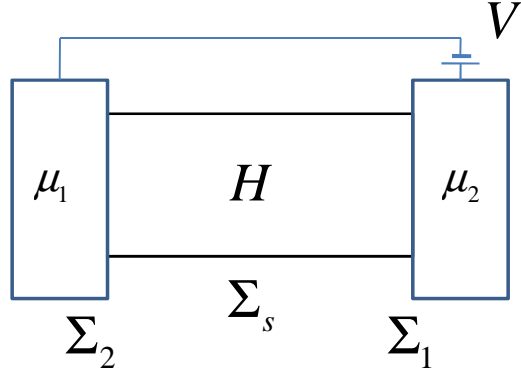


ECE 659 Quantum Transport: Atom to Transistor

Lecture 27: Spin Orbit Interaction

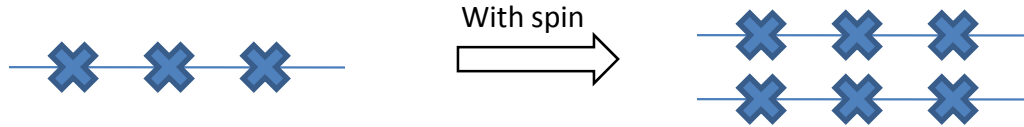
Supriyo Datta

Spring 2009



$$i\hbar \frac{\partial \tilde{\psi}}{\partial t} = \left[\frac{\vec{p} \cdot \vec{p}}{2m} - qV \right] \tilde{\psi} \quad \vec{p} = -i\hbar \nabla$$

$$\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad \sigma_y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \quad \sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$



If we have a magnetic field in z direction
Schrodinger Equation is given by:

$$i\hbar \frac{\partial}{\partial t} \{\psi\} = \begin{bmatrix} H & 0 \\ 0 & H \end{bmatrix} \{\psi\} + \mu_B B_z \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \{\psi\}$$

$$H = \frac{\vec{p} \cdot \vec{p}}{2m} - qV$$

Magnetic field in x direction introduces a coupling:

$$\mu_B B_x \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \{\psi\}$$

In a concise way:

$$\mu_B \vec{\sigma} \cdot \vec{B}$$

Where:

$$\vec{\sigma} \cdot \vec{B} = \sigma_x B_x + \sigma_y B_y + \sigma_z B_z$$

$$= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} B_x + \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} B_y + \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} B_z$$

Schrodinger Equation becomes:

$$i\hbar \frac{\partial}{\partial t} \{\psi\} = \begin{bmatrix} H & 0 \\ 0 & H \end{bmatrix} \{\psi\} + \mu_B \vec{\sigma} \cdot \vec{B} \{\psi\}$$

H in general is given as:

$$H = \left[\frac{(\vec{p} + q\vec{A}) \cdot (\vec{p} + q\vec{A})}{2m} - qV \right] I + \mu_B \vec{\sigma} \cdot \vec{B}$$

$$i\hbar \frac{\partial \Psi}{\partial t} = [H] \Psi$$

We can combine the Lorentz force and Zeeman splitting parts together into:

$$\left[\frac{(\vec{p} + q\vec{A}) \cdot (\vec{p} + q\vec{A})}{2m} - qV \right] I + \mu_B \vec{\sigma} \cdot \vec{B} = \frac{[\vec{\sigma} \cdot (\vec{p} + q\vec{A})]^2}{2m}$$

Schrodinger-Pauli Equation:

In absence of B :

$$[\vec{\sigma} \cdot \vec{p}]^2 = \begin{bmatrix} p_z & p_x - ip_y \\ p_x + ip_y & -p_z \end{bmatrix} \cdot \begin{bmatrix} p_z & p_x - ip_y \\ p_x + ip_y & -p_z \end{bmatrix}$$

$$= \begin{bmatrix} p_z^2 + p_x^2 + p_y^2 & 0 \\ 0 & p_z^2 + p_x^2 + p_y^2 \end{bmatrix}$$

$$= p^2 I$$

So we get back the usual Schrodinger Equation:

$$i\hbar \frac{\partial}{\partial t} \{\psi\} = \frac{1}{2m} \begin{bmatrix} p^2 & 0 \\ 0 & p^2 \end{bmatrix} \{\psi\} - qV \{\psi\}$$

$$= \frac{[\vec{\sigma} \cdot \vec{p}]^2}{2m} \{\psi\} - qV \{\psi\}$$

By introduction of B in previous result:

$$i\hbar \frac{\partial}{\partial t} \{\psi\} = \frac{[\vec{\sigma} \cdot (\vec{p} + q\vec{A})]^2}{2m} \{\psi\} - qV \{\psi\}$$

This is known as **Schrodinger-Pauli Equation**

Dirac's Equation:

Schrodinger Equation is a non-relativistic equation:

$$E = \frac{p^2}{2m}$$

To include relativistic effects we need:

$$E^2 = m^2 c^4 + p^2 c^2$$

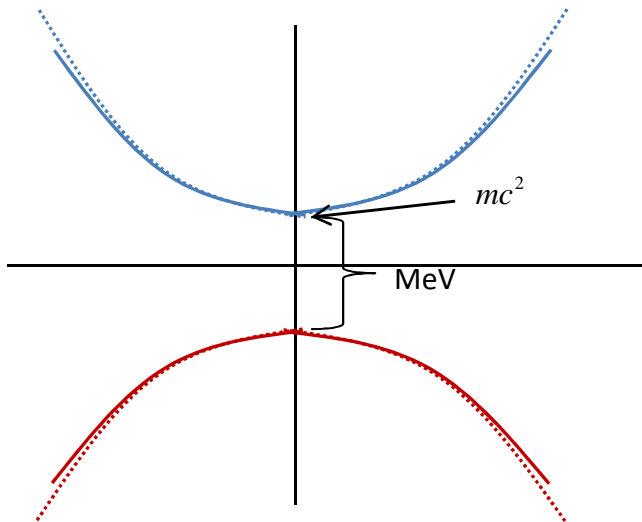
This is achieved by Dirac's Equation by turning Schrodinger's equation into a matrix equation using:

$$E = \pm \sqrt{m^2 c^4 + p^2 c^2}$$

$$i\hbar \frac{\partial \{\psi\}}{\partial t} = \begin{bmatrix} mc^2 I & c\vec{\sigma} \cdot \vec{p} \\ c\vec{\sigma} \cdot \vec{p} & -mc^2 I \end{bmatrix} \{\psi\}$$

Because:

$$\begin{bmatrix} mc^2 I & c\vec{\sigma} \cdot \vec{p} \\ c\vec{\sigma} \cdot \vec{p} & -mc^2 I \end{bmatrix} \begin{bmatrix} mc^2 I & c\vec{\sigma} \cdot \vec{p} \\ c\vec{\sigma} \cdot \vec{p} & -mc^2 I \end{bmatrix} \\ = \begin{bmatrix} (m^2 c^4 + p^2 c^2) I & 0 \\ 0 & (m^2 c^4 + p^2 c^2) I \end{bmatrix}$$



$$E = \pm \sqrt{m^2 c^4 + p^2 c^2}$$

$$E = mc^2 \sqrt{1 + \frac{p^2}{m^2 c^2}} \\ \simeq mc^2 \left(1 + \frac{p^2}{2m^2 c^2} \right) \\ = mc^2 + \frac{p^2}{2m}$$

If we take another higher term
we get a correction term:

$$\mu_B \vec{\sigma} \cdot \left[\frac{\vec{E} \times \vec{p}}{2mc^2} \right]$$

