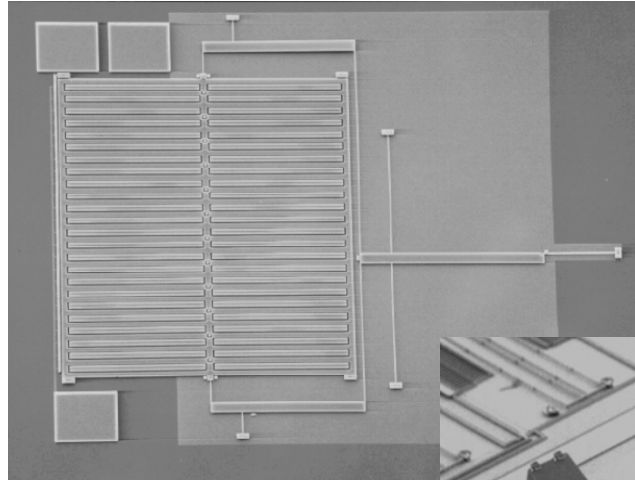
- **Surface Micromachining Example**
 - Stringers



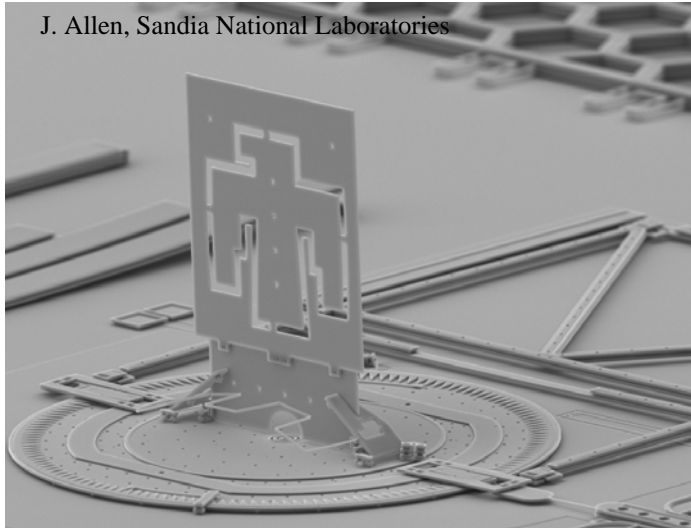
A Variety of Micro Mechanisms are required for Microdevice Applications



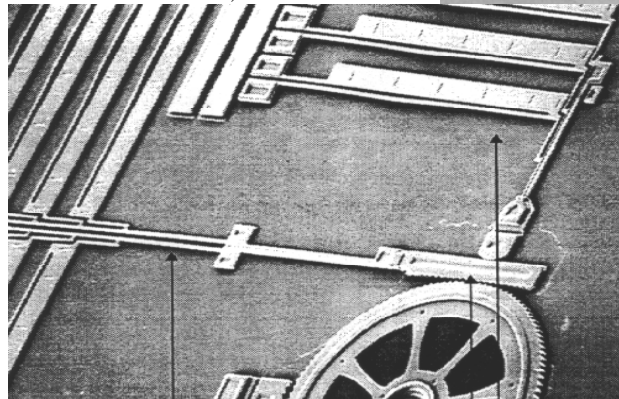
Dr. Kota, U of Michigan, S. Rogers, Sandia National Laboratories



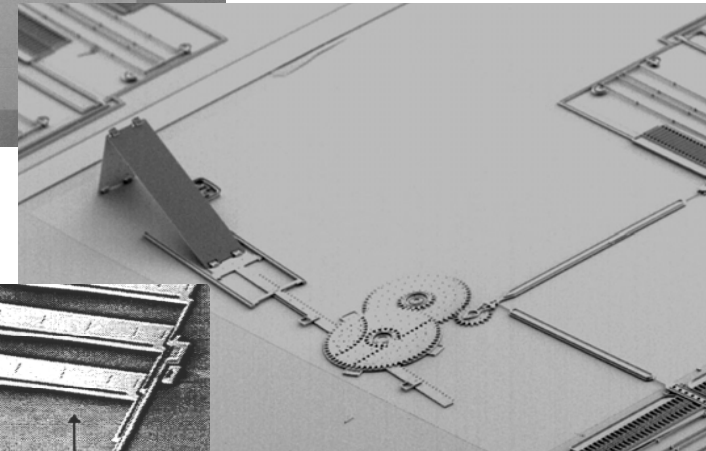
J. Allen, Sandia National Laboratories



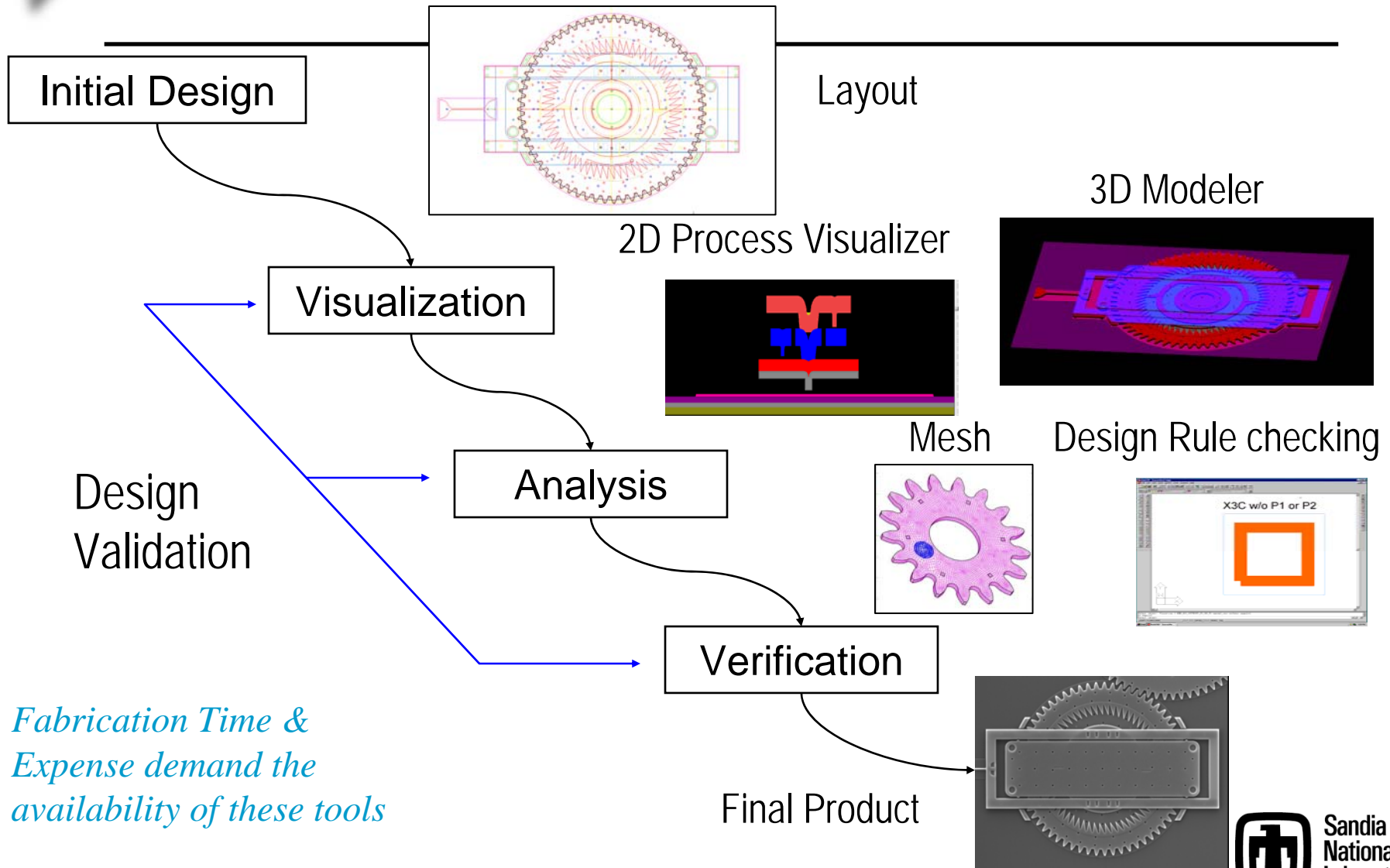
J. Allen, Sandia National Laboratories



Comtois, 1996



CAD Tools are Essential to the Design of Microsystems

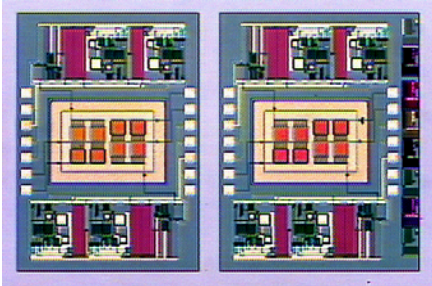


Fabrication Time & Expense demand the availability of these tools

Reliability Concerns Increase With Complexity

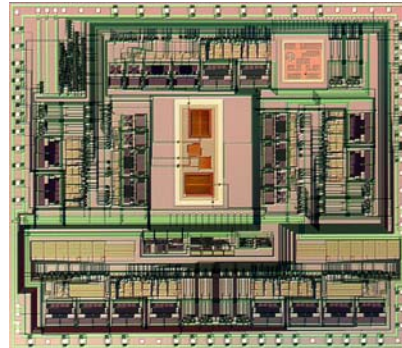
Class I

- No Moving Parts
- e.g., Pressure Sensors*



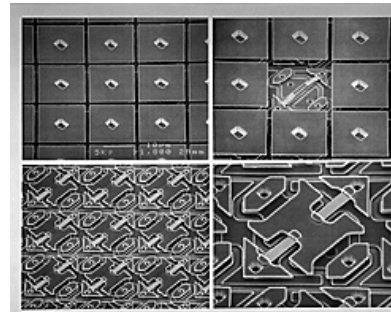
Class II

- Moving Parts
- e.g., Accelerometers*



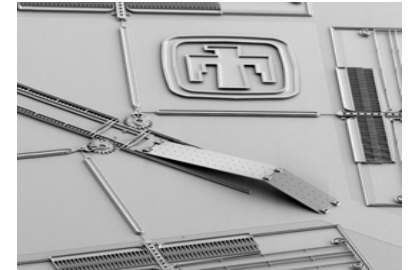
Class III

- Moving Parts
 - Impacting Surfaces
- e.g., Tilting Mirrors*

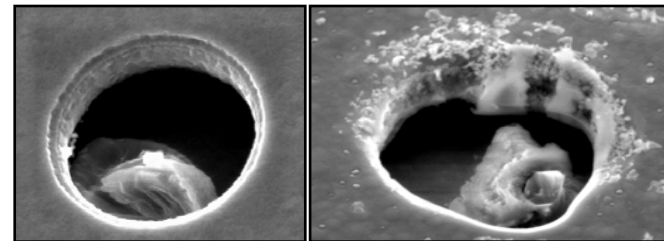
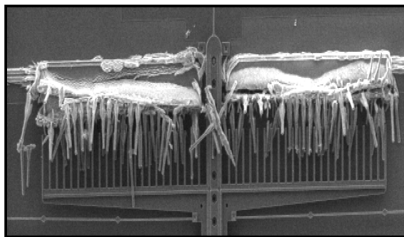


Class IV

- Moving Parts
 - Impacting Surfaces
 - Rubbing Surfaces
- e.g., Gears*

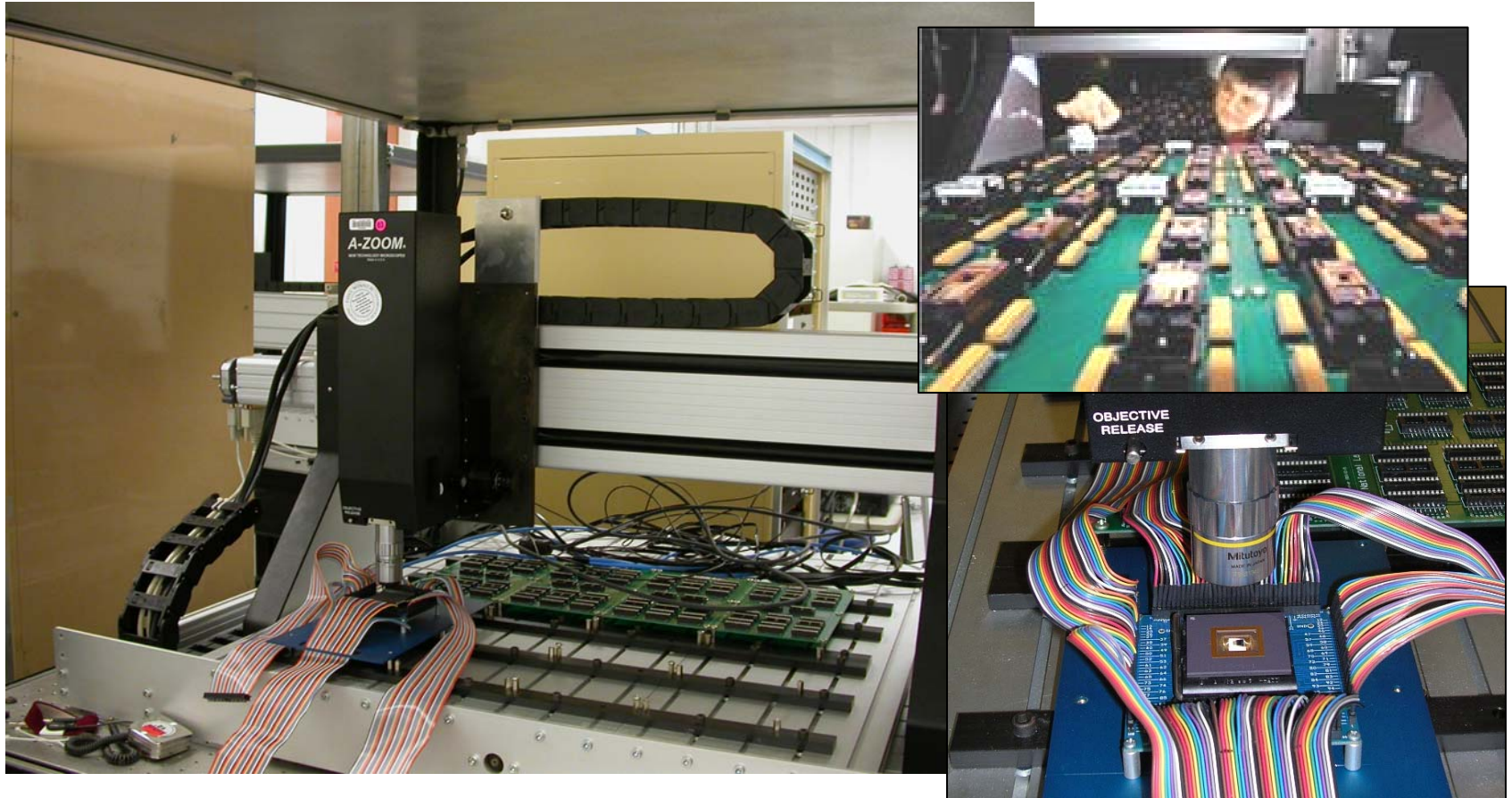


Understand the science of reliability



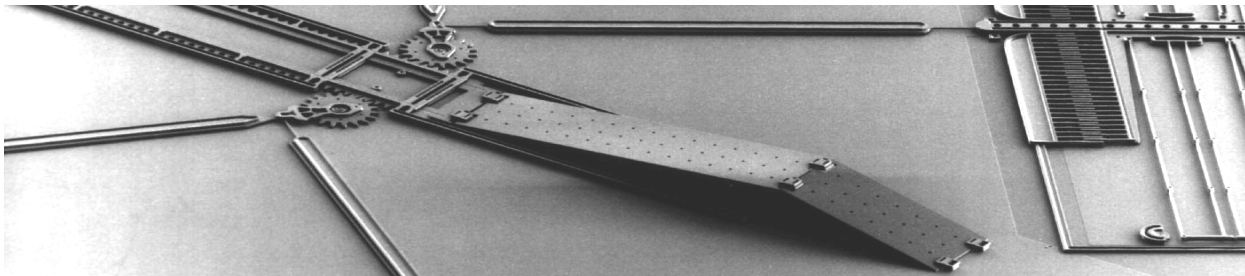
Reliability Testing

Sandia High-volume Micromachine Measurement of Reliability



MEM Performance Measurement Issues

- These are **small devices** (microns)
- Structures may **move very fast** (>1 kHz, >100000 rpm)
- **Small displacements** can occur (angstroms - microns)
- Displacements can be **in plane** or **out of plane**
- **High voltages** may be required (many 10s of volts)
- **Complex control signals** may be necessary
- **Direct electrical measurements** are **not typical**



MEMS Electrical Contacts

- **Contact Resistance is a function of Contact Force**
 - An issue at Microscale
- **Materials issues**
 - Contact Stiction
 - Contact Resistance change with age and repeated actuation

Actuators 73 (1999) 138–143

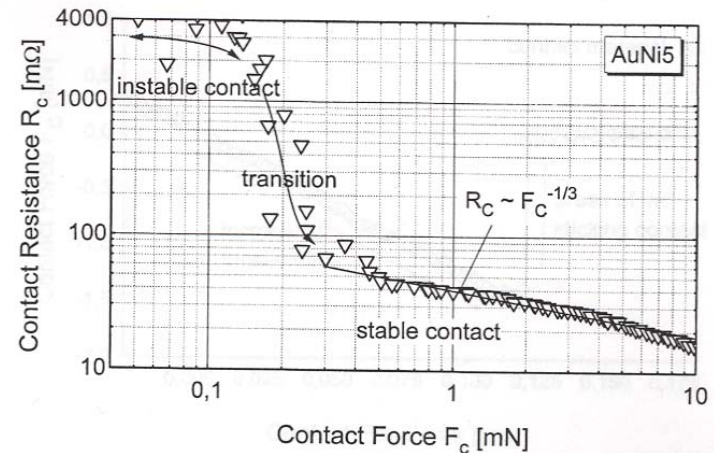
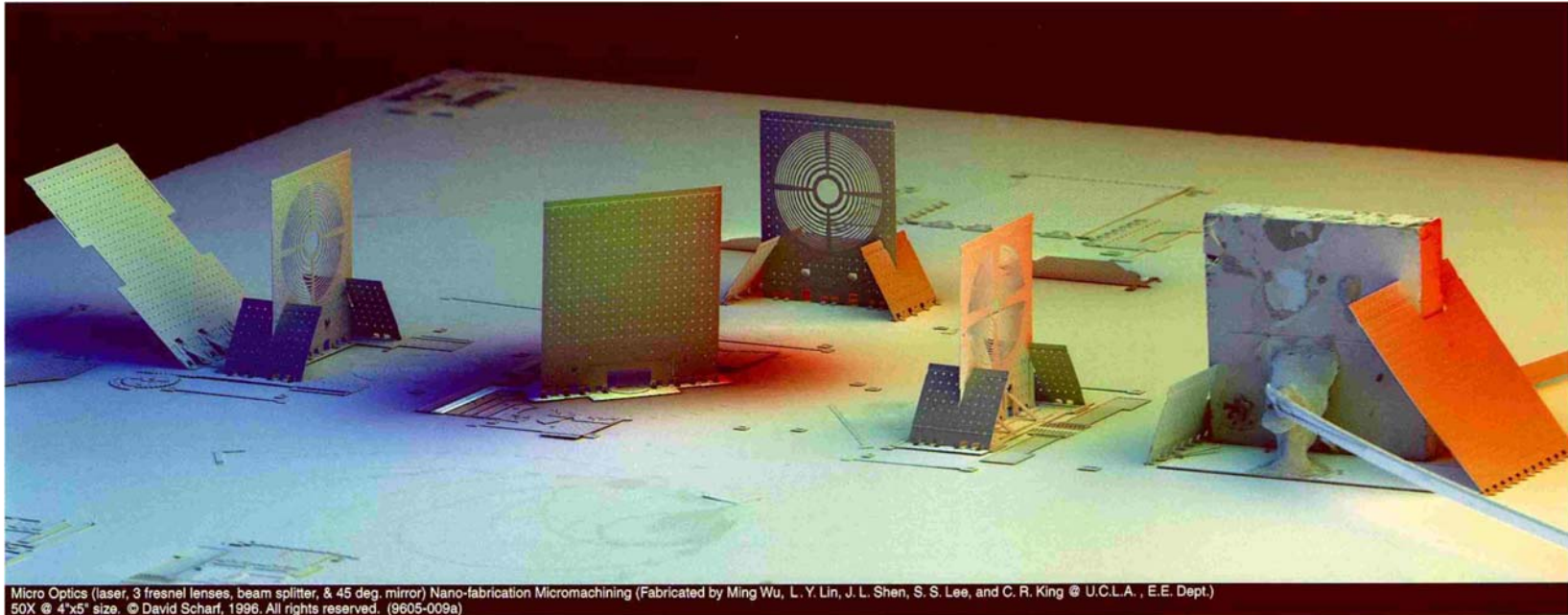


Fig. 4. $R_c - F_c$ characteristics of closing AuNi5 contacts: unstable contact at very low force, transition to lower resistance and the domain of stable contact with the measured resistance force characteristic compared to theoretical relationship according to Holm's model, from Ref. [6].

Optical Bench Example

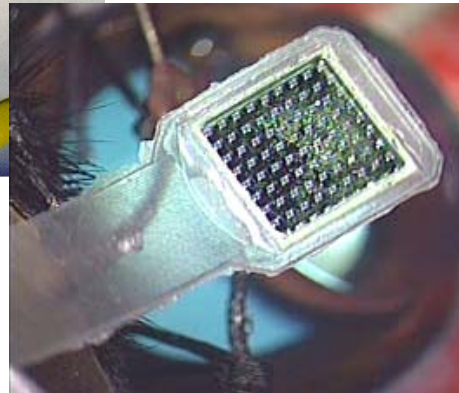
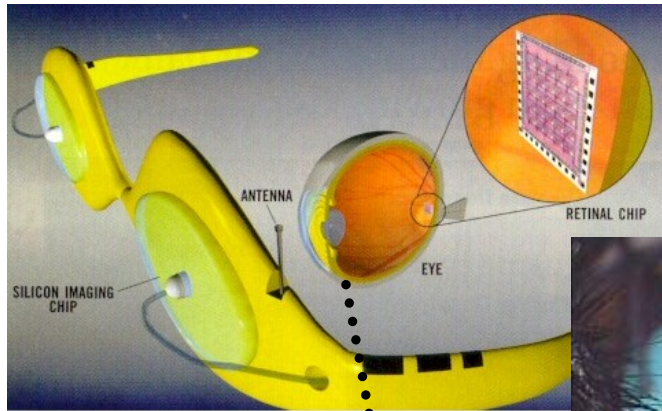


Micro Optics (laser, 3 fresnel lenses, beam splitter, & 45 deg. mirror) Nano-fabrication Micromachining (Fabricated by Ming Wu, L. Y. Lin, J. L. Shen, S. S. Lee, and C. R. King © U.C.L.A., E.E. Dept.)
50X @ 4"x5" size. © David Scharf, 1996. All rights reserved. (9605-009a)

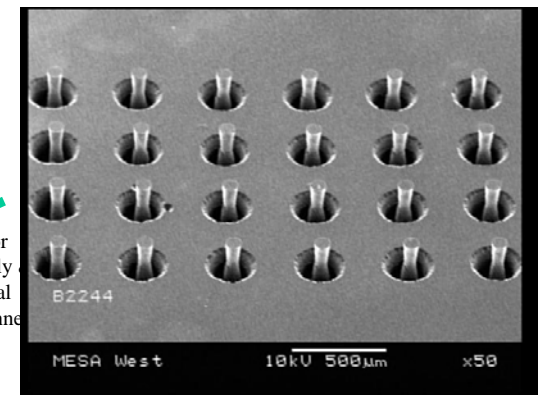
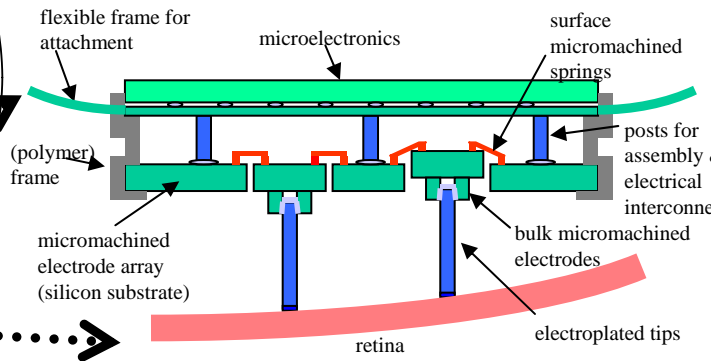
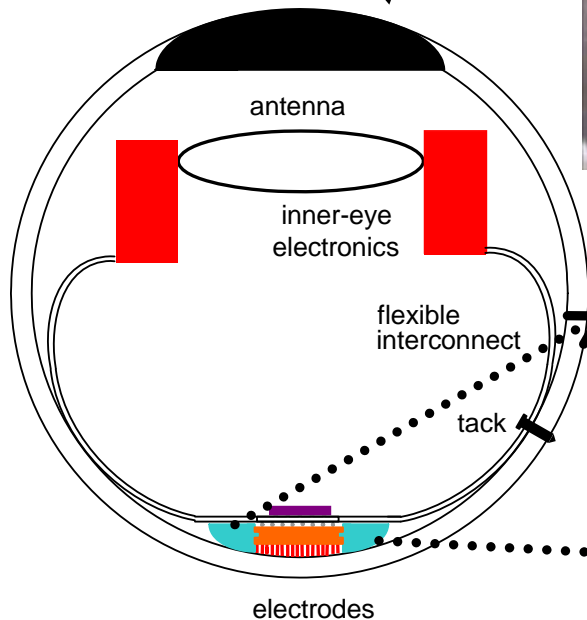
Laser, 3 Fresnel lenses, beam splitter, 45 degree mirror

Dr. Wu, U. of California, Berkley

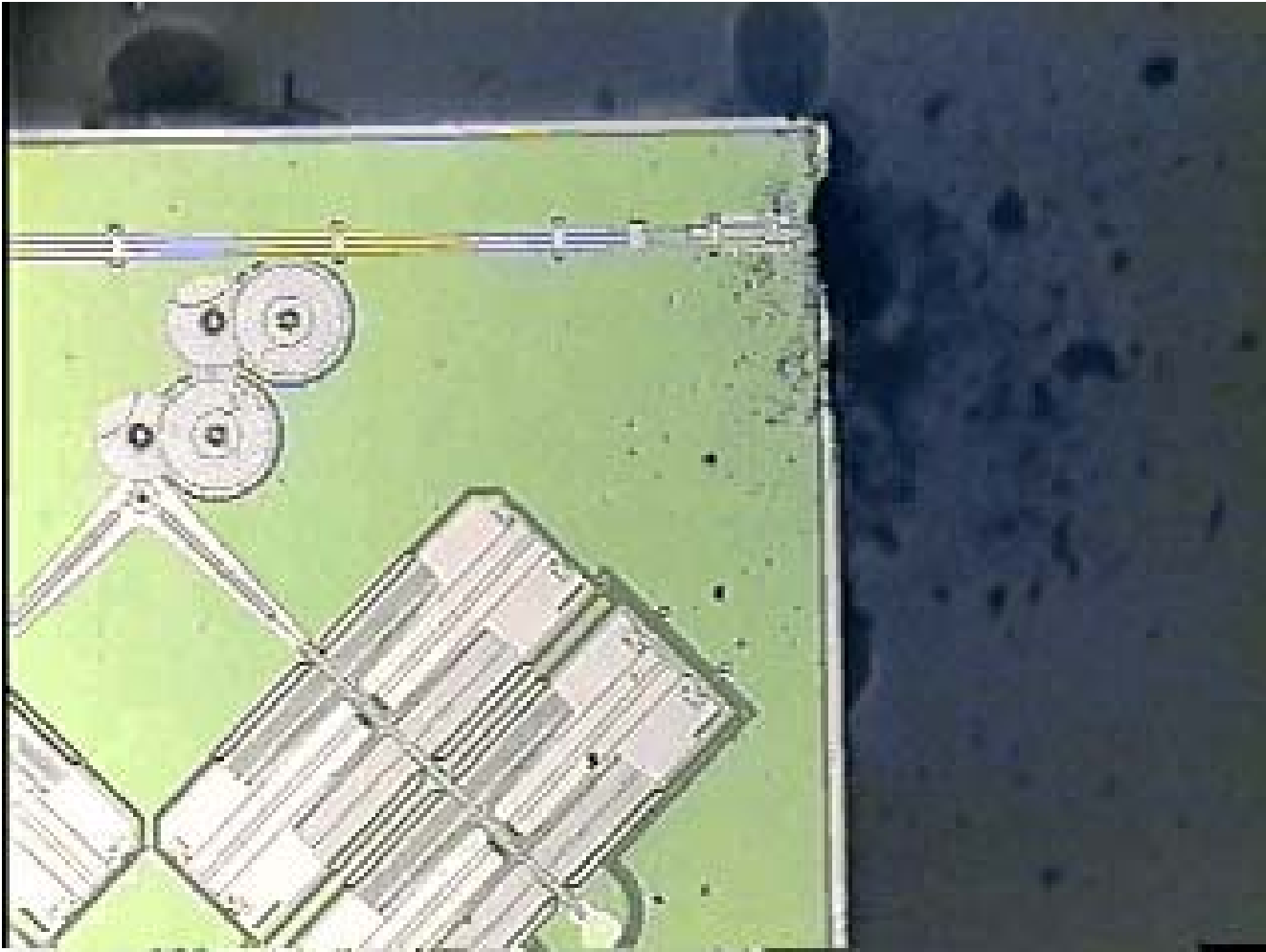
Retinal Implant



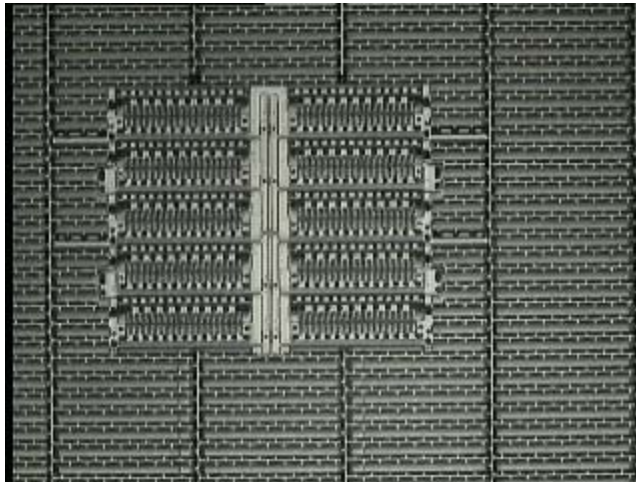
- Electrical stimulation of retinal neurons after light sensitive cells (photoreceptors) are lost.
- Micromachined conformal electrode array provides positive controlled contact with tissue (retina), accommodating overall and local curvature.
- Integrated electronics essential for high electrode count system (on-chip mux/demux for 100+).
- Mechanical test modules in animal tests.



Neural Probes

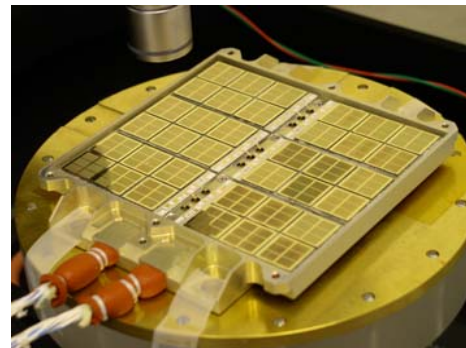


MEMS Variable Emittance Louvers



2592 SUMMiT V™ die with Buried Interconnects

4"x4" Johns Hopkins/APL Experimental Thermal Regulator



3 NASA/Goodard ST5 Microsats Launched 3/22/06

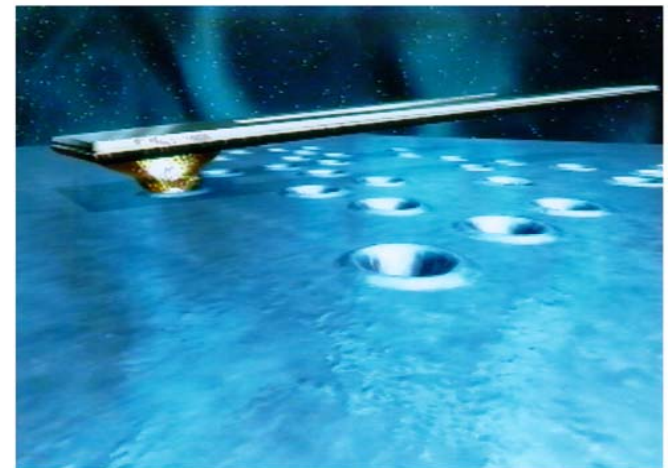
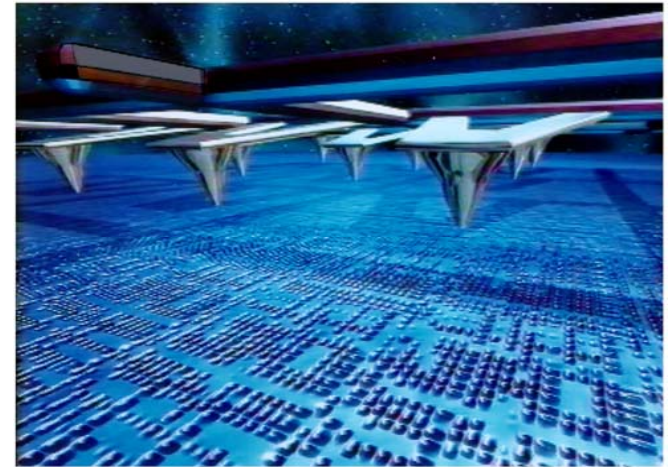
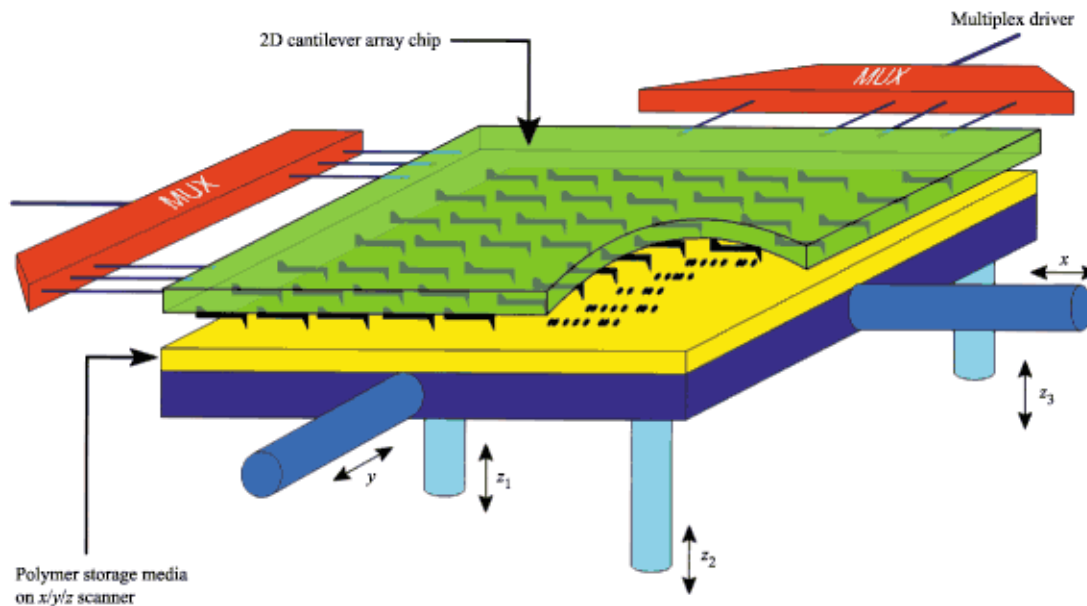


Experimental satellites monitor space weather

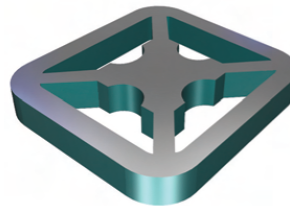


IBM Millipede Storage System

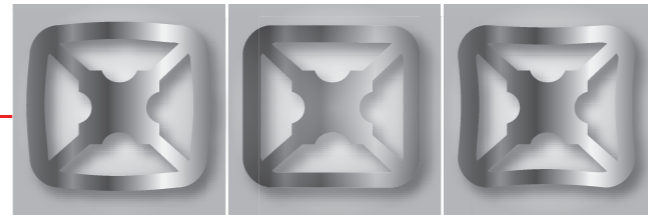
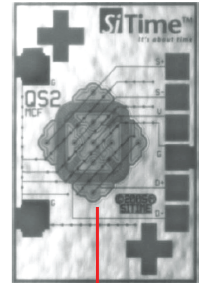
- High density data storage possible(Tb/in^2)
- 4x Magnetic Media
- AFM tip writes and reads data
- Bit set by melting depression into polymer medium



SiTime Resonators

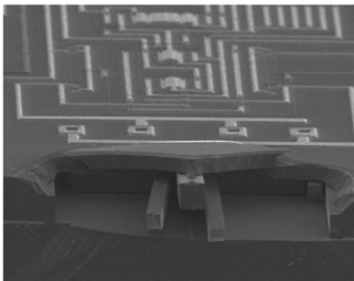


SiTime's revolutionary MEMS First™ technology allows ultra stable mechanical resonators to be integrated into standard silicon chips with performance as good as or better than traditional quartz-based systems.



SiRes™ mechanical resonator vibrating.

Single crystal Si encapsulation layer allows CMOS integration





PRISM Center can greatly Impact Microsystem Development

- **Improve understanding MEMS reliability**
- **Provide the capability for analysis based design versus empirical or design of experiments approach**
- **Provide the ability to include uncertainty of fabrication process and materials in MEMS designs**
- **Increased understanding of the physics of phenomena.**