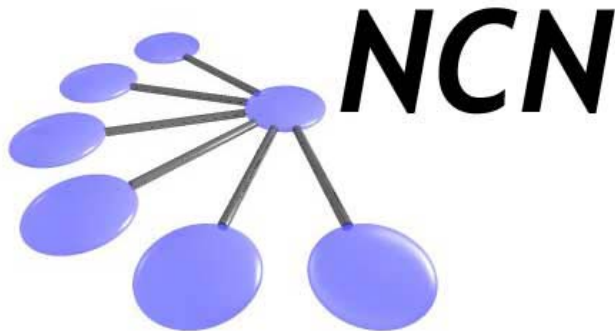


# *Network for Computational Nanotechnology (NCN)*

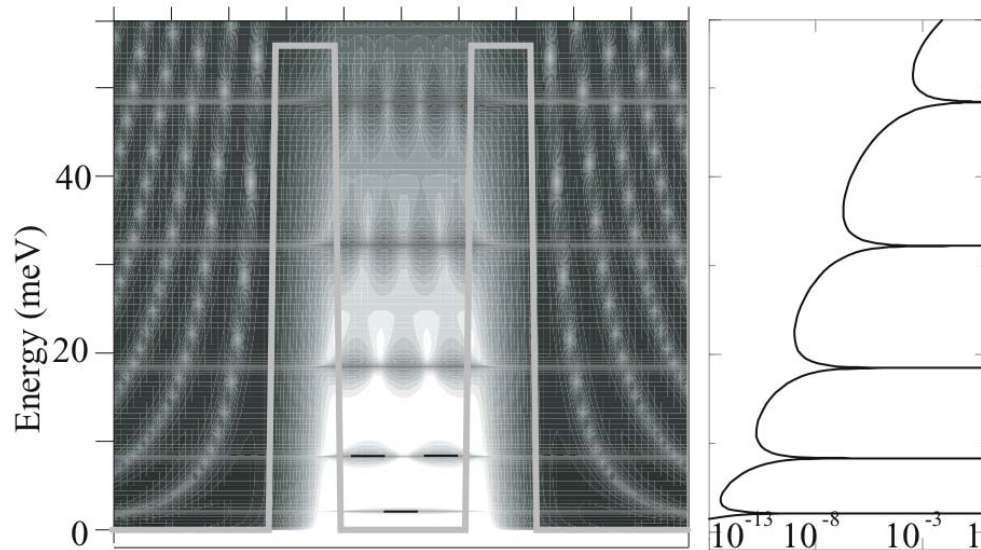
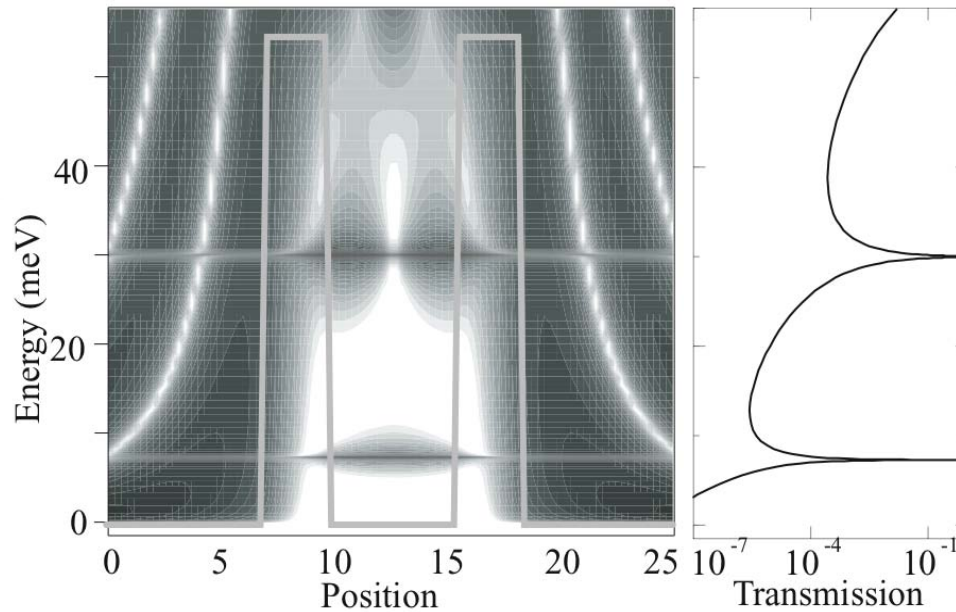
*Berkeley, Univ. of Illinois, Norfolk State, Northwestern, Purdue, UTEP*

## **NEMO1D: Hole Bandstructure in Quantum Wells and Hole Transport in RTDs**



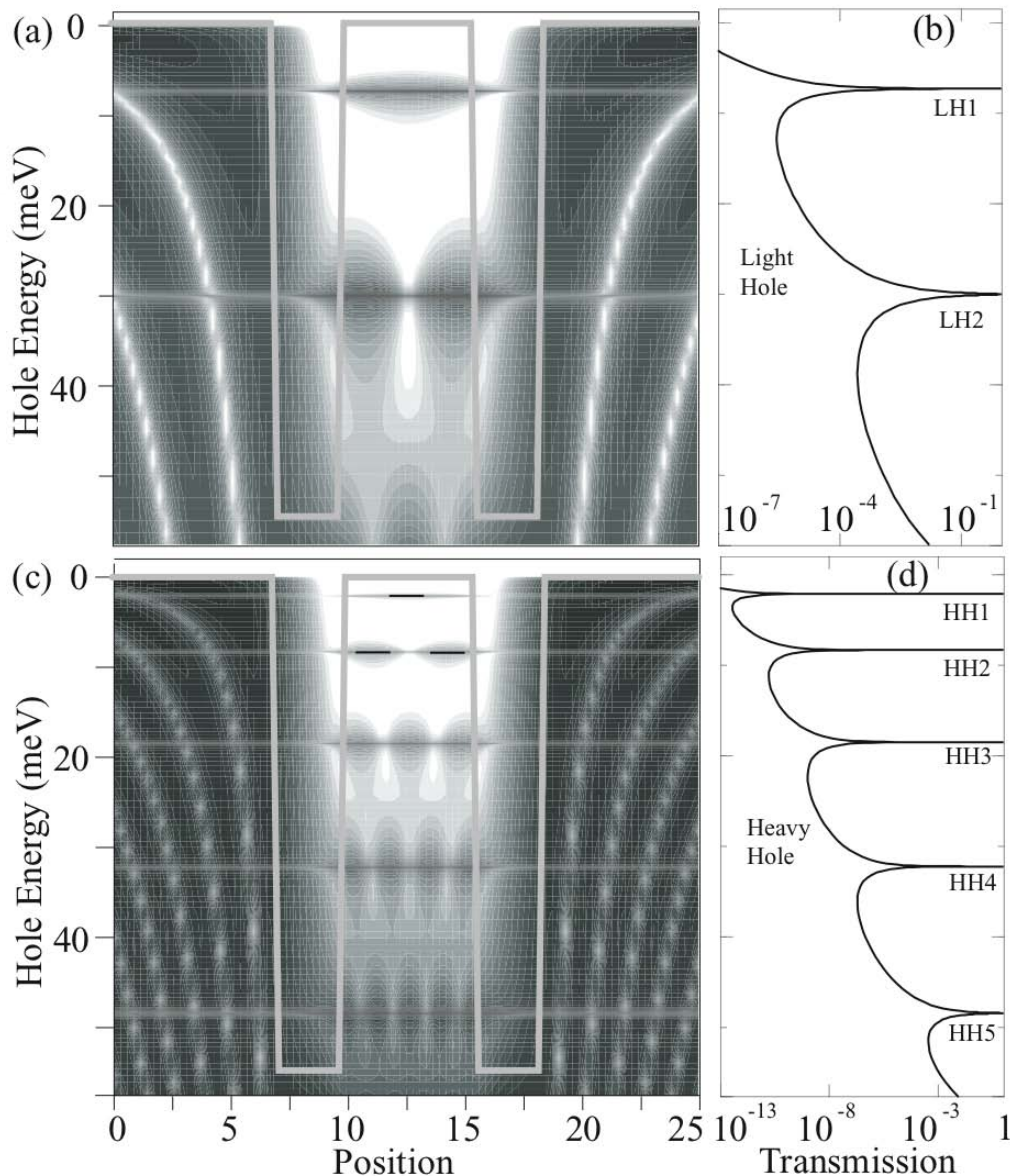
Gerhard Klimeck

# Electron transport in RTDs: Density of States and Transmission



- **Density of States:**  
Shows the spatial and energetic “location” of possible states
- **Transmission:**  
shows spikes where the DOS is strong in the central RTD
- **Small effective mass:**  
large state separation
- **Large effective mass:**  
“heavy” electrons
  - » small state separation
  - » Sharp peaks - strong confinement  
weak coupling to outside
  - » Deep background/peak ratio:  $10^{13}$   
strong confinement  
weak coupling to outside

# Hole transport in RTDs: Simplified Density of States and Transmission



- Holes

Are just  
electrons

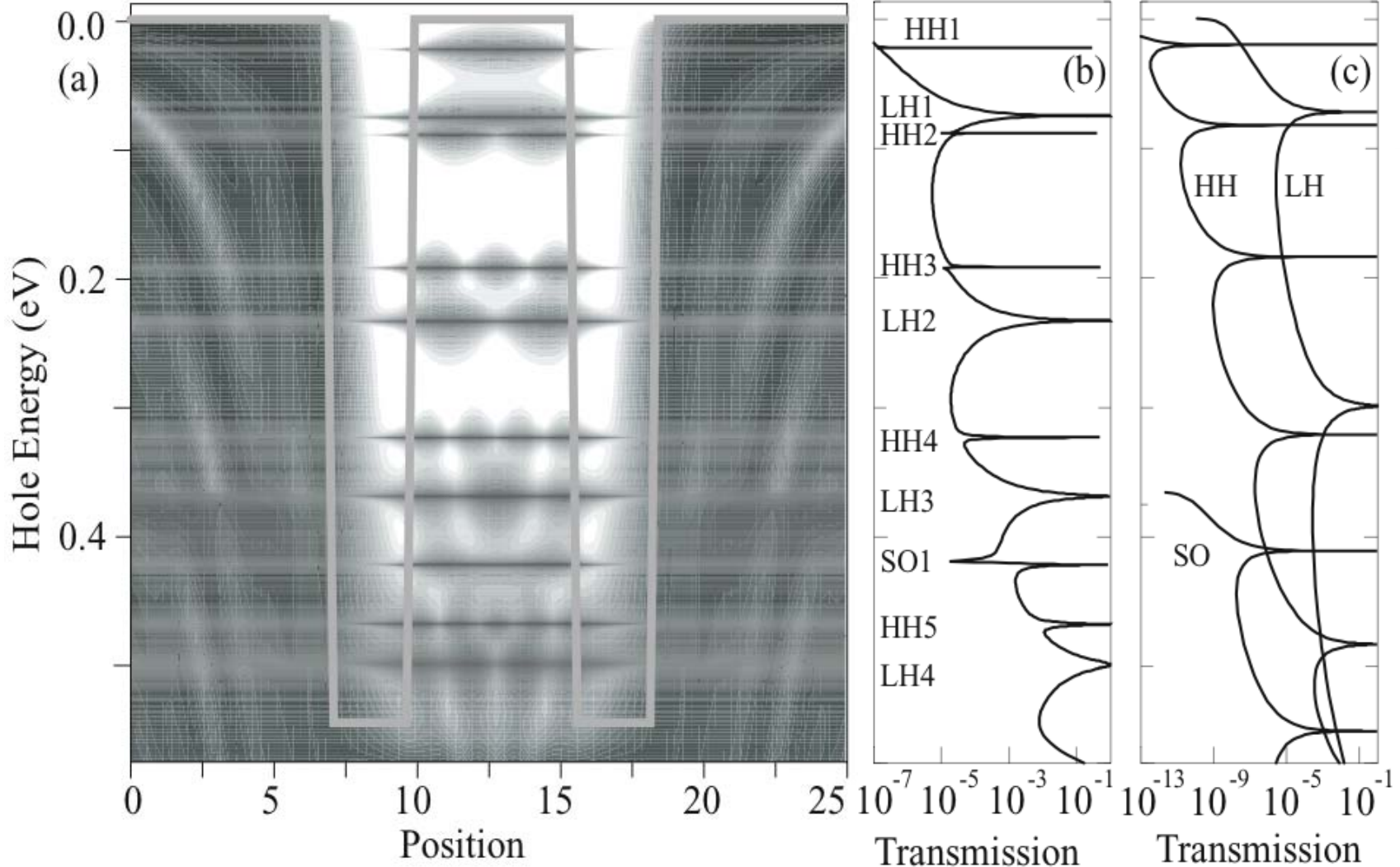
upside-down????

- Not quite!

- » LH and HH are coupled
- » Highly non-parabolic dispersion
- » Highly anisotropic dispersion

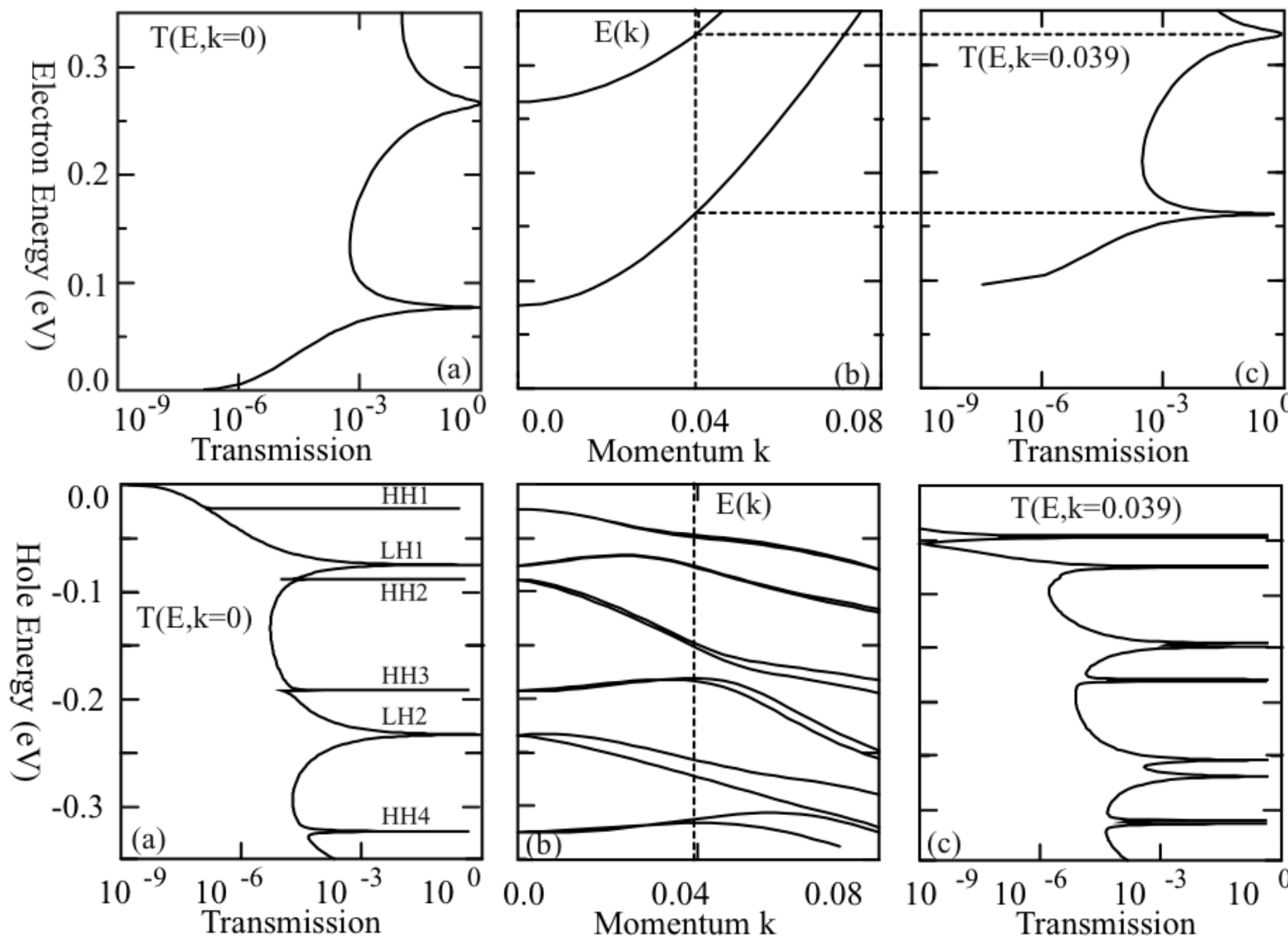
- Very unintuitive transport behavior!





- Transmission coefficient at  $k_x=0$
- sp<sup>3</sup>s\* represents all bands simultaneously. Can identify LH, HH, and SO features

# Dispersion in the Transverse Direction Electron vs. Hole Subbands



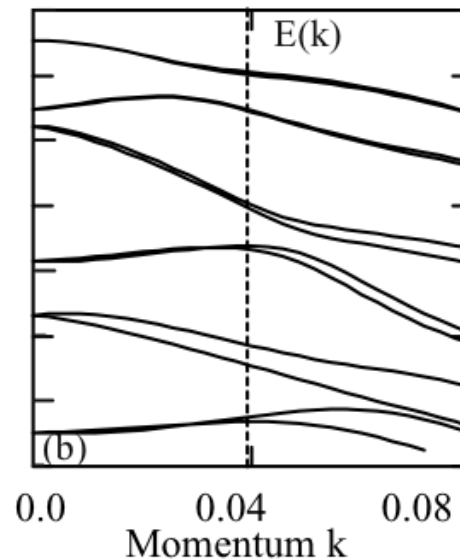
Electron:

- Dispersion “simple”, almost parabolic
- Transmission simple “replica”

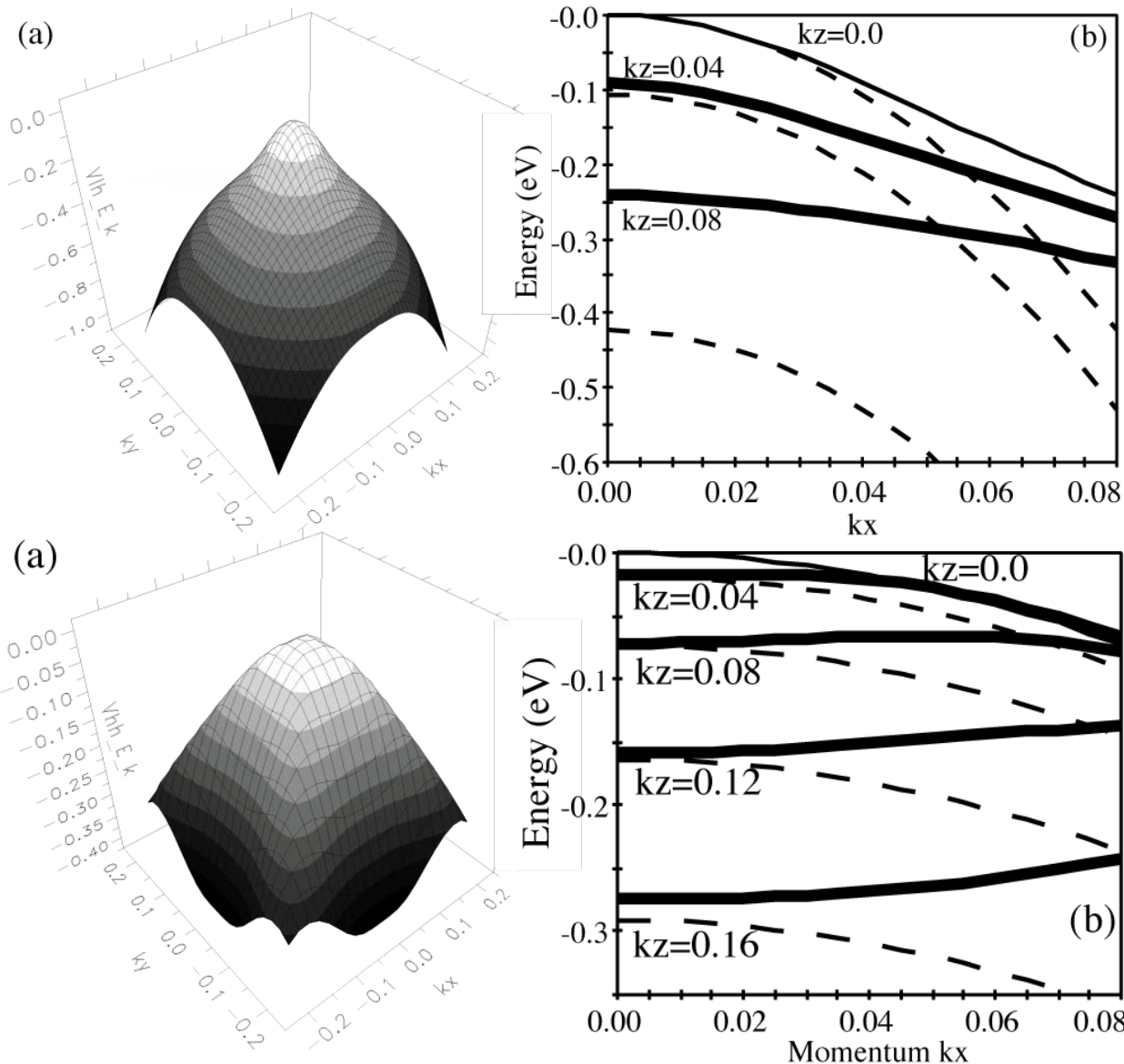
Holes:

- Dispersion “complicated”
- Transmission dramatically altered

# Where does this dispersion come from?



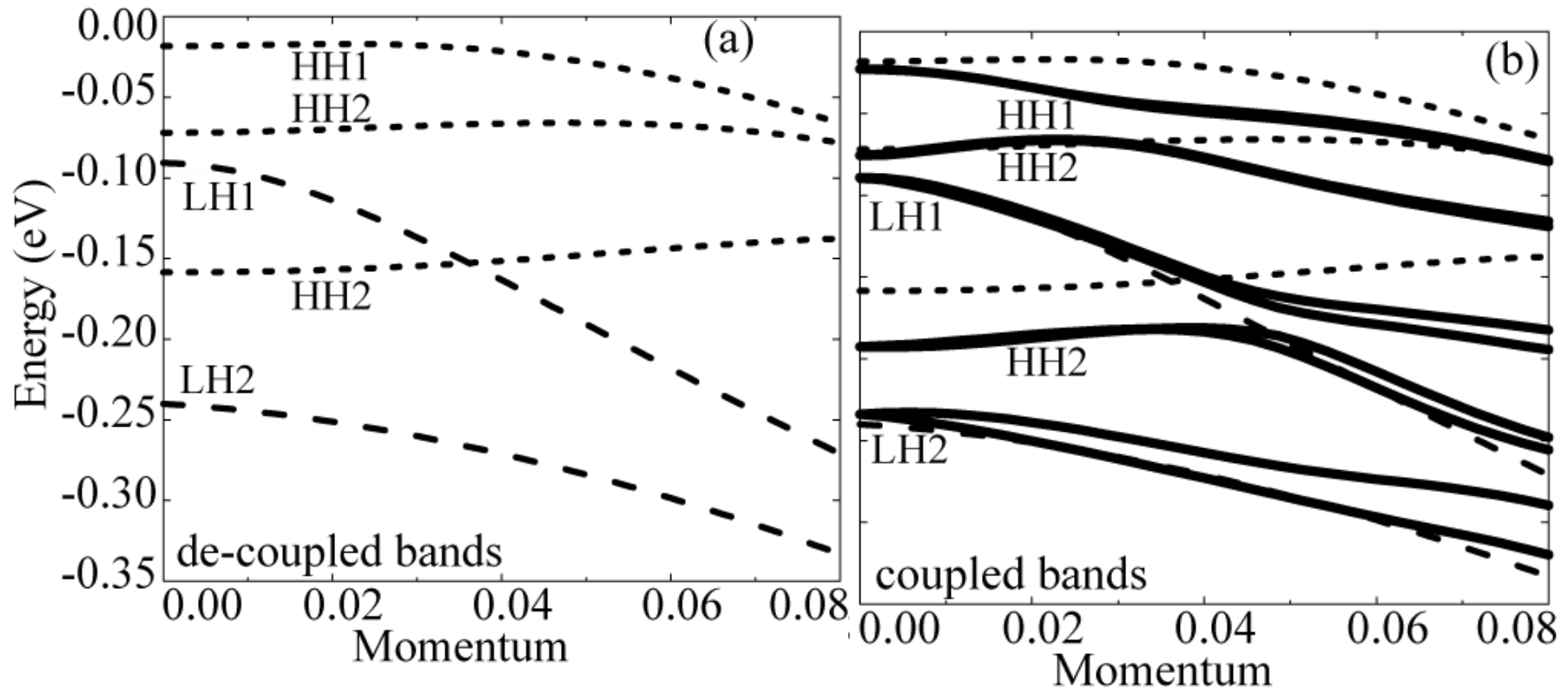
Property	exp.	GaAs sim.
$E_g^\Gamma$	1.4240	1.4240
$\Delta_{so}$	0.3400	0.3664
$m_\Gamma^*$	0.0670	0.0679
$m_{lh}^*[001]$	-0.0871	-0.0708
$m_{lh}^*[011]$	-0.0804	-0.0662
$m_{lh}^*[111]$	-0.0786	-0.0649
$m_{hh}^*[001]$	-0.4030	-0.4105
$m_{hh}^*[011]$	-0.6600	-0.6929
$m_{hh}^*[111]$	-0.8130	-0.8750
$m_{so}^*$	-0.1500	-0.1440



LH band non-parabolic

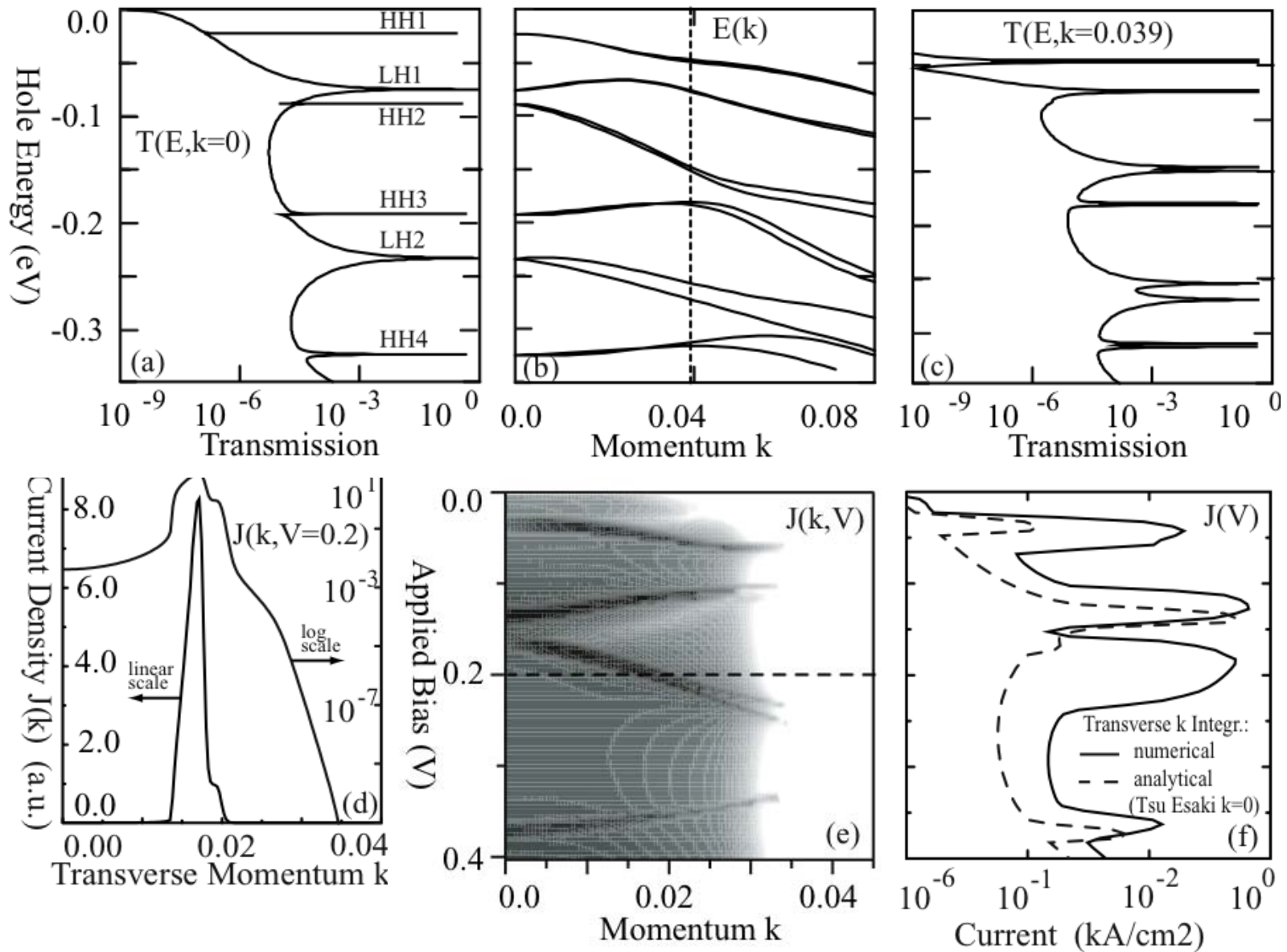
Property	exp.	GaAs sim.
$E_g^\Gamma$	1.4240	1.4240
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$m_{hh}^*[011]$	-0.6600	-0.6929
$m_{hh}^*[111]$	-0.8130	-0.8750
$m_{so}^*$	-0.1500	-0.1440

LH band strongly  
anisotropic  
=> Electron-like



- Plot on the left:
  - » overlay the bulk quantized HH and LH dispersions
- Plot on right:
  - » Dashed, same as left
  - » Solid, coupled bands in a RTD simulation





$$I \propto \int dk_x \int dk_y \int dE T(E, k_x, k_y) (f_L(E) - f_R(E))$$



Cylindrical Coordinates

$$I \propto \int d\varphi \int k dk \int dE T(E, k, \varphi) (f_L(E) - f_R(E))$$



Throw out angular dependence

$$I \propto 2\pi \int k dk \int dE T(E, k) (f_L(E) - f_R(E))$$



Parabolic transverse subbands

$$I \propto \rho_{2D} \int T(E) (f_L(E) - f_R(E))$$

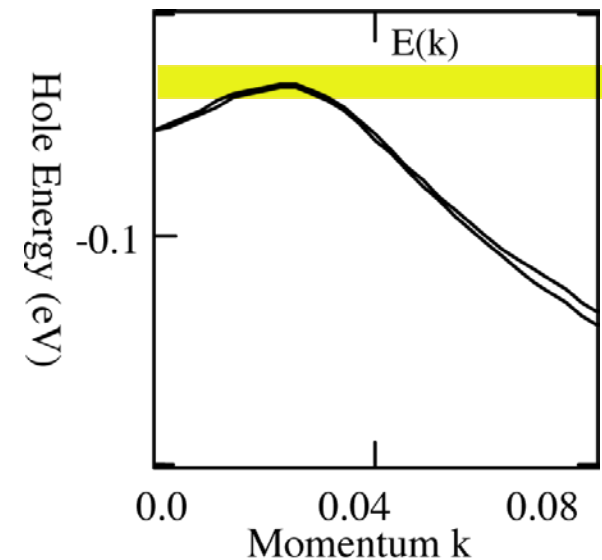
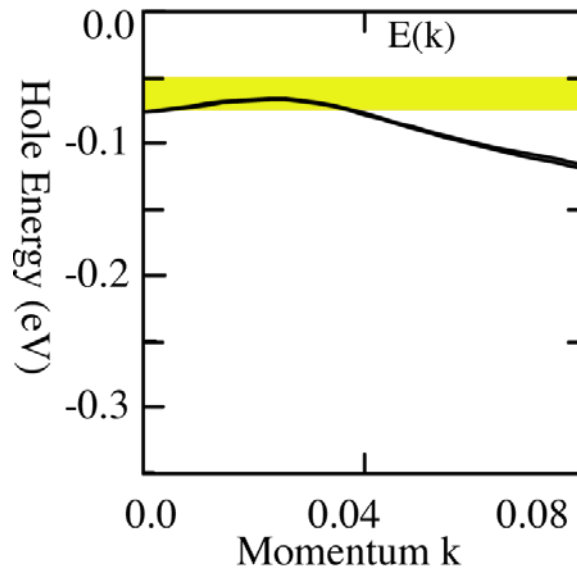
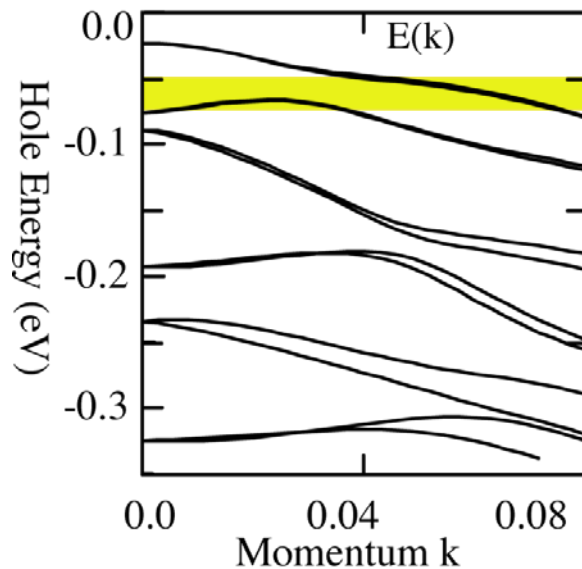
$$I \propto \int k dk \int dE T(E, k) (f_L(E) - f_R(E))$$



$$I \propto \int k dk J(k)$$

Non-monotonic (electron-like)  
dispersion  
can  
dip back into the Fermi sea

$$J(k)$$

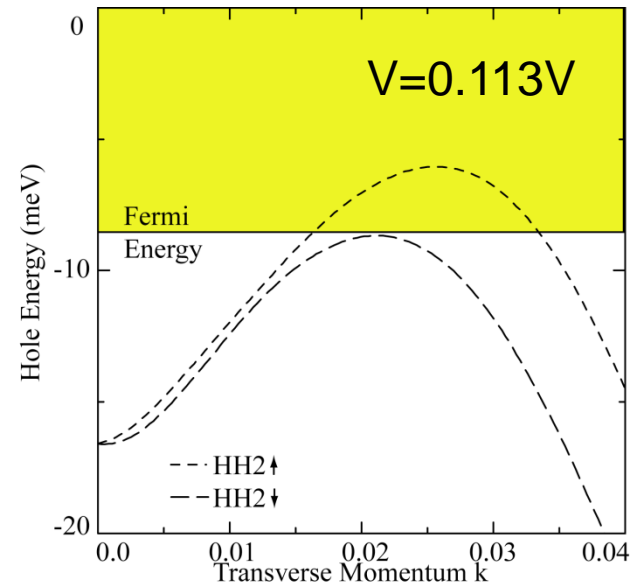
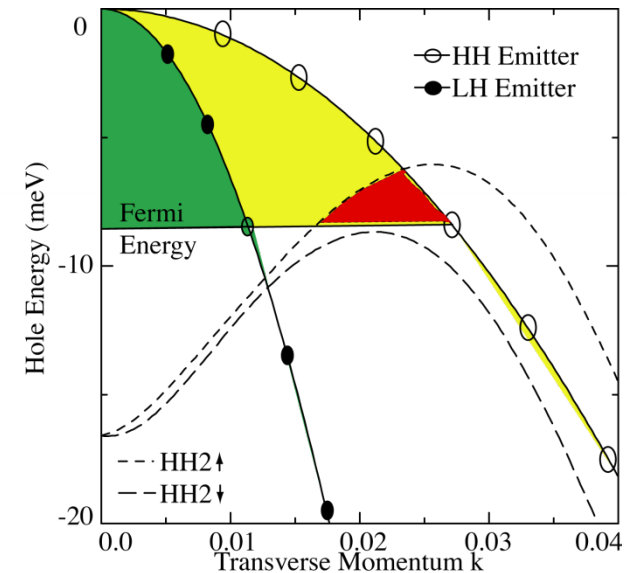
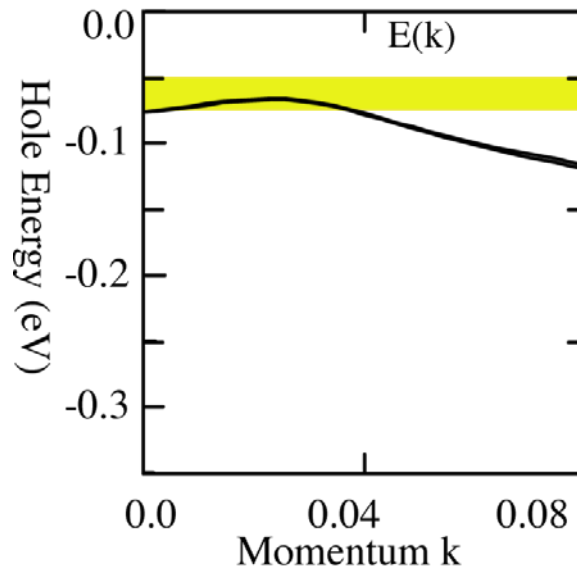
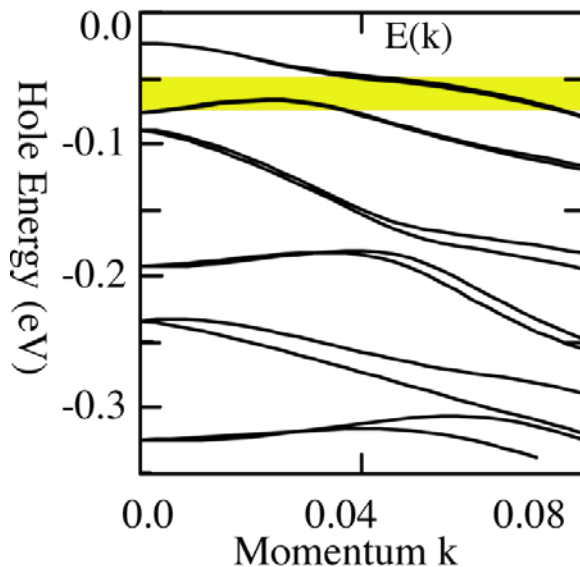


$$I \propto \int k dk \int dE T(E, k) (f_L(E) - f_R(E))$$

$$I \propto \int k dk J(k)$$

$$J(k)$$

Non-monotonic (electron-like)  
dispersion  
can  
dip back into the Fermi sea



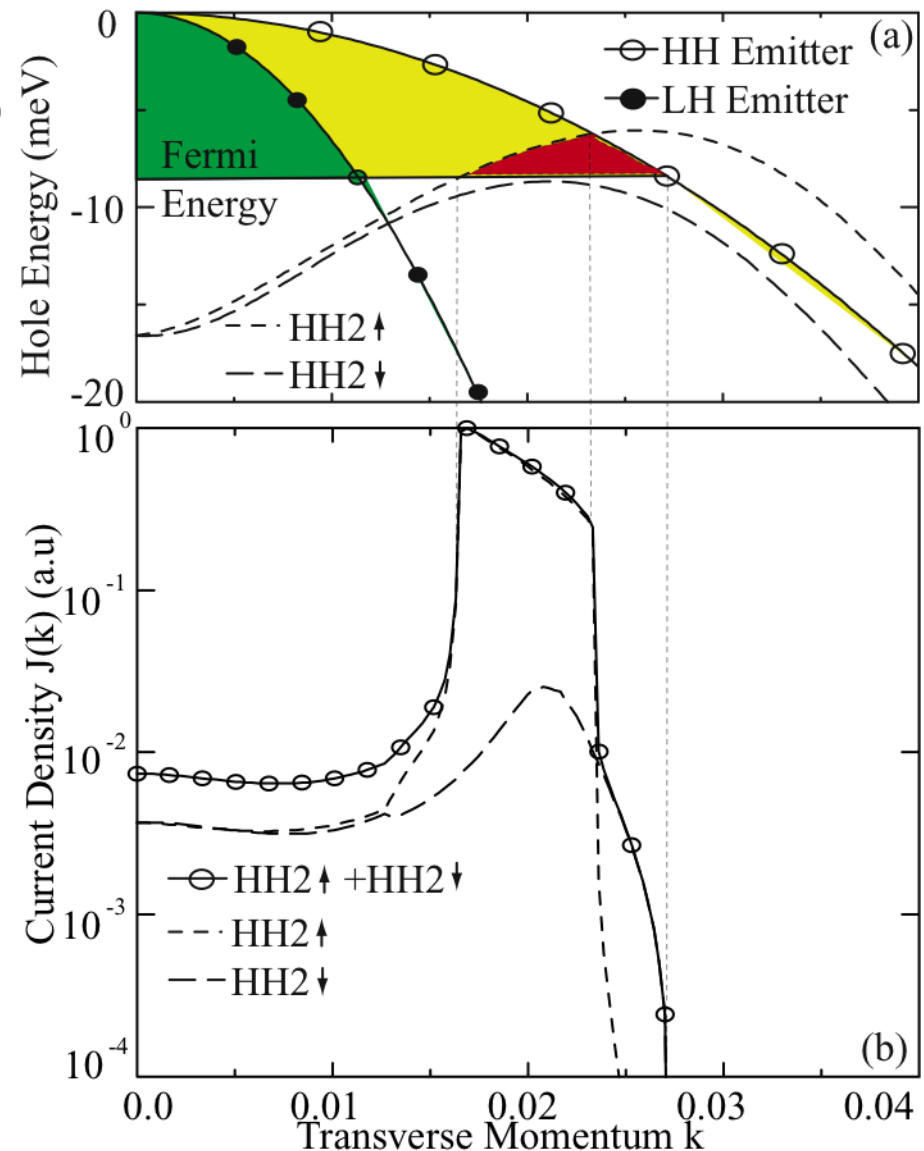


$$I \propto \int k dk \int dE T(E, k) (f_L(E) - f_R(E))$$

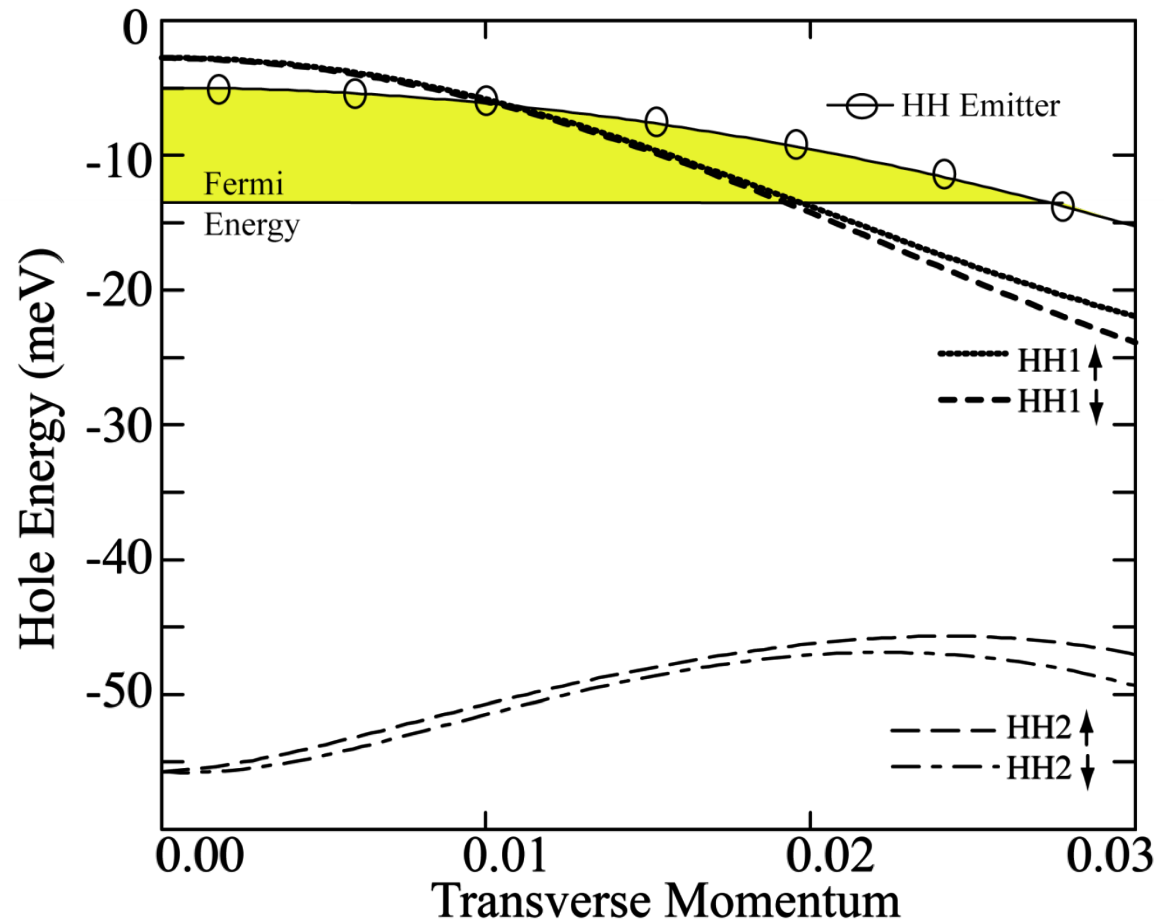
$$I \propto \int k dk J(k)$$

$$J(k)$$

- $J(k)$  can be sharply peaked away from  $k=0$   
=> off-zone center current
- More electrons flow through an angle than straight through

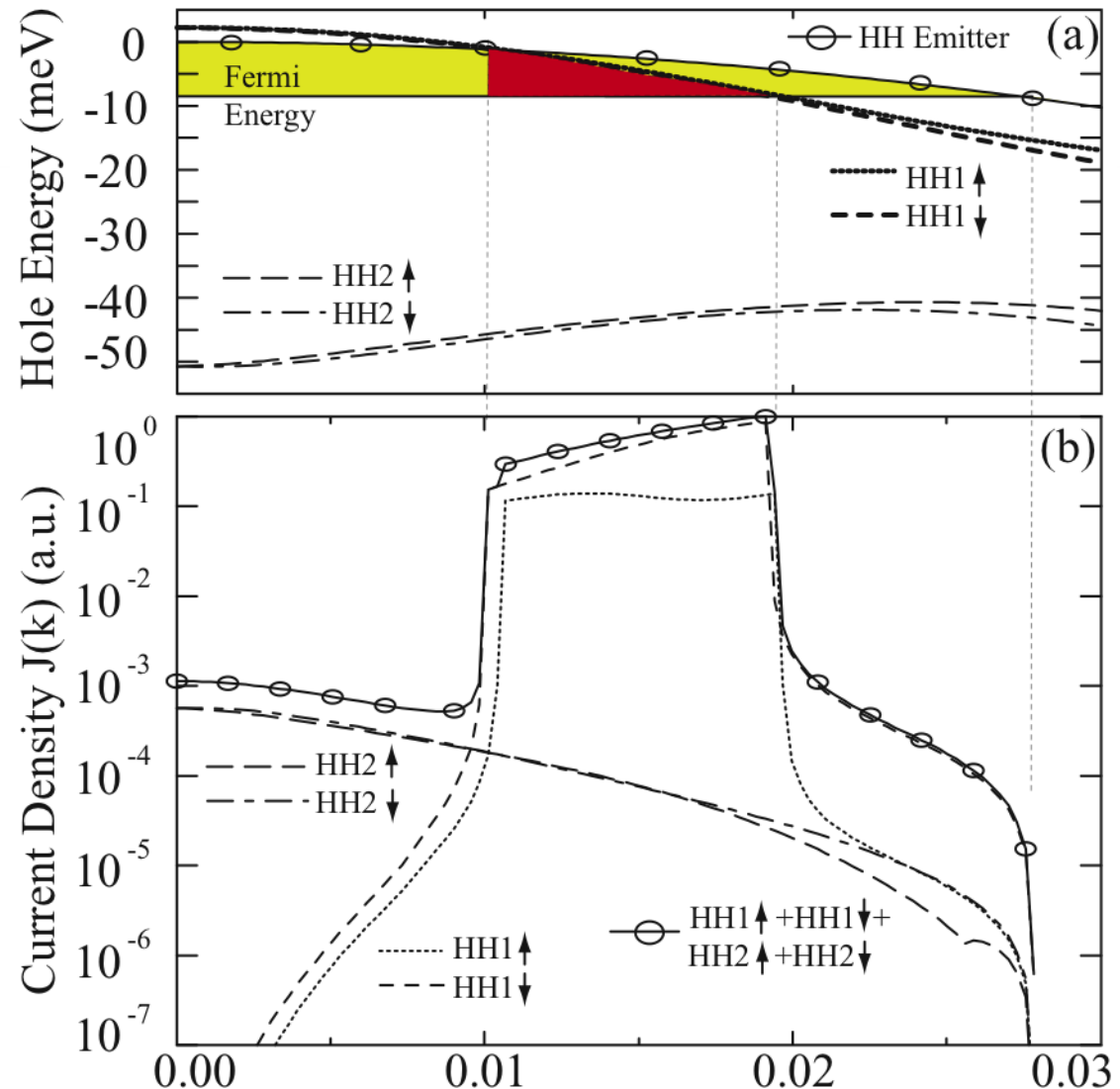


- HH1 is mixture of the bulk HH and LH bands
- $m^*_{\text{HH1}} < m^*_{\text{HH}}$
- Surprising energy crossings

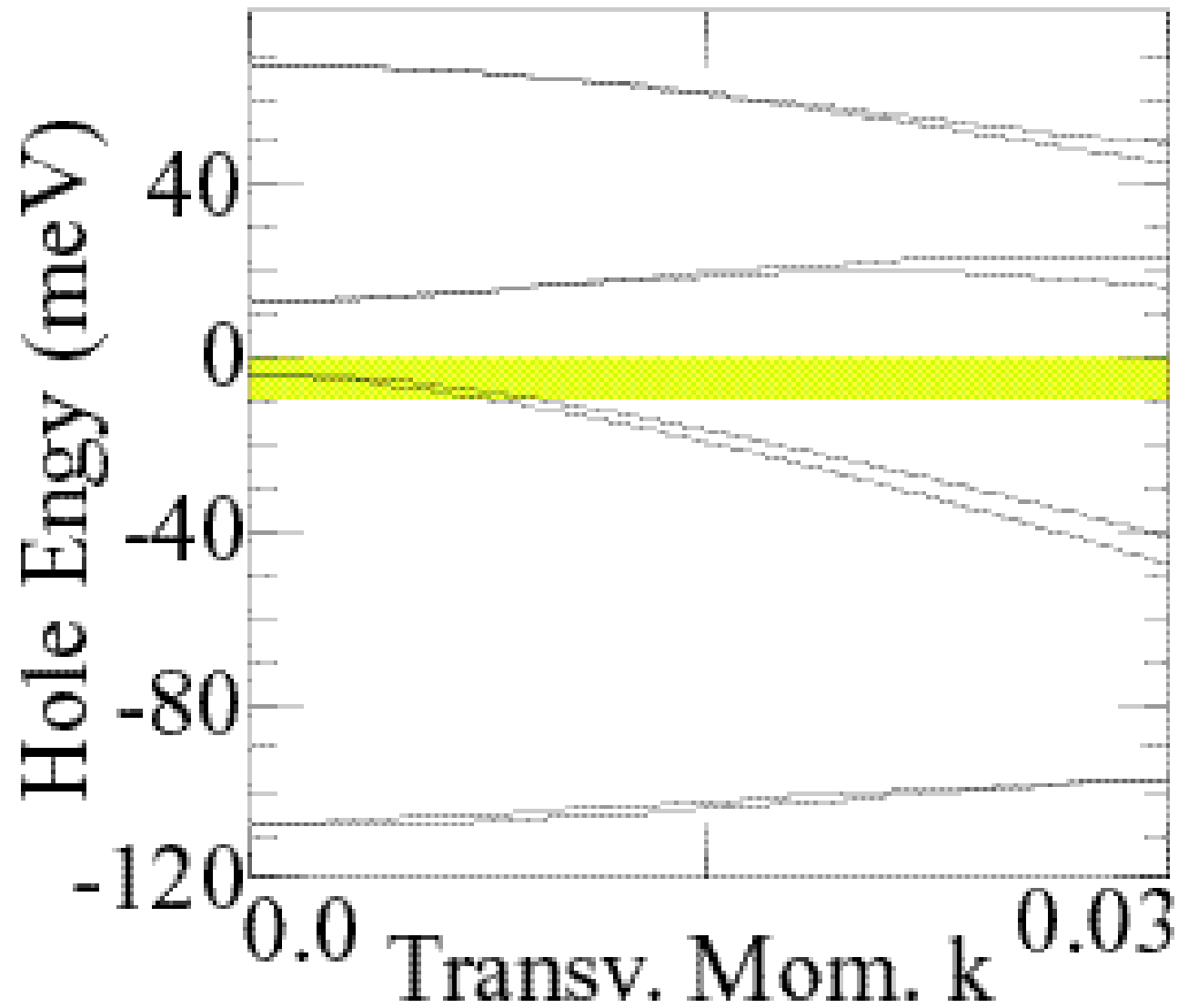


- HH1 is mixture of the bulk HH and LH bands
- $m^*_{\text{HH1}} < m^*_{\text{HH}}$
- Surprising energy crossings
- Current flow peaked at  $k > 0$
- Back ground provided by HH2

$J(k)$

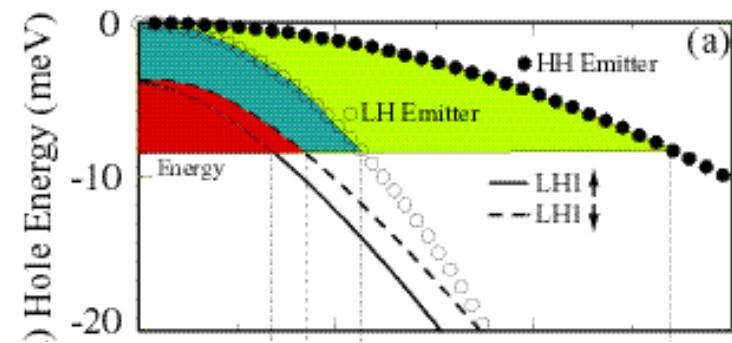
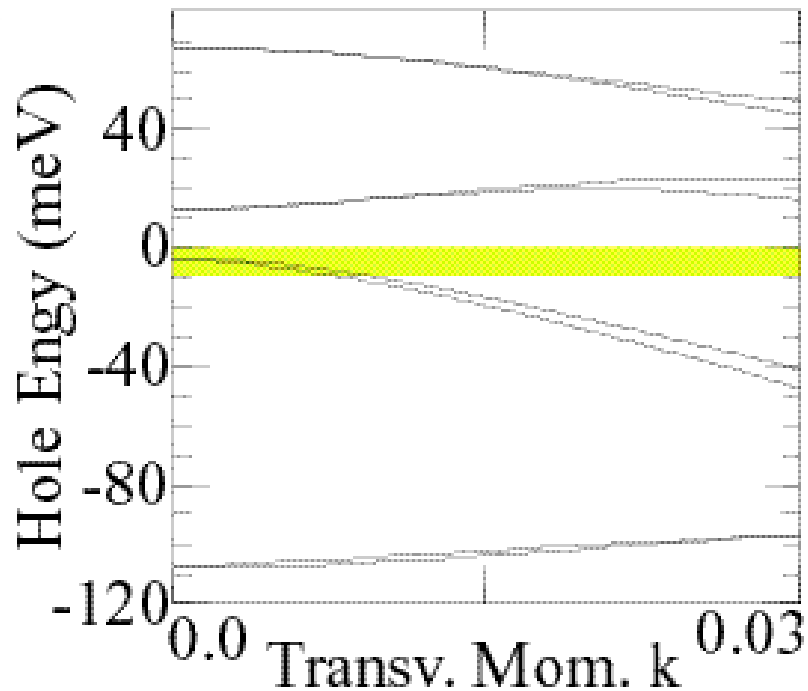


# Off-zone-center current: Resonance Width Modulation

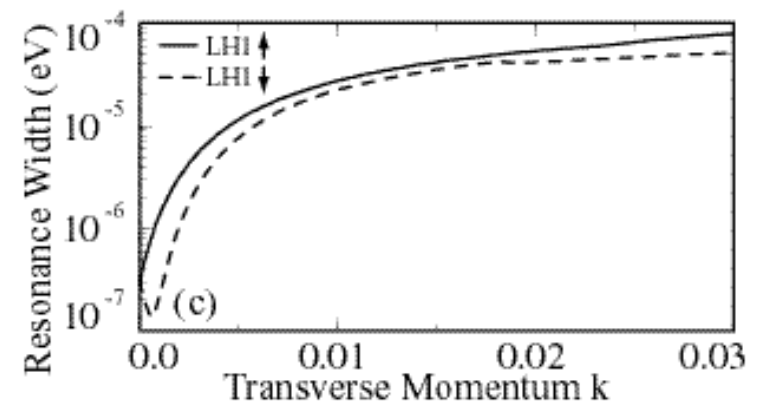
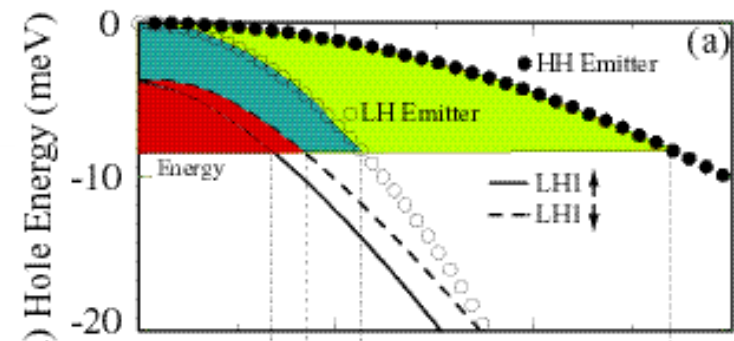
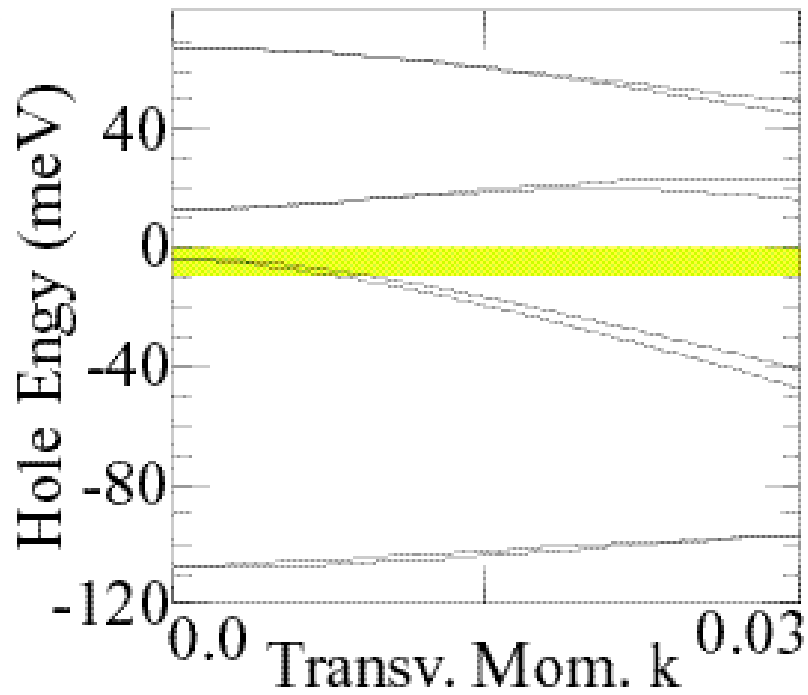




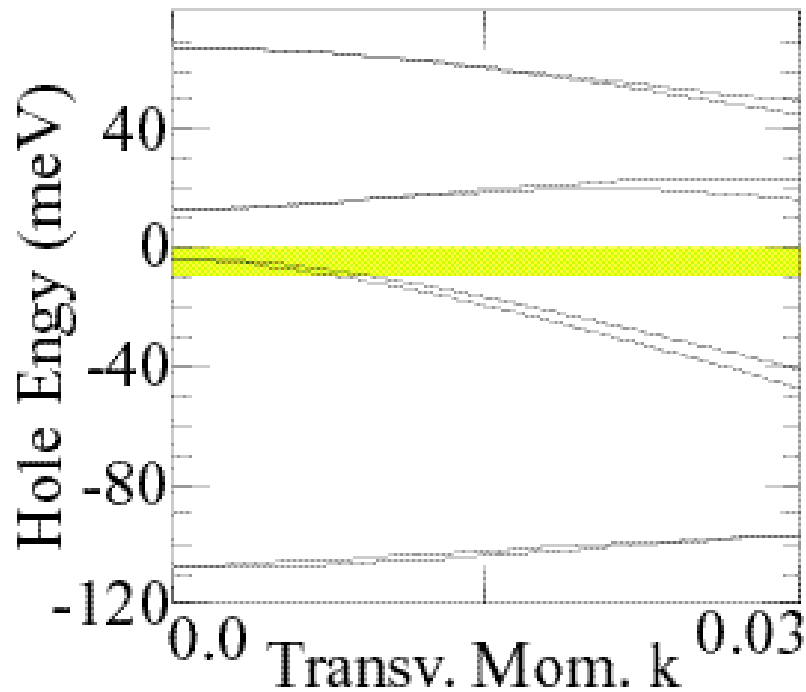
anti-crossing modulates  
resonance width



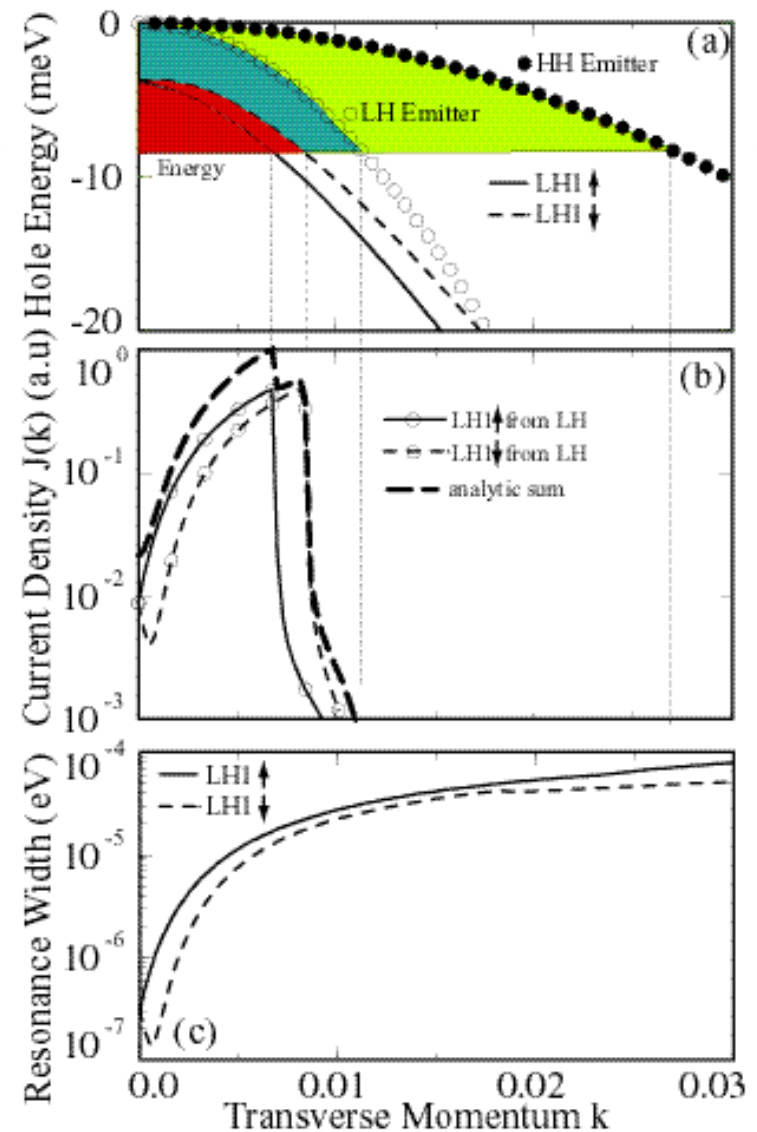
anti-crossing modulates  
resonance width



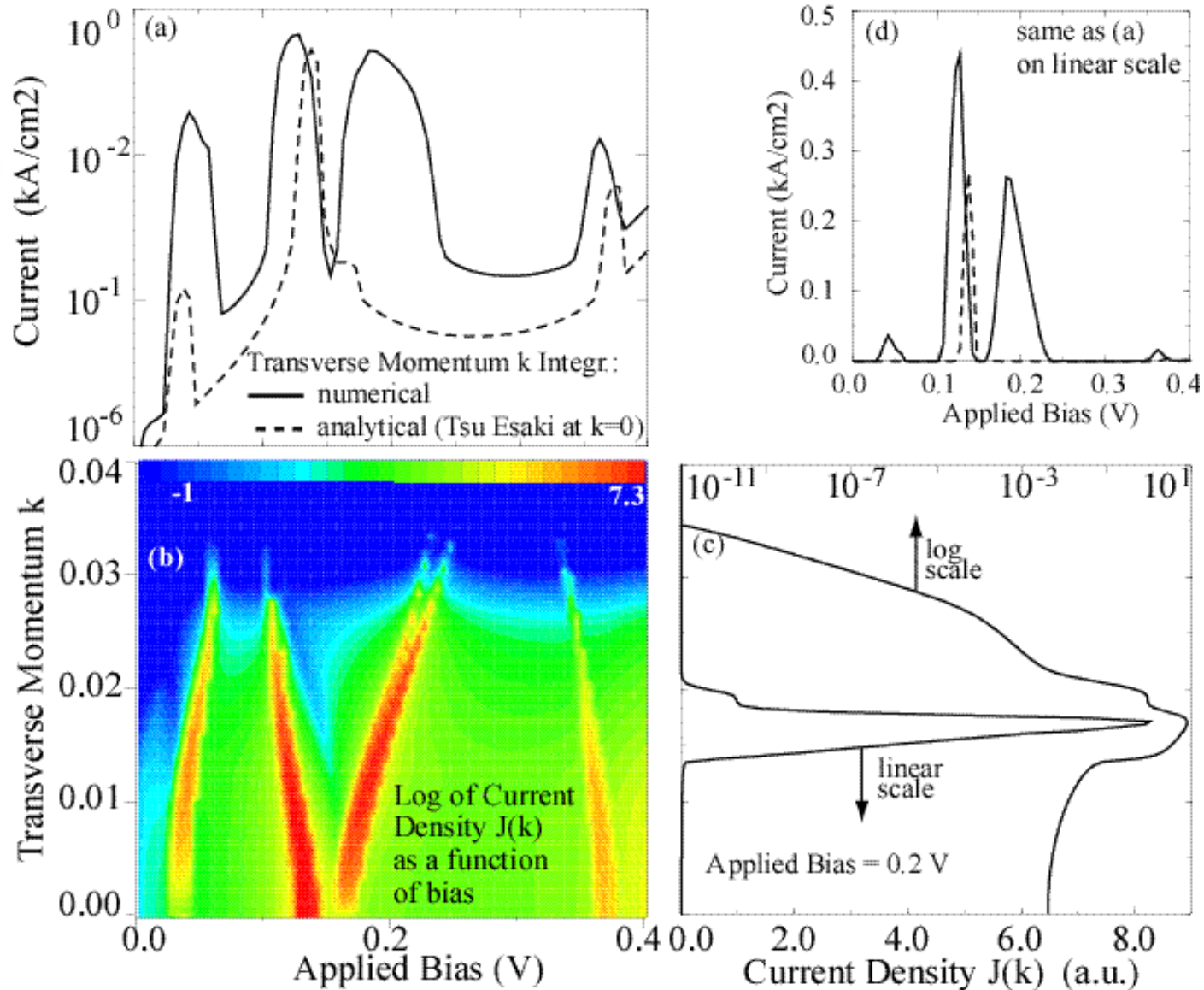
anti-crossing modulates  
resonance width



$J(k)$  can be sharply  
peaked  
away from  $k=0$   
 $\Rightarrow$  off-zone center current

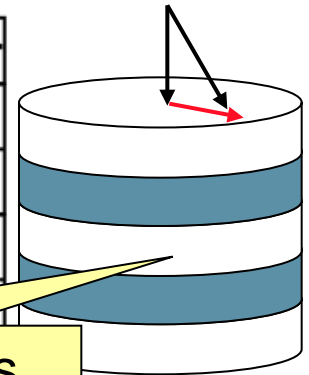
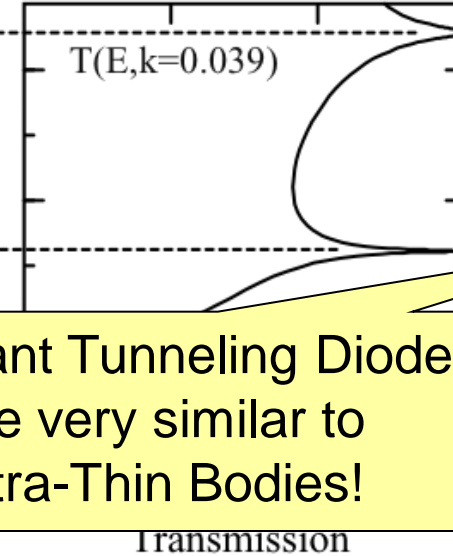
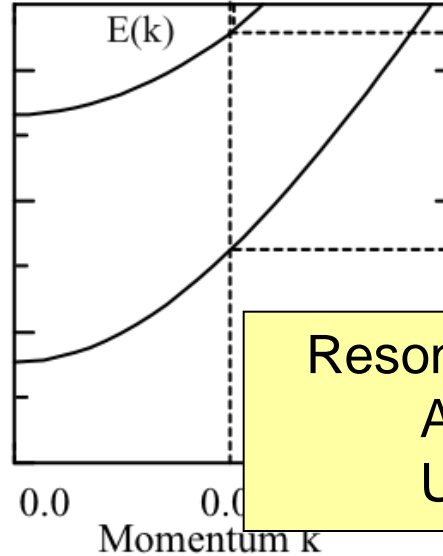
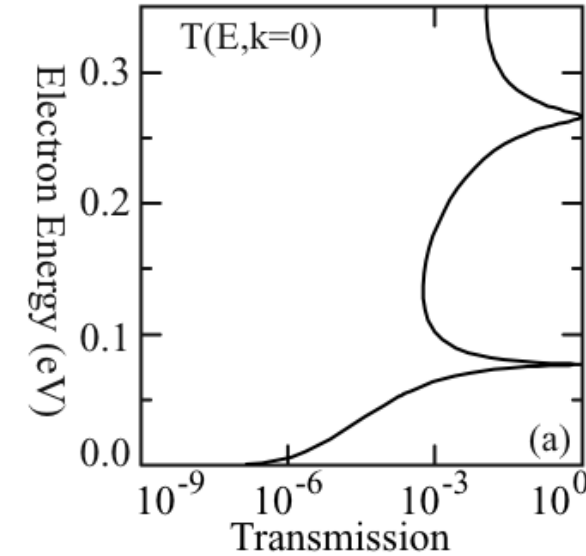


# Off-zone Center Current Flow in Hole RTDs Must have full band integration!





# Quantum Transport in non-parabolic, strained, and coupled bands



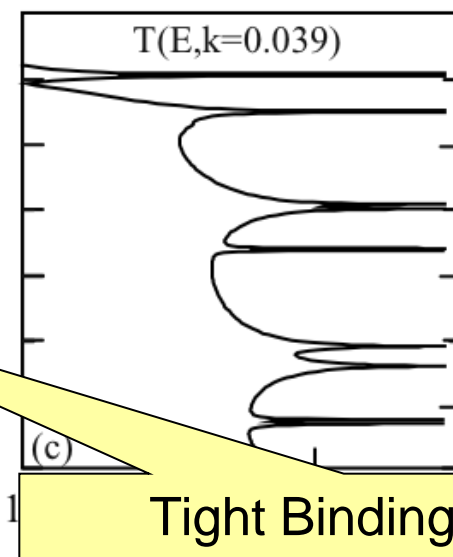
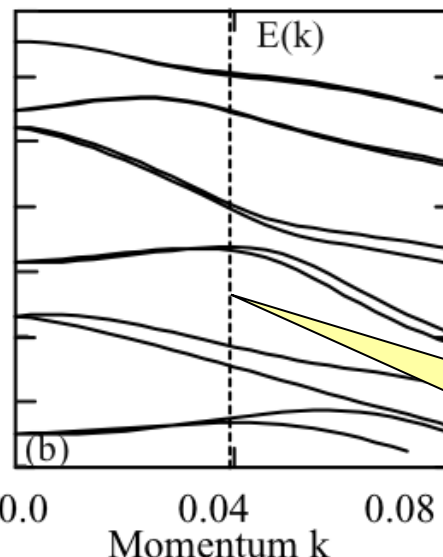
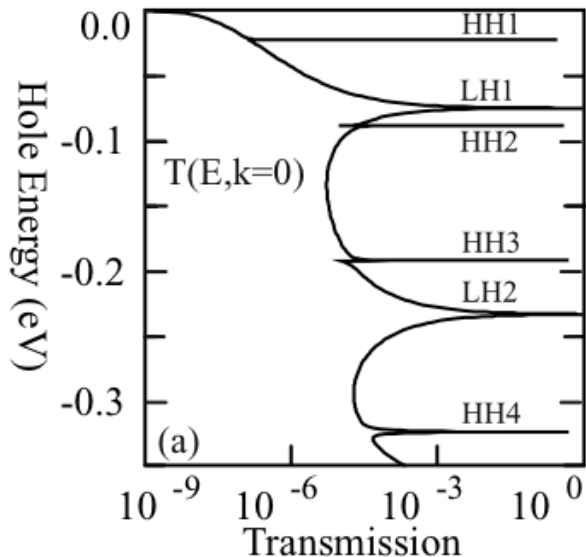
electron:  
dispersion  
looks parabolic,

but is NOT

- Transmission looks replicated, but is NOT

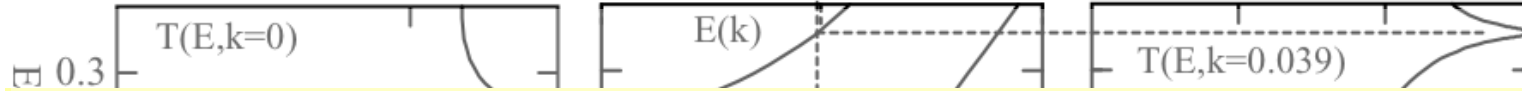
Holes:

- LH, HH, SO coupled
- Dispersion "complicated"
- Transmission dramatically

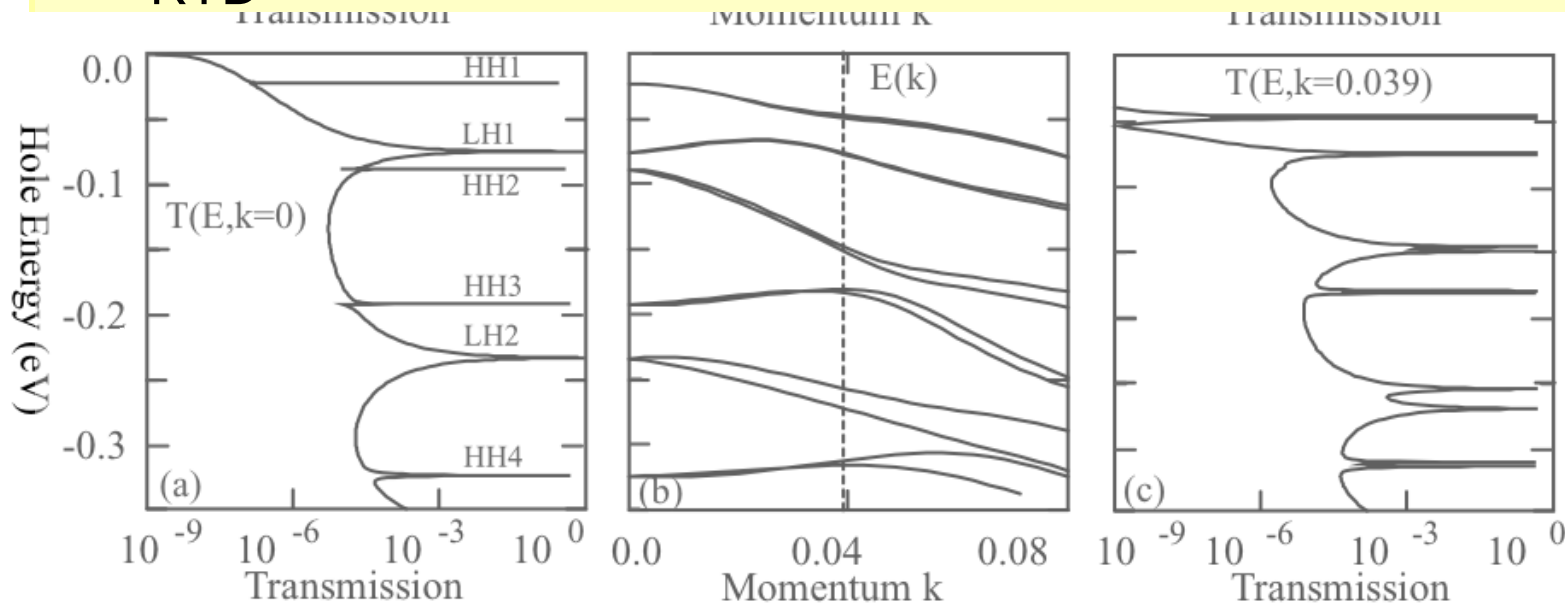


**Resonant Tunneling Diodes  
Are very similar to  
Ultra-Thin Bodies!**

**Tight Binding Handles  
Coupling Between Bands  
Strain, Non-Parabolicity**



- Bandstructure – atomistic device resolution
  - » Critical for understanding high temperature, high performance devices
  - » Effective mass leads to non-predictive and wrong conclusions
  - » Tight binding can handle electrons, holes, strain, band-coupling/mixing
  - » Ultra-Thin bodies, nanowires, and quantum dots will look similar to RTD



### Hole Transport

- **Highly non-parabolic behavior in dispersion**
- **Bands are strongly coupled**
- **Carriers can travel in various  $k$  directions**

### Bandstructure – atomistic device resolution

- **Critical for understanding high temperature, high performance devices**
- **Effective mass leads to non-predictive and wrong conclusions**
- **Tight binding can handle electrons, holes, strain, band-coupling/mixing**
- **Ultra-Thin bodies, nanowires, and quantum dots will look similar to RTD**