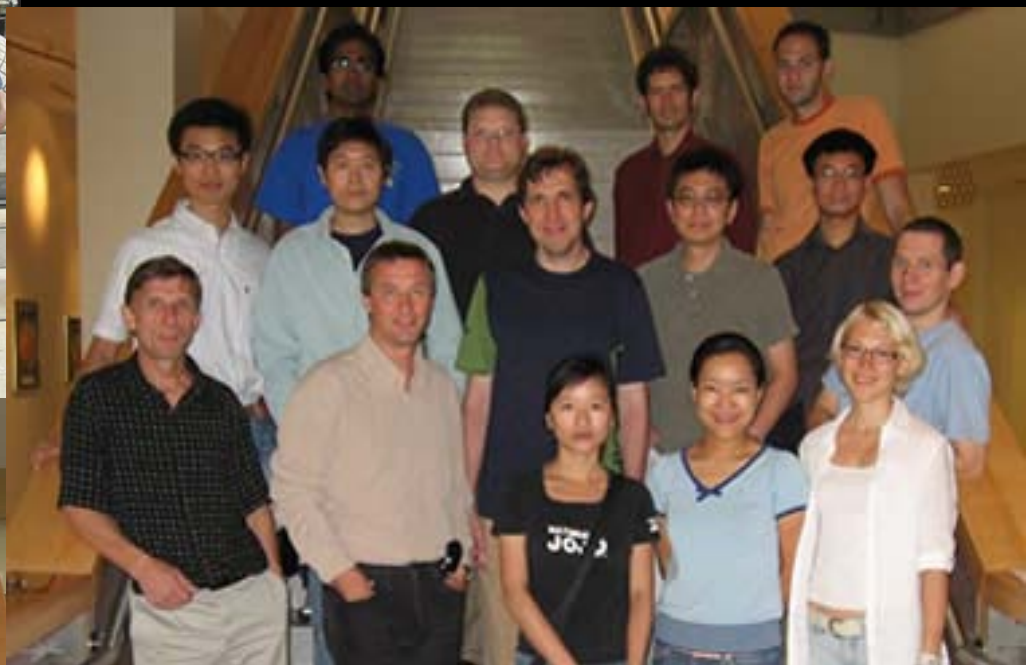


# Thanks to my Team



*Vladimir M. Shalaev*  
Purdue University

# Transforming Light with Metamaterials: A New Paradigm for the Science of Light

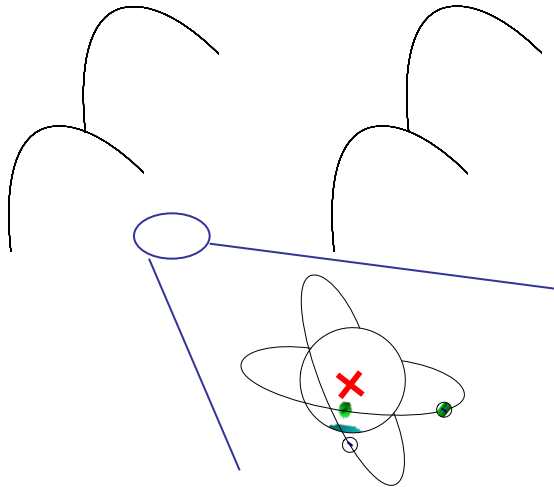
## OUTLINE

- Intro to metamaterials
- Electrical metamaterials for nanoplasmonics & nanophotonics  
(nanoantennae and world's smallest nanolaser)
- Magnetic metamaterials
- Negative refractive index
- Superlens
- Transformation Optics & Optical Cloaking

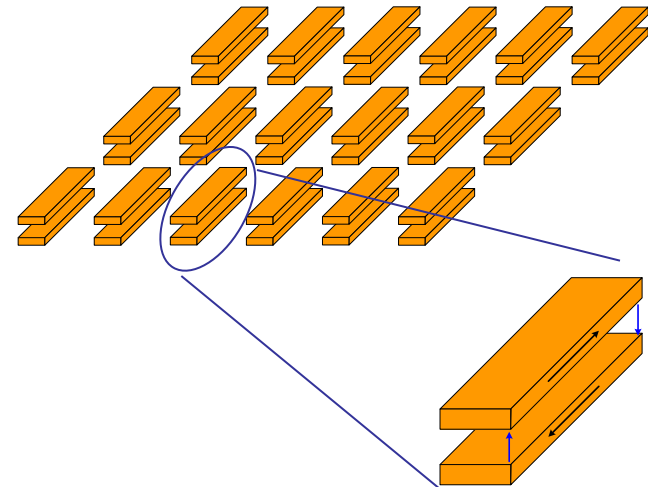
# What is a metamaterial?

**Metamaterial is an arrangement of artificial structural elements, designed to achieve electromagnetic properties unattainable with natural materials**

$\mu\epsilon\tau\alpha$  = meta = beyond (Greek)



**A natural material with its atoms**



**A metamaterial with artificially structured "atoms"**

# Photonic crystals vs. Optical metamaterials: connections and differences



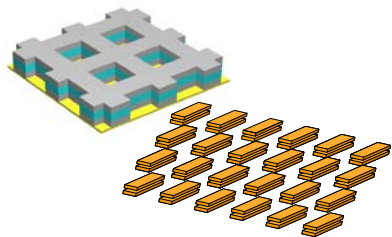
$a \ll \lambda.$

Effective medium description using Maxwell equations with  $\mu, \epsilon, n, Z$

*Example:*

*Optical crystals*

*Metamaterials*



$a \sim \lambda.$

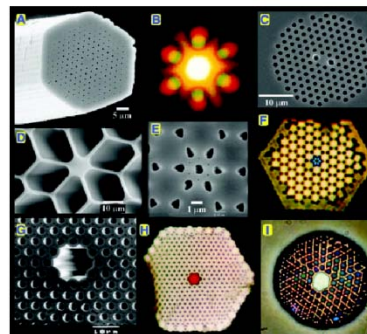
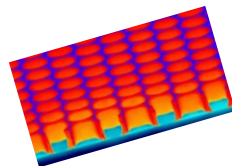
Structure dominates. Properties determined by diffraction and interference

*Example:*

*Photonics crystals*

*Phased array radar*

*X-ray diffraction optics*



$\infty$

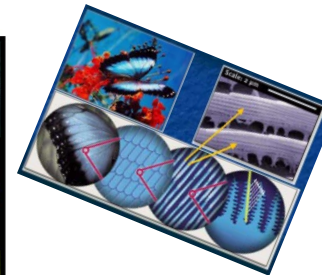
$a \gg \lambda.$

Properties described using geometrical optics and ray tracing

*Example:*

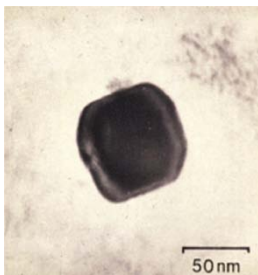
*Lens system*

*Shadows*



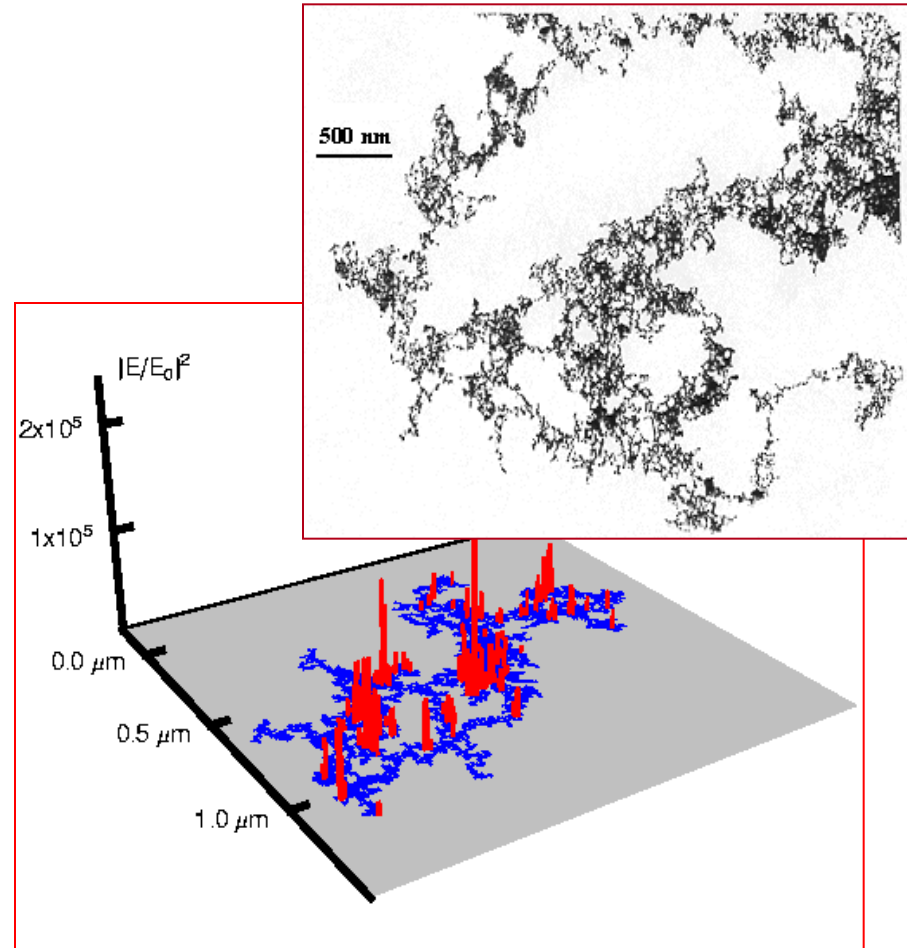
# Metamaterials: Artificial periodic structures?

## Lycurgus Cup (4<sup>th</sup> century AD)



Ancient (first?) random metamaterial (carved in Rome!) with gold nano particles

## "Hot-spots" in fractals

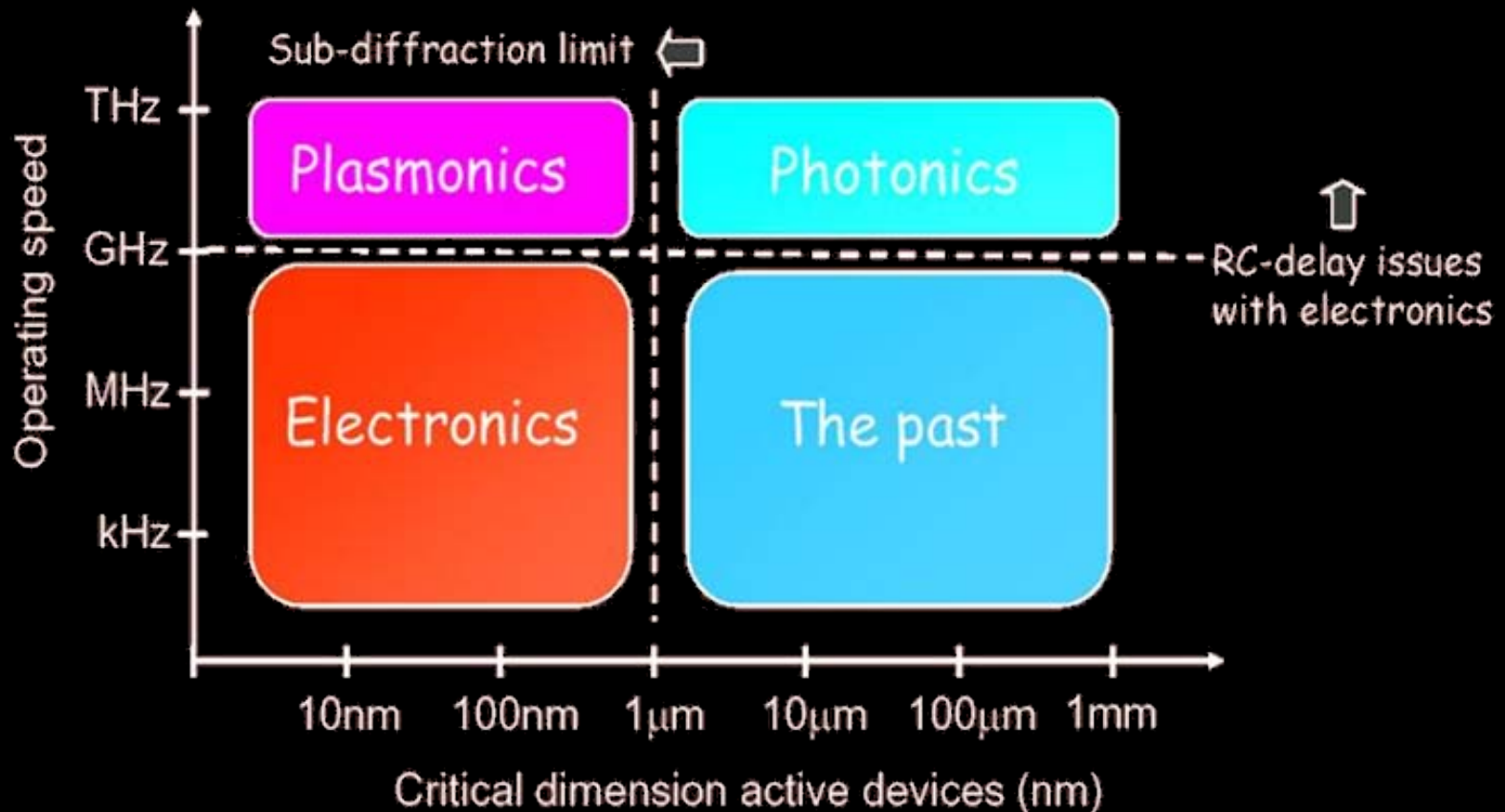


Shalaev, Nonlinear Optics of Random Media: Fractals and Composite Films, Springer, 2000

# Electrical Metamaterials: a Route to Nanophotonics

# Why nanophotonics needs plasmonic/electric $\epsilon$ -MMs ?

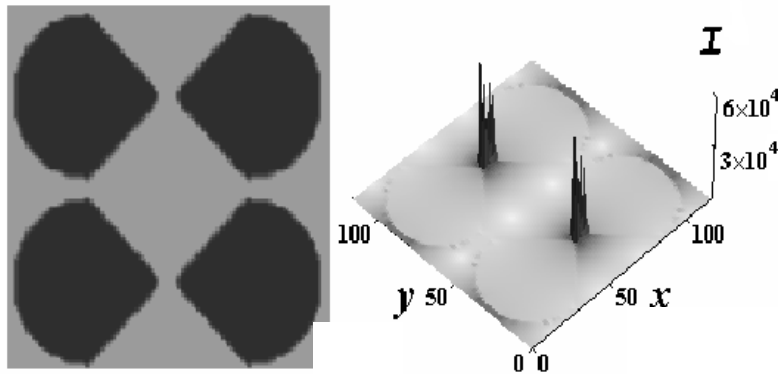
Operating regimes of different technologies



- Plasmonics: improved synergy between electronic and photonic devices
- Plasmonics: size of electronic components
- Plasmonics: operating speed of photonic networks

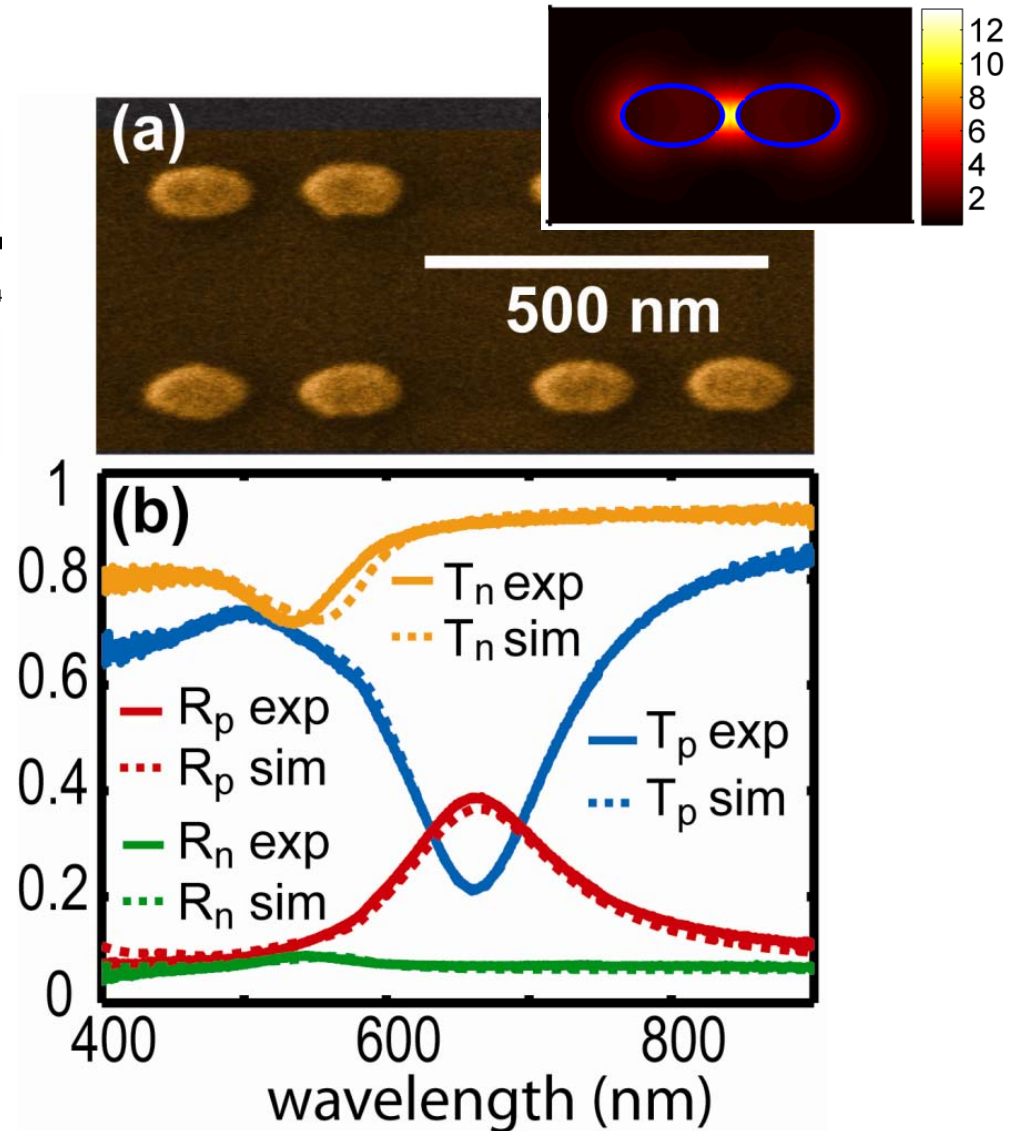
# Optical Antennae as Electrical Metamaterials: Focusing Light to Nanoscale

Bow-tie antennas



LC-nanophotonics

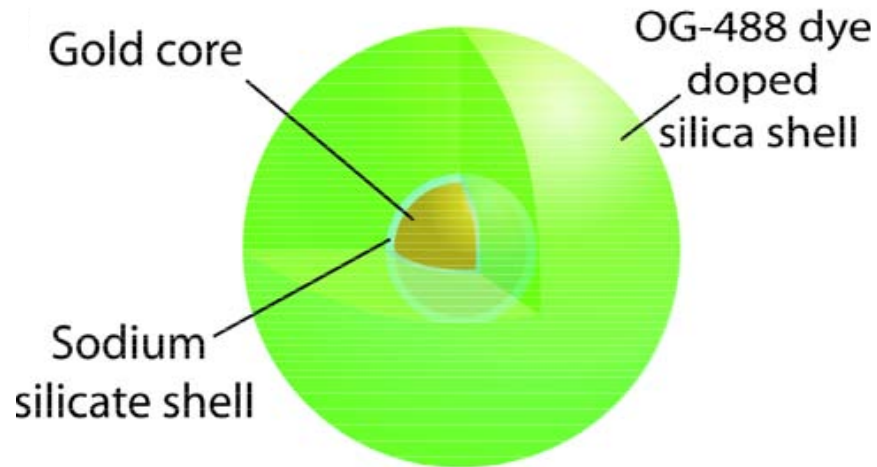
Applications:  
Nano-laser, sensor,  
Nanophotonic circuits



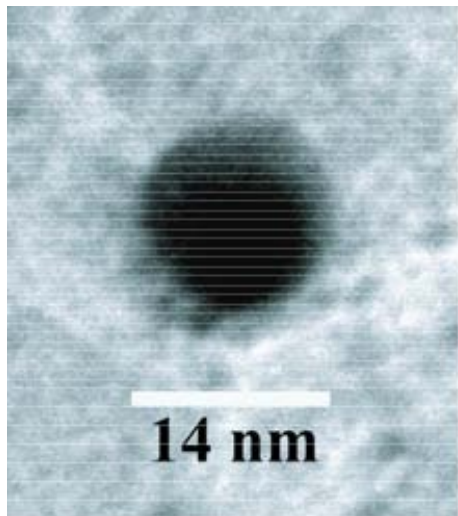


# Optical Nanolaser Enabled by SPASER (NSU-Purdue-Cornell)

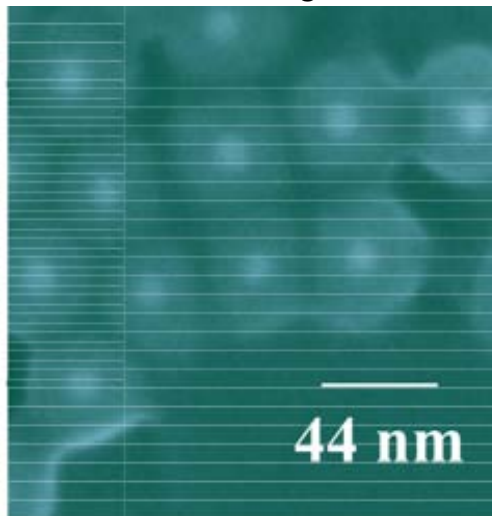
Hybrid  
Nanoparticle



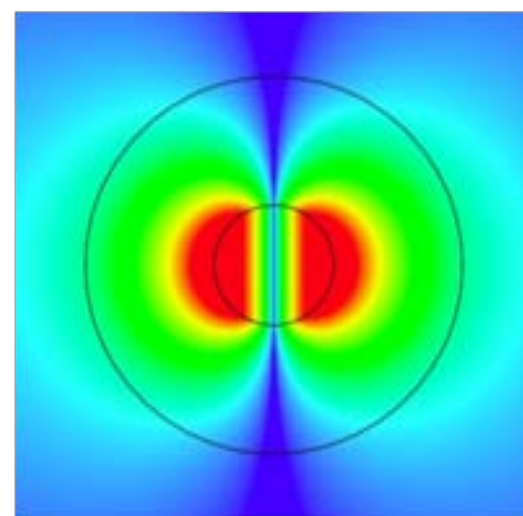
Au core



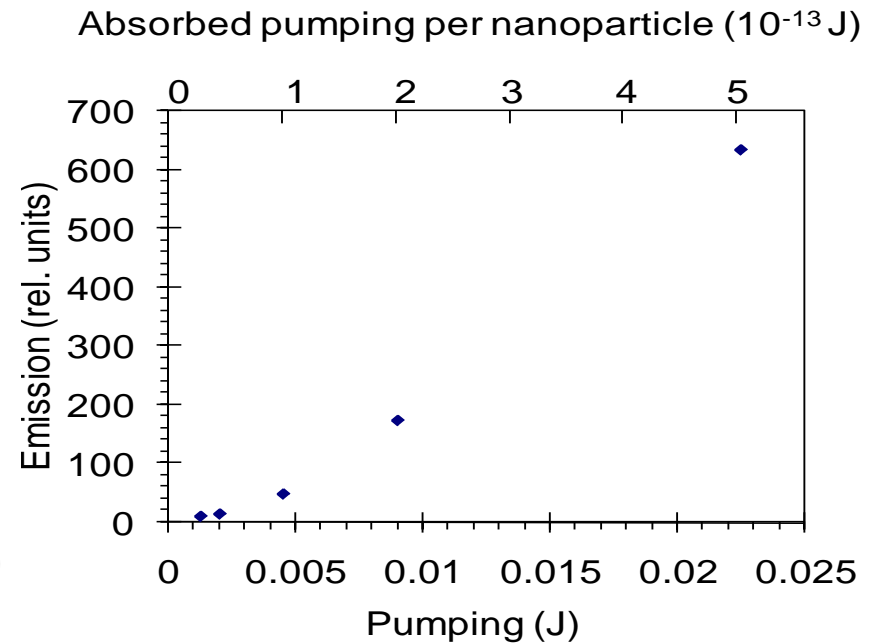
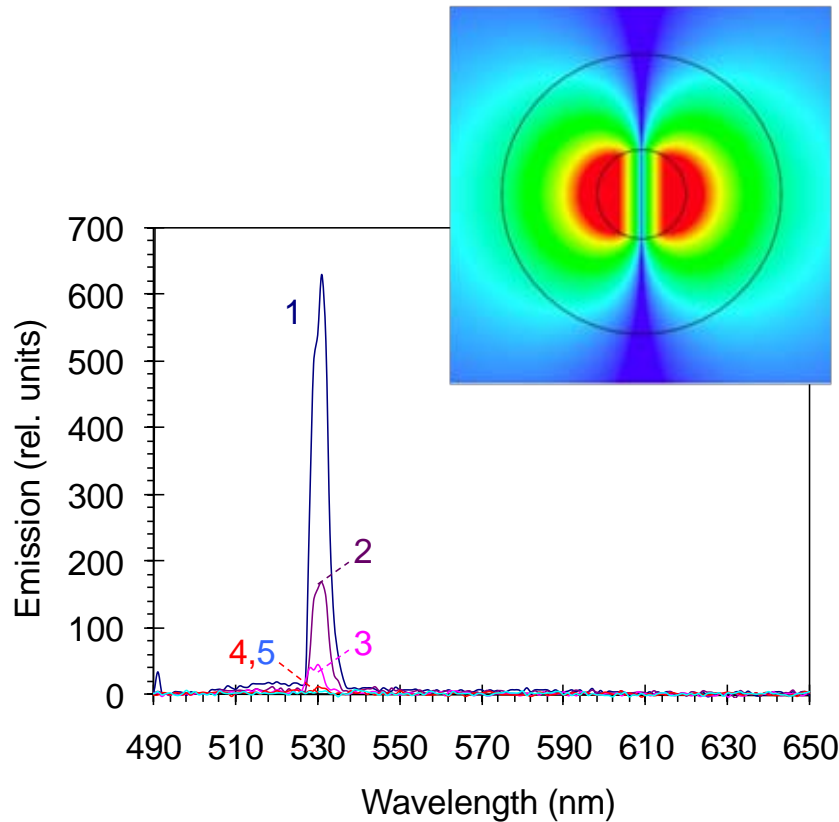
Au/silica/dye NPs



SPASER mode



# World's Smallest Nanolaser (NSU-Purdue-Cornell)



Stimulated emission spectra at different pumps by OPO pulses at  $\lambda=488$  nm

# Magnetic Metamaterials for the Optical Range

# Absence (or very weak: $\mu \approx 1$ ) Optical Magnetism in Nature

**Magnetic coupling to an atom:  $\sim \mu_B = e\hbar / 2m_e c = \alpha e a_0$  (Bohr magneton)**

**Electric coupling to an atom:  $\sim e a_0$**

**Magnetic effect / electric effect  $\approx \alpha^2 \approx (1/137)^2 < 10^{-4}$**

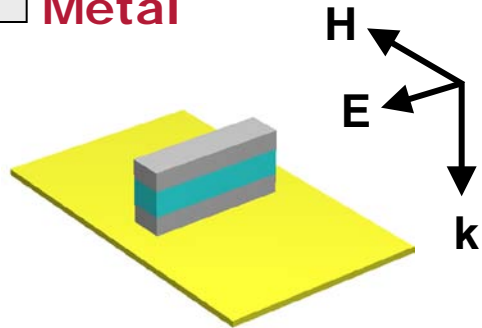
“... the magnetic permeability  $\mu(\omega)$  ceases to have any physical meaning at relatively low frequencies...there is certainly no meaning in using the magnetic susceptibility from optical frequencies onwards, and in discussion of such phenomena we must put  $\mu=1$ .”

Landau and Lifshitz, ECM, Chapter 79

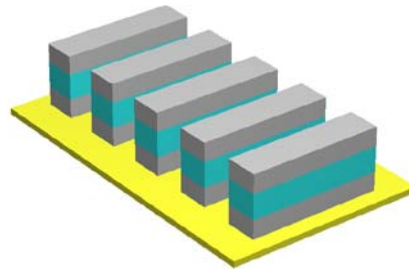
# Artificial Magnetic Metamaterials for Visible

■ Dielectric

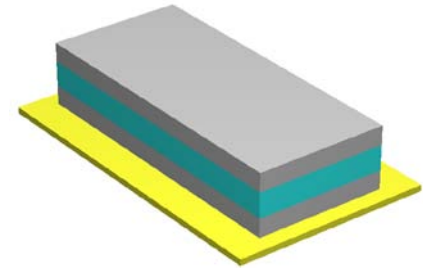
□ Metal



Nanorod  
pair



Nanorod pair array



Nanostrip pair

**Nanostrip pair has a much stronger magnetic response**

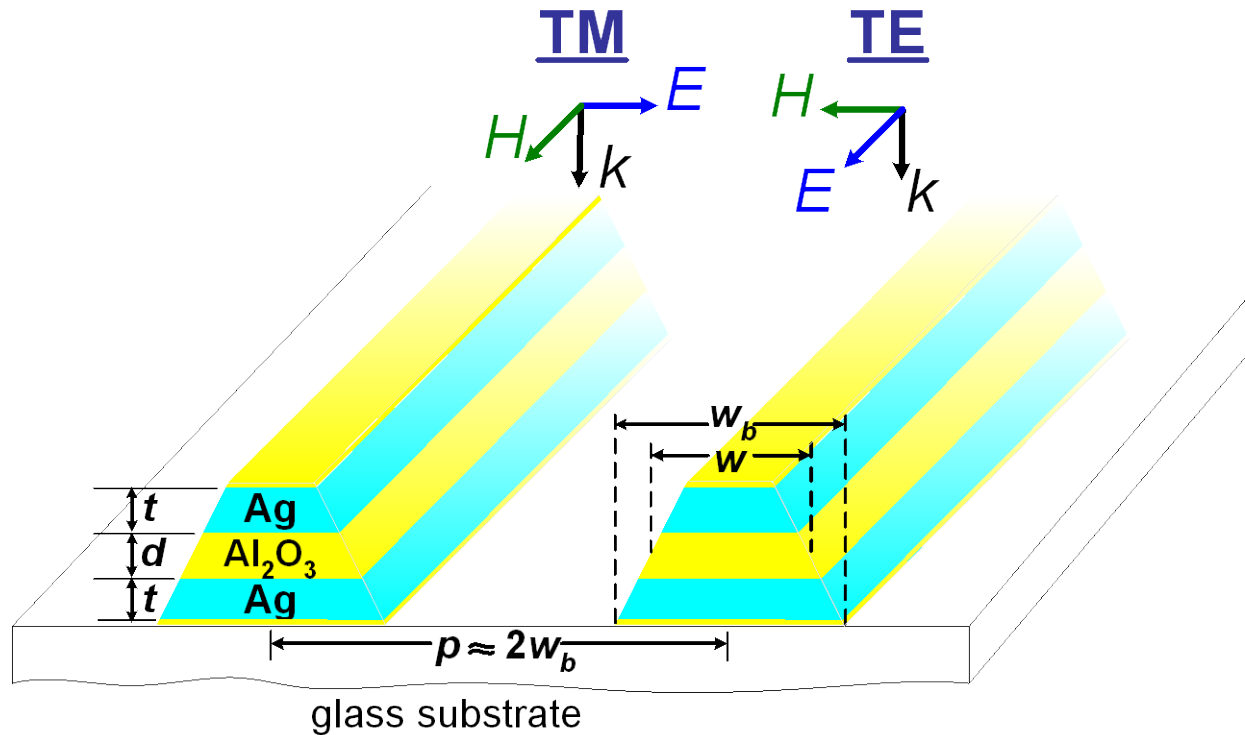
Podolskiy, Sarychev & Shalaev, *JNOPM* (2002) -  $\mu < 0$  &  $n < 0$

Lagar'kov, Sarychev *PRB* (1996) -  $\mu > 0$

Kildishev et al, *JOSA B* (2006); Shvets et al (2006) – strip pairs

Zheludev et al (2001) – pairs of rods for chirality

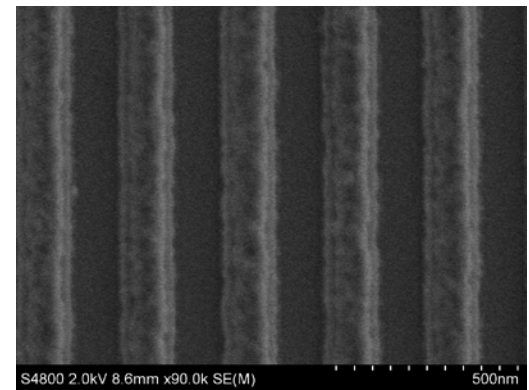
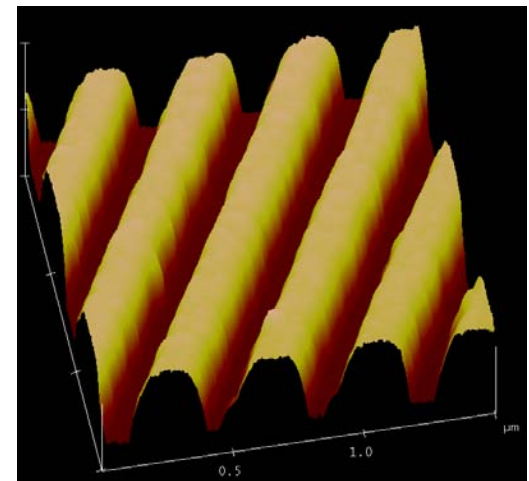
# Artificial Magnetism for Visible



$t = 35 \text{ nm}$     $d = 40 \text{ nm}$     $p \approx 2w_b$

Width varies from 50 nm to 127 nm

Purdue group  
 Yuan, et al., *Opt. Expr.*, 2007 – red light  
 Cai, et al., *Opt. Expr.*, 2007 – entire visible



# Negative Magnetic Response in Visible

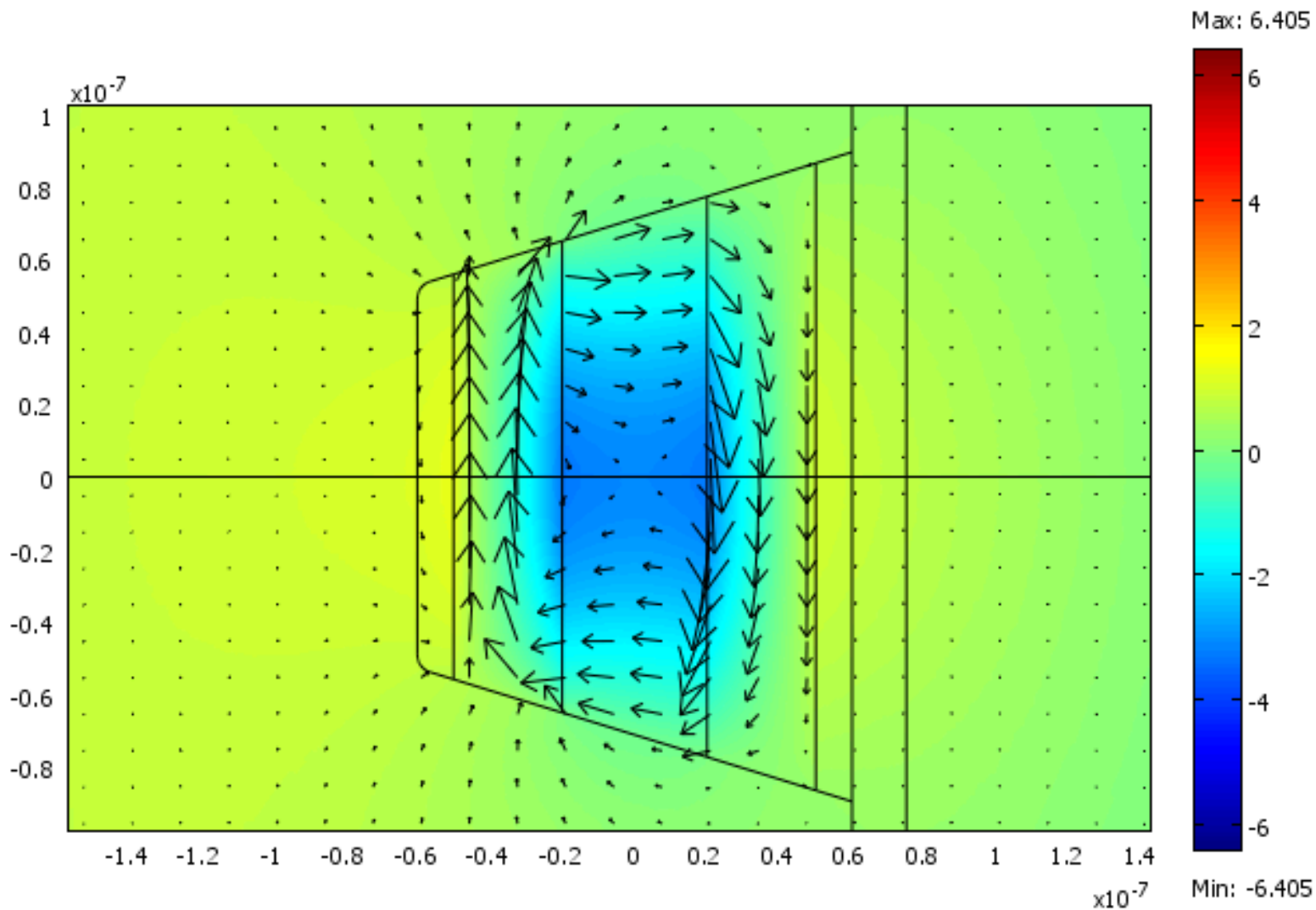


TM

E

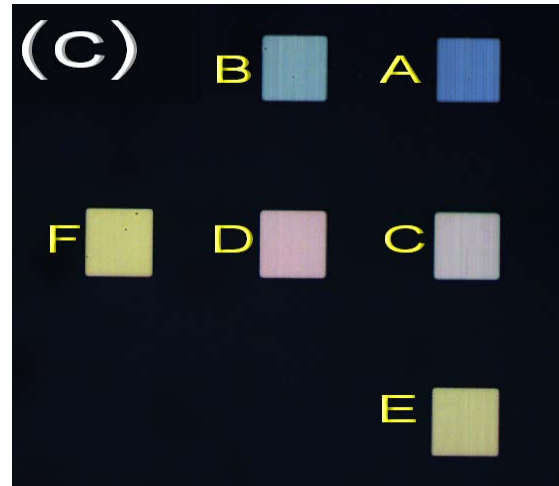
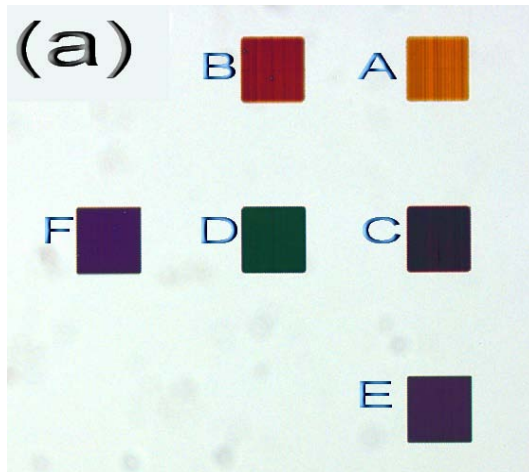


k

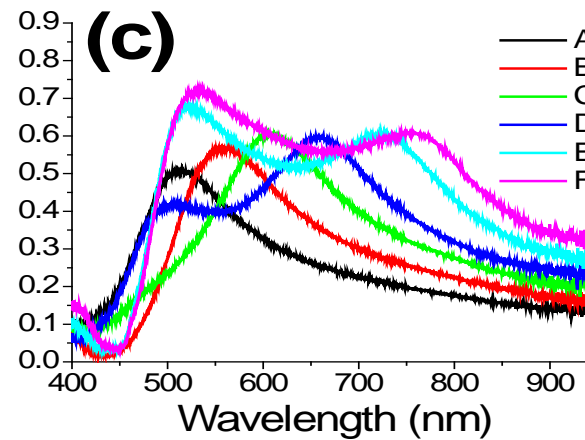
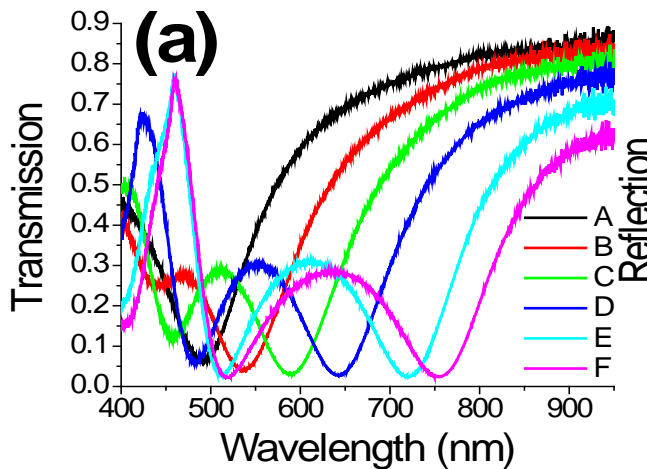


# Metamagnetics with Rainbow Colors

Transmittance



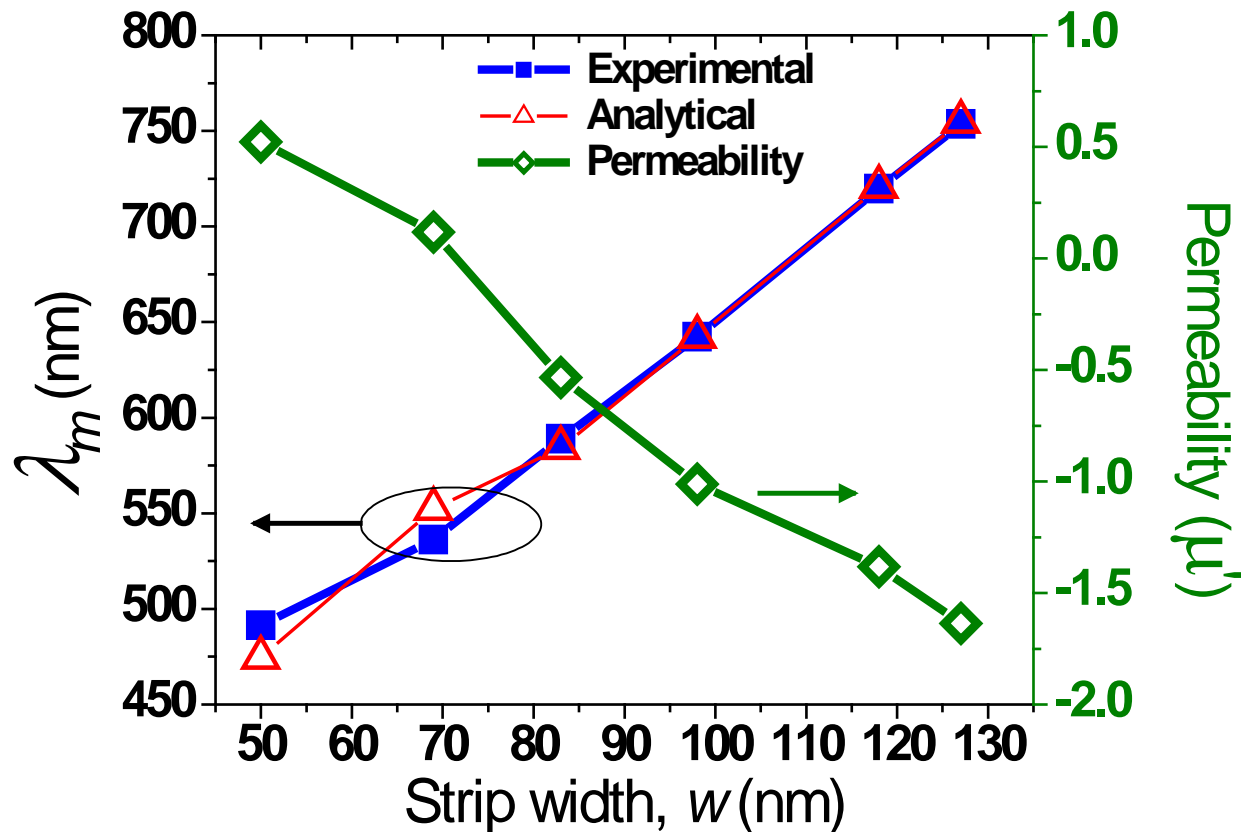
Reflectance



Sample #	A	B	C	D	E	F
Width $w$ (nm)	95	118	127	143	164	173



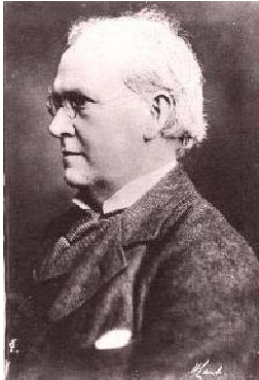
# Visible Meta-Magnetics: from Red to Blue



$\lambda_m$  as a function of strip width " $w$ ": experiment vs. theory

# Negative Refractive Index in Optics

# Negative refractive index: A historical review



*Sir Arthur Schuster*   *Sir Horace Lamb*

*... energy can be carried forward at the group velocity but in a direction that is anti-parallel to the phase velocity...*

*Schuster, 1904*

*Negative refraction and backward propagation of waves*

*Mandel'stam, 1945*



*L. I. Mandel'stam*

*Left-handed materials: the electrodynamics of substances with simultaneously negative values of  $\epsilon$  and  $\mu$*

*Veselago, 1968*



*V. G. Veselago*

*Pendry, the one who whipped up the recent boom of NIM researches*

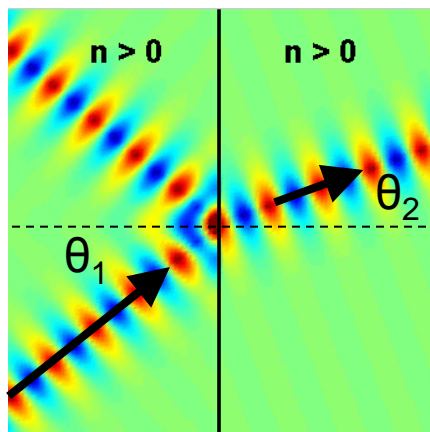
*Perfect lens (2000)*

*EM cloaking (2006)*



*Sir John Pendry*

# Metamaterials with Negative Refraction



Refraction:

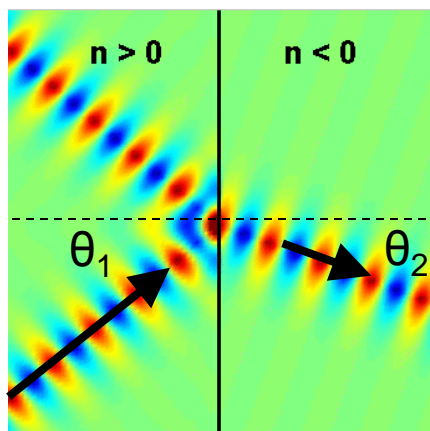
$$n^2 = \epsilon\mu$$

$$n = \pm\sqrt{\epsilon\mu}$$

Figure of merit

$$F = |n'|/n''$$

$$n < 0, \text{ if } \epsilon'|\mu| + \mu'|\epsilon| < 0$$



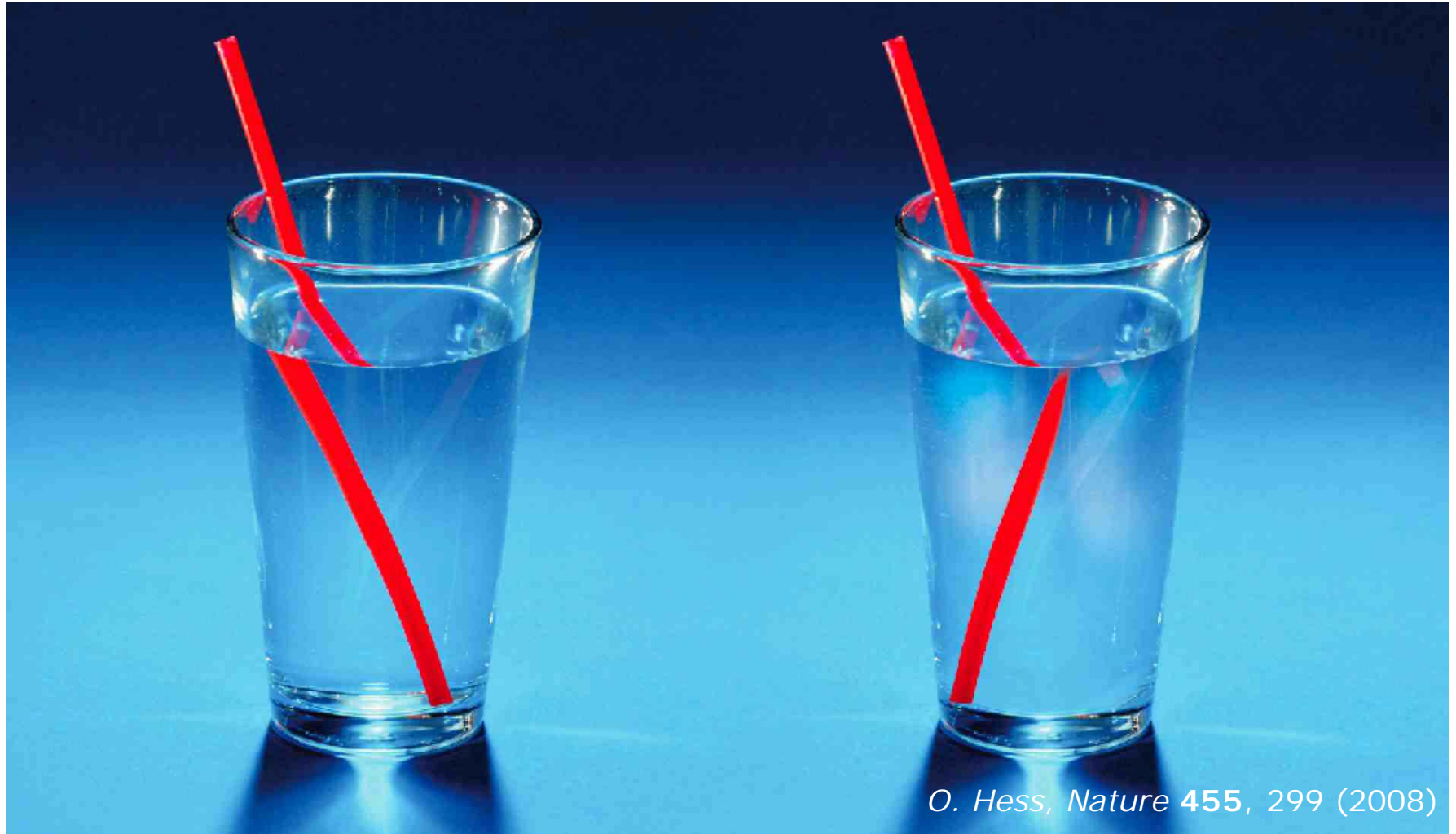
Single-negative:

$n < 0$  when  $\epsilon' < 0$  whereas  $\mu' > 0$   
(F is low)

Double-negative:

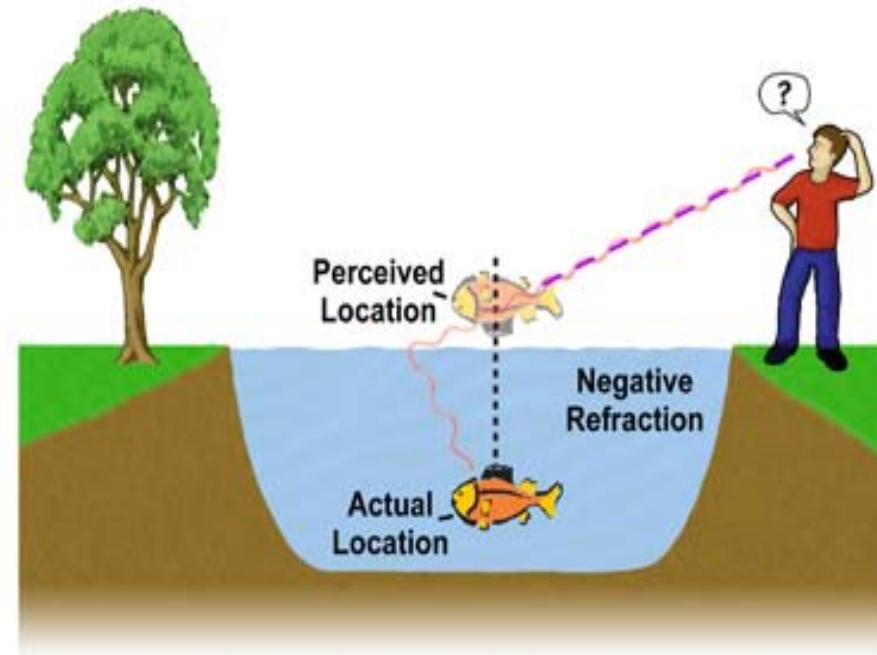
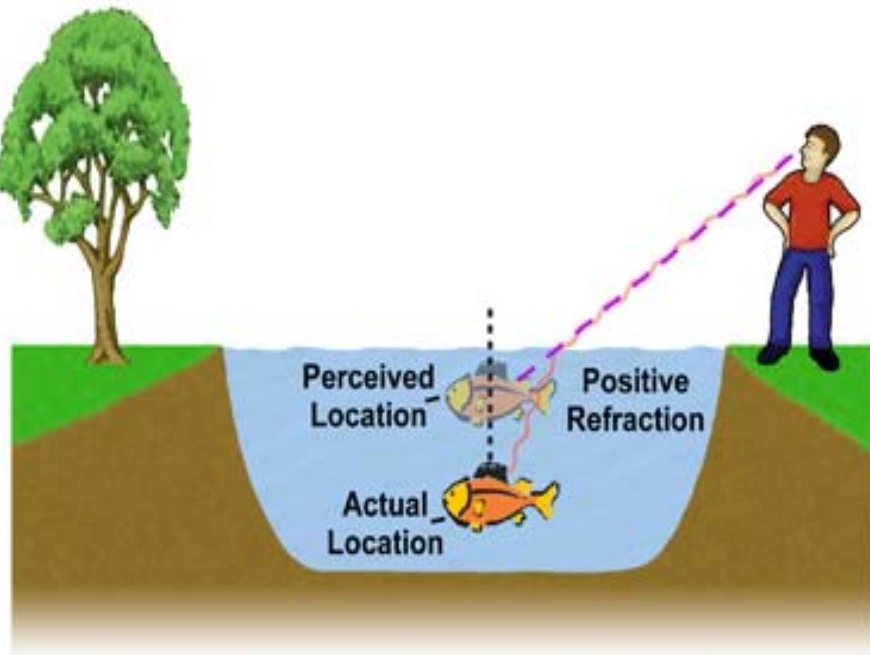
$n < 0$  with both  $\epsilon' < 0$  and  $\mu' < 0$   
(F can be large)

# Negative Refraction Effects



*O. Hess, Nature* **455**, 299 (2008)

# Negative Refraction Effects



# Negative Refractive Index in Optics: State of the Art

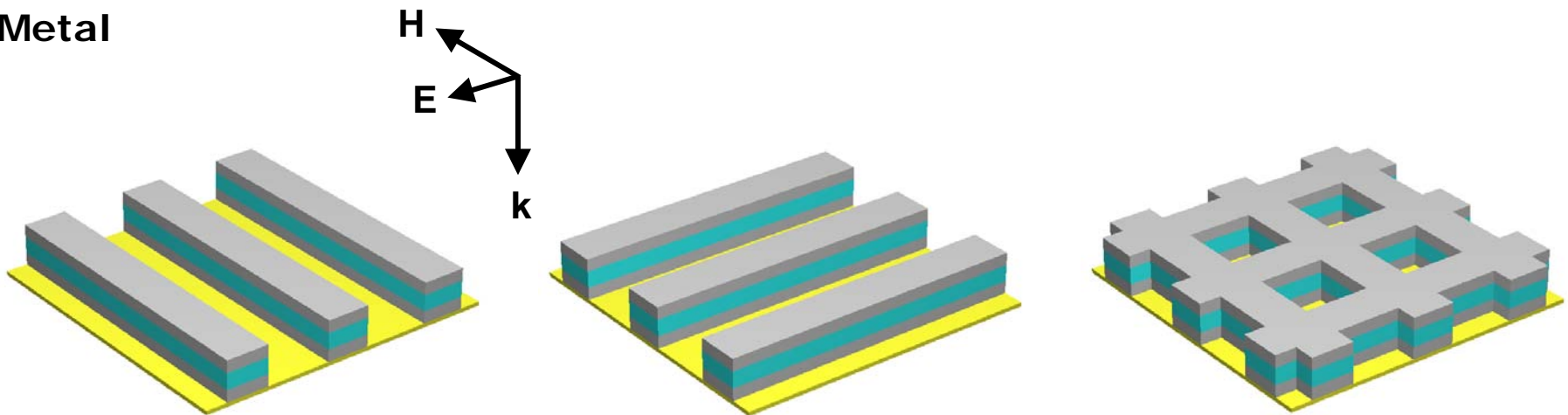
<i>Year and Research group</i>	<i>1st time posted and publication</i>	<i>Refractive index, <math>n'</math></i>	<i>Wavelength <math>h, \lambda</math></i>	<i>Figure of Merit <math>F= n' /n''</math></i>	<i>Structure used</i>
<b>2005</b>					
<i>Purdue</i>	April 13 (2005) arXiv:physics/0504091 Opt. Lett. (2005)	-0.3	1.5 $\mu\text{m}$	0.1	Paired nanorods
<i>UNM &amp; Columbia</i>	April 28 (2005) arXiv:physics/0504208 Phys. Rev. Lett. (2005)	-2	2.0 $\mu\text{m}$	0.5	Nano-fishnet with round voids
<b>2006</b>					
<i>UNM &amp; Columbia</i>	J. of OSA B (2006)	-4	1.8 $\mu\text{m}$	2.0	Nano-fishnet with round voids
<i>Karlsruhe &amp; ISU</i>	OL. (2006)	-1	1.4 $\mu\text{m}$	3.0	Nano-fishnet
<i>Karlsruhe &amp; ISU</i>	OL (2006)	-0.6	780 nm	0.5	Nano-fishnet
<i>Purdue</i>	MRS Bulletin (2008)	-0.8 -0.6	725nm 710nm	1.1 0.6	Nano-fishnet
<i>Purdue</i>	In prep (2009)	-0.25	580nm	0.3	Nano-fishnet

*CalTech: negative refraction in the visible for MIM waveguide SPPs (2007)*

# Negative Permeability and Negative Permittivity

■ Dielectric

■ Metal



**Nanostrip pair (TM)**  
 $\mu < 0$  (resonant)

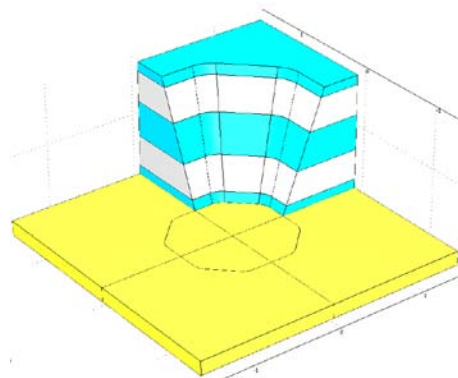
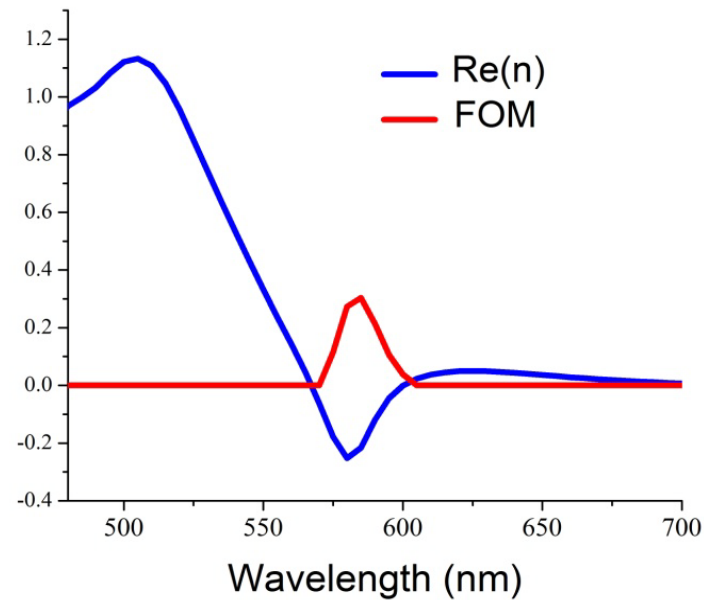
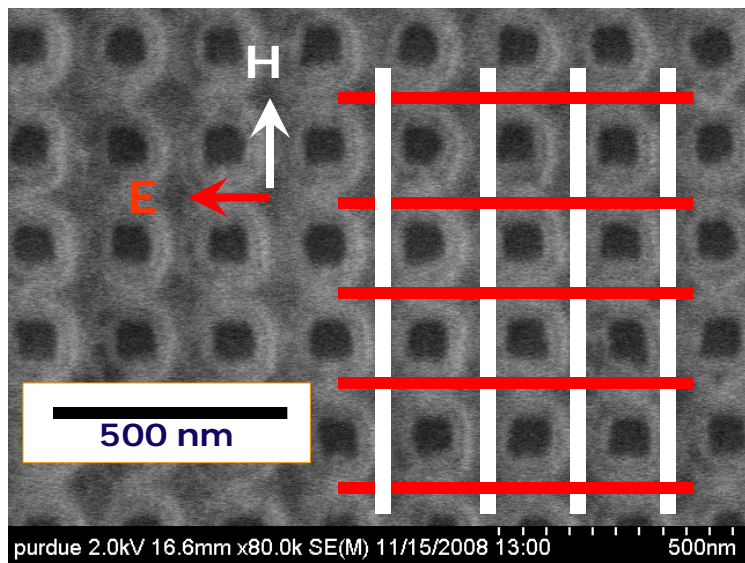
**Nanostrip pair (TE)**  
 $\epsilon < 0$  (non-resonant)

**Fishnet**  
 $\epsilon$  and  $\mu < 0$



# Negative Index for Yellow Light ( $n' = -0.25$ , $FOM = 0.3$ , at 580 nm)

Periodicity, E: 220 nm; H: 220 nm



## Stacking:

- 8 nm of  $Al_2O_3$
- 43 nm of Ag
- 45 nm of  $Al_2O_3$
- 43 nm of Ag
- 8 nm of  $Al_2O_3$

# Superlens and Hyperlens

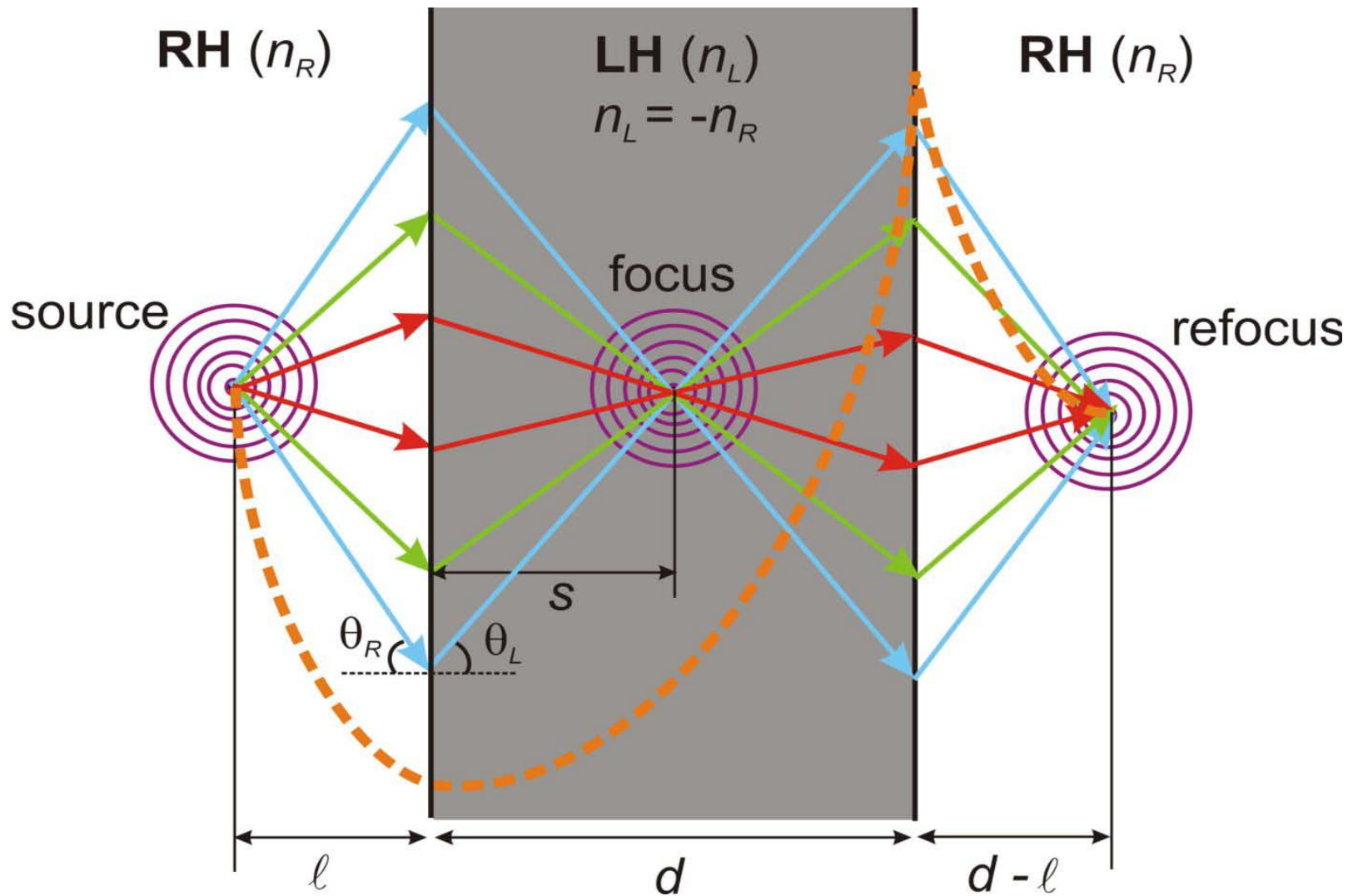
# Super-resolution: Amplification of Evanescent Waves Enables sub- $\lambda$ Image!

Waves scattered by an object have all the Fourier components  $k_z = \sqrt{k_0^2 - k_x^2 - k_y^2}$   
The propagating waves are limited to:  $k_t = \sqrt{k_x^2 + k_y^2} < k_0$   
To resolve features  $\Delta$ , we must have  $\lambda_t = 2\pi / k_t < \Delta$ ,  $\Delta < \lambda \Rightarrow k_t = \sqrt{k_x^2 + k_y^2} > k_0$ ,  $k_z^2 < 0$   
The evanescent waves are “re-grown” in a NIM slab and fully recovered at the image plane

Conventional lens

NIM slab lens

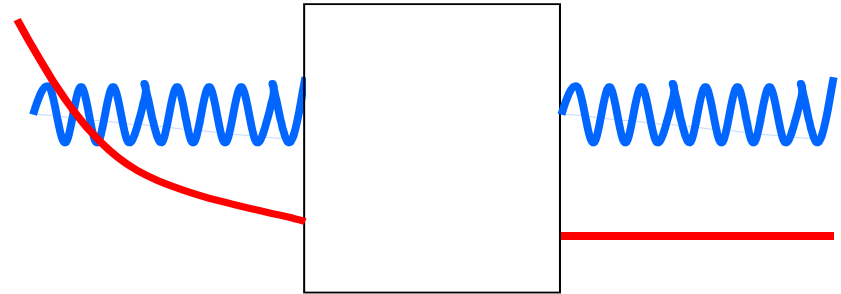
# Amplification of Evanescent Waves Enables sub- $\lambda$ Image



# Superlens High and Low

## Ordinary Lens:

Evanescent field lost



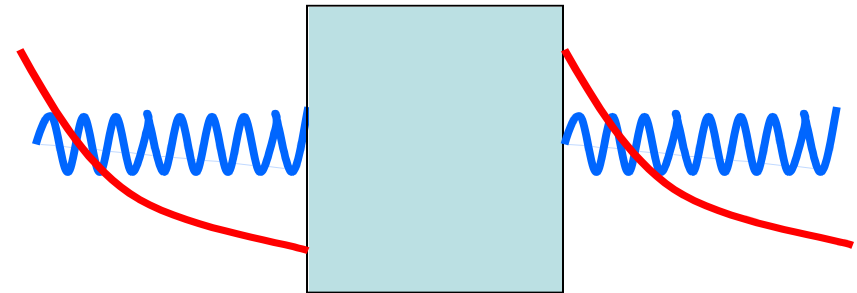
## Super Lens:

Evanescent field enhanced

but decays away from the lens

\* LIMITED TO NEAR FIELD

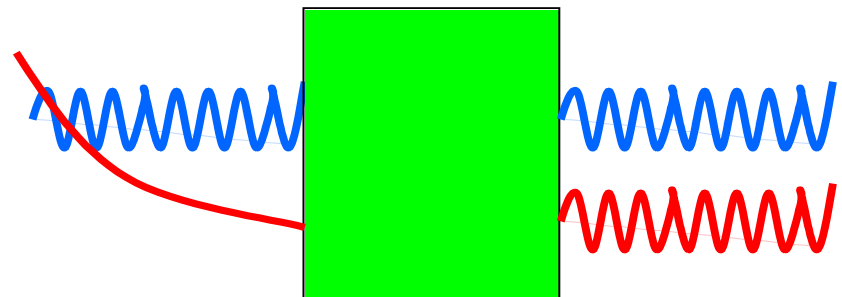
\* EXPONENTIALLY SENSITIVE  
TO DISORDER, LOSSES, ...



## Hyper Lens:

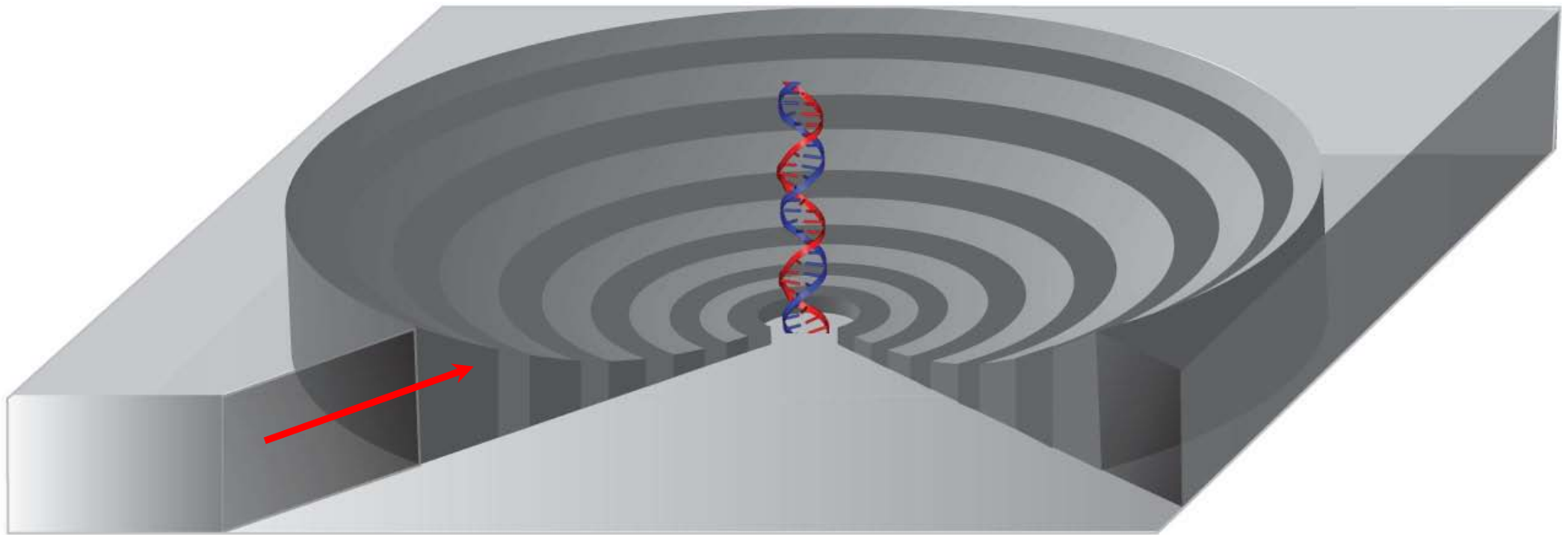
Evanescent field converted  
to propagating waves

(that do not mix with the others)



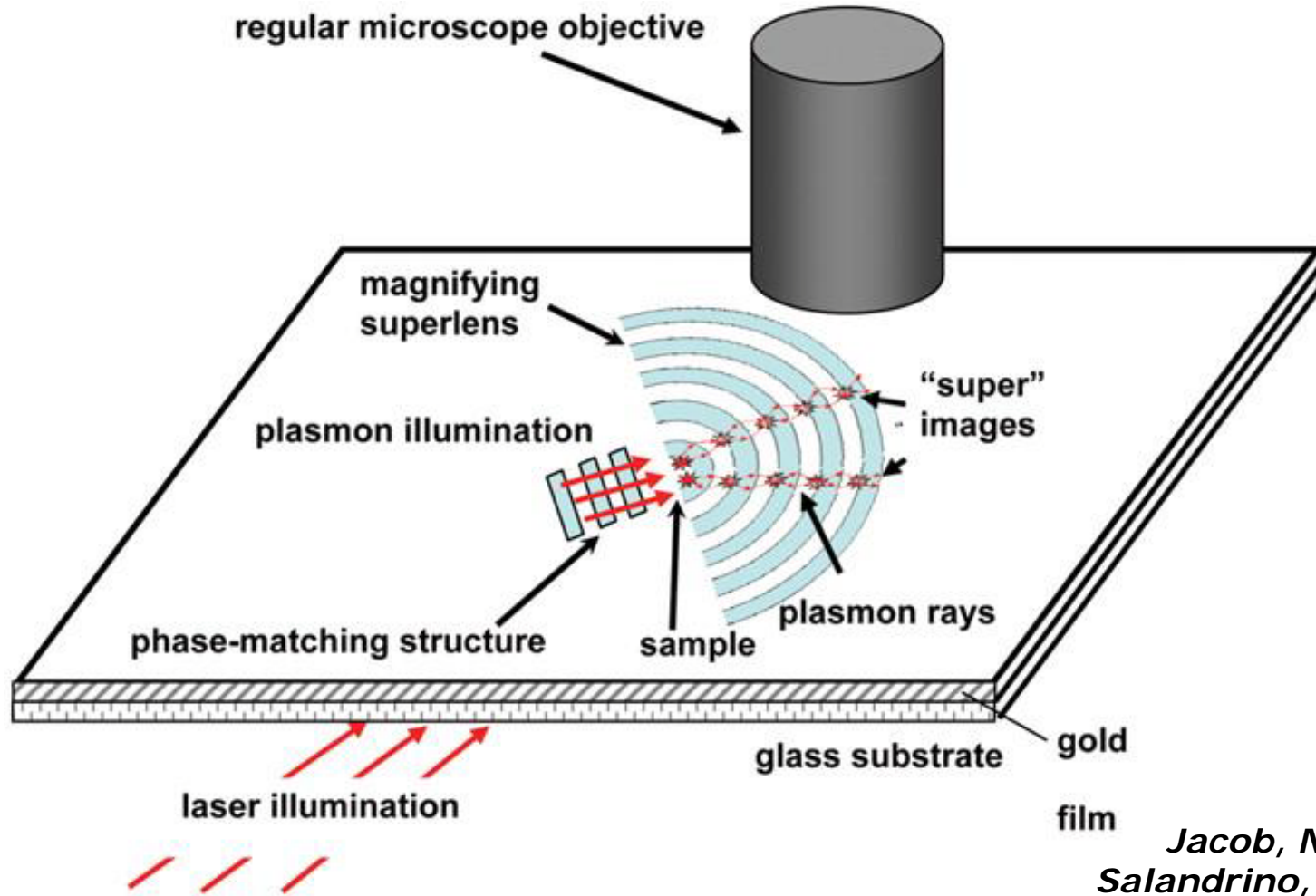
# Hyperlens

Converting evanescent components to propagating waves



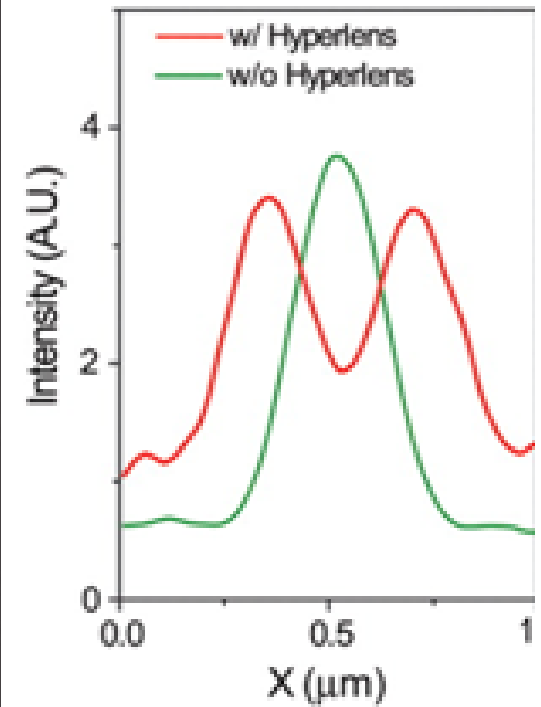
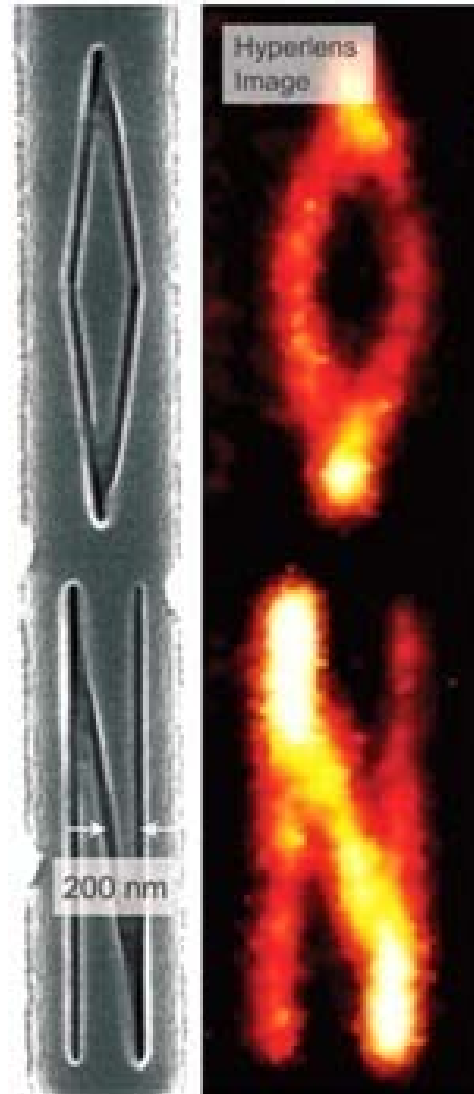
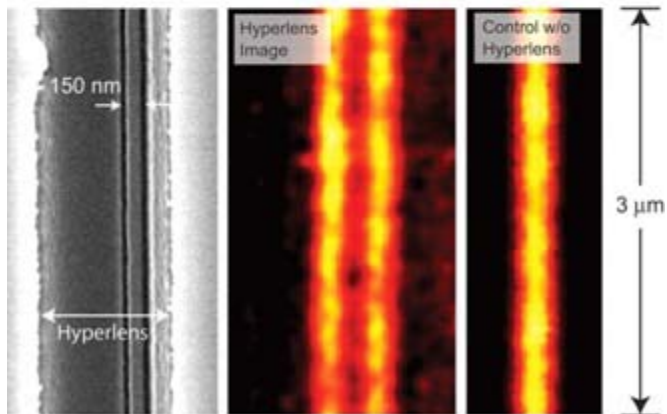
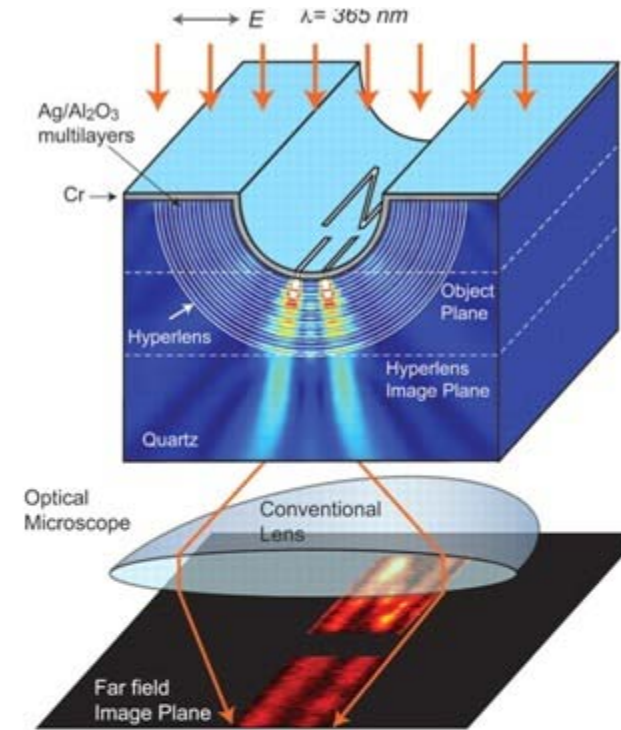
Far-field sub- $\lambda$  imaging

# Optical Hyperlens



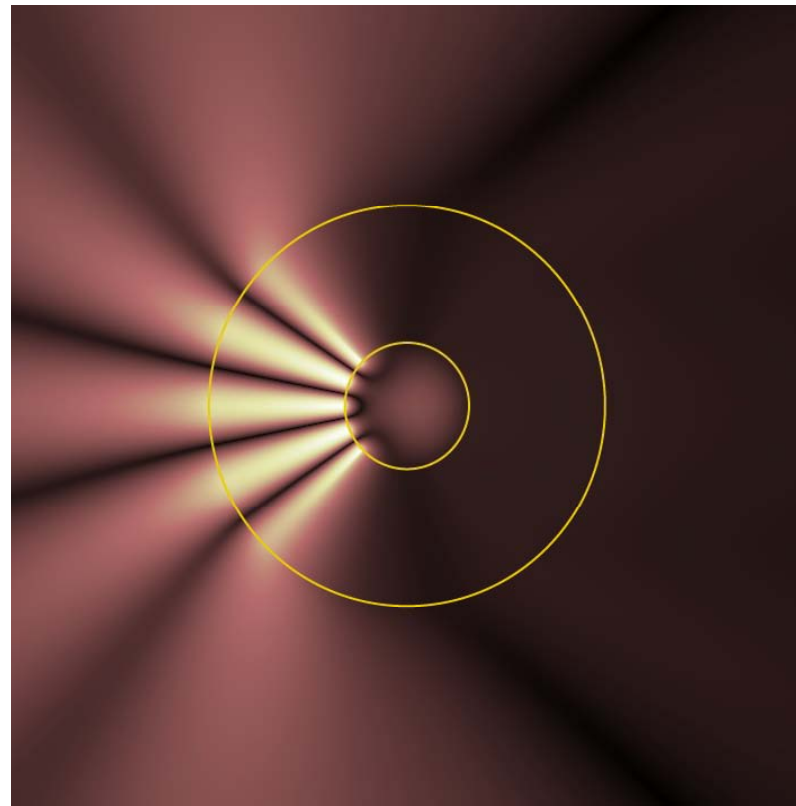
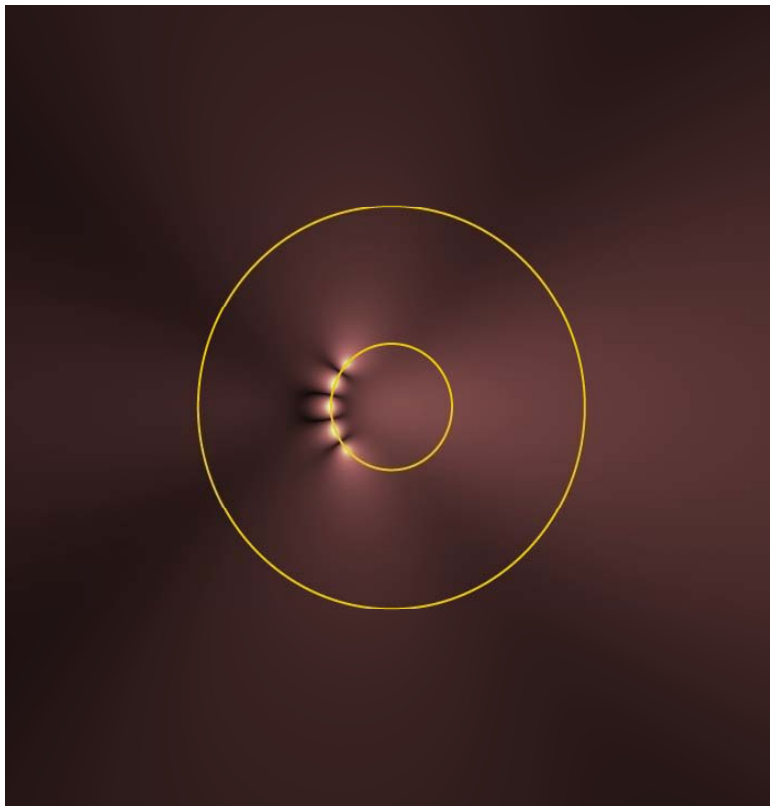
**Theory:**  
 Jacob, Narimanov, *OL*, 2006  
 Salandrino, Engehta, *PRB*, 2006  
**Experiment:**  
 Smolyaninov et al., *Science*, 2007

# Optical Hyperlens



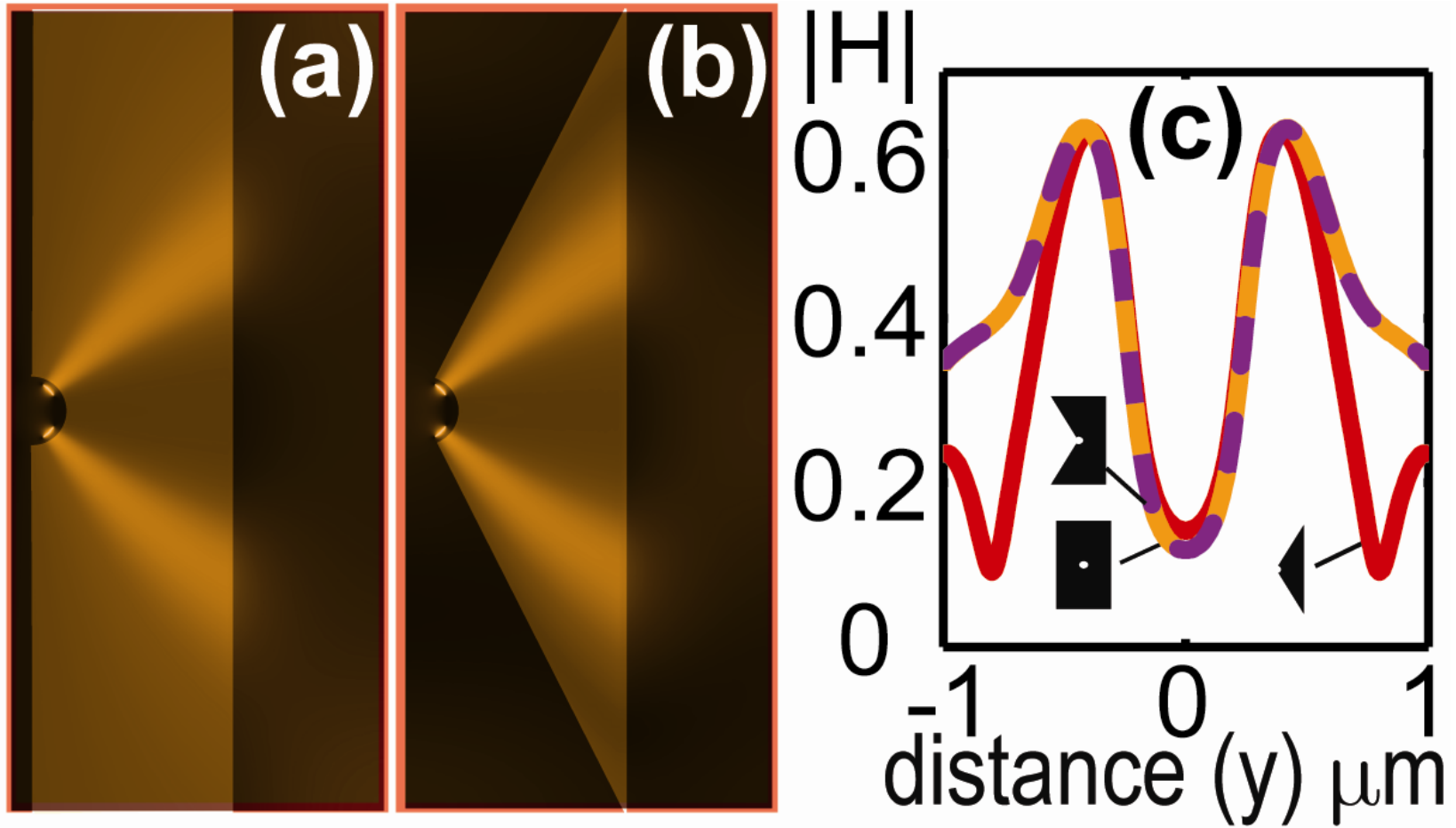


# Advanced Optical Hyperlens



**Impedance-matched hyperlens**

# Advanced Optical Hyperlenses



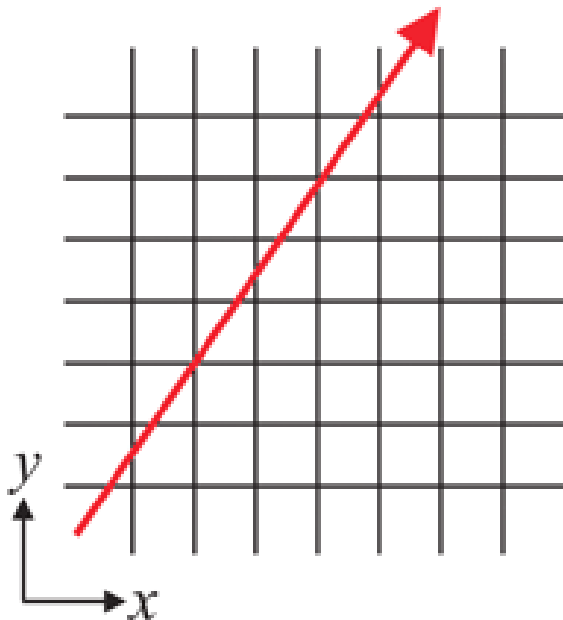
Flat hyperlenses: 1/2- & 1/4-body lenses

# Optical Cloaking & Transformation Optics

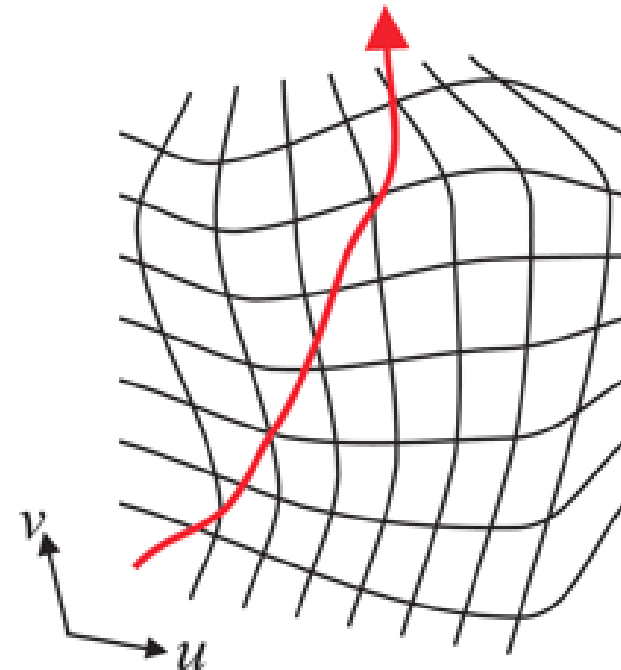
V. M. Shalaev, *Transforming Light*, *Science*, Oct. 17, 2008

# Designing Space for Light with Transformation Optics

**Fermat:**  
 $\delta \int n dl = 0$   
 $n = \sqrt{\epsilon(r)\mu(r)}$   
  
"curving"  
optical space



Straight field line in Cartesian coordinate



Distorted field line in distorted coordinate

Spatial profile of  $\epsilon$  &  $\mu$  tensors determines the distortion of coordinates

Seeking for profile of  $\epsilon$  &  $\mu$  to make light avoid particular region in space — optical cloaking

Pendry et al., *Science*, 2006  
Leonhard, *Science*, 2006  
Greenleaf et al (2003)  
L. S. Dolin, *Izv. VUZ*, 1961

# Invisibility in Nature, Physics and Technology

- Natural camouflage
- Black hole
- ...

## Current technologies to achieve invisibility

- **Stealth technique:**  
Radar cross-section reductions by absorbing paint / non-metallic frame / shape effect...



F-117 "Nighthawk" Stealth Fighter



- **Optical camouflage:**  
Projecting an image of the background onto the object.



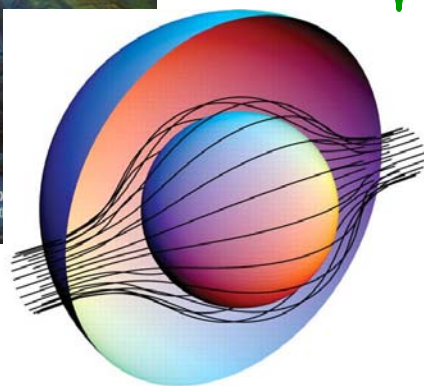
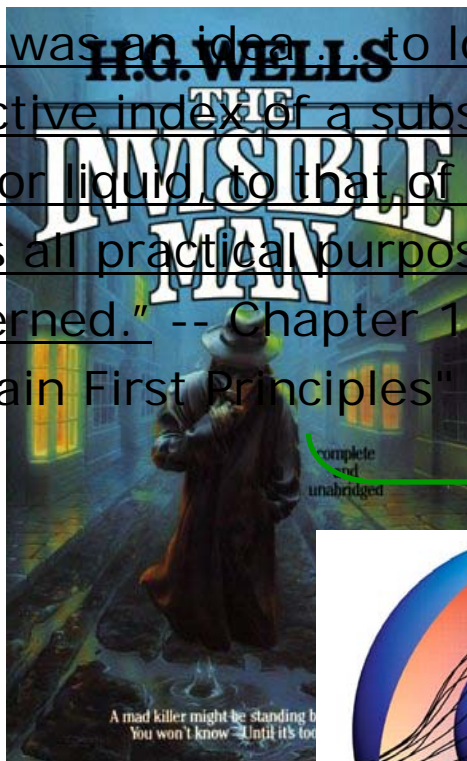
Optical Camouflage, Tachi Lab, U. of Tokyo, Japan

# Invisibility: from fiction to fact?

## Examples with scientific elements:

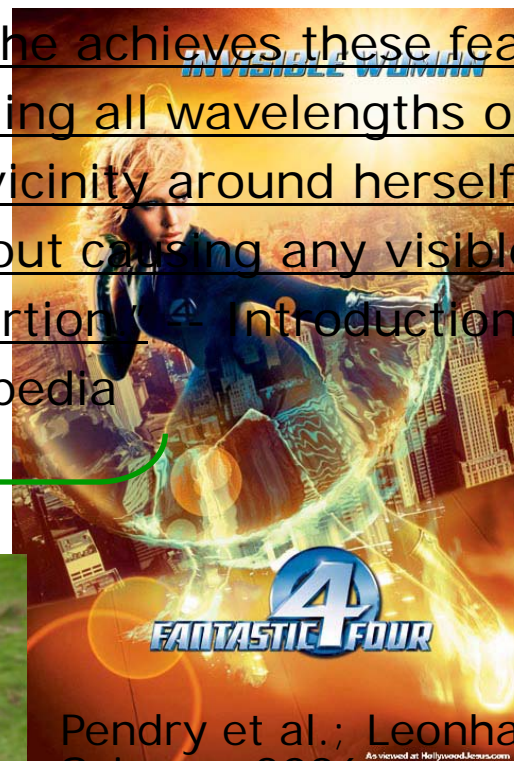
- The Invisible Man by H. G. Wells (1897)

"... it was an idea... to lower the refractive index of a substance, solid or liquid, to that of air — so far as all practical purposes are concerned." -- Chapter 19 "Certain First Principles"



- "The invisible woman" in The Fantastic 4 by Lee & Kirby (1961)

"... she achieves these feats by bending all wavelengths of light in the vicinity around herself ... without causing any visible distortion." -- Introduction from Wikipedia



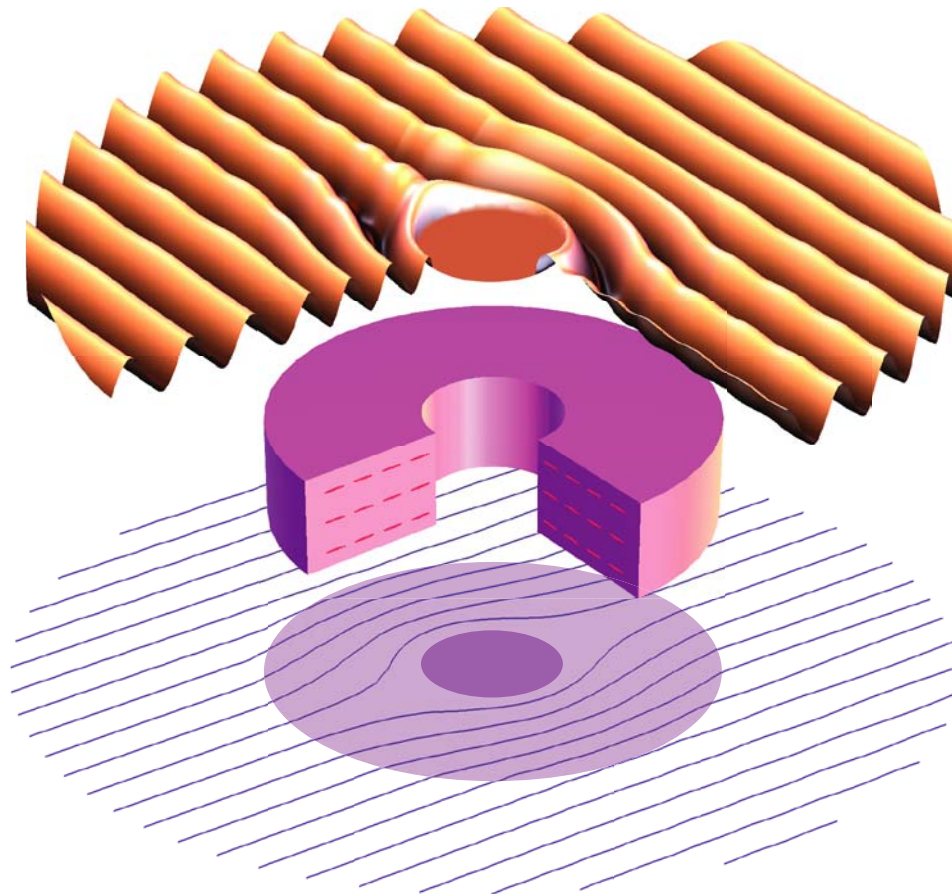
Pendry et al.; Leonhard, Science, 2006  
 (Earlier related work: Dolin, 1961; Greenleaf et al., 2003)

# Invisibility?



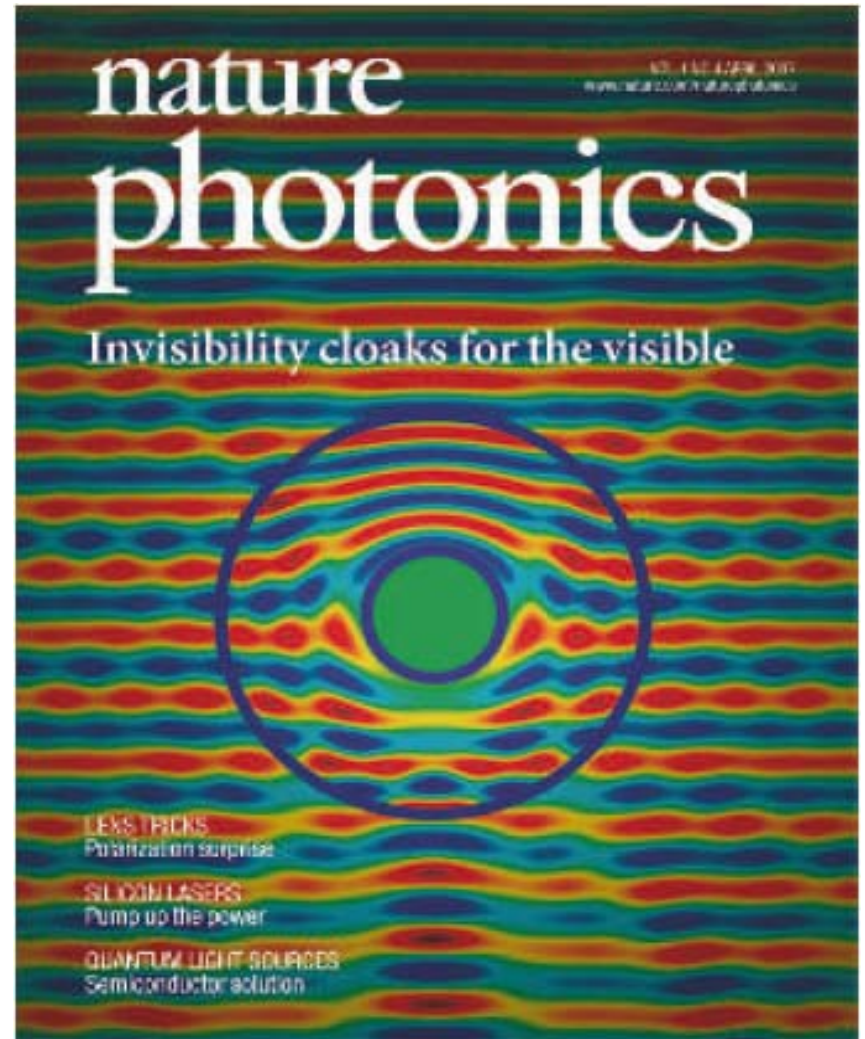
*Ghost djdaileydude87, from the Pop Sci photo pool*

# Optical Cloaking with Metamaterials: Can Objects be Invisible in the Visible?



$\lambda = 632 \text{ nm}$

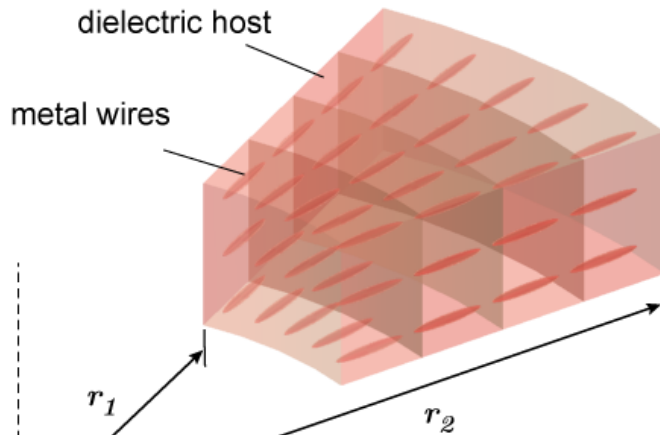
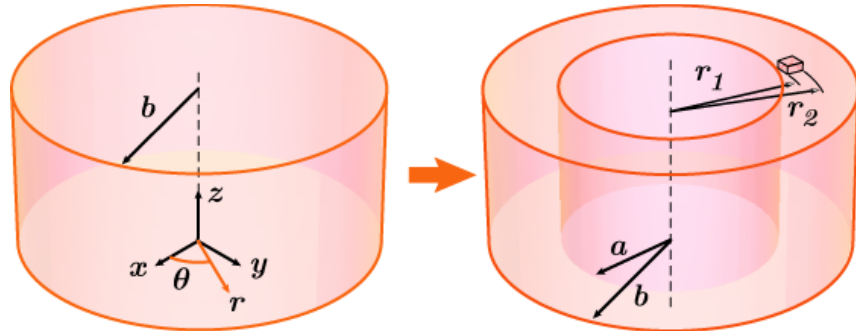
[GHz-cloak: Duke team]



Nature Photonics (April, 2007)

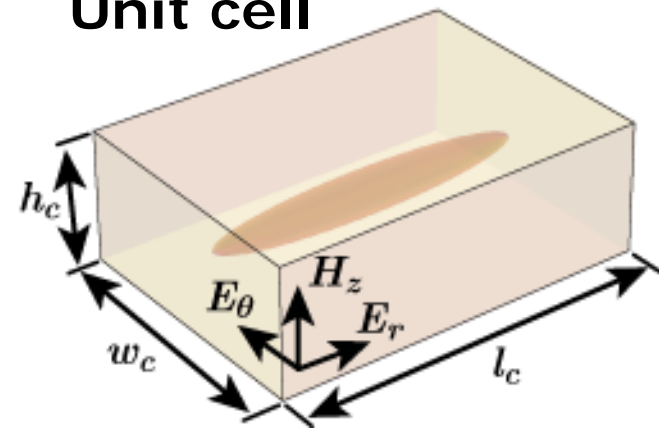


# Structure of Optical Cloak: "Round Brush"



metal needles embedded in dielectric host

Unit cell

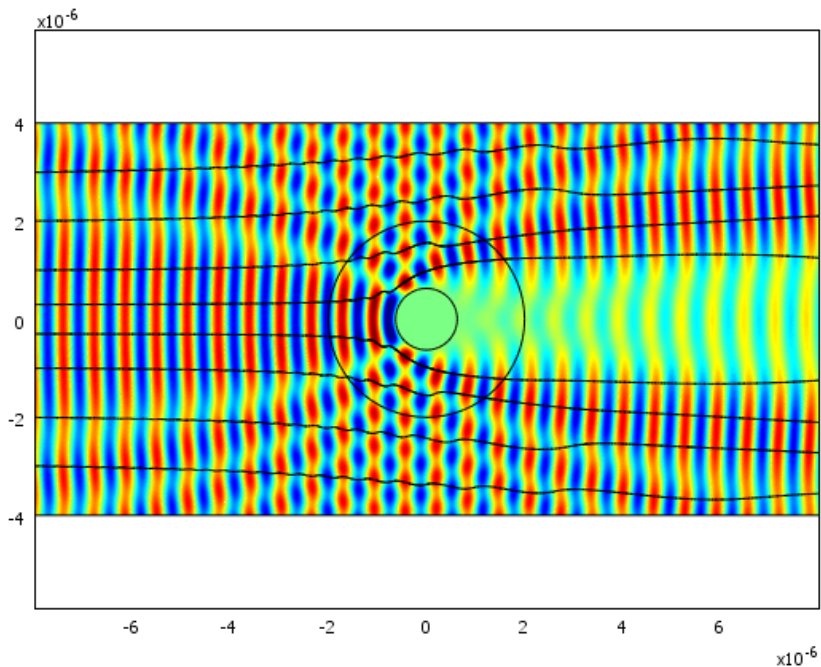


Flexible control of  $\epsilon_r$  ;  
Negligible perturbation in  $\epsilon_\theta$

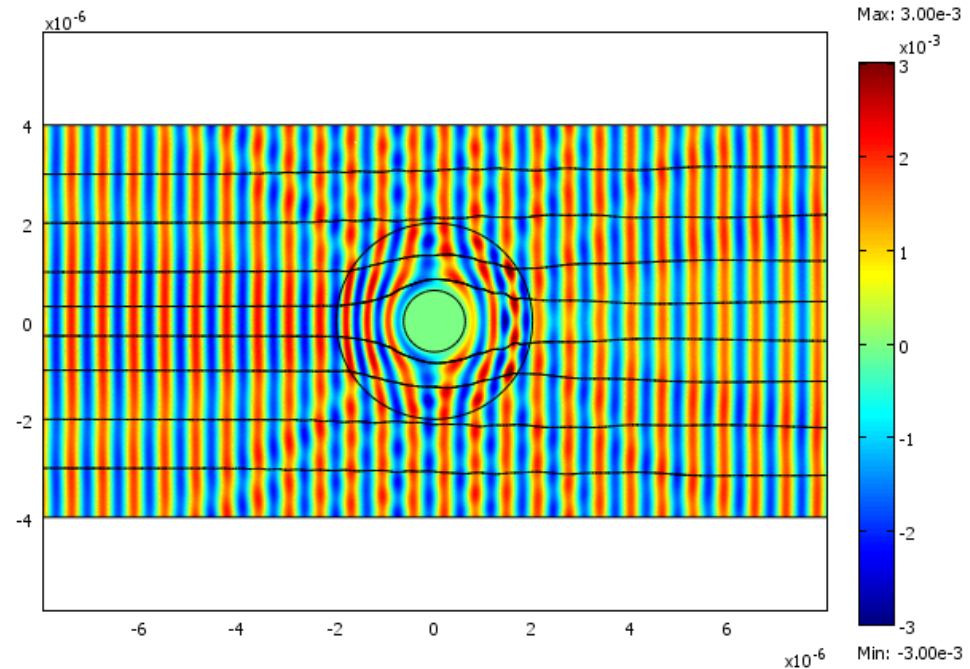
# Cloaking Performance: Field Mapping Movies

## Example:

Non-magnetic cloak @ 632.8nm with silver wires in silica



***Cloak OFF***



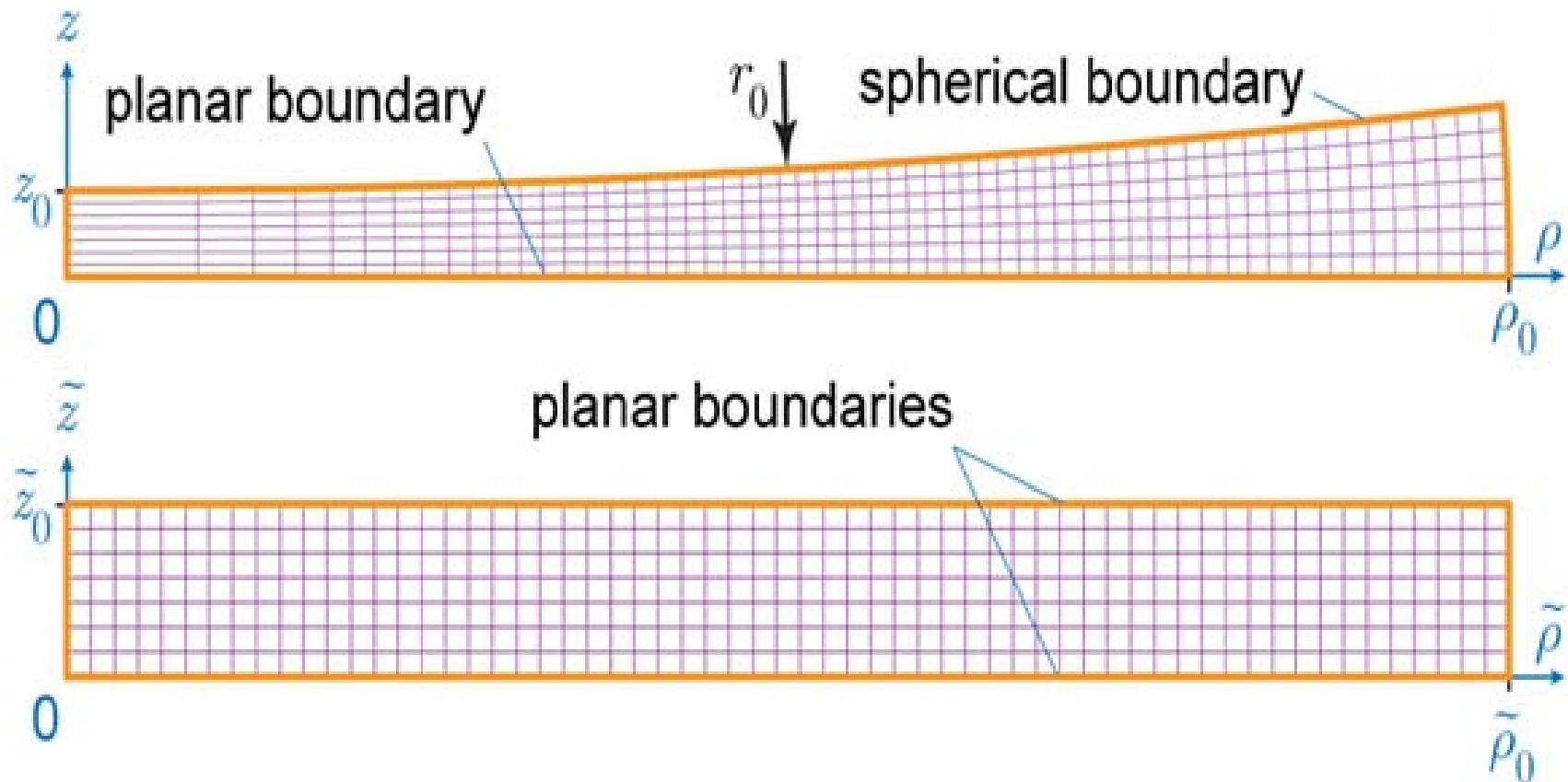
***Cloak ON***

# Broadband Optical Cloaking in Tapered Waveguides

I.I. Smolayninov, V.N. Smolyaninova, A.V. Kildishev  
and V.M. Shalaev

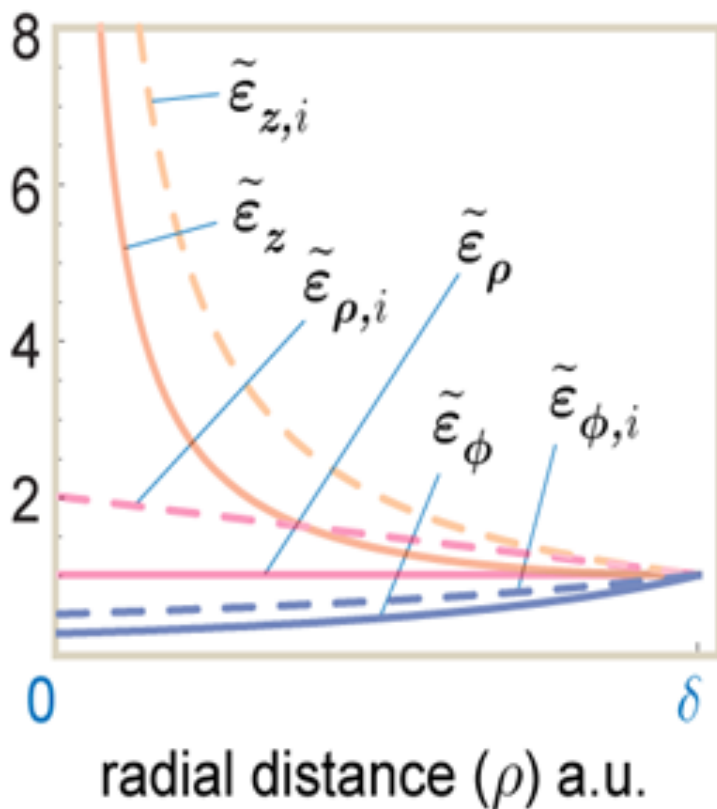
(PRL – 2009)

# Emulating Anisotropic Metamaterials with Tapered Waveguides

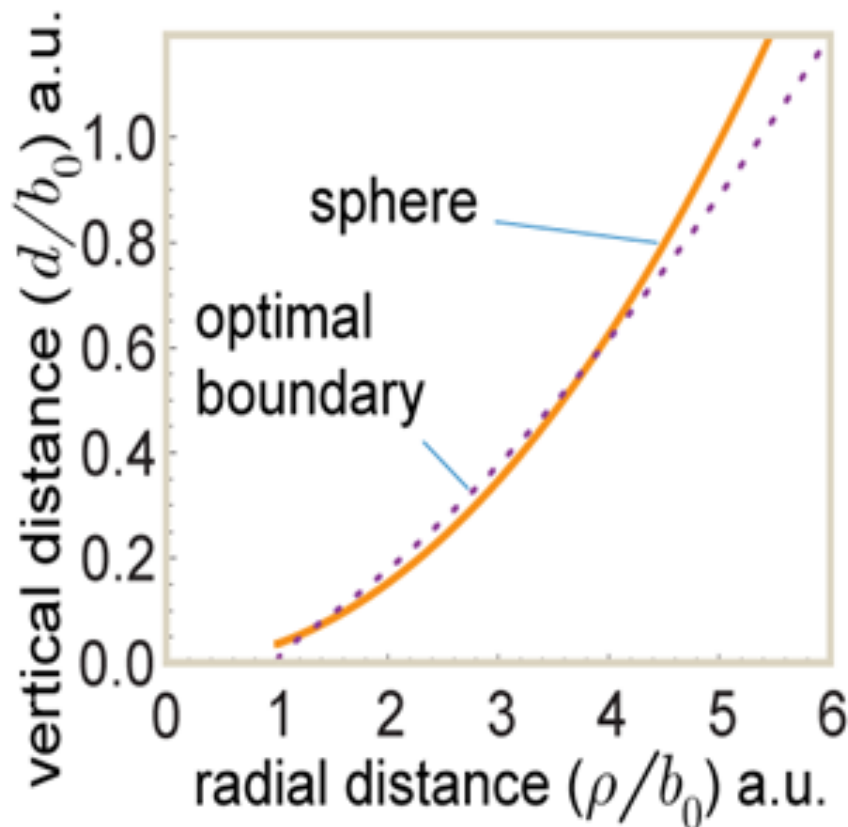


**A space between a spherical and a planar surface  
mapped onto a planar anisotropic metamaterial**

# Emulating Anisotropic Metamaterials with Tapered Waveguides

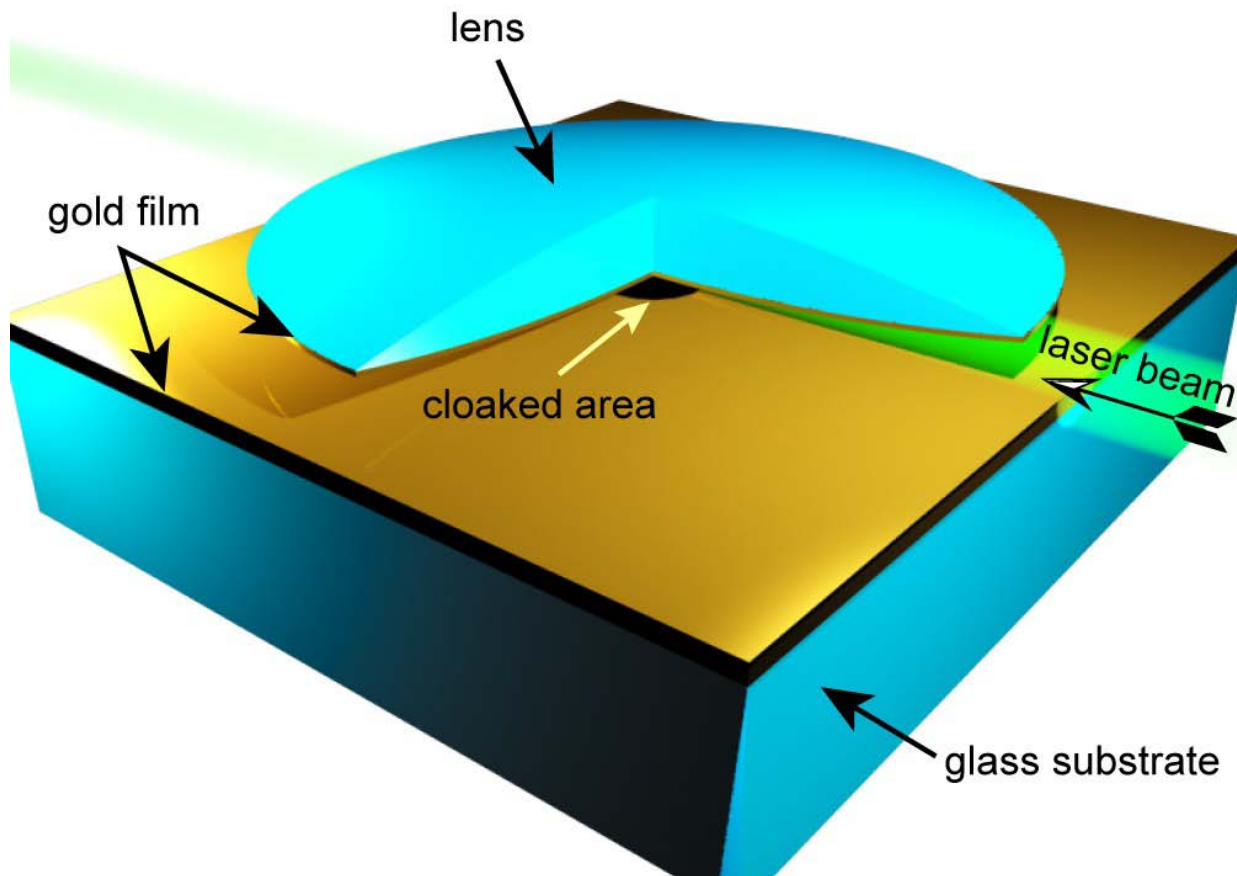


Distribution of radial (top), azimuthal (middle), and axial components of  $\epsilon = \mu$  in equivalent planar MM. Dashed lines show same components in the ideal cloak.

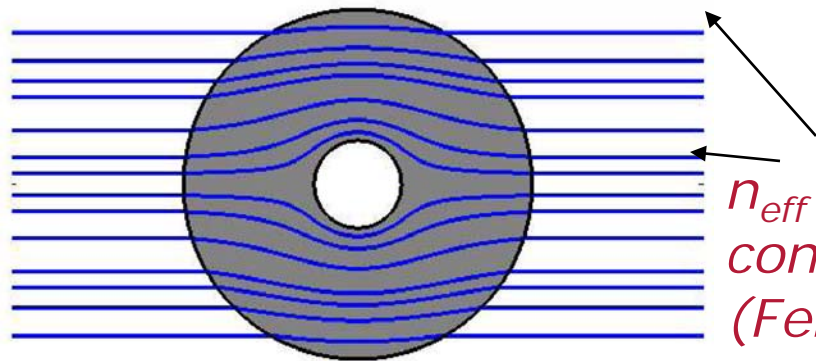


Normalized profile of optimal and "plane-sphere" waveguides for a cloak with radius of  $b_0 = 172 \mu\text{m}$ .

# Broadband Optical Cloak in Tapered Waveguide



# Fermat Principle and Waveguide Cloak



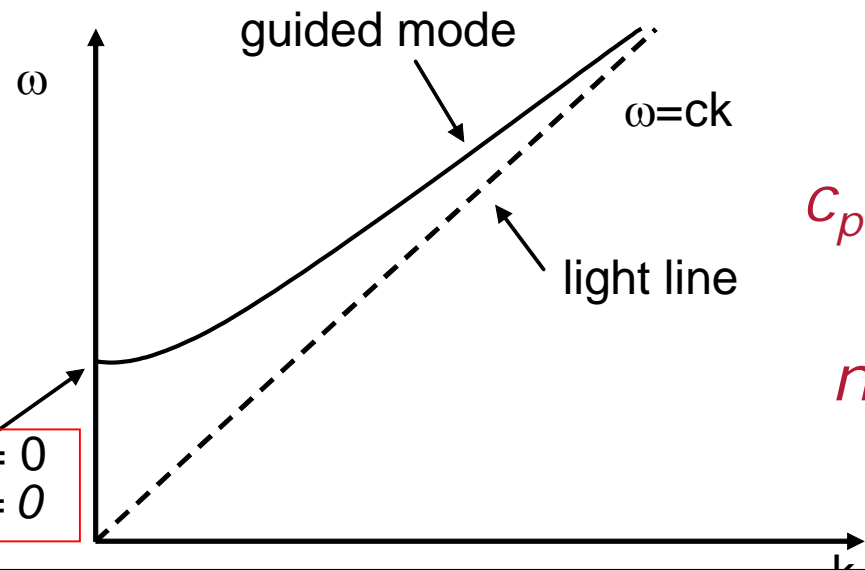
Cloaking Hamiltonian: (Narimanov, OE, 2008)

$n_{eff} L = const$   
(Fermat)

$$\left(\omega/c\right)^2 = k_{\rho}^2 + k_{\phi}^2 (\rho - b)^{-2}$$

Dispersion law of a guided mode:

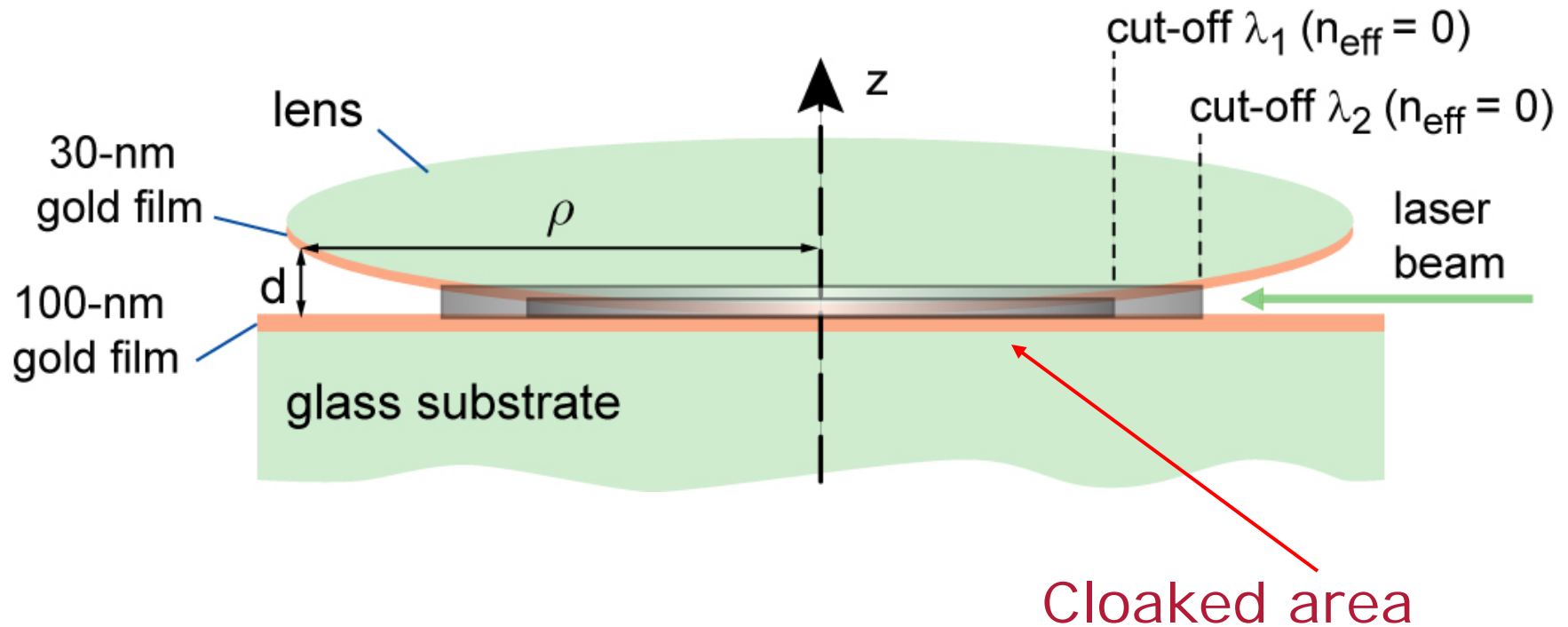
$$\left(\omega/c\right)^2 = k_{\rho}^2 + \left(k_{\phi}/\rho\right)^2 + \left[\pi l/d(\rho)\right]^2$$



$$c_{phase} = \omega / k \quad c_{group} = d\omega / dk$$

$$n_{eff} = c / c_{phase} = ck / \omega \rightarrow 0 \text{ near cutoff}$$

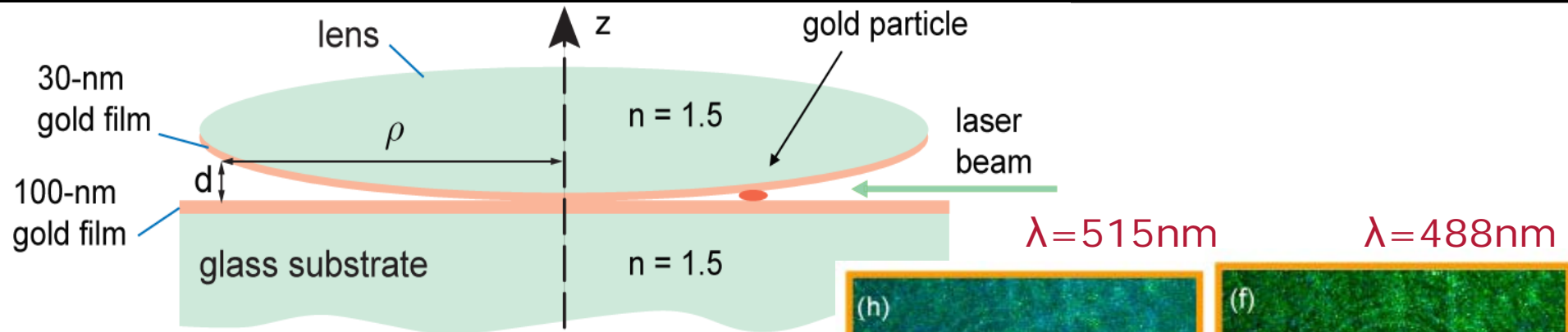
# Broadband Cloaking in Tapered Waveguide



$$\left(\frac{\omega}{c}\right)^2 = k_\rho^2 + \left(\frac{m}{\rho}\right)^2 + \left[\frac{\pi l}{d(\rho)}\right]^2 = k_\rho^2 + k_\phi^2(\rho - b)^{-2}$$

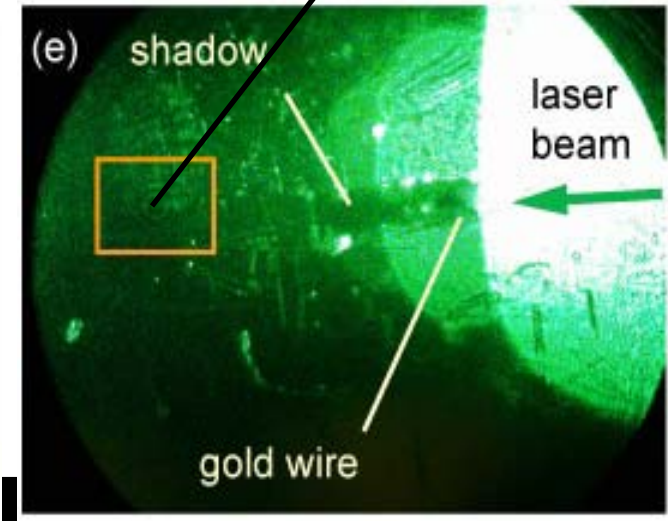
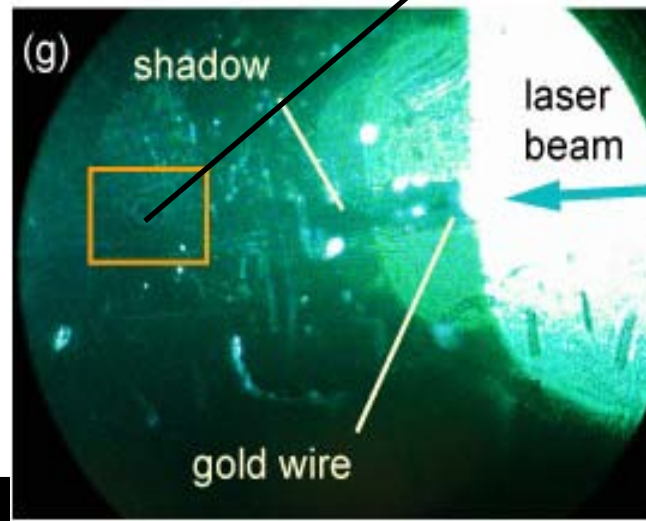
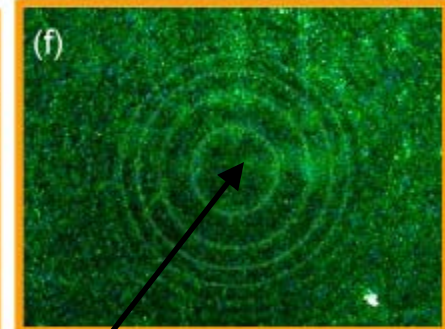
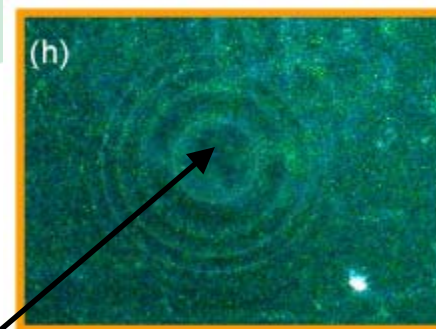


# Broadband Optical Cloak

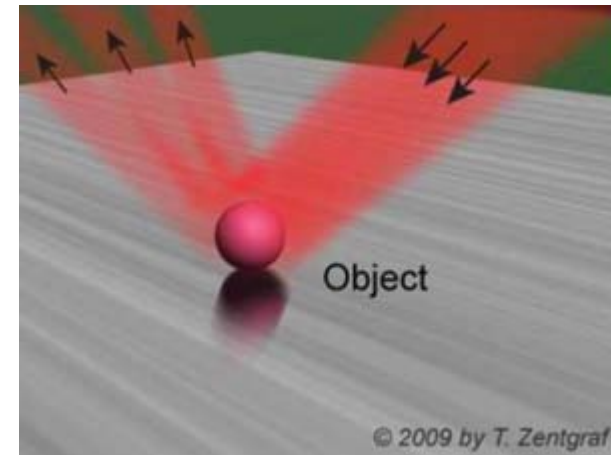
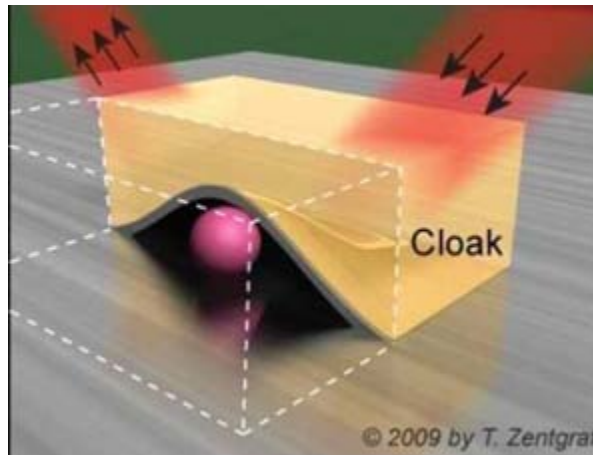
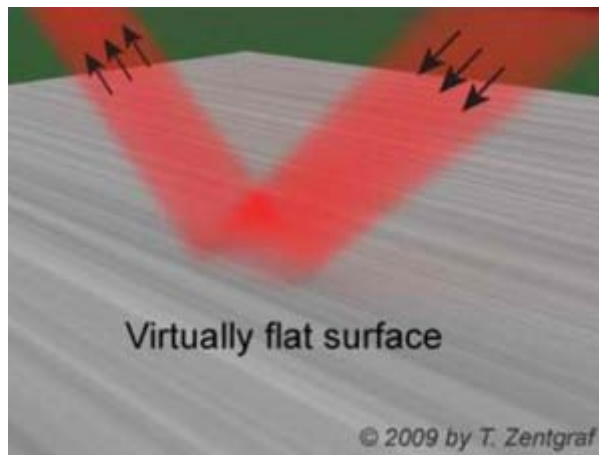


$$\left(\frac{\omega}{c}\right)^2 = k_\rho^2 + \left(\frac{m}{\rho}\right)^2 + \left[\frac{\pi l}{d(\rho)}\right]^2$$

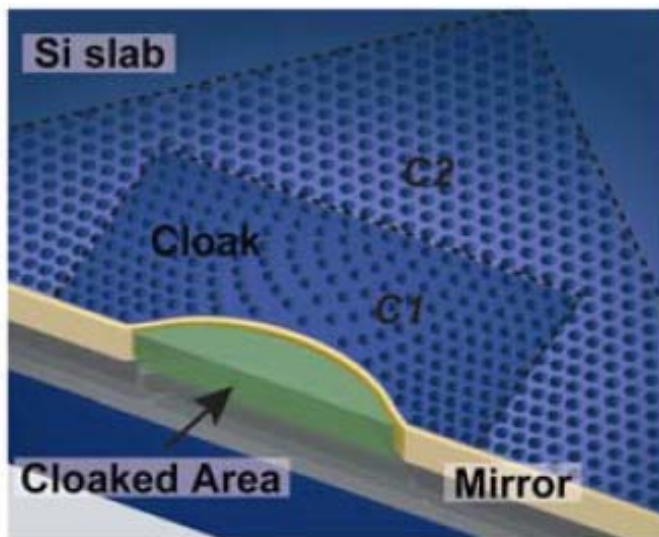
$$= k_\rho^2 + k_\phi^2(\rho - b)^{-2}$$



# Optical Mimicry

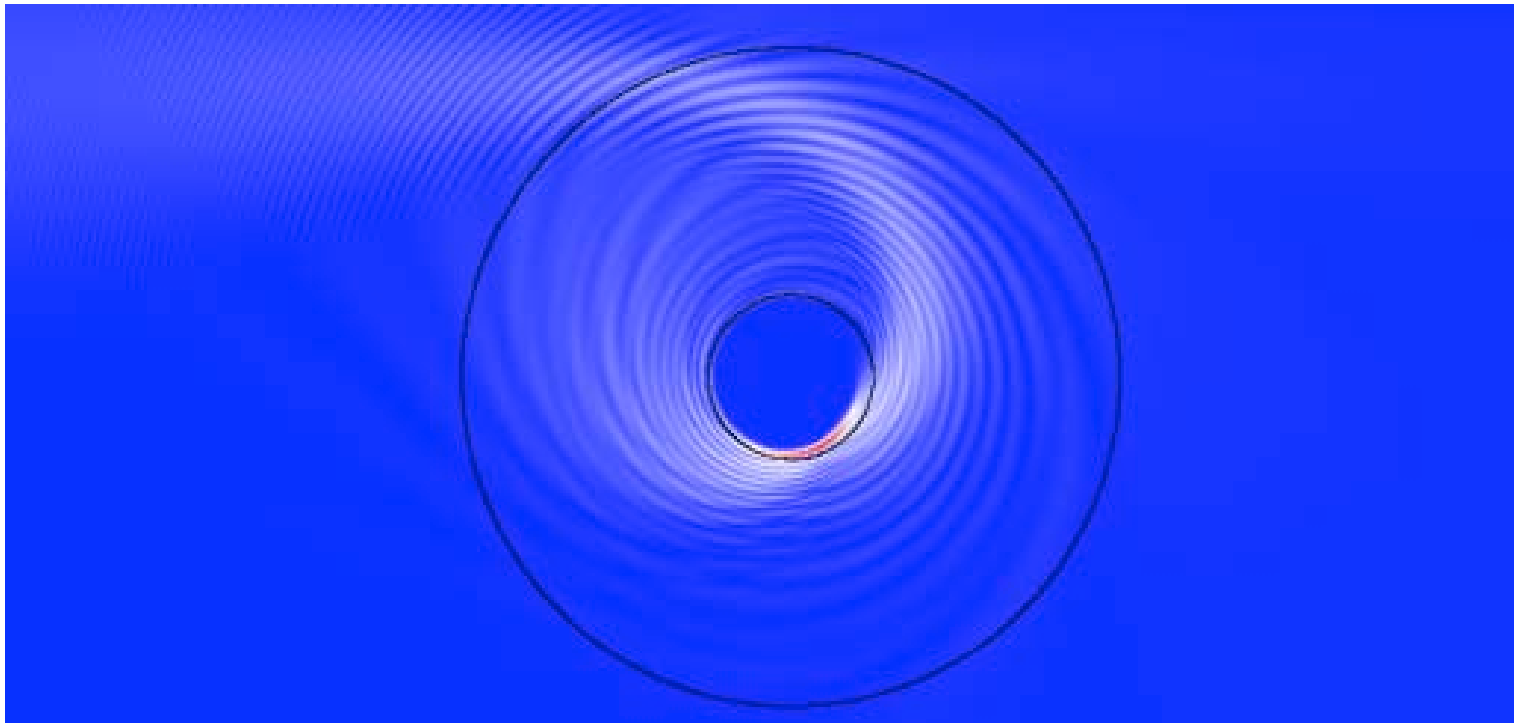


Progress Towards True Invisibility on May.17, 2009, under Science  
[www.codingfuture.com](http://www.codingfuture.com)



**Theory: J. Li, J. Pendry**  
**GHz: Smith et al (Duke)**  
**Optical: Zhang et al (Berkeley)**  
**Lipson et al (Cornel)**

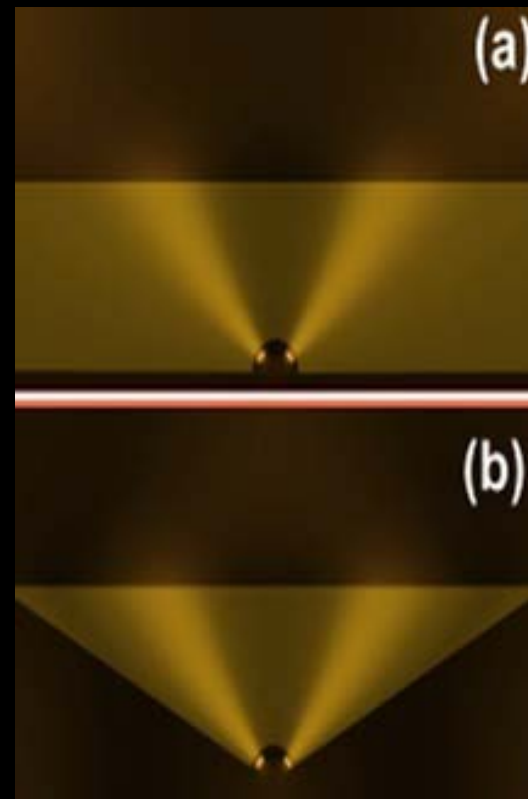
# The Optical Black Hole: Broadband Omnidirectional Light Absorber



All-dielectric  
Real materials (e.g. semiconductors)

# Engineering Meta-Space for Light: via Transformation Optics

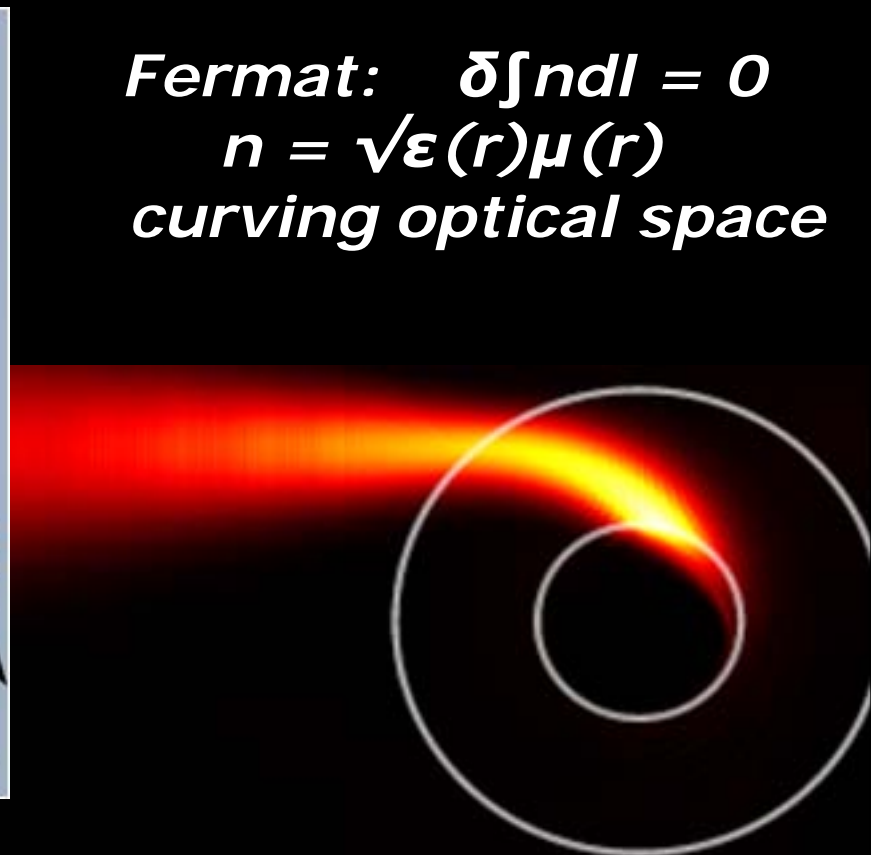
*Kildishev, VMS (OL, 2008); VMS, Science 322, 384 (2008)*



Planar hyperlens  
(Magnifies)



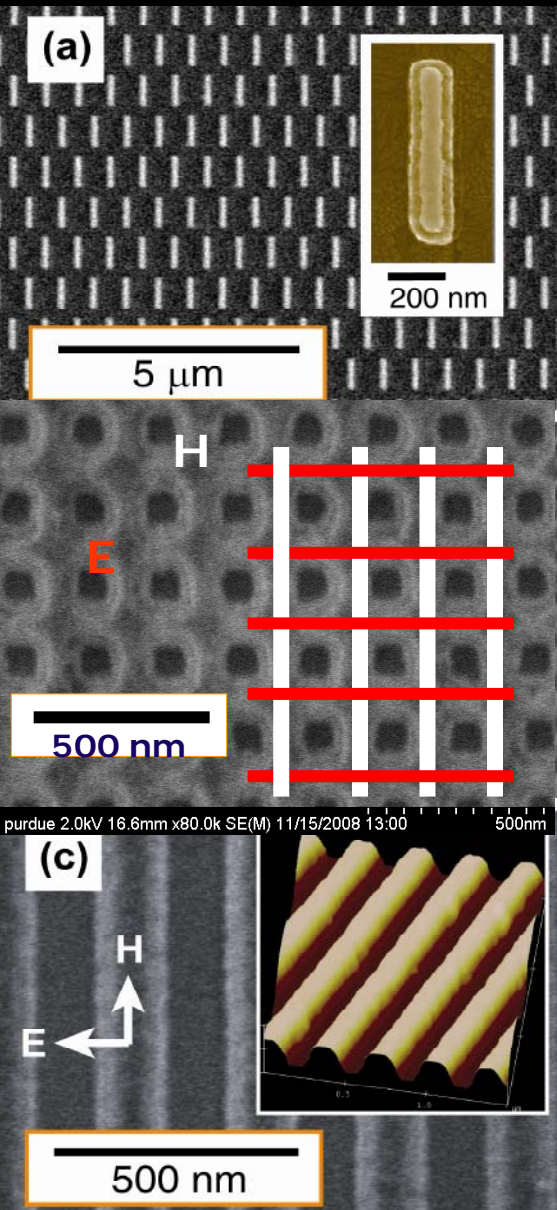
Light concentrator  
(also, Schurig et al)



Optical Black Hole  
(Narimanov, Kildishev; Zhang et al)

*Fermat:  $\delta \int n dl = 0$   
 $n = \sqrt{\epsilon(r)\mu(r)}$   
curving optical space*

# Highlights of Purdue "Meta-Research"

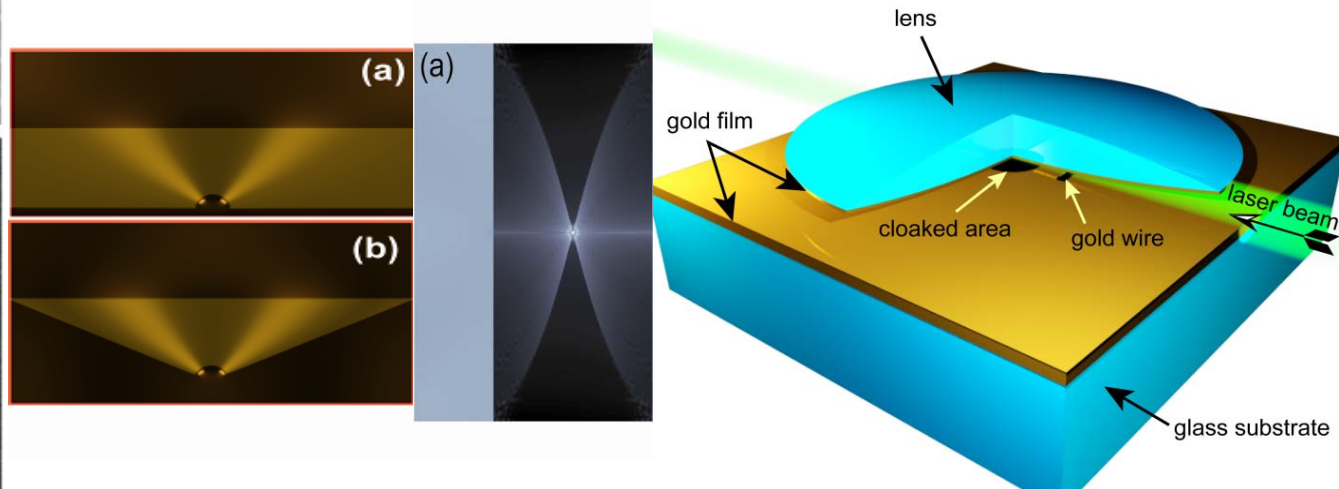


Electrical MMs: i) Nanoantennae and ii) Nanolaser (with NSU, Cornell)

## Purdue Photonic Metamaterials

- (a) 1-st optical negative-index MM ( $1.5 \mu\text{m}$ ; 2005)
- (b) Negative index MM at shortest  $\lambda$  ( $\sim 580\text{nm}$ ; 2009)
- (c) 1-st magnetic MM across entire visible (2007)

Transformation Optics with MMs:  
Flat hyperlens, concentrator, and cloak



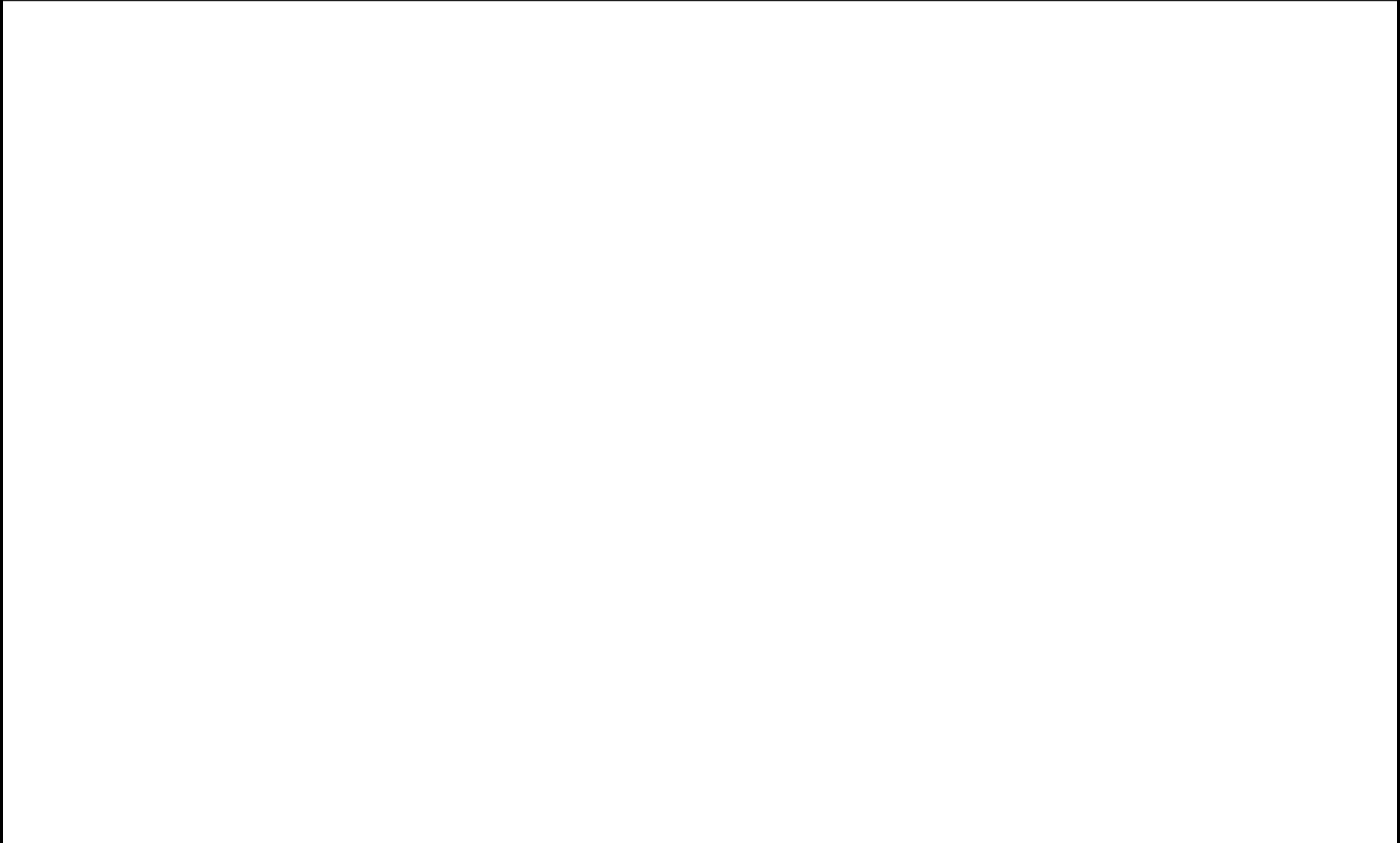
# Thanks to Birck Nanotechnology Center



# Question & Answer 1



# Question & Answer 2





# Question & Answer 3

